Effectiveness of Adaptive Concept Maps for Promoting Conceptual Understanding: Findings from a Design-Based Case Study of a Learner-Centered Tool

JACOB MOORE
Penn State Mont Alto
Mont Alto, PA

CHRISTOPHER B. WILLIAMS

AND

CHRISTOPHER NORTH
Virginia Polytechnic Institute and State University
Blacksburg, VA

ADITYA JOHRI
George Mason University
Fairfax, VA

AND

MARIE PARETTI
Virginia Polytechnic Institute and State University
Blacksburg, VA

ABSTRACT

Traditional instructional materials such as textbooks contain significant educational content, but thenavigational mechanisms to access that content are limited and, more importantly, not designed with learning in mind. To address this gap, we present the Adaptive Map, a novel organization and navigation tool designed to help students better understand large collections of information such as those found in textbooks. The tool promotes conceptual understanding by leveraging expert-generated concept maps, which have been shown to improve learning. In addition, to alleviate problems associated with visually processing large-scale concept maps, our design integrated information visualization techniques to increase usability and facilitate processing. To evaluate the approach, a prototype was developed and tested in an engineering statics class. A multiple case study methodology was used to explore how students used the tool. Results show that the tool promoted conceptual understanding by facilitating advance organizer usage and students’ exploration of relevant prior content.

Key Words: Conceptual Understanding, Digital Textbook, Concept Map, Information Visualization
INTRODUCTION

Within engineering education practice, centralized sources of information such as textbooks that students can access outside the classroom are commonly used. These sources of information have been shown to support out-of-the-classroom learning in such activities as studying for exams or completing homework assignments (Taraban et al. 2004), but only limited research has examined how students use these tools and what effect the design of these tools has on learning (Lee et al. 2013). With digital textbooks becoming more common across all subject areas (Schuetze 2011), there are many opportunities for new and innovative designs that better leverage what we know about how students learn. Such leveraging is critical because the change in medium from paper to digital textbooks alone will not improve student learning (Russell 1999); the underlying design of the tool must be based on theories of how people learn to be effective. In this paper we use a learning-theory-driven design to develop a better digital textbook, which in turn provides an avenue to examine how digital textbooks can improve student learning.

The vision for this design began with a well-documented need for engineering students to have better conceptual understanding in a variety of core engineering subjects (Streveler et al. 2008). In the context of problem solving, there is a common distinction between two types of knowledge: procedural skill and conceptual understanding (Greeno, Riley, and Gelman 1984; Piaget 1978; Rittle-Johnson, Siegler, and Alibali 2001). Procedural skill is the “ability to execute action sequences to solve problems” (Rittle-Johnson et al., 2001, p. 346), whereas in contrast, conceptual understanding is the “implicit or explicit understanding of the principles that govern a domain and of the interrelations between units of knowledge in a domain” (Rittle-Johnson et al., 2001, p. 346). While both procedural and conceptual knowledge can be used to help solve problems, only those who possess conceptual understanding can express ‘why’ and ‘how’ decisions are made in the problem solving process (Piaget 1978). Students with conceptual understanding have deeper knowledge of the domain, leading to more effective problem solving, particularly with unfamiliar problem types (Davenport et al. 2008; Karagoz and Cakir 2011; Leppavirta, Kettunen, and Sihvola 2011; Rittle-Johnson, Siegler, and Alibali 2001). Because of this, conceptual understanding supports innovation and is critical to the success of engineering students and practitioners (Rittle-Johnson, Siegler, and Alibali 2001).

Despite the importance of conceptual understanding, research has repeatedly shown that students have misconceptions in a variety of core engineering subjects even after they take courses on those subjects (Clement 1982; Halloun and Hestenes 1985; Montfort, Brown, and Pollock 2009; Olds et al. 2004; Reif and Allen 1992; Scott, Peter, and Harlow 2012). One method that has been shown to promote the formation of conceptual understanding within the context of digital content collections is the use of expert-generated concept maps as advance organizers (Nesbit and Adesope 2006).
Expert generated concept maps are believed to help students form conceptual understanding by giving cues to the learner on how to meaningfully connect the new information to existing prior knowledge. An example of an expert-generated concept map is shown in Figure 1. Expert-generated concept maps can easily be integrated into these digital content collections as navigation pages that link to more detailed content pages, but the large size of the maps create scalability issues. Viewing smaller concept maps (less than 20–30 nodes) has been shown to promote conceptual understanding in students, but such maps are often insufficient to represent the content of a course textbook. Conversely, students encounter what is called “map-shock” when viewing the kind of larger concept maps that might more fully capture textbook knowledge structures (Blankenship and Dansereau 2000). Map-shock is a type of cognitive overload that prevents students from effectively processing the concept map, thereby inhibiting their ability to learn from it.

