“Racing to the Top” to Prepare Turnaround Principals in North Carolina: Homegrown Regional Leadership Academies

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Abstract

North Carolina’s Race to the Top (RttT) grant earmarked approximately $17.5 million to “increase the number of principals qualified to lead transformational change in low-performing schools in both rural and urban areas” (NCDPI, 2010, p.10). To accomplish this, the state established three Regional Leadership Academies (RLAs) “approved for certifying principals [and] designed to . . . provide a new model for the preparation, early career support, and continuous professional development of school leaders” (NCDPI, 2010, p.10). This article describes the independent evaluation of this initiative including the recruitment, selection, training, placement, and expenditure processes associated with each RLA.

Key Terms: Principal Preparation, Turnaround Principals, Race-to-the-Top Initiative, Alternative Licensure Programs, Evaluation

Developing school leaders who are equipped with the knowledge, skills, and dispositions needed to effectively lead and turnaround low-performing schools has become a critical goal for local education agencies (LEAs) intent on dramatically improving student outcomes. Four years ago the state of North Carolina was awarded one of only twelve federal Race to the Top (RttT) competitive grants, bringing nearly $400 million to the state’s public school system. Approximately $17.5 million of these funds were specifically earmarked to “increase the number of principals qualified to lead transformational change in low-performing schools in both rural and urban areas” (NCDPI, 2010, p.10). To accomplish this in North Carolina, the state established three Regional Leadership Academies (RLAs), each of which laid out a clear set of principles about...
leadership in general, leadership development in particular, and leadership development for high-need schools most specifically. The RLA programs were “approved for certifying principals [and] designed to . . . provide a new model for the preparation, early career support, and continuous professional development of school leaders” (NCDPI, 2010, p.10).

As such, the policy objective undertaken via North Carolina’s RLAs was to recruit and prepare over 180 “turnaround principals” serving more than 30 of the 100 counties across the state. The RLAs were created independently to meet the school leadership needs of three vastly different and very distinct regions of North Carolina (including “large, urban” and “small, rural”); thus, each RLA developed a unique program with its own partnerships, program philosophy, curriculum, coursework, and fieldwork.

One RLA (Northeast Leadership Academy, or NELA) was established one year before RttT funding was available to serve as a pilot program, and two others (Piedmont Triad Leadership Academy [PTLA] and Sandhills Leadership Academy [SLA]) were created following a selection process that included proposal submission to a selection committee composed of North Carolina educational leaders. The NC RttT RLAs serve collaboratives of partnering local education agencies (LEAs) and directly address the need to recruit, prepare, and support leaders of transformational change in challenging school contexts. This approach aligns with Orr, King, and LaPointe’s (2010) research that the most comprehensive and sustainable programs are collaborations that result in the development of customized programs that met district needs by design—from start to finish.

The RLAs provide talented individuals with the tools they need to lead high-need schools. Following a rigorous selection process, they provide full-time internships, contextualized leader development opportunities, intensive coaching, and ongoing support. The RLAs are designed to be consistent with literature on executive development, adult learning theory, and educational leadership (e.g., Brown, 2006; Davis, Darling-Hammond, LaPointe, & Meyerson, 2005; Hale & Moorman, 2003; New Leaders for New Schools, 2009). The program meets North Carolina regulations regarding alternative principal licensure. See Appendix A for a fuller description of each RLA.
Leadership Preparation

The importance of strong school leadership, particularly in low-achieving schools, has long been recognized by researchers and practitioners alike. As Crawford (1998) noted and others have substantiated (Grissom, 2011; Ouchi, 2009; Portin, Knapp, Dareff, Feldman, Russell, Samuelson, & Yeh, 2009), “Almost all educational reform reports have come to the conclusion that the nation cannot attain excellence in education without effective school leadership” (p. 8). And yet, the majority of school districts nationwide have found it difficult to recruit and retain school principals (Alsbury & Hackman, 2006). As Hess and Kelly (2005) so aptly explained: “School principals are the front-line managers, the small business executives, the battlefield commanders charged with leading their team to new levels of effectiveness. In this new era of accountability, where school leaders are expected to demonstrate bottom-line results and use data to drive decisions, the skill and knowledge of principals matter more than ever” (p. 1). Hess and Kelly also concluded that “school improvement rests to an unprecedented degree on the quality of school leadership,” (p. 1) even though, Duke, Grogan, Tucker, and Heinecke (2003) pointed out that “leadership during this age of accountability has become more stressful, more political, more complex, and more time-consuming” (p. 212).

Understandably, policymakers have become increasingly concerned about a pending shortage of qualified individuals to fill principal positions in the nation’s schools (Gates, Ringel, & Santibanez, 2003), especially in the very schools most in need of outstanding leadership (i.e., schools with higher concentrations of poor and minority students, low per-pupil expenditures, low student test scores, and low principal salaries). In North Carolina, McFarland and Preston (2010) reported that, on average, “turnaround schools had significantly lower performance composites and graduation rates, and slightly lower percentages of teachers with full licensure than typical high schools. Suspension rates, the percentage of students eligible for free or reduced-price lunch, and the percentages of non-white students were all significantly higher in turnaround schools” (p.2). These conditions create challenges for school districts when they attempt to recruit and retain principals and teachers who will accept offers and
remain long enough to make a difference in student learning outcomes.

At the same time, an array of scholars have asked whether traditional approaches to preparing and licensing such principals are sufficient (Elmore, 2000; Fordham Foundation, 2003; Murphy, 2001; Tucker, 2003). To this point, Knapp and his colleagues found that conventional leadership preparation programs have not attracted enough high-quality candidates to work in high-poverty, low-performing schools, which are traditionally the schools that are the hardest to staff (Knapp, Copeland & Talbert, 2003). Likewise, Darling-Hammond and her colleagues asserted that recruiting committed candidates and comprehensively preparing them for the unique realities of leading in challenging contexts are keys to stabilizing principal turnover in addition to fostering high-quality teaching and learning (Darling-Hammond, Meyerson, Lapointe & Orr, 2010).

As a result, a premise of innovative, alternative models like North Carolina’s Regional Leadership Academies is that preparing individuals to become effective school leaders in high-need schools requires much more than traditional pre-service training, licensure, and placement. Rather, the making of an effective turnaround leader is an intricate process of learning and reflection, socialization into a new collaborative community of practice, and assumption of a new role identity that assumes responsibility for and assures learning for all students (Crow & Glascock, 1995; Daresh, 2002). Unique circumstances warrant specialized contextual knowledge and unique dispositions on the part of the leader to move schools from negative trajectories to positive ones. Through deliberate and strategic partnerships, leadership educators and practitioners can work together to develop curriculum, deliver instruction, and oversee field-based clinical practice and internships that provide the foundation for active-learning, job-embedded experiences aligned to the goal.

The conceptual framework for NC’s model was developed from research literature and recommendations from reports on how to improve the preparation of school leaders. This research suggests that certain practices in leadership preparation should produce higher quality school leaders. Accordingly, programs yield more highly effective leadership graduates when they utilize: (a) research-based content that clearly focuses on instruction, change management, and
organizational practice, (b) coherent curriculum that links all aspects of the preparation experience around a set of shared values, beliefs, and knowledge about effective organizational practice, (c) rigorous selection process that gives priority to under-served groups, particularly racial/ethnic minorities, (d) cohort structures that foster collaborative learning and support, (e) school-university collaborations that create a seamless and coherent program for students, (f) field-based internships that allow individuals to apply their new knowledge and skills while under the guidance of expert leaders, (g) supportive organizational structures that support student retention, engagement, and placement, (h) systematic process for evaluating and improving programs and coursework, (i) low student-faculty ratio (i.e., 20-1) and active, student-centered instruction, (j) faculty members who make significant efforts to identify, develop, and promote relevant knowledge focused on the essential problems of schooling, leadership and administrative practice, and (k) on-going professional growth opportunities (Darling-Hammond, et al, 2007; Jackson & Kelly, 2002; Levine, 2006; Orr, 2007). In essence, developing effective principals entails continuing beyond completion of pre-service preparation programs, placement as school leaders, and support during novice practice years (Browne-Ferrigno & Fusarelli, 2005; Daresh, 2002).

