Studying information needs as question-negotiations in an educational context: a methodological comment

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Abstract

Introduction. The concept of information needs is significant within the field of Information Needs Seeking and Use. How information needs can be studied empirically is however something that has been called into question. The main aim of this paper is to explore the methodological consequences of discursively oriented theories when studying information needs. The discussion takes its starting point in the model of information needs and question formations presented by Robert S. Taylor in the 1960s.

Method. The empirical material used for exemplifying the proposed methodology consists of video recordings from an ethnographical study conducted at a Swedish primary school.

Analysis. The analysis is guided by concepts based in sociocultural and dialogistic theories and is directed towards question-negotiations in conversations and interactions in naturalistic settings.

Conclusions. Earlier studies of information needs are often based on the idea of an individually constructed actual need as it is presented in the first level in Taylor’s model. With an interest in the two latter levels of the model the analytical focus is shifted to question-negotiations which are seen as the results of situated discursive and collective efforts. This shift entails a methodological shift in the study of information needs.

Introduction

The concept of information needs has been used in several models within library and information science, and especially in the field of information needs, seeking and use, to describe triggering factors for information seeking processes (e.g., Wilson 1981; Krikidas 1983; Leckie et al. 1996; Byström & Hansen 2005). However, it has been acknowledged that information needs as such are difficult to study empirically and that most user studies focus on information seeking activities, rather than on actual information needs (Wilson 1981: 7; Krikidas 1983: 71; Case 2007: 70).

The main purpose of this paper is to explore methodological consequences of discursively oriented theories when studying information needs. This will be done by employing concepts based in sociocultural and dialogistic theories in analyses of question-negotiations in a primary school setting. I will argue that the idea of information seeking processes as driven by actual, true, inner and individual information needs is not useful when studying information needs. As a basis for the discussion of how information needs have been and can be studied the model of question formations published in the 1960s by Taylor (1962: 1968) is presented. It will be shown how different theoretical lenses provide different foci on the four levels in Taylor’s model which has methodological implications for the study of information needs and question-negotiations.

Information needs and question-negotiations

Taylor’s model of how individuals’ information needs are manifested has been very influential since it was published in the 1960s (Case 2007: 72). It describes information needs or question formations as evolving in a four step process: from the visceral need ($Q_1$) which is also described as the actual need, through the conscious need ($Q_2$) where the user can formulate the need for him/herself, the formalized need ($Q_3$) which is the need verbally expressed and finally the compromised need ($Q_4$) which is the question formulated in a way suitable for an information system (Taylor 1962: 392).

Taylor’s model takes its point of departure in the individual user and his/her actual need which might not be accordant with the need as it is presented to an intermediary or an information system. The model has been criticised for being too focussed on the role of the individual in the construction of information needs (Berland 1981). Still, the model implies that a process of negotiation (Taylor 1968: 179) is unavoidable when a user is interacting with a librarian or a formal information system to satisfy his/her information needs. Hence, Taylor’s model consists of two parts (see Klock and Barlett 2009: 33); on the one hand the individual user’s original information needs which are described as originating from within the individual ($Q_1$ and $Q_2$) and, on the other hand, the process where the individual has to re-formulate his/her question in interaction with an intermediary or an information system, in other words, in a question-negotiating process ($Q_3$ and $Q_4$).

In the following section this division between the individual’s inner information needs and the social question-negotiating process will be used when discussing some of the
Studying information needs and question-negotiations

Since the user-centred paradigm shift in the 1980s (e.g., Talja and Hartel 2007) a number of models have been published where information seeking is seen as originating from individuals’ more or less conscious information needs. Three well-known examples are the Anomalous States of Knowledge (ASK) model (Belkin et al. 1982a; 1982b), the sense-making approach towards the study of information seeking and use (Dervin 2003) and the Information Search Process (ISP) model (Kulthau 2004; 2009). Even though the models diverge in terms of theoretical starting points and intended applications, they share common assumptions regarding how information needs can be described, understood and studied.