One key to increasing the scalability of expert-generated concept maps, then, is to find a way to manage cognitive load and thereby reduce or eliminate map shock. This idea formed the basis for the design of our project. The methods used in this project to manage cognitive load were taken from information visualization, a field centered on using creative visualization schemes to help users gain insight from large data sets (Card, Mackinlay, and Shneiderman 1999).

Based on the need to increase conceptual understanding in engineering students while avoiding map shock, the Adaptive Map tool was designed to help students develop such understanding.
through an innovative tool for digital content repositories that used information visualization techniques to create a usable, large-scale concept-map-based navigation system for digital content repositories. The overarching goal for this research was to address the question:

*How can large-scale concept map visualization tools be realized in a way that promotes conceptual understanding and manages cognitive load?*

To refine this open ended question, a prototype tool was created and used by students in an engineering statics class to answer four research questions:

1. *How are students engaging in learning activities with the proposed tool? How does this differ from the learning behaviors students engage in with traditional textbooks?*

2. *How do these observed learning behaviors promote or hinder the formation of conceptual understanding?*

3. *Are students experiencing cognitive overload during any of the observed learning behaviors, and if so how is this affecting the learning process?*

4. *What factors contribute to students adopting or not adopting the proposed tool for learning activities?*

**THEORETICAL FRAMEWORK**

Figure 2 shows a concept map outlining the theoretical framework behind this research and in doing so also serves as illustration of an expert-generated concept map being used as an advance organizer.

**Promoting Conceptual Understanding through Meaningful Learning**

As discussed earlier, the goal of the Adaptive Map tool is to promote conceptual understanding in students. The tool seeks to help students develop conceptual understanding via meaningful reception learning. Meaningful learning relates to conceptual understanding in that students must engage in meaningful learning activities to form conceptual understanding (Novak 2002). According to Ausubel (1963; 1968), two key characteristics of learning experiences affect how newly learned information is stored, retrieved, and used. The first and most influential characteristic is how learners process the new information. According to Ausubel, the type of processing for any learning experience lies on a spectrum between pure rote and pure meaningful learning. In rote learning, the learner only minimally processes the information, resulting in poorly connected knowledge, whereas in meaningful learning, the information is deeply processed, which results in highly interconnected knowledge structures. Returning to conceptual understanding, meaningful learning leads to
procedural skill with conceptual understanding, while rote learning leads to procedural skill without conceptual understanding.

The second characteristic addresses how information is presented to the learner, which lies on a spectrum between pure discovery and pure reception learning (Ausubel 1963; Ausubel 1968). Pure discovery learning results from active experimentation with no expert guidance. Learners form and test hypotheses based solely on their own experiences. Pure reception learning occurs when an expert presents information to the learner in more or less final form, with no experimentation on the part of the learner. Most school learning fall somewhere in the middle, with some expert guidance and some active experimentation, though more traditional programs tend to favor reception learning.
learning. Ausubel states that although these two factors in learning can affect one another, they are in fact separate dimensions (Ausubel 1968) that can be represented as axes on a graph, with different activities falling into different quadrants (Figure 3). As the figure shows, it is possible to have meaningful and rote processing for both reception and discovery learning experiences, and vice versa.

Ausubel’s work is particularly relevant to the design of a content repository because the repository is a representation of domain experts’ knowledge that functions as a reception learning tool – i.e., it presents expert knowledge in more or less final form. Unlike most studies on developing conceptual understanding, which use discovery or guided discovery learning, Ausubel focused on meaningful reception learning and sought to format texts to promote such learning (1968). He developed the advance organizer specifically to promote meaningful reception learning from texts.