Methods

North Carolina’s RttT proposal included a commitment to an independent evaluation of each initiative. The roles of the RttT Evaluation Team were to (1) document the activities of the RttT initiatives; (2) provide timely, formative data, analyses, and recommendations to help the initiative teams improve their ongoing work; and (3) provide summative evaluation results toward the end of the grant period to determine whether the RttT initiatives met their goals and to inform future policy and program decisions to sustain, modify, or discontinue initiatives after the grant-funded period.

This evaluation was informed by a variety of data sources, including document reviews, observations, interviews, focus groups, surveys, accounting data, and administrative data. Each RLA followed its own path to implementation, and evaluators were engaged in collecting and analyzing data related to that process since
March 2011. The following evaluation questions guided the evaluation of the NC RttT RLAs:

1. Do RLAs effectively recruit relative to the alternatives?
2. Do RLAs effectively select relative to the alternatives?
3. Do RLAs effectively train relative to the alternatives?
4. Do RLAs effectively place graduates in targeted schools/districts?
5. Are RLAs cost-effective relative to the alternatives?

Administrative Data

In an effort to describe the characteristics of RLA internships and job placements, the evaluators obtained school-level administrative data from a longitudinal database maintained by the Carolina Institute for Public Policy (CIPP) and assembled from NCDPI administrative records. These data include school characteristics—school level (elementary, middle, or high), type (traditional or charter), region, and locale classification (i.e., urbanicity)—as well as demographic characteristics of the student population (free or reduced-price lunch, race/ethnicity, students with disabilities, and English language learners).

Survey

Evaluators designed a biannual participant survey describing actions and traits that are specific, evidence-based recommendations for quickly and dramatically improving student achievement in high-need, low-performing schools (Papa & English, 2011). The purpose of this survey, administered each December and June, was to track RLA participants’ level of exposure to, experience with, and development of the following key elements via their Leadership Academy: 1) Self-efficacy and optimism (i.e., rejection of status quo-failure, acceptance of responsibility), 2) Open-mindedness and pragmatism (i.e., contextual knowledge and adaptation, ability to apply theory to practice), 3) Resiliency and energy (i.e., persistent determination to improve student learning), and 4) Competence and skill sets (i.e., instructional leadership that builds rapport and capacity, knowledge of literacy, change processes, and human
motivation). The response rate from 189 participants was close to 90%.

Observations

Evaluators observed each RLA’s selection processes and candidate cohort experiences, including internships and mentoring/coaching efforts. These activities helped evaluators understand the support and guidance provided to each RLA participant. Evaluators conducted a total of 89 formal RLA observations (for over 240 hours) and attended and/or presented at 28 formal RLA meetings between March 2011 and March 2014. The goal of the evaluation was to visit each RLA at least once a month and to observe a variety of activities (e.g., site visits, guest panels, specialized trainings, weekly content seminars, Advisory Board meetings, mentor principal meetings, LEA selection processes, induction support sessions, conference presentations, etc.).

Interviews

Between March 2011 and March 2014, evaluators interacted with and interviewed the RLA Directors, Executive Coaches, and the majority of participants from each RLA (n=200+) several times. Evaluators also interviewed a random, convenience-sampled selection of mentor principals and participant supervisors from each RLA during this same timeframe. Formal and informal conversations occurred during every formal observation and meeting (n=110+). Likewise, information was gathered daily via phone calls, emails, and listserv updates. A standardized format was not used for these discussions. Instead, open-ended questions were the norm. Most conversations were related to either how the RLA was progressing overall and/or specifically how the exercise at hand related to the participants’ preparation to be leaders in high-need schools. Detailed notes were recorded and analyzed after each exchange. These activities helped evaluators gather a wide range of perspectives on the RLAs for qualitative analyses.

Creswell’s (2009) mixed-methods approach was most appropriate for this evaluation, given the multiple data collection methods and mixed modes of analysis. Evaluators analyzed each RLA’s recruitment and selection efforts, curricular and pedagogical
techniques, induction and support strategies, and RLA internal evaluation methods. Artifacts (planning documents, presentations, dissemination materials, curriculum plans, scopes and sequences, websites, news articles, etc.) and observational data were analyzed using relevant qualitative methodologies and computer software when appropriate. These activities helped evaluators understand how candidates were recruited, selected, trained, placed and inducted.

Findings

Research Question 1: Do RLAs Effectively Recruit Relative to the Alternatives?

Yes, the RLAs do effectively recruit relative to the alternatives. They have each engaged in careful recruitment processes to ensure that program participants have the expertise, commitment, and dispositions to serve as transformational school leaders. Each RLA has worked together with its partner LEA leaders to identify and recruit individuals who, in their judgment, are deeply committed to improving low-achieving schools and who are willing to make multiyear, post-academy commitments to work in said schools and LEAs.

In line with widely recognized alternative principal preparation programs (e.g., New Leaders for New Schools and New York City Leadership Academy) each RLA employs a plan for the deliberate, aggressive recruitment of outstanding school leadership candidates. A team of RttT grant-funded Executive Directors and Coaches, in conjunction with LEA members, developed and conducted broad-based recruitment and selective admissions processes that have resulted in the identification and selection of RLA participants who present demonstrable leadership skills and personal academic excellence. Table 1 provides a comparative overview of criteria used by each RLA, by alternative preparation programs, and by traditional Master’s in School Administration (MSA) programs in North Carolina to recruit candidates into their individual pre-service leadership programs.
Table 1
RLA Recruitment Criteria in Comparison to Other Leadership Preparation Programs

<table>
<thead>
<tr>
<th>Recruitment Criteria</th>
<th>NE LA</th>
<th>PT LA</th>
<th>SL LA</th>
<th>Other Alternative Prep Programs (e.g., NYCLA, NLNS)</th>
<th>NC Traditional MSA</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Established reputation (i.e., known entity, word of mouth, graduates, etc.)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>2. Brochures and informational materials</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>3. “Tapping” process in LEAs in which people are encouraged to apply</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>4. Website information</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>5. Email blasts and LEA updates</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>6. Local, state and national presentations</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>7. Newspaper accounts, media coverage and various public relations press releases throughout the year (including promotional videos)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>8. Collaboration with partnering LEAs</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>9. LEA based information sessions</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>10. Superintendent endorsement</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>11. Superintendent meeting updates</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>12. School Board presentations</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>13. Partnerships with organizations (e.g., NC Education Consortiums, Teach For America, Historically Black Colleges/Universities, etc.)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>14. Commitment (initially and ongoing) to changing, improving, and transforming schools</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>15. Willingness to make multi-year, post-academy commitment</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>(PFs*)</td>
</tr>
</tbody>
</table>

Note. ^=The extent to which certain programs do and/or do not implement these recruitment criteria varies widely from none (i.e., not at all) to some.

PF=North Carolina Principal Fellows agree to a 4-year leadership commitment post-graduation.

The RLA process of intentionally identifying and recruiting outstanding candidates (i.e., experienced teachers with strong teaching and leadership skills who are committed to educational
change) benefitted from strategic exposure tactics and publicity campaigns in partnering LEAs. As a result of these efforts, a large number of people expressed interest and completed the application process over the past three years (n=962). Overall, the recruitment and advertisement efforts for each RLA have been good and the RLAs have yielded a fairly high number of applicants (whether of sufficient high quality and quantity to fill necessary slots in the schools is yet to be determined).

Research Question 2: Do RLAs Effectively Select Relative to the Alternatives?