In the models, information needs are described as shortages experienced within the individual (cf. Tuominen 1997: 360f), as ‘an inadequate state of knowledge’ (Belkin i 1982a: 62), a gap or a stop on the journey of life (Dervin 2003: 277) or ‘a gap in knowledge or a lack of understanding’ (Kulthau 2009). Hence, they all build on the idea of an actual, inner need as described by Taylor in level Q1. With such a point of departure the individual user becomes the unit of analysis in studies of information needs. The aim of such studies is then to gain an understanding of individuals’ experienced actual information needs. This understanding is supposed to be reached through analysing individual users’ accounts of their thoughts, feelings and perceptions of their information needs as expressed in, for example, interviews and questionnaires.

The idea of the individual as a starting point in user studies, as well as the view of language as a tool for gaining access to users’ inner lives have been called into question during the last decades from perspectives that can all be said to be discursively oriented (e.g., McKenzie 2004; Talja et al. 2005; McKenzie 2007; Selvénsson 2007). A central idea within discursively oriented traditions is that humans’ use of language is a fundamental part of the construction of social reality (Talja and McKenzie 2007: 99). This means that the unit of analysis in user studies shifts from the individual to the interaction between individuals. With such starting points the idea of information needs as something private and originating from within the individual is contested. Instead, information needs are seen as best understood and studied as emerging in interaction (McKenzie 2004) or in other words as evolving in processes of negotiating expressed in levels Q2 and Q4 in Taylor’s model.

At this point there are still few studies that show on a more detailed level how question-negotiations may take place in naturalistic settings. Studies directed towards the latter two levels in Taylor’s model require a methodological framework that encompasses possibilities to study question-negotiations in interaction. Such a framework, founded in sociocultural and dialogistic theories, will be presented in the following section.

Studying question-negotiations from a sociocultural and dialogistic perspective

The sociocultural perspective employed in this paper is based on a school of thought that originates from the writings of the Russian psychologist Vygotsky (e.g., 1978; 1997). It has contemporary representatives such as Wertsch (e.g, 1998) and Säljö (e.g.: 2000; 2005) within educational science, a closely related perspective is presented in Linell’s dialogism within linguistics (e.g., 1999; 2002). A basic assumption within this sociocultural perspective is that learning is a part of all human activities (Säljö 2000b: 28; Lave 1990) and, therefore, some of the core concepts and ideas are illuminating and useful when discussing and studying question-negotiations.

A central idea within these perspectives is that cultural tools or mediational means (Vygotsky 1997; Wertsch 1998: 17) need to be taken into account when human actions and practices are studied. Mediation means are created and used for overcoming both physical and cognitive limitations and can therefore be both material and/or intellectual. As humans we need to appropriate or master certain cultural tools to be able to function and participate in different social practices (Wertsch 1998: 53). One important (albeit not the only) shared tool for carrying out tasks in human practices is language. It is through the use of language that people can negotiate, act upon and thereby form questions; it is in interaction that questions materialise and become possible for researchers to study (cf. Knudt and Burell 2009: 30). Thus, when studying question-negotiations the focus becomes what Frohmann (2004: 394) describes as ‘practices with words’. Frohmann’s expression refers to Wittgenstein’s notion of language games which is central in his later works (e.g., 1977). Questions are formed through the use of language, in interaction with others, and if we want to understand question-negotiating processes, we must study such interactions.