**Concept Maps as Advance Organizers for Meaningful Learning**

Ausubel’s advance organizers were designed to help students connect what they were learning to what they already knew (Ausubel, 1968). They fulfilled that function by giving the learner an overview of information being presented at the beginning of the reading to help build a basic cognitive framework—a skeleton for the information to be learned. By helping the learner to move from broad, general—but correct—cognitive frameworks to more detailed ones, the advance organizer pushed...
students to engage in meaningful learning. The learner would add to and clarify their initial cognitive framework, supplied by the organizer, rather than building a detailed framework from scratch as they read. To test these effects, Ausubel and his colleagues conducted numerous studies with university students and found that the advance organizers improved both meaningful learning and the retention of the information (Ausubel 1960; Ausubel and Fitzgerald 1961; Ausubel and Fitzgerald 1962; Ausubel and Youssef 1963). A later meta-analysis of 152 studies on advance organizers “… found a small, but facilitative effect on learning and retention” (Luiten, Ames, and Ackerson 1980, 217).

The most prominent tool available for creating graphical advance organizers is the concept map. Concept maps were first developed in 1972 by Novak and his colleagues as a way to visualize what students did and did not know (Novak and Cañas 2008). Concept maps are node-link diagrams designed to visualize the cognitive schemata of either experts or students (see Figure 2). The nodes represent concepts while the links represent the relationship between the concepts. A proposition, which is two concepts connected by a single link, serves as a statement that the viewer understands. Over the past two decades, numerous studies have explored concept maps as learning tools; across a variety of settings, grade levels, and content areas, concept mapping has been shown to be an effective learning tool (Nesbit and Adesope 2006). Of particular interest to this study, expert-generated concept maps have been shown to act as a particularly powerful type of advance organizer (Novak 2010).

To leverage these outcomes for current learning technologies, expert-generated concept maps can easily be integrated into digital content collections as navigation pages, but problems with scalability exist. While viewing concept maps of less than 20–30 nodes promotes conceptual understanding, students encounter what is called “map-shock” when viewing larger concept maps (Blankenship and Dansereau 2000). Map-shock is a type of cognitive overload that results in students not being able to process the concept map and therefore not learning from it. This loss of benefits for large-scale maps hinders widespread use because the large, complex cognitive schema required for full-scale engineering courses cannot be represented via a traditional concept map without creating map-shock. The key to increasing the scalability of expert-generated concept maps, then, is to find a way to manage cognitive load and eliminate map shock. To do so, this study turns to information visualization, a field centered on using creative visualization schemes to help users gain insight from large data sets (Card, Mackinlay, and Shneiderman 1999).

Overcoming Map-Shock through Information Visualization

Map-shock is a specific incarnation of the broader phenomenon of cognitive overload, which is based on the proposition that individuals have a limited amount of working memory, and therefore a limited rate at which they can process information (Paas, Renkl, and Sweller 2004; Sweller 1988; Sweller
2005). Map-shock results in incomplete processing of the map (Blankenship and Dansereau 2000), which matches the main effect of cognitive overload. In addition, the affective reactions associated with map-shock (Blankenship and Dansereau 2000) also align with cognitive overload (Kirsh 2000).

Research shows, however, that cognitive overload can be avoided through information visualization techniques (Card, Mackinlay, and Shneiderman 1999), which aim to provide usable ways of navigating large amounts of information and develop insights from that information faster and more accurately. A properly designed information visualization tool for a large-scale concept map should prevent map-shock by managing cognitive load. Within the information visualization literature, many studies deal specifically with node-link diagrams such as concept maps (as reviewed in Herman, Melançon, and Marshall 2000). These studies discuss techniques to optimize the layout and presentation of node link diagrams for easy comprehension and innovative ways to allow the user to interact with the concept maps that allow them to easily and quickly find the details they need.

PROTOTYPE DEVELOPMENT

To better understand how large-scale concept map visualization tools can promote conceptual understanding and manage cognitive load, we developed and tested a prototype. Prototype development began by synthesizing the advance organizer, concept mapping, and information visualization literature to identify relevant design guidelines. Both content for the Adaptive Map tool and software to visualize the content were then created and are briefly summarized in the following sections. Technical details on the content development process (Moore, Pierce, and Williams 2012) and the software development process (Moore et al. 2013) are available in previous literature.

Design Guidelines

The core design guidelines for the Adaptive Map Tool were as follows:

1. The tool should act as a digital content repository with a concept map based navigation scheme. It must be able to display detailed information as well as concept maps of the embedded information at several levels of detail and abstraction, and it must allow the user to easily navigate horizontally (from one topic to another) and vertically (from one level of detail to another).