Selectivity. The selection process of each RLA yielded fairly selective and competitive acceptance rates (189 participants selected from a total of 962 applicants. See Table 2). The RLA’s overall acceptance rate of less than 20% is comparable to nationally recognized programs such as NYCLA (15%) and NLNS (7%). It is also much lower than traditional MSA programs in North Carolina, some of which have few applicants (less than 25 applicants for 20 slots) and/or report high acceptance rates (75% or higher). The Principal Fellows Program in North Carolina (NC PFP) had an acceptance rate of 56% in 2011 (60 recipients from 107 applicants), an acceptance rate of 72% in 2012 (56 recipients from 78 applicants), and an acceptance rate of 60% in 2013 (33 recipients from 55 applicants). The average acceptance rate for the NC PFP over the past three years has been 63%. In fairness to all of these programs, a larger number of potential participants do inquire, but after asking about minimum requirements (e.g., tuition costs, prior teaching experience, undergraduate GPA, etc.), decide not to formally apply. Unfortunately, there is not a valid way of tracking such numbers. Note that, aside from “opportunity costs,” the RLA experience is completely free to participants.
Table 2

Number of RLA Participants Accepted Versus Number of Candidates who Applied

<table>
<thead>
<tr>
<th>RLA</th>
<th>2011–12 Cohort 1 Acceptance Rate</th>
<th>2012–13 Cohort 2 Acceptance Rate</th>
<th>2013–14 Cohort 3 Acceptance Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>NELA</td>
<td>24/38 = 63%*</td>
<td>21/41 = 51%</td>
<td>20/28 = 71%*</td>
</tr>
<tr>
<td>PTLA</td>
<td>21/173 = 12%</td>
<td>20/169 = 12%</td>
<td>22/197 = 11%</td>
</tr>
<tr>
<td>SLA</td>
<td>20/110 = 18%</td>
<td>21/79 = 27%</td>
<td>20/127 = 16%</td>
</tr>
</tbody>
</table>

Note. *NELA’s cohorts went through a multi-tier selection process that required Superintendent endorsement prior to application. PTLA’s and SLA’s cohorts went through a multi-tier selection process that required Superintendent endorsement after selection. Thus the acceptance percentages are slightly skewed and actually lower than the combined 19.6% reported.

RLA Selection Processes. Each RLA created “an innovative selection process that is fair and rigorous, assesses more than a candidate’s experience and education, and adds a new component that enables interviewers to measure a candidate’s core beliefs” (Huckaby, 2012, p. 31). Of the three RLAs, NELA’s is the most university-centered. This is appropriate as participants are applying for and will receive an MSA degree from NCSU. The selection processes for PTLA and SLA are more decentralized (i.e., more decisions are made at the LEA level). Each RLA made modifications based on experiences with Cohorts 1 and 2. Of the three RLAs’ selection criteria, one is not necessarily better than the other. All three contain some similarities and some differences, all three use multiple measures, and all three allow for deeper analyses into applicants’ qualifications. However, in comparison to the selection processes of most university-based principal preparation programs nationwide, the RLAs collectively are much more deliberate and intentionally focused, more intricately involved, and more thorough in their selection criteria. For example, most colleges and universities (not all, as there are exceptions across the nation) only require standard paperwork (e.g., resume, transcripts, letters of recommendation, GRE/MAT scores, background check and perhaps a statement of purpose). In person, face-to-face interactions and/or interviews are rare and are not required for application and/or admission. MSA faculty members usually review the materials via a standard rubric,
and assign points based on minimum qualifications such as years of classroom teaching experience (without regard to and/or knowledge of whether that educational experience was deemed good or bad, effective or detrimental).

Table 3 provides a comparative overview of criteria used by each RLA, by alternative preparation programs, and by traditional MSA programs in North Carolina to select candidates into their individual pre-service leadership program. As noted, the RLA selection criteria are more robust and rigorous relative to the alternatives.
Table 3
**RLA Selection Criteria in Comparison to Other Leadership Preparation Programs**

<table>
<thead>
<tr>
<th>Selection Criteria</th>
<th>NE LA</th>
<th>PTLA</th>
<th>SLA</th>
<th>Other Alternative Prep Programs (e.g., NVCLA, NLNS)</th>
<th>NC Traditional MSA</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Application form (including transcripts, scores, and criminal background check)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>2. Resume of professional experience (some minimal requirements)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>3. Letters of recommendation</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>4. Purpose statements/Letters of interest</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
</tr>
<tr>
<td>5. Writing sample/educational essay</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
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<tr>
<td>6. Master’s degree with minimum 3.0 GPA</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>7. Superintendent’s nomination</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>8. A homework assignment (e.g., 2- to 3-minute videotaped presentation on “Why I want to be a leader in a high-needs school”)</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>9. Completion of self-assessment surveys (e.g., grit/perseverance/passion and leadership responsibilities)</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>10. Assessment Day (including role play, timed writing activity, scenario-based simulations, team decision making process, presentations, and response to scenarios)</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>11. Group Q&amp;A sessions and interviews with panel of LEA partners</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>12. One-on-one Interviews</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>No</td>
</tr>
<tr>
<td>13. Commitment to closing the achievement gap, professional resilience, strong communication, willingness/ability to be self-reflective, possession of instructional</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>^</td>
<td>^</td>
</tr>
</tbody>
</table>

Note. ^=the extent to which certain programs do and/or do not implement these selection criteria varies widely from none (i.e., not at all) to some.
Results of the Selection Process. Overall, the RLA selection process for Cohorts 1, 2 and 3 ($n=189$) yielded a fairly diverse group of participants: more than half are Caucasian (58%), over two-thirds are female (71%), and more than a third (38%) are African-American. Half (50%) possess a master’s degree already (in a range of subjects from education to reading, administration, special education, and even counseling). One-third (36%) were elementary education majors during their undergraduate studies. NELA participants are less likely to have master’s degrees (28% compared to the RLA Cohort 2 average of 50%) but this is not surprising since NELA culminates with a MSA degree. Relative to the RLA average, a larger proportion of the SLA participants are Caucasian (71% compared to the RLA average of 58%). A larger proportion of the PTLA participants are African-American (48% compared to the RLA average of 38%) and have advanced degrees (68% compared to the RLA average of 50%).

Table 4 includes descriptive statistics for all three Cohorts combined. In comparison to the Principal Fellows program in North Carolina, the RLA participants tend to be slightly older (36 versus 33), more racially diverse (38% Black versus 20%), and slightly more likely to already have a master’s degree (50% versus 40%). In some regards, this makes sense, since the PF program and traditional MSA programs in NC are master’s degree-granting programs.

Table 4  
Demographic Data for RLA Cohorts 1, 2, and 3

<table>
<thead>
<tr>
<th>Demographic Characteristic</th>
<th>All Cohort Interns</th>
<th>NELA (Cohorts 1, 2, and 3)</th>
<th>PTLA (Cohorts 1, 2, and 3)</th>
<th>SLA (Cohorts 1, 2, and 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Age Range</strong></td>
<td>25-59</td>
<td>25-59</td>
<td>25-59</td>
<td>27-54</td>
</tr>
<tr>
<td><strong>Age Median</strong></td>
<td>36</td>
<td>35</td>
<td>37</td>
<td>37</td>
</tr>
<tr>
<td><strong>Male</strong></td>
<td>29%</td>
<td>26%</td>
<td>33%</td>
<td>27%</td>
</tr>
<tr>
<td><strong>Female</strong></td>
<td>71%</td>
<td>74%</td>
<td>67%</td>
<td>73%</td>
</tr>
<tr>
<td><strong>Black</strong></td>
<td>30%</td>
<td>42%</td>
<td>40%</td>
<td>24%</td>
</tr>
<tr>
<td><strong>White</strong></td>
<td>58%</td>
<td>53%</td>
<td>49%</td>
<td>71%</td>
</tr>
<tr>
<td><strong>Asian</strong></td>
<td>1%</td>
<td>3%</td>
<td>0%</td>
<td>0%</td>
</tr>
<tr>
<td><strong>American Indian</strong></td>
<td>1%</td>
<td>0%</td>
<td>0%</td>
<td>3%</td>
</tr>
<tr>
<td><strong>Other Ethnicity</strong></td>
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<td>2%</td>
<td>3%</td>
<td>2%</td>
</tr>
<tr>
<td><strong>Master's Degree</strong></td>
<td>50% (95/189)</td>
<td>28% (18/65)</td>
<td>68% (43/63)</td>
<td>56% (34/61)</td>
</tr>
</tbody>
</table>
Research Question 3: Do RLAs Effectively Train Relative to the Alternatives?