The notion of language games has been used in various ways; in this paper it is used to emphasise that language use takes place in specific practices where utterances are created and become comprehensible (cf. Alexandersson and Limberg 2009: 90). In sociocultural theory, the idea of situatedness (Säljö 2000: 128-136; Lave 1990: 311f) implies that human action always is enacted in specific contexts and settings. This means that an understanding of the immediate context created in the interactions between people, as well as of the wider sociocultural and historical context forms the basis for analyses of human actions (Linell 2009). An analysis of how questions are constructed in interaction therefore requires an understanding of when and where the interaction takes place, as well as of the roles of the people that are engaged in the interaction. The social practice described in the empirical examples in this paper is that of doing a certain type of assignment in a Swedish primary school where individuals are acting and negotiating in different roles (cf. Linell 1998: 74), e.g., pupils, teachers and librarians. Still, this does not necessarily mean that these roles are rigid and self-evident; rather, these roles and their positions are also negotiated and contested in the interactions.

Empirical studies based on the idea of question-negotiations as situated language games require tools for analysing social interaction and spoken language. A way of operationalising this idea is to employ concepts developed within dialogistic discourse analysis described by Linell (1998; 2009). Below, it will be shown how such an analysis may be carried out. The two empirical examples used will be described as episodes where certain topics, in these cases certain questions, are in focus.
The two episodes can also be described as different communicative projects carried out in the context of children seeking and using information in primary school. Linell (Linell 1998: 217ff; 2009: 180ff) describes communicative projects as collective activities where the participants are trying to accomplish one or several goals through the use of language. Communicative projects can be of different kinds; one of the episodes presented below will be described as a specific communicative genre used in institutional discourse activities. According to Linell (2009: 202; 1998: 238ff) communicative genres are specific language games often employed within institutional settings for carrying out activities such as: "court trials, police interrogations, school lessons, academic seminars, doctor-patient interactions, social worker-client interactions, job interviews, speech therapy sessions" (Linell 1998: 240). In these kinds of institutional discourse activities the participants, or at least some of them, have an understanding of how such activities usually are accomplished. Still, all institutional discourse activities are not matters of routine in the same sense, as we will see in the first episode presented below.

Introduction to the empirical examples

The situatedness of question-negotiations which is stressed in the framework presented above implies that question-negotiating processes may look different in different contexts. Therefore, some of the contextual characteristics of the setting in focus are described below. Also, the data collection methods used for the empirical examples are presented; methods which make an analysis of social interaction and spoken language use possible.

Doing research in primary school

The two episodes used in the analysis below occurred in an ethnographical study conducted 2008 at a Swedish public and coeducational primary school. Two third-year forms, where most of the children were nine years old, participated in the study. The children were observed when working with what, in this setting, was called research. Research written in italics refers to a way of working where the children were supposed to explore an area of interest through their own information seeking and use. Other ways of describing research could be problem-centred assignments or children-centred ways of working. In this paper, the activities studied are described as research since this was the term used by the participants.

The five week period of the children's research began with an introduction in each classroom where the teachers explained for the two groups of children how they should carry out their tasks. The children had been working with research before (see Lundh and Limberg 2008), but new elements in the way of working were also introduced. Especially, the importance of choosing a topic based on one's own interests was stressed by the teachers. The children's research processes usually began with the children choosing a topic and creating a mind-map of different aspects of it, and questions about, this topic, then seeking information and finally presenting their findings in written booklets and in oral presentations. Throughout the whole process the children were supported by their teachers, a librarian, an information technology assistant (who taught information seeking on the Internet) and sometimes by a remedial teacher.

Some characteristics of similar school activities and assignments have been identified in previous research within information science and education. One recurrent theme within this area is how the imperative of finding and creating one's own knowledge clashes with traditional school practices (e.g., Alexandersson and Limberg 2003). This means that the students have to adjust to contradictory expectations. Limberg (2007) highlights the "ever-present norm in the discursive practice of school, expressed in assessment and evaluation" as a typical feature of school assignments. However, in assignments characterised by a certain degree of freedom, such as assignments where students are supposed to find a problem area and explore this area in an independent way, this ever-present norm and the assessment criteria may become somewhat concealed for the students.