2. Usability of the visualization as both a learning and navigation tool is paramount. The information should be displayed in a way that does not cognitively overload the user.

3. The tool should automatically generate visualizations based on metadata from the content developer. The content developer developed content pages with metadata to identify what other topics a given idea is directly related to, what the nature of those relationships are, and
what groups the topic belongs to. The software should interpret this information and determine how to best visualize the information automatically based on the expert-generated metadata.

4. The tool should be as modular as possible. Software and content should be kept as separate as possible so that future content can be developed without understanding the inner workings of the visualization software. This separation should lower the barrier to adoption for content developers.

5. The software should allow the user to adjust settings. Since the ideal parameters for a concept map are learner-dependent (Wiegmann et al. 1992), users should be able to change settings to match their needs.

6. The concept map visualizations should provide good “symmetry” and “predictability”, as these have been shown to improve map processing (Wiegmann et al. 1992; Herman, Delest, and Melançon 1998; S. C. North 1996). The displays should present node-link structures with similar relationships in similar ways. The displays should also be consistent temporally - if the user leaves a view and then later comes back to it, it should look the same as it did the first time. Similarly, small changes to content should not radically alter the output.

7. The concept map should minimize link lengths and link crossings to provide good proximity for directly linked nodes and reduce the mental effort needed to interpret links (Purchase 1997; Ware 2004; Wallace and West 1998; Wiegmann et al. 1992).

8. The concept map should provide good continuation. Directional links should consistently go in one general direction as this makes concept maps easier to interpret (Wiegmann et al. 1992). This direction should be vertical to further improve readability (Dansereau 2005).

9. The nodes and links should utilize color and shape to indicate different features of the nodes and links. Concept maps that have been embellished with colors and shapes have been shown to out perform unembellished maps (Wallace and West 1998). Embellishments should be kept simple, however, and the degree of color and shape variation should be under user control because the ideal degree of embellishment varies by user (Wiegmann et al. 1992).

10. The tool should help the user maintain a sense of context while viewing details because loss of context is a common problem when exploring large data sets (Herman, Delest, and Melançon 1998; Spence 2007). Proven approaches include smooth zooming and panning (Bederson and Hollan 1994), multiple window setups relating details and context (Card, Pirolli, and Mackinlay 1994), and distorted views (Lamping, Rao, and Pirolli 1995; Rao and Card 1994), either individually or in combination.

11. Upon startup, the tool should display an overview of all available content to encourage exploration and improve performance in information seeking tasks (C. North 2005; Hornbæk, Bederson, and Plaisant 2002; Shneiderman 2010).
Content Development Process

The second element in the prototype design was the development of content: useful information visualization is predicated on useful information. The content development process began by creating a detailed concept map for an engineering statics course. Since the existing procedure to create concept maps (Novak and Cañas 2008) was inadequate for the scale of the desired map, a new procedure was developed and validated (Moore, Pierce, and Williams 2012).

Content development also required detailed content associated with each topic in the map. These were traditional html pages that included text, images, and worked problems similar to that found in traditional textbooks. Multimedia and interactive elements were not included on these pages to allow a more useful comparison of the Adaptive Map tool to a traditional textbook.

Overview of the Adaptive Map Tool

The final prototype Adaptive Map tool presents material at multiple levels of abstraction, ranging from a concept map presenting broad overview of all subjects (Figure 4A), to concept maps for individual content sections (Figure 4B), to detailed text on each topic (Figure 4C). Through this “layered” graph algorithm (in the language of Herman, Melançon, and Marshall 2000), the concept map format serves as an advance organizer. The visual presentation of the map is controlled by the user’s level of abstraction (how zoomed in or out they are) and the focus topic. Based on this user-selected information, the software presents a visual of the most relevant topics to the current focus at an appropriate level of detail for the current level of abstraction.

Users interact with the tool by clicking and dragging around the background to pan, using the scroll wheel or the + and – buttons to zoom out, and clicking or double clicking on nodes to center on the node and open the node respectively. Through these inputs, the users can easily and intuitively choose their focus and level of detail within the large-scale concept map.

A variety of information visualization techniques help the users quickly and easily process map information.