The three essential features of effective leadership preparation programs are: (1) having a program philosophy that clearly articulates a theory of action, (2) having a strong curriculum focused on instruction and school improvement, and (3) having well-designed and integrated coursework and field work (Orr et al., 2012). Each RLA has committed to designing and implementing a fully comprehensive leadership preparation program that incorporates these features by including the following research-based program elements (Darling-Hammond, LaPointe, Meyerson, Orr, & Cohen, 2007; Davis et al., 2005; Taylor, Cordeiro, & Chrispeels, 2009; Young, Crow, Ogawa, & Murphy, 2009):

- Rigorous recruitment and selection
- Full-time, year-long clinical internships
- Cohorts (including weekly, full-cohort, continued learning during the internship year)
- Curricula and seminars (including an action-research, case-study curriculum focus)
- Support systems (including multi-faceted coaching, mentoring, and supervising)
- Dynamic feedback and improvement loops
- Structures for evaluation and improvement
- Job placement and induction support

The actual structure of the RLAs includes four to six weeks of summer intensive study followed by ten months of full immersion K-12 internships. Throughout the course of the year, interns attend classes, presentations, seminars and school visits weekly with their cohort members and RLA supervisors to learn, reflect, process, discuss, question and discover.
Cohorts and internships. Similar to NYCLA and NLNS, all three NC RLAs offer cohort-based experiences. By participating in cohorts of 20 to 21 peers, NELA, PTLA, and SLA participants engage in the development of meaningful professional learning communities for aspiring school leaders. Evidence of the advantages of such cohort models is provided by Davis et al. (2005), Dorn, Papalewis, and Brown (1995), Muth and Barnett (2001), and numerous other researchers.

Likewise, all three RLAs require a full-time, year-long, paid, clinical internship experience, under the dedicated support of a carefully selected on-site principal mentor with extensive successful school leadership experience and a leadership academy supervisor/Executive Coach. To do this, NELA, PTLA, and SLA interns are released from their normal work duties and are afforded the opportunity to experience and participate in the entire cycle of a school year under the direction of an experienced principal who is “deemed successful and effective” in generating school improvement.\(^7\) This practice is quite different from most traditional MSA programs across the state of North Carolina (and even nationwide),\(^8\) in which most students complete part-time, hourly internships in addition to and on top of their regular, full-time, day job.

A high-quality, rigorous internship that is aligned to the program’s coursework and supervised by experienced and effective school leaders is “critically important to helping principal candidates learn to implement sophisticated practices” (Darling-Hammond et al., 2007 p. 17). Such internships are characterized by:

- Ongoing reflection, supported by an experienced and effective supervisor or mentor;
- Projects meaningfully related to the complex and integrated nature of principal work (rather than discrete tasks or activities not centered on improving instruction);
- Integration with coursework, strengthening transfer of learning from classroom to application in the field of knowledge and skills;
- Alignment with guiding standards (ELCC and ISLLC) and program values; and

\(^7\) Note that these quotation marks were added by the evaluator as a point of question.

\(^8\) NC Principal Fellows are an exception to this generalization.
• Ongoing, individualized assessment to support development.

As such, the year-long, full-time paid internships is the most notable, defining characteristic that separates the RLAs from the other, more traditional MSA principal preparation programs. A transformative internship experience is clearly critical to the success of these program models, rendering the coursework more valuable because it is tightly interwoven with practice (i.e., providing authentic, active learning experiences in school settings). This is not surprising, as research suggests most adults learn best when exposed to situations requiring the application of acquired skills, knowledge, and problem-solving strategies within authentic settings (Kolb & Boyatzis, 1999).

As the primary component and distinguishing feature of the RLA experience, these internships are designed to engage participants in meaningful, long-range, school-based activities and initiatives (e.g., assisting teachers with interventions, leading professional development, supporting instruction, etc.). They allow aspiring school leaders to solidify their knowledge by applying it to authentic situations (Cordeiro & Smith-Sloan, 1995; Murphy, 1993, 2002) and by facilitating growth in their educational orientation, perspectives, concepts, language, and skills (Crow & Matthews, 1998) with a focus on improving student achievement and other important school improvement goals. In addition to assisting their internship principals in various leadership tasks, RLA participants complete data-driven problems of practice and several other authentic internship leadership development projects aligned to program outcomes and the NC Standards for School Executives. Internship responsibilities often involve direct work with NCDPI’s effort to turn around the lowest-achieving schools.

Logic models and objective performance measures are established for each internship project. Interns are assessed based on their ability to achieve their performance target during the action-learning project. For example, an intern might be asked to work with a team of teachers on a grade level or in a subject area for a semester to increase student achievement. The intern would need to implement what s/he had learned about data-driven instruction, instructional strategies, distributed leadership, developing a culture of continuous improvement, and other learning in working with the teacher team.
Baseline data (pre and post) might be used as one measure to assess the effectiveness of the intern’s work. Much like medical students learning from attending doctors, RLA interns work with site principals to use data to diagnose the causes of a particular school problem, research best practice solutions, develop and implement reforms intended to treat the problem, use new data to assess the effectiveness of the treatment, and develop next steps based on these assessments.

During the year-long internship, RLA interns are expected to take the initiative to learn all functional areas of school and make themselves useful both by contributions to “big picture” instructional improvement efforts and by the inevitable “grunt work” that is a part of a principal’s daily work. RLA interns are expected to demonstrate both flexibility and humility of being a learner in a new environment. As such, weekly, monthly, and biannual evaluations are completed for and with each intern in conjunction with his/her mentor principal, RLA Executive Coach, and superintendent. Feedback from participants included the following:

In our internship, we identify a problem of practice; when we feel the sense of urgency, we commit ourselves to the problem, implement some strategies to help solve the problem. We create new goals not only for students but also for teachers to work on. [NELA participant]

After she had been here for a few months, she just took off and became a second assistant principal. She now moves through the building and everyone knows her … they partner with her, they trust her, they respect her, they work with her … It’s been amazing to watch her [my intern] grow. She had instructional leadership skills when she came. What she’s done is develop those skills. [PTLA Mentor Principal]

SLA has been a life-changer for me. It helped me find my passion. Being in school every day with a powerful mentor principal really helped me make the transition from classroom teacher to building-level leader. He guided me gradually. My coach also believed in me. She pushed me and was honest with me and told me where I needed to grow. She really
helped me find my identity as an administrator. [SLA graduate]

Curricula and seminars. The central features of effective leadership preparation programs are “a program philosophy and curriculum that emphasizes leadership of instruction and school improvement,” “a comprehensive and coherent curriculum” aligned to research-based leadership standards, and the integration of program features that are centered on a consistent model of leadership and are mutually reinforcing (Darling-Hammond, Meyerson, La Pointe, & Orr, 2010). A leadership preparation curriculum (whether traditional MSA programs or alternative RLAs) combines both coursework and field experience, and thus the program’s curriculum is threaded through both (Clark & Clark, 1996; Murphy, 2006; Taylor, Cordeiro, & Chrispeels, 2009; Young, Crow, Ogawa, & Murphy, 2009).

Similar to NYCLA and NLNS, all three of North Carolina’s RLAs offer a rigorous, action-research, case-study focused curriculum that engages participants in addressing issues similar to those they will face on the job (e.g., working through relevant data, problem identification, consideration of alternative solutions, and decision-making). The projects and cases are aligned with standards and are tied to educational leadership literature and research. The curriculum and seminars for each RLA are also coordinated with the NCDPI District and School Transformation (DST) Initiative to ensure consistency and coordination when working in the same LEAs to turn around the lowest-achieving schools. The integrated curriculum of the RLAs is quite different from the standard course-by-course curriculum of more traditional leadership preparation programs. Even with proper sequencing, the content in many of these MSA classes can be outdated and irrelevant, and taught in isolation by professors far removed from the field who emphasize theory over practice.

In contrast, weekly full-cohort, continued learning seminars during the internship year provide “just-in-time learning” for immediate problems and continue to develop aspiring leaders’ skills. Workshops, seminars, and classes are based on adult learning theory principles and are co-led by a blended faculty of academics and practitioners (teams of university faculty, exemplary LEA leadership practitioner scholars, and others with extensive school leadership experience ensuring an integration of research-based knowledge and practitioner knowledge). The RLA experience for participants also
includes site visits to high-performing, high-poverty schools, to provide concrete models of leadership approaches and school cultures that produce strong achievement results with student populations similar to those in which the participants will be placed. The curriculum for each RLA is constantly being evaluated and revised with help from advisory groups, practicing principals, and community leaders, and through comparisons to other traditional and non-traditional, alternative principal preparation programs. As such, each RLA’s curriculum is a pertinent, timely, malleable document as opposed to being an archaic, stagnant, extraneous program of study. Once again, such flexibility is usually not present within traditional preparation programs. Each RLA is strategic and methodical in developing its participants and in ensuring that they engage in “powerful learning experiences.” Each data-based curricular offerings, according to UCEA (2012), should:

- Be authentic, meaningful, relevant, and problem-finding;
- Involve sense-making around critical problems of practice;
- Explore, critique, and deconstruct from an equity perspective (race, culture, language);
- Require collaboration and interdependence;
- Develop confidence in leadership;
- Place both the professor and student in a learning situation;
- Empower learners and give them responsibility for their own learning;
- Shift perspective from the classroom to the school, LEA, or state level; and
- Have a reflective component.