This concealment might also be a problem for librarians; Gross (2001) describes the difficulties when pupils and students ask so-called imposed questions at reference desks. Imposed questions derive, according to Gross, from external demands, rather than personal curiosity, and school assignments are seen as typical bases for such questions:

It is easy to conceptualize the teacher as imposer and the student as the agent, asked to respond to a question he or she did not think up, but is responsible for (Gross 2001).

The imposed query model can be said to be binary; a question is seen as either individually self-generated or imposed by someone else. This division can be questioned from the sociocultural and dialogistic framework presented above and below it will be shown how imposed questions are actually imposed through interaction between a teacher and two pupils. However, as Limberg (2007) points out, Gross’s model highlights the fact that school children have to adjust to certain expectations when they are doing school assignments which require information seeking.

Data collection

The study in which the empirical examples below are taken from was a continuation of an earlier study (Lundh and Limberg 2008), which means that the process of getting access to the field started long before the fieldwork began. In other words, the study can be seen as a part of an ethnography with "a selective intermittent time mode" (Jeffrey and Troman 2004: 540ff) where the focus is quite specific. The study was informed by visual ethnography (Pink 2007) which is based on visual and audiovisual collection methods in addition to field notes which usually are viewed as the main data collection method within traditional ethnography. When working with video with children same ethical considerations need to be taken into special account. The implementation of the study has followed the ethical principles set out in the Swedish Ethical principles for humanistic and social scientific research (Forskningsetiska principer... 2002).

Empirical materials that consist of spoken language and social interaction can be presented in more than one way. Traditionally, transcripts are used; this is the case for the first episode below (the transcript notation can be found in Appendix 1). However, in the second episode the understanding of the conversation is facilitated by the inclusion of non-verbal elements to get the picture, for example, of how the participants are moving in the room and how artefacts are included in their conversation. For this purpose sequential art (McCloud 1994) or comics has been found useful. The pictures used in this paper are all based on frames from the video recordings. These frames have been digitally edited to ensure confidentiality, before they have been structured as comic strips through the use of the software Comic Life. Within information science this way of representing empirical material is unusual, but it has been used within educational science in a few cases (e.g., Plowman and Stephen 2008; Ivarsson 2010).

Question-negotiations in the classroom and in the school library

The two following episodes have been chosen in order to show how question-negotiations can be analysed as situated language games. The analysis will show how questions emerge as topics for negotiation in the conversations in a primary school setting. As mentioned above, a significant feature of this setting is that the children are supposed to formulate questions in their assignments; this makes the episodes suitable for showing how question-negotiating processes may proceed.

In the first episode, we will see two girls and a teacher discussing what questions the girls' ongoing work should be based on. In this episode the negotiation between the children and the teacher is characterised by conflicting agendas; the teacher is trying to convince the girls that certain new questions are of importance. In the second episode the participants, two other girls and a school librarian, are discussing useful sources for information seeking; a discussion that implicitly concerns what questions the girls should be working with.

http://informationr.net/ir/15-4/colis722.html
Imposed questions that take form in interaction

The first episode takes place in the middle of the children’s research period and it can be described as an institutional discourse activity where one of the participants, the teacher, drives the conversation. In the episode two girls, Maja and Fanny, are working with their research project about Guinness World Records at a desk in a classroom as their teacher, Pernilla, approaches them. Additional questions are introduced by the teacher and the episode is the first of several where she is trying to persuade the girls to include questions about the history of Guinness World Records and the rules and regulations for setting records. In this episode we can see how new questions are brought up as topics in the conversation, how these questions are put forward and negotiated, and how they are finally accepted by the girls. When compared to the second example below we will see that there is less agreement on what the outcome of the encounter should be; in this sense, the teacher’s questions can be seen as imposed as the interaction. The first of the new questions is introduced in transcript 1 below:

Table 2. Transcript 1

To start with, the teacher asks the girls what they have been doing so far (line 1-7 in transcript 1). In this initial part of the conversation the focus is on what the children have done and written, it is not obvious from their reply that their information seeking and use have been guided by any specific question. However, in line 8 Pernilla introduces a new question and thereby lays the foundation for the continuing question-negotiation.