- The tool starts by presenting users an overview of all topics, helping users develop a feel for the overall data set.
- Color cues indicate different clusters of information, with the background color in mid-level views (Figure 4B) indicating the current focus cluster.
- Links show relationships, with the width of the linking line (Figure 4A) showing the strength of the relationship.
- Semantic zooming techniques are used in the mid-level view (Figure 4B) to distort the concept map so that links are shortened and the topics most closely related to the focus topic are drawn to the center of the screen.
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Figure 4. The Adaptive Map Tool.
• On all view levels, the users can get more detailed information by hovering over the nodes or links. This hides information that would be cluttering up the screen, but provides easy access to “details on demand” for the users.

• Finally, to help the student maintain a sense of context while navigating, the tool presents smooth transition animations when the user is changing either their focus topic or the level of zoom.

To explore the finished software with engineering statics content, readers can download the working tool at adaptivemap.ma.psu.edu, view a video of the software in use at that same site, or download the software itself (which is open source) from https://github.com/JacobMoore/Adaptive-Map.

METHODS, DATA COLLECTION, AND DATA ANALYSIS

To test the effectiveness of the tool and answer the research questions, a multiple case study approach was adopted (Yin 2009). A case was defined as a single student using either the Adaptive Map tool or a traditional paper textbook over the course of the semester in engineering statics. The inductive case study approach was well suited to understand how students used and learned from the Adaptive Map tool in an unstructured out-of-the-classroom context (Creswell 2009).

Participants

Fourteen participants volunteered for the study, of which nine participated in all test sessions to yield complete data sets. The students were selected from two similar sections of statics. They had the same instructor, were offered in the same semester, and each had approximately 150 students. The adaptive map tool was introduced to one section at the beginning of the semester while the tool was not introduced to the other section. All students in the Adaptive Map section (whether they volunteered or not), were able to access the online tool at their convenience outside of the study and the classroom. Students in the Adaptive Map section were introduced to and allowed to use the tool before being asked to volunteer for the study. All adaptive map volunteers were pulled from the section that was introduced to the tool, while all traditional textbook students were pulled from the section not introduced to the tool.

Each participant provided informed consent and was compensated in the form of Amazon gift cards for the time they spent. An experienced statics instructor was also asked to complete some of the same tasks and served as an additional contrasting case, for a total of ten cases. Each participant was assigned a pseudonym to be used in all analysis in order to protect the identity of the participants. Six students used the Adaptive Map tool and served as the primary cases, while three
students used a traditional paper textbook (Meriam and Kraige 2007) and served as contrasting cases. Having both primary and contrasting cases provides a means to compare the experiences of the Adaptive Map tool users to the experiences of traditional textbook users and to the experiences of an expert in the content area (Yin 2009).

**Data Sources and Procedures**

Table 1 outlines the research questions, the data sources mapped to each question, and the corresponding analytical methods. The data sources covered general student data, data on tool usage outside the data collection sessions, detailed information on tool usage under controlled circumstances, and student learning.

Data collection primarily followed a process outlined in the Center for Research on Evaluation Standards and Student Testing (CRESST) data collection sessions (Baker et al. 1992). These sessions began with the researcher asking the participant to study a specific topic area, and then teach that topic to the researcher. Two of these sessions were conducted during the semester, the first session addressing a topic previously covered in class (engineering structures) and the second addressing a topic that was beyond the course lectures (fluid statics). A single researcher conducted all sessions. The sessions were conducted individually with each participant. For each session, the participant had twenty minutes to prepare their lesson with the Adaptive Map tool (for the Adaptive Map group) or

<table>
<thead>
<tr>
<th>Research Question</th>
<th>Data Source</th>
<th>Analysis Method</th>
</tr>
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<tbody>
<tr>
<td>How are students engaging in learning activities with the proposed tool? How does this differ from the learning behaviors students engage in with traditional textbooks?</td>
<td>Weekly usage surveys</td>
<td>Results compiled into usage summary table.</td>
</tr>
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<td></td>
<td>Semi-structured debriefing interview</td>
<td>Interviews recorded, transcribed, thematic analysis conducted.</td>
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<td></td>
<td>CRESST preparation observation notes</td>
<td>Usage patterns reported.</td>
</tr>
<tr>
<td>How do these observed learning behaviors promote or hinder the formation of conceptual understanding?</td>
<td>CRESST explanation sessions</td>
<td>Sessions recorded and transcribed with notes.</td>
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<tr>
<td></td>
<td></td>
<td>Transcripts coded.</td>
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<td></td>
<td></td>
<td>Transcripts scored according to CRESST rubric.</td>
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<td></td>
<td></td>
<td>Concept map of student understanding made from transcript.</td>
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<tr>
<td>Are students experiencing cognitive overload during any of the observed learning behaviors, and if so how is this affecting the learning process?</td>
<td>NASA TLX survey instrument</td>
<td>Results compiled into cognitive load summary table.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Descriptive statistics calculated.</td>
</tr>
<tr>
<td>What factors contribute to students adopting or not adopting the proposed tool for learning activities?</td>
<td>Semi-structured debriefing interview</td>
<td>Interviews recorded, transcribed, thematic analysis conducted.</td>
</tr>
</tbody>
</table>