During various stages in the program, RLA interns are placed in pre-arranged project teams. The composition of the teams maximizes the diversity of experiences, opinions, perspectives, personality types, and learning styles within a group. Purposeful pressure is placed on the teams as a mechanism to understand group dynamics, develop interpersonal skills, and learn interdependency. An important component for each RLA intern is the development of the skills necessary to work with individuals the leader did not choose and thus prepare them for their first principalship. Throughout each RLA, the emphasis on high-need schools and the skills and strategies needed to turn around low performance is prominent and palpable. For a full description of each RLA’s training program, see North
Support Systems: coaching, mentoring, supervising. All three RLAs benefit from a multifaceted, sustained structure of support involving Leadership Academy Directors and Supervisors, Executive Coaches, mentor principals with extensive school leadership experience, and multiple, highly qualified instructors at various stages throughout their program. The supervisors, coaches, mentors, and instructors are each carefully selected and provided with initial training and ongoing development. Most (if not all) of the Executive Coaches are retired principals and superintendents (presumably effective during their tenure) deployed to work with interns based on specific, individual, developmental needs. The Executive Coaches serve in supportive, supervisory roles as external sources of confidential and expert advice. The in-school mentor principals play a different role, targeted at advisement in the daily functions of the internship. The mentor principal is a source of advice and information regarding LEA matters and helps guide the action research projects. Finally, for transitional and early career support, graduates from each RLA work with Leadership Academy faculty in seminar settings and one-on-one mentoring meetings after job placement. For example, SLA’s Advisory Committee decided that, in addition to monthly full-cohort meetings, “Cohort 1 members who have positions of principal or director will receive monthly visits from their coach (same coach as last year) and will always have access to their coach by email/phone.”

This additional induction support from the coaches and mentors, involving ongoing professional development, is provided to the first- and second-year school leaders to address immediate problems of practice. During this two-year induction period, RLA graduates/assistant principals/principals continue to engage with their cohort, coaches, mentors, and supervisors in furthering their leadership skills even after they assume school leadership roles. RLA graduates learn new ways to practice and reflect and, in the process, new strategies for enriching leadership in their schools in ways that have an immediate impact on teaching practices and student learning.

This highly supportive and reflective approach, whereby aspiring school leaders gain both the interpersonal and intrapersonal
lessons of leadership, is a major difference between traditional MSA programs and alternative programs like North Carolina’s RLAs. Ongoing support and mentoring post-graduation is a key component for new leaders and critically absent from traditional programs. The induction of new principals is best achieved when it addresses the needs of principals in their different developmental stages. As such, RLA’s induction and mentoring programs are designed to enhance professional effectiveness and foster continued growth during a time of intense learning. The RLAs are committed to systematically supporting and challenging new leaders to reflect on their practice, to promoting new principals’ heightened job performance, and to developing personal learning goals.
**Program evaluation and improvement.** Dynamic feedback and improvement loops, involving systematic evaluations of curriculum offerings, seminar sessions, guest presentations, site visits, professional development opportunities, conference attendance, internship placements, assignments, mentoring, and coaching techniques all ensure continuous and evidence-driven RLA improvement. It is obvious from this overarching evaluation that each RLA engages in a *daily* process of individual program evaluation and improvement. Due to the nature of the work, most adjustments are based on observational and subjective data (e.g., feedback, reflection, timing, etc.) as opposed to concrete, statistical objective data.

NELA’s curriculum development and revision occurs on a regular basis to align program purposes and content to new developments in the field; to refresh content, readings, and learning experiences; and to check on potential program drift that can occur over time. The Executive Directors of NELA meet every Monday morning to debrief the previous week, share updates, review scope and sequence, and process observations and evaluations from a multitude of sources (e.g., specialized trainings, classes, site visits, professional development opportunities, interns, Executive Coaches, and mentor principals). Content is reviewed and refined along with instructional strategies, timing, and presenters. For example, the instructor, sequencing of content, and delivery method for NELA’s Understanding by Design training was tweaked and modified based on experiences and feedback from the previous year. This type of continuous reflection and refinement happens daily.

PTLA’s Leadership Team also meets weekly to debrief, revise, tweak, and plan. A key driving force of PTLA has been the consistent sense of a committed partnership between PTLA’s Executive Director, coaches, UNCG faculty, and the four LEAs involved. Since each LEA’s superintendent serves on the PTLA Board, issues are resolved at a higher level, buy-in is attained, and “things” appear to be shepherded through the system much easier and quicker. Likewise, PTLA’s Advisory Group meetings are notable, concrete indicators of PTLA’s collaboration with LEA partners in support of the ongoing efforts of the program (e.g., interviewing and hiring, internship responsibilities and roles, application planning, and selection of candidates). Advisory Group discussions on intern growth and progress have been rich with photos and videos supporting data
documents, and decisions regarding internship sites and principal mentors were also made in collaboration.

Monthly meetings with SREC superintendents, quarterly meetings with SLA Advisory Committee members, and weekly ongoing interactions with mentor principals and LEA staff continue to provide SLA valuable data and feedback on its processes and activities for improvement purposes. SLA leaders also meet weekly. They are committed to the growth and development of their executive interns through lessons learned.

Research Question 4: Do RLAs Effectively Place Graduates in Targeted Schools/Districts?

The goal of the RLAs is to increase the number of principals qualified to lead transformational change in low-performing schools in both rural and urban areas. As such, RLA interns receive job placement support, provided by the Leadership Academy in conjunction with participating LEAs, to determine appropriate matches of aspiring leaders to the schools in which they are placed. Table 5 indicates that interns from each of the three cohorts, and from each of the three RLAs, have been placed in high-needs schools where, on average, two-thirds (66.2%) of the student populations are eligible for free or reduced lunch (versus the NC state average of 55.9%), where overall average Reading/English I scores are less than 63% (versus the NC state average of 71.2%), and where overall average Mathematics/Algebra I scores hover around the 72% mark (versus the NC state average of 82.8%).
According to the original RFP for the RLAs, the expectation is that “successful candidates will be placed and serve in high-needs schools” (i.e., higher-poverty and lower-performance than the North Carolina state average). Table 6 indicates that graduates from the first two cohorts from each of the three RLAs have been placed in leadership positions. Table 7 indicates that graduates from the first two cohorts from each of the three RLAs are serving in high-need schools.
Table 6
RLF Graduate Job Placements