After the teacher has introduced the question of the history of Guinness World Records the girls explain that they already have tried to find information about that, but without any success. The teacher continues by asking them about which sources they have used and also suggests a new source, but this does not lead to any immediate information seeking activity. Instead, the conversation continues and in line 9 in transcript 2 below, the teacher herself points the girls to a paragraph in one of the record books they have at the desk and she underlines that she finds that information to be “very important” for the girls to use. By doing this, the teacher introduces yet another question:

Table 3. Transcript 2

Hence, the questions the teacher introduces are of a somewhat different character, in the beginning of the discussion she introduces the idea of the history of Guinness World Records, but during the course of the conversation, by using one of the books on the desk, the question concerning the rules and regulations of setting records comes into focus.

Before the Pernilla leaves the desk she makes sure that the girls understand what the text says by using an example. Then, in line 13 in transcript 3 below she persists that it is ‘important’ to include the information in the text in the girls’ research. The girls’ response is however fairly half-hearted. In line 16, the teacher creates some room for resistance by saying “do I cause you trouble now?” which Maja answers by saying “no”. Again, the teacher underlines that she finds the question or the questions she has introduced to be ‘important’ in line 18. She also makes sure that Fanny, who did not reply to Pernilla’s question in line 16, knows where to find the paragraph they have discussed. In lines 25 and 27 the teacher demonstrates that she understands the girls’ resistance, but she also makes it clear that she expects the girls to include the last question she has introduced, or possibly both of the questions, in their work.
In this episode there is what Linell (1998: 254ff) calls asymmetries of participation, where Pernilla, as a teacher, can interrupt the children’s current activities and start to guide them into another direction. Her attempt to introduce new questions is not immediately embraced, however. In the discussion there seems to be competing goals (Linell 1998: 224f; 258) as the girls are interested in the records as such, whereas the teacher wants them to work with other questions as well.

The encounter could be said to be an example of how questions become imposed (cf. Gross 2001). Still, it has to be noted that the imposition occurs in an interaction where the girls have some room for resistance; for instance, Fanny uses this room by not responding to the teacher’s question in line 16. When the girls find the librarian in the library they introduce ‘drinks’ as a topic in the conversation. In this kind of communicative genre ‘drinks’ is understood as an embryo for a question that should lead to information seeking. The three participants seem to share the understanding that the encounter should result in finding at least one book for the girls to bring back to their classroom. To begin with the topic of ‘drinks’ is fairly vague, but as the discussion unfolds there are several attempts to turn ‘drinks’ into a defined question.

Questions that are gradually determined in interaction

The second episode is taken from the very beginning of the period followed. Here two girls, Maria and Jessika, are going to the school library with a mind-map of their research on beverages and drinks. At the library they find the librarian Kristina and the dialogue that takes place can be regarded as a communicative genre or a specific language game well-known by librarians, namely a reference interview. However, it has to be noted that even though reference interviews can be seen as a specific communicative genre, every encounter of this kind must also be seen as a part of the making of the genre of reference interviews; the participants have been involved in similar encounters before, but as in any conversation they can never be certain of what the exact outcome might be.

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In frames 2-3 and 5 the librarian is using books from the shelves for introducing ideas of how 'drinks' can be understood. A first attempt to define drinks as a question in relation to the available books is when Kristina introduces the idea of 'Christmas drinks' in frame 3. This suggestion is however declined immediately by the two girls, who are exchanging dissatisfied looks and who then turn their attention to another girl who approaches them. Later (in frame 9), they also explicitly say that they do not want to borrow the first book.

During the course of the conversation, in frame 5, Kristina makes another suggestion, with a basis in another book. This time it seems as if a question becomes temporarily defined, when the girls agree with this suggestion.