**Table 1. Research Questions, Data Sources, and Analysis Methods.**
the traditional textbook (for the traditional textbook group). Care was taken to pick sections of the 
Adaptive Map tool and traditional textbook that covered identical content areas. All concepts and 
procedures were discussed in similar ways (matching the adaptive map content to the textbook con-
tent) and the adaptive map did not include any videos or interactive elements other than the concept 
map navigation system. Care was taken to minimize differences between the content in each tool.

During this preparation time, the researcher observed the participant and was seated such that they were able to see what parts of the Adaptive Map tool or textbook the student was looking at. The researcher took careful notes on what sections the student was looking at, how long they spent looking at each section, when the student took notes, and any other student behaviors that stood out.

After the preparation session, the participant completed the NASA Task Load Index (Hart and 
Staveland 1988), which measures a number of workload characteristics, including cognitive load. The instrument has been extensively used and validated in the literature (Hart and Staveland 1988; 
Hart 2006) and provided a simple measure of the cognitive load imposed by the tool (Adaptive 
Map or textbook).

The researcher conducting the sessions acted as the target ‘learner’ and participants taught the researcher about the selected topic. The researcher acted as a novice, letting the participant lead the discussion and asking probing questions as needed when the explanations were unclear. In doing so, the researcher used clinical interviewing techniques (Ginsburg 1997) to allow for probing questions without leading or biasing the participant. The explanation session was audio recorded and transcribed, and diagrams drawn during the explanation were scanned and included at appropriate points in the transcript.

Other data sources included short weekly surveys that tracked how often and for what purposes participants used the Adaptive Map tool or traditional textbook, a debriefing interview that more deeply explored usage patterns outside of the observed research sessions and reasons participants chose to adopt or not adopt the tool, and the Statics Concept Inventory (Steif and Dantzler 2005), an instrument designed and validated to broadly measure conceptual understanding of engineering statics content. The survey was administered every week over the course of the study, and both the debriefing interview and the Statics Concept Inventory were administered at the end of the semester to capture the effects and opinions of the tool over the course of the entire semester.

Analysis

The CRESST explanations were analyzed using two separate techniques. First the transcripts were evaluated according to a modified CRESST rubric on a five point scale addressing content coverage, relevant prior knowledge, conceptual understanding, and procedural knowledge. This rubric provided high-level measurements of various aspects of the student’s knowledge related to the topic at hand.
The second method involved coding the transcripts, then creating the concept maps of each student’s explanations. This approach provided a much richer picture of what each student did and did not understand that could be linked to specific aspects of the student’s preparation. Each transcript was first coded for the type (conceptual, procedural, etc.) and the correctness of the explanation (correct, incorrect, avoided questions, etc.). These coded transcripts were then used to create a concept map of each student’s explanation. The process was modeled on the work of Novak and Musonda (1991) in converting clinical interview transcripts into concept maps. Each major topic discussed was marked as a node, while each discussion of a direct relationship between two topics was marked as a link. After the concept map was built, colors were used to encode the correctness of the explanations. Examples of completed explanation concept maps can be seen in Figures 6-8 under Results.

All transcripts were coded by a single researcher and the same researcher created all explanation concept maps. A code-recode reliability check (Carey, Morgan, and Oxtoby 1996) was conducted for the coding procedure and a map-remap reliability check (Novak and Musonda 1991) was conducted on four of the eighteen total transcripts. Both of these checks were conducted by the original coder and were conducted at least one month after the original coding and mapping. The researcher achieved an agreement level of .90 for the code recode check and an agreement level of .90 for the map-remap check. Both of these measurements indicate the researcher demonstrated a high level of reliability in coding and mapping the data.