<table>
<thead>
<tr>
<th>Cohort</th>
<th>NELA Graduates</th>
<th>PTLA Graduates</th>
<th>SLA Graduates</th>
<th>RLAs</th>
</tr>
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<tbody>
<tr>
<td><strong>Cohort 1</strong></td>
<td><strong>n=21</strong></td>
<td><strong>n=21</strong></td>
<td><strong>n=20</strong></td>
<td><strong>n=62</strong></td>
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<tr>
<td>6 Principals</td>
<td>3 Principals</td>
<td>8 Principals</td>
<td>17 Principals</td>
<td>18 Principals</td>
</tr>
<tr>
<td>8 Assistant Princ</td>
<td>14 Assistant Princ</td>
<td>7 Assistant Princ</td>
<td>29 Assistant Princ</td>
<td></td>
</tr>
<tr>
<td>3 Central Office</td>
<td>1 Central Office</td>
<td>1 Central Office</td>
<td>5 Central Office</td>
<td></td>
</tr>
<tr>
<td>2 Teach/Facilitator</td>
<td>1 Teach/Facilitator</td>
<td>0 Teach/Facilitator</td>
<td>0 Teach/Facilitator</td>
<td></td>
</tr>
<tr>
<td>2 Left RLA Region</td>
<td>2 Left RLA Region</td>
<td>4 Left RLA Region</td>
<td>8 Left RLA Region</td>
<td></td>
</tr>
<tr>
<td><strong>Cohort 2</strong></td>
<td><strong>n=21</strong></td>
<td><strong>n=20</strong></td>
<td><strong>n=21</strong></td>
<td><strong>n=62</strong></td>
</tr>
<tr>
<td>0 Principals</td>
<td>0 Principals</td>
<td>2 Principals</td>
<td>19 Principals</td>
<td>48 Principals</td>
</tr>
<tr>
<td>18 Assistant Princ</td>
<td>13 Assistant Princ</td>
<td>17 Assistant Princ</td>
<td>48 Assistant Princ</td>
<td></td>
</tr>
<tr>
<td>1 Central Office</td>
<td>2 Central Office</td>
<td>0 Central Office</td>
<td>3 Central Office</td>
<td></td>
</tr>
<tr>
<td>5 Teach/Facilitator</td>
<td>2 Teach/Facilitator</td>
<td>2 Teach/Facilitator</td>
<td>9 Teach/Facilitator</td>
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</tr>
<tr>
<td>0 Left RLA Region</td>
<td>0 Left RLA Region</td>
<td>0 Left RLA Region</td>
<td>0 Left RLA Region</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>n=42</strong></td>
<td><strong>n=41</strong></td>
<td><strong>n=41</strong></td>
<td><strong>n=124</strong></td>
</tr>
<tr>
<td>6 Principals</td>
<td>3 Principals</td>
<td>10 Principals</td>
<td>19 Principals</td>
<td>44 Principals</td>
</tr>
<tr>
<td>26 Assistant Princ</td>
<td>27 Assistant Princ</td>
<td>24 Assistant Princ</td>
<td>77 Assistant Princ</td>
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</tr>
<tr>
<td>4 Central Office</td>
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<td>1 Central Office</td>
<td>12 Central Office</td>
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</tr>
<tr>
<td>4 Teach/Facilitator</td>
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<td>2 Teach/Facilitator</td>
<td>12 Teach/Facilitator</td>
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<tr>
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<td>4 Left RLA Region</td>
<td>8 Left RLA Region</td>
<td></td>
</tr>
</tbody>
</table>

Table 7
RLF Job Placements: Free and Reduced Lunch, School Size, English, and Mathematics Scores

<table>
<thead>
<tr>
<th>Placement Site Characteristic</th>
<th>RLA</th>
<th>Job Placements</th>
<th>RLAs</th>
</tr>
</thead>
<tbody>
<tr>
<td>% Students Free/Reduced Lunch</td>
<td>NELA</td>
<td>77.9%</td>
<td>60.0%</td>
</tr>
<tr>
<td></td>
<td>PTLA</td>
<td>74.7%</td>
<td>61.7%</td>
</tr>
<tr>
<td></td>
<td>SLA</td>
<td>67.4%</td>
<td>66.6%</td>
</tr>
<tr>
<td></td>
<td>RLAs</td>
<td>73.3%</td>
<td>63.0%</td>
</tr>
<tr>
<td>School Size</td>
<td>NELA</td>
<td>480</td>
<td>572</td>
</tr>
<tr>
<td></td>
<td>PTLA</td>
<td>692</td>
<td>804</td>
</tr>
<tr>
<td></td>
<td>SLA</td>
<td>770</td>
<td>724</td>
</tr>
<tr>
<td></td>
<td>RLAs</td>
<td>647</td>
<td>700</td>
</tr>
<tr>
<td>English Proficiency Rates</td>
<td>NELA</td>
<td>55.9%</td>
<td>66.0%</td>
</tr>
<tr>
<td></td>
<td>PTLA</td>
<td>55.3%</td>
<td>67.8%</td>
</tr>
<tr>
<td></td>
<td>SLA</td>
<td>65.8%</td>
<td>65.2%</td>
</tr>
<tr>
<td></td>
<td>RLAs</td>
<td>59.0%</td>
<td>66.3%</td>
</tr>
<tr>
<td>Mathematics Proficiency Rates</td>
<td>NELA</td>
<td>66.4%</td>
<td>75.1%</td>
</tr>
<tr>
<td></td>
<td>PTLA</td>
<td>68.9%</td>
<td>74.3%</td>
</tr>
<tr>
<td></td>
<td>SLA</td>
<td>76.3%</td>
<td>72.7%</td>
</tr>
<tr>
<td></td>
<td>RLAs</td>
<td>70.5%</td>
<td>74.0%</td>
</tr>
</tbody>
</table>

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Trends in the data for the past three years indicate that Cohort 1 and Cohort 2 graduates acquired jobs in schools that are struggling and where, on average, more than two-thirds (68.2%) of the students receive free or reduced-price lunch (versus the NC state average of 55.9%), where the proportion of at- or above-grade level Reading/English I scores hover around 62.6% (versus the NC state average of 71.2%), and where the proportion of at- or above-grade level Mathematics/Algebra I scores hover just above the 72% mark (versus the NC state average of 82.8%). The range of scores and the range of growth in these schools are great. These data are in line with high-poverty, high-need, low-performing schools.

A portion of NC RttT’s funds have been available to stimulate and strengthen the state’s efforts to turn around their lowest achieving schools. The TALAS initiative targets the bottom 5% of elementary, middle and high schools, all of which have performance composites below 60% (based on 2009-10 data). TALAS also targets high schools with graduation rates below 60%. A total of 118 schools met one of these two criteria. In addition, North Carolina’s District and School Transformation (DST) team works with the lowest 10% of districts in the state (n=12). Since their objectives intersect, the RLAs work closely with some of these schools and districts.

- 24 of the 118 DST schools (20%) and 6 of the 12 DST districts (50%) (i.e., Edgecombe, Halifax, Hertford, Northampton, Warren, Weldon) are located in the NELA region.
- 23 of the 118 DST schools (19%) and 0 of the 12 DST districts (0%) are located in the PTLA region.
- 14 of the 118 DST schools (12%) and 2 of the 12 DST districts (17%) (i.e., Anson, Robeson) are located in the SLA region.
- 61 of the 118 DST schools (52%) and 8 of the 12 DST districts (67%) are located in the three RLA regions.

Table 8 indicates the number of RLA interns and graduates that are currently working in TALAS schools and/or NC Focus schools. A "focus school" is a Title I school in North Carolina that, based on the most recent data available, is contributing to the achievement gap in the State. A focus school is 1) a school that has the largest within-school gaps between the highest-achieving
subgroup and the lowest-achieving subgroup or, at the high school level, has the largest within-school gaps in graduation rates; or 2) a school that has a subgroup or subgroups with low achievement or, at the high school level, low graduation rates (e.g., less than 60 percent over a number of years). These determinations are based on the achievement and lack of progress over a number of years of one or more subgroups of students.

Table 8
**RLA Intern and Job Placements (as of March 2014): Number of NC DST/TALAS and Focus Schools**

<table>
<thead>
<tr>
<th></th>
<th>NELA (n=60)</th>
<th>PTLA (n=61)</th>
<th>SLA (n=57)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cohort 1 Job Placements</strong></td>
<td>3 in TALAS 2 in Focus</td>
<td>5 in TALAS 3 in Focus</td>
<td>0 in TALAS 1 in Focus</td>
</tr>
<tr>
<td><strong>Cohort 2 Job Placements</strong></td>
<td>3 in TALAS 1 in Focus</td>
<td>2 in TALAS 1 in Focus</td>
<td>1 in TALAS 4 in Focus</td>
</tr>
<tr>
<td><strong>Cohort 3 Intern Placements</strong></td>
<td>2 in TALAS 3 in Focus</td>
<td>2 in TALAS 4 in Focus</td>
<td>0 in TALAS 2 in Focus</td>
</tr>
<tr>
<td><strong>Total Cohort Members</strong></td>
<td>8 in TALAS* 6 in Focus</td>
<td>9 in TALAS 8 in Focus</td>
<td>1 in TALAS 8 in Focus</td>
</tr>
<tr>
<td><strong>Total Schools</strong></td>
<td>6/24 TALAS (25%)* 5 Focus</td>
<td>8/23 TALAS (35%)* 8 Focus</td>
<td>1/14 TALAS (7%)* 7 Focus</td>
</tr>
</tbody>
</table>

*Note.* \# \(n\)=Total number of past and current cohort members, to date.
- One TALAS school in this region is also a Focus school; Cohort members in that school are double-counted.
- NELA and PTLA placed more than one Cohort member in some TALAS and FOCUS schools; figures in **Total Schools** row do not double-count schools that hired or hosted more than one Cohort member.
- Percent of total number of TALAS schools in region with one or more Cohort members.