One way of looking at this encounter is to see it as a gradual determination of an indeterminate topic (see Linell 1998: 200f); in this case an indeterminate question. In the conversation, the topic or the implicit question is described as 'it' or 'this' (frame 1 and 8) when tools for information seeking are discussed. This way of describing the question implies vagueness (cf. Garfinkel et al. 1981: 157); the participants do not articulate what the 'it' or 'this' is, rather the whole conversation can be seen as directed towards defining 'it' and 'this'. During the encounter the question of drinks evolve and the participants put efforts into trying to find a shared understanding of this question in relation to the available sources. Even though a determination of the question is not explicitly articulated by any of the participants the action of accepting a book, a tool that will be used in the girls' information seeking and use, shows that a question has been temporarily defined.

However, the negotiation of present and non-present information seeking tools, as well as the question, continues between Kristina and the girls. In frame 6 below, Jessika concludes this discussion by saying that they also can use 'the net' for seeking information. Here the discussion becomes more future-oriented (Linell 1998: 230f); it concerns what the girls could do somewhere else rather than in the library with tools for information seeking other than books. This also implies that the question of 'drinks' will continue to be negotiated elsewhere.
Even though the girls and the librarian seem to have defined a question, they still do not express the exact same description of the question of drinks. In frame 7 Kristina’s and the girls’ interpretations of the question diverge; while Kristina suggests a focus on how drinks are made, the girls ask for the ingredients of drinks. The different interpretations are however not acknowledged by the participants. Instead, the discussion is brought to a close with the joint establishment that the girls also will use “the computer” and borrow the book about fruit drinks, in frames 8 and 9 below. This means that the communicative project shared by the two girls and the librarian is finalised; the girls leave and borrow the book and Kristina continues to help the other children who have come to the library during the conversation with Jessika and Maria.

This episode can be seen as an example of question-negotiation in the communicative genre of reference interviews. In this setting, visits to the library are usually supposed to result in finding at least one book and this seems to be a common goal for the girls and the librarian. The girls have an assignment where they have to come up with one or a few questions to explore and this is accomplished, at least to some extent, in their encounter with the librarian. But their question is only temporarily defined in relation to the available sources and through the decision to borrow the book about fruit drinks; as we can see in the episode the question is not understood in one single way. Compared to the first episode above, where questions are imposed in the interaction between the girls and the teacher, this example is characterised by a higher degree of vagueness. During the five week period of the children’s research the question became even more ambiguous. However, for the purpose of this specific communicative project, a solution is found.

Discussion

The two episodes described above show how questions, which have the potential to lead to information seeking activities, can be analysed as constructed and negotiated in social interaction, rather than constructed within individuals outside of social encounters. As McKenzie has (2004) suggested, the focus is moved from the users’ accounts of their inner thoughts and feelings about their assumed information needs to people discussing and interacting in naturalistic settings. This shift opens up for a detailed analysis of questions as emerging between individuals in the local context created through the interaction, but also for an analysis of the wider context in which the interaction takes place (cf. Linell 2009: 62f).

When comparing the two episodes we can see how different roles create different possibilities and entail different expectations regarding participation in the interactions. In the first encounter, where questions become imposed the negotiation is characterised by competing goals (Linell 1998: 224f; 258) where the position as a teacher gives some control over the outcome of the communicative project. As a teacher, Pernilla has other possibilities as well as other responsibilities in the interaction with the pupils than Kristina has, as a librarian, in the second encounter where the negotiation and determination of one or several questions is implicit rather than explicit and clearly articulated. Nonetheless, both the librarian and the teacher contribute to, and are thereby partly accountable for, the formulation of questions in the interactions.

According to the framework presented in this paper questions are seen as proposed, discussed, accepted or resisted in specific social settings and this creates certain possibilities and constraints for how questions can and cannot be constructed. The two examples above show how question-negotiating processes can proceed as communicative projects when
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