Finally, within-case and cross-case analyses were conducted based on the analyses of all data sources for each participant. First individual case profiles were created, representing a narrative for each participant that synthesized all the data collected for that participant. To ensure the completeness of each profile and to allow for easier contrasting of the profiles in later analysis, a case profile format guide was used (Yin 2009). After all the case profiles were created, the researchers looked for common themes or patterns across the case profiles.

Through this multi-step process, the researchers distilled the rich set of raw data into central themes that illuminate how the Adaptive Map tool was used, how it affected student learning, and how the tool could be improved.

RESULTS AND DISCUSSION

Two primary themes emerged in the data relative to the CRESST sessions: “advance organizer usage” and “prior content exploration.” Advance organizer usage refers to the degree to which students were observed to view and process an overview of the information before turning to more
detailed content information, while prior content exploration refers to the degree to which students viewed and processed information outside of the assigned content area (usually prerequisite topics to the section). The two issues can be treated as orthogonal. Each participant was rated on a four point scale for each topic, and Figure 5 shows the resultant quadrant diagram. Underlined participants used the Adaptive Map tool; italicized participants used the traditional textbook. As the figure shows, students using the Adaptive Map tool were more likely to exhibit behaviors that indicate advance organizer usage and prior content exploration.

**Advance Organizer Usage**

The first theme centers on if and how students used the concept maps in the Adaptive Map tool or the section headings in the traditional textbook as an advance organizer. The higher the score on the advance organizer usage scale, the more time the participant spent viewing and interacting with an overview of the content (observed through direct observation of the CRESST preparation as a percentage of the total twenty minute preparation period). That time suggests that they processed the overview before processing content details, the key goal of the advance organizer.

Anne was the clearest example of a student using the features of either tool as an advance organizer. At the beginning of both CRESST sessions, Anne spent several minutes looking over the concept map of the cluster, hovering over the nodes and links to get details, and taking notes while...
examining the concept map. These behaviors indicate that she spent time processing the overview of the content (in the form of a concept map) before moving to the more detailed topic pages. Anne began taking notes by spreading a few headings across the page to represent the main ideas, then adding details under the headings as she read topic pages. This highest level of advance organizer usage was classified as level 1.

On the other end of the spectrum, Aaron and Carol exemplify students not using an advance organizer (classified as level 4). These students read the information in the traditional textbook carefully from beginning to end. As there was no overview section present in the traditional textbook, they did not process an overview of the information in the assigned section at any point. Aaron and Carol both took detailed notes on each topic as it was addressed in the textbook, but didn’t exhibit any behaviors that would indicate they looked for or thought about an overview of the content.

All other participants fell somewhere between these two extremes. Lillian, Lisa, and Ryan were classified as moderate advance organizer users (level 2), spending a minute or two looking through an overview of the information (though far less time than Anne). Sonya, Gabriel, and Paul were classified as light advance organizer users (level 3), viewing and taking notes on part of the overview but not all of it. Ryan was of particular interest because he was the only advance organizer user in the traditional textbook group. Ryan began each CRESST session by flipping through the section, presumably using the section headings to get an overview of the information to come. He then used these section headings in the textbook to create section headings in his notes, much the way Anne did from the concept map overview.

Theoretically, advance organizer usage should help students mimic an expert’s cognitive framework for the information (Ausubel 1968; Luiten, Ames, and Ackerson 1980). The organizer should make students more likely to meaningfully relate topics to one another as the expert has done and less likely to rely on rote learning strategies. The results should be reflected in deeper conceptual understanding and more connected cognitive frameworks. To test this hypothesis, participants’ organizer usage were compared to their performance on the CRESST rubrics; for this comparison, participants were classified as either high (levels 1 and 2 including Anne, Lillian, Lisa, and Ryan) or low (levels 3 and 4 including Aaron, Carol, Sonya, Gabriel, and Paul). Table 2 presents the distribution of CRESST rubric scores for each of the two groups.