**Research Question 5: Are RLAs Cost-Effective Relative to Alternative Programs?**

The larger RttT Evaluation Team is preparing a cost-effectiveness analysis of the RLAs, relative to extant comparable leadership development programs. This analysis will be part of a separate report (expected to be completed Fall 2014) that will include cost-
effectiveness analyses of several other RtT initiatives. When completed, this analysis will provide a basis for value comparisons between RLAs and other models.

From an initial, cursory assessment, yes, the RLAs are cost-effective relative to alternative programs. Without a full-time paid internship of $40,000 a year, the average cost (split between the candidates and the state) of obtaining a MSA degree (from a North Carolina state-sponsored university) and principal licensure is $53,000 (total of $93,000 with full-time paid internship). The cost for each North Carolina Principal Fellow graduate (who likewise obtain a MSA degree and principal licensure) is approximately $100,000. The costs for each RLA are comparable. For example, the cost per NELA candidate is around $116,000 (including a year-long, full-time, paid internship, MSA degree and principal licensure). The cost per PTLA candidate runs about $110,000 (including a year-long, full-time, paid internship, 24 graduate degree credits and principal licensure) and the cost per SLA candidate is $100,000 (including a year-long, full-time, paid internship, 18 graduate degree credits, and principal licensure). In contrast, the costs for some of the nationally recognized, highly touted alternative programs are significantly (10 to 50%) higher. For example, the cost per New Leaders for New Schools graduate is at least $130,000. Similarly, the cost per New York City Leadership Academy graduate is more than $150,000. For a fuller description of each RLA’s budget please see the Regional Leadership Academies Cost Effectiveness Framework, (http://cerenc.org/wpcontent/uploads/2011/10/RLA cost effectiveness framework_3-1-12.pdf).

RLA Outcomes

Data on the long-term and distal outcomes of the RLAs are not yet available. However, some intermediary outcomes from participants, from mentor principals, and from partnering superintendents indicate approval, satisfaction, endorsement and support for each of the RLAs.

First, from the RLA interns and graduates themselves, there is a clear sense of gratitude coupled with a palpable sense of urgency to be transformational leaders committed to student learning. They feel empowered with “the will and the skill” to be true turnaround principals. Powerful comments from a few RLA participants below
are comparable and indicative of comments made by many of the RLA candidates over the past three years across all three Leadership Academies.

PTLA has been one of the most amazing experiences of my life. PTLA helped me develop my skills to lead a high need school to success. I have gained the knowledge and developed my craft and leadership style to promote excellence and student achievement. I believe PTLA allowed me become the type of servant, holistic leader that is needed to turn around low performing schools. I feel confident and prepared as I carry out my daily managerial tasks while still being an instructional leader in my building, focusing on students’ academic, personal and emotional development. I feel capable facilitating professional development, evaluating and working with teachers, organizing scheduling processes, counseling students, communicating with all stakeholders, building collaboration and relationships, and developing processes to ensure a quality education for ALL students. Beyond that, PTLA is a network of resources and wonderful people that will support me through it all. For me, PTLA also stands for People to Trust and Lean on at All times! [PTLA graduate 1 and current Assistant Principal]

PTLA is about developing individuals who will be the moving force to turn failing schools around. The year long internship in a high-needs school allows interns to identify specific strategies, techniques, and programs which drastically increase success quickly with sustainable results. I experienced an urgency of immediate action and learned how to quickly assess strengths and weaknesses of staff, procedures, and students within a school. Mentor principals share skills, strategies, and programs which have proven results over time. [PTLA graduate 2 and current Assistant Principal]

The program can really be described as a journey: A journey to discover our personal visions, what we believe about children and how they learn, and how we can transform schools and classrooms to nurture every child’s talents and potential. [SLA graduate and current Assistant Principal]
Everything I have learned in NELA has helped me as an AP. Our Operation NELAs have really helped me this year to “think quick” when working with parents, students, gangs, and territorial issues … I am using data to build relationships with kids and families. [NELA graduate and current assistant principal]

NELA affected our hearts and our minds. We are applying what we learned. We are removing the blinders, one kid at a time … giving voice, impacting and changing the way kids see and interact with the world. It’s not all about test scores. [NELA graduate and current Principal]

Second, and similar to the RLA participants themselves, RLA mentor principals were impressed with the competency and wide range of skills provided, stating that “the program is designed in such a way that interns get a true depiction of school leadership.” Another mentor was not only “impressed with the work ethic, educational values, heart and compassion behind every thought process [candidate] brings to the table” but found that that drive actually motivated her to be a better principal and to want to expose her intern “to every experience possible as a school leader.” Mentor remarks were consistently positive with regard to the RLA advisors as well. “They are very visible in the schools, extremely responsive to the needs of the intern and overall success of the program. Most importantly, the advisors are not far removed from the principal’s seat and can provide real-life practical coaching to the intern.”

When specifically asked, “If you have had other interns in an MSA program, in your opinion, how does the preparation of the MSA intern compare to the RLA intern?” examples responses included, “There is no comparison. Elbow learning is the process we need” and “SLA is more rigorous and has a far greater level of coaching support and of accountability.” Likewise, “PTLA provides intensive, relevant leadership training for high quality educators to pursue the challenging task of leading today’s high needs school” and “PTLA provides the component of ongoing support that insures the success of the graduates once they enter the role of administrator. That feature is an added benefit that is not available in traditional MSA programs
where MSA interns only get pieces of the experience versus full-time, hands-on real experience.” Others concurred wholeheartedly with these perspectives:

No comparison, the SLA Internship is much better than any other internship I have supervised. One thing that stands out is the connection between the Coaches and the Intern and the Coaches and the building principal. Site visits and measures of accountability are higher than in any other experience. [SLA Mentor Principal]

The administrative preparation program provided by NELA represents a major game changer for our local schools as we prepare an internal pipeline of future leaders. The interns are well prepared, well trained and bring a wealth of knowledge, understanding and tangible skills which allows each of them to immediately add value to the school communities they are assigned. Our district fully supports the NELA program and looks forward to our continued relationship. [NELA Superintendent]

Frequent and consistent support of the SLA Coach provides effective feedback, needs of the intern are addressed quickly and a focus plan for improvement is in place. In other words, Interns have a clear understanding of weaknesses and are coached to make them, if not strengths, at the very least, no longer a weakness. They target skills needed to be worked on, they impart strengths in PD for our school which touches and changes many (students, teachers, coaches, principals). [SLA Mentor Principal]

Third and likewise, the RLA Superintendents commended the Leadership Academies for striving “to keep our best leaders in this area where they can do the most good for our students.” According to one Superintendent, “This school system has benefited tremendously from NELA and fully supports a continued strategic partnership as we work to develop and grow aspiring leaders within the district.” Superintendents associated with the RLAs “see leading a high-need school as a specialty within the principalship—the work is harder, more complex, more all-consuming, and it requires a different kind of
leader” (Superintendent, of “large urban” district) and find the RLAs to be “a model school administrator training program unlike any other I have been associated with. The program is growing the brightest and most highly skilled administrators in our county” (Superintendent of “small rural” district). Even an Executive Director for Teach for America in North Carolina and the Executive Director of the state’s Principal and Assistant Principal Association chimed in by declaring that the “RLA’s proven ability to build a leadership pipeline and train effective school leaders is critical to providing all students in North Carolina with an excellent education that prepares them for college and careers” and “This innovative program is deliberate, effective, and has proven successful in developing and incorporating critical, research-based practices into participants’ school improvement efforts” respectively.

We raised our hand right away to help write this grant and develop this Leadership Academy program. We saw a real gap between what we needed in terms of knowledge, skills, abilities and the quality of candidates we were getting for principal and assistant principal positions for our high-need schools. [PTLA Superintendent]

NELA is a model School Administrator training program unlike any other I have been associated with as a Superintendent. The program is growing the brightest and most highly skilled administrators in our county. [NELA Superintendent]

We have hired every intern to date—including one as principal of a high school—and all of them are amongst our most thoughtful, energetic leaders. [SLA Superintendent]

**Summary Findings and Implications**

In summary, all three RLAs utilize essential features of effective leadership preparation programs as organizing principles in designing and delivering their individual principal preparation programs. The content, pedagogy, and experiences reflect best practices for developing leaders who can facilitate high-quality teaching and learning for all children. Fidelity of implementation of program
designs (i.e., the degree to which the interventions have been delivered as intended) has been strong (e.g., each RLA has recruited and prepared over 60 “turnaround principal” candidates). Participants in every cohort in each RLA have found internship placements in targeted schools and LEAs (i.e., low-performing schools, though not always schools on the list of the 5% of lowest-achieving schools in the State). The year-long internship experience for the principal candidates has consistently provided them with mentoring and coaching that the candidates believe will enhance their effectiveness as principals. Cohort 1 and Cohort 2 graduates have found employment in low-performing schools and LEAs (19 as principals, 77 as assistant principals, 8 as central office leaders, and 9 as teacher leaders/facilitators). On average (based on data from 2008-09 through 2011-12), their employing schools host higher numbers of lower-income students (68.2% receive free or reduced-price lunch versus the NC state average of 55.9%) and exhibit lower achievement rates (e.g., the Reading/English I pass rate is 62.6% versus the NC state average of 71.2%; the Mathematics/Algebra I pass rate is 72.3% versus the NC state average of 82.8%). Data on the long-term and distal outcomes of the RLAs are not yet available.

Aspects to consider moving forward include: (1) How strong are the partnerships with certain LEAs (i.e., Are some LEAs more committed than others? Why? How?); (2) How much influence does each RLA actually have in the hiring process for individual LEAs? (i.e., Who hires whom? Why? How? When? Where?); and (3) Even though RLA participants are specifically prepared to lead in high-need schools, should every graduate be placed in a high-poverty, low-performing NC school? (i.e., Does the RLA graduate feel ready, willing, and able to assume a critical leadership position right now?). and 4) Since research indicates that it takes between three to six years to turn around failing schools (AIR, 2011) how should the RLA evaluation track/assess this? The answers to these and similar questions are beyond the scope of this evaluation.

Further evaluation should continue to monitor, observe, and track the placements of RLA participants and graduates and descriptive data regarding their schools should be collected, disaggregated, and analyzed. The timing of the RLA graduates and their limited placements in principal positions to date have constrained the evaluation team’s ability to examine the longer term
effects of the graduates on school improvements. Recent research has begun to shed light on the ways that principals’ effects on the performance of their schools, including value-added measures, principal evaluations, teacher turnover, and other measures, may be done (Grisom, Kalogrides & Loeb 2012). As more RLA graduates assume the principalship, these techniques should be used to evaluate the effectiveness of the program on the overall objectives for the RttT funds – improving student performance and teaching quality.
References


Murphy, J. (2001, September). Reculturing the profession of educational leadership: New blueprints. Paper commissioned for the first meeting of the National Commission for the Advancement of Educational Leadership Preparation, Racine, WI.


Appendix
North Carolina’s Regional Leadership Academies

Northeast Leadership Academy (NELA)

The first RLA, NELA, began serving North Carolina’s northeast region during the fall of 2010. NELA is based at North Carolina State University’s (NCSU) College of Education and serves the following 14 partner LEAs: Bertie, Edgecombe, Franklin, Granville, Halifax, Hertford, Martin, Nash-Rocky Mount, Northampton, Roanoke Rapids, Vance, Warren, Washington, and Weldon City (total of 70,348 students served). It was established to serve a cluster of low-achieving rural schools.

- NELA is a two-year program that involves part-time study during Year 1 and full-time study—including a full-time, year-long internship—during Year 2.
- Successful NELA candidates are granted NC Principal Licensure and a Master of School Administration (MSA), conferred by NCSU.
- NELA selected and inducted 24 members into Cohort 1 in the summer of 2010; 21 members of this group (87.5%) completed the program in May 2012 and are receiving continuing early career support through 2014. Cohort 1 internships were supported by NC RttT funds.
  o Most (81%) Cohort 1 members are now employed as educational leaders in the surrounding LEAs (six of the 21 as principals, eight as assistant principals, three in Central Office positions, two as teachers/facilitators, and two have left the NELA Region).
- Cohort 2 members were selected and inducted in the fall of 2011. These 21 participants completed their internships and the program in May 2013 and have career support through 2014.
  o Most (90%) Cohort 2 members are now employed as educational leaders in the surrounding LEAs (18 of the 21 as assistant principals, one in a Central Office position, and two as teachers/facilitators).
- Cohort 3 members were selected and inducted in the fall of 2012 and these 20 participants will complete the program in May 2014. They are completing their internships now.
NELA participants make a three-year agreement to work in northeastern NC schools.
NELA has been established by and embedded in Friday Institute for Educational Innovation, a division of NCSU’s College of Education.

Piedmont Triad Leadership Academy (PTLA)
PTLA is based at the University of North Carolina at Greensboro (UNCG) and is a partnership between the Piedmont Triad Education Consortium (PTEC) and the following four LEAs: Alamance-Burlington, Asheboro City, Guilford, and Winston-Salem/Forsyth (total of 150,616 students served). It is a one-year program.

Successful PTLA graduates are granted NC Principal Licensure and can earn up to 24 credits toward a UNCG Post Masters Certificate in School Administration or an MSA degree from the Department of Educational Leadership and Cultural Foundations.

PTLA selected and inducted 21 members into Cohort 1 in the summer of 2011; 21 members of this group (100%) completed the program in June 2012 and are receiving continued career support through 2014.

Most (86%) Cohort 1 members are now employed as educational leaders in the surrounding LEAs (three of the 21 as principals, 14 as assistant principals, one in a Central Office position, two as teachers/facilitators, and two have left the PTLA Region).

Cohort 2 members were selected and inducted in the summer of 2012. These 20 participants completed their internships and the program in June 2013 and are receiving continued career support through 2014.

Most (75%) Cohort 2 members are now employed as educational leaders in the surrounding LEAs (13 of the 20 as assistant principals, two in Central Office positions, and five as teachers/facilitators).

Cohort 3 members were selected in the summer of 2013 and these 22 participants will complete the program in June 2014. They are completing their internships now.
• PTLA participants commit to three years of service in partnering LEAs upon program completion.
• PTLA has been established by UNCG faculty in partnership with LEAs and a regional education consortium.

_Sandhills Leadership Academy (SLA)_

SLA was founded by the Sandhills Regional Education Consortium (SREC) and serves the following 13 LEAs: Anson, Bladen, Columbus, Cumberland, Harnett, Hoke, Lee, Montgomery, Moore, Richmond, Robeson, Scotland, and Whiteville City (total of 158,979 students served). It is a one-year program.

• Fayetteville State University (FSU), the University of North Carolina at Pembroke (UNCP), and the North Carolina Center for the Advancement of Teaching (NCCAT) are partners in SLA.

• Successful SLA graduates are granted NC Principal Licensure and can earn up to 18 graduate-level credits at UNCP or FSU.

• SLA selected 21 members and inducted 20 members into Cohort 1 in the summer of 2011; 20 members of this group (95%) completed the program in June 2012 and are receiving continued career support through 2013.
  o Most (90%) Cohort 1 members are now employed as educational leaders in the surrounding LEAs (eight of the 20 as principals, seven as assistant principals, one in a Central Office position, and four have left the SLA Region).

• Cohort 2 members were selected and inducted in the summer of 2012. These 21 participants completed their internships and the program in June 2013 and are receiving continued career support through 2014.
  o Most (90%) Cohort 1 members are now employed as educational leaders in the surrounding LEAs (two of the 21 as principals, 17 as assistant principals, and two as teachers/facilitators).

• Cohort 3 members were selected in the summer of 2013 and these 20 participants will complete the program in June 2014. They will receive continued support through 2015. They are completing their internships now.
• SLA participants commit to serving in the Sandhills region for a minimum of four years following program completion.
• SLA has been established by the SREC LEAs in partnership with two universities and NCCAT.