In addition to the full distribution of scores being shown for each group in each category, a Mann-Whitney U test (also sometimes called a Wilcoxon Rank sum test) was used to compare the two groups. Because of the small sample size and non-normal distribution of scores, the more common Student’s T-test was inappropriate, but the Mann-Whitney U test examines the rank of each data point in the data set and does not require a normally distributed data set.
The biggest difference that is visible is the distribution of the scores for conceptual understanding. The “Low Advance Organizer Usage” group was much more likely to have low scores for conceptual understanding while the “High Advance Organizer Usage” group was much more likely to get high scores for conceptual understanding. This is reflected in the statistically significant result from the Mann-Whitney test. In other areas, advance organizer usage did not appear to have an effect.

Comparing the explanations of a very high advance organizer user in the Adaptive Map group (Anne) and a very low advance organizer user in the traditional textbook group (Carol) further illustrates the stark differences between groups. Beyond differences in advance organizer usage, these two students shared characteristics that made them good candidates for comparison. Both were high performers in the class; Anne had the highest expected course grade “A” and Carol had the second highest “A or A minus”. Both were also observed to spend a vast majority of the preparation time within the prescribed topic area, leading to similar low prior content exploration scores and limiting the degree to which prior content exploration differences affected their explanations. Scores on the Statics Concept Inventory did differ by a large amount; with Anne having the highest

<table>
<thead>
<tr>
<th>CRESST Category</th>
<th>Advance Organizer Usage Group</th>
<th>Scores</th>
<th>Mann Whitney Test P-Value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principle Coverage</td>
<td>Low Usage</td>
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<td>.757</td>
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<tr>
<td></td>
<td>High Usage</td>
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<tr>
<td>Prior Content</td>
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<td></td>
<td>High Usage</td>
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<tr>
<td>Conceptual Knowledge</td>
<td>Low Usage</td>
<td></td>
<td>.046 **</td>
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<td></td>
<td>High Usage</td>
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<tr>
<td>Procedural Knowledge</td>
<td>Low Usage</td>
<td></td>
<td>.897</td>
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<tr>
<td></td>
<td>High Usage</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Two-Tailed Mann Whitney U Test
**significant at the p<.05 significance level

Table 2. Comparison of High and Low Advance Organizer User CRESST Scores (Scores out of Five).
score (74.1%) and Carol having the fourth highest score (40.7%). Though this represents a significant difference in scores, both were also above average for the study volunteers.

Figure 6 compares the concept maps of Anne and Carol’s explanations from the second CRESST session where the differences in explanations were more pronounced, likely because the session covered new material and thus depended on learning only within the research session. Concept maps of the source material (either the Adaptive Map tool or the traditional textbook) are shown above the students’ explanation maps. The maps of the source material were made in the same manner as the explanation concept maps, treating the actual content as a transcript.

As seen in Figure 6, stark differences emerged in the explanations the two students offered. Anne’s explanation had no errors, as indicated by the green nodes. Her discussion related key
topics to one another, as indicated by the plentiful links in the concept map. Finally her map perfectly matched the map of the source content, indicating that Anne understood the material as it was covered in the Adaptive Map tool. On the other hand, Carol’s explanation contained a large number of errors, as indicated by the red nodes. She rarely discussed the relationships between topics, and when she did these relationships were incorrect as indicated by the few red links. The maps suggest that Anne seemed to have an understanding of the material in her discussion, while Carol seemed to be “throwing around equations” without a real understanding of why they worked or even what many of the variables represented. In other words, Carol lacked a conceptual understanding of the topic.

The differences in the explanations of Aaron and Ryan, two textbook users on different ends of the advance organizer usage scale, reinforce the contrast. Figure 7 shows the CRESST session 2 explanation concept maps for Aaron (non-advance organizer user) and Ryan (moderate advance organizer user). Aaron, much like Carol, simply started reading and taking notes on the detailed content as he read through the information linearly. Ryan, on the other hand, skimmed through the section and used the section headings in the textbook to outline his notes, which he then filled in by reading the detailed content information later. As Figure 7 demonstrates, Aaron’s explanation for session 2 had many errors and was poorly connected, while Ryan’s map shows fewer and more minor errors, with more relationships among topics.

The more connected knowledge seen in Ryan and Anne’s explanation is a sign of conceptual understanding (Ambrose 2010; Glaser 1984; Mathematics Learning Study Committee; National Research Council 2001; McCormick 1997; Nickerson 1985; Prawat 1989). The cross-case analysis suggests, moreover, that students who spend more time viewing and processing an overview of the information have more connected explanation concept maps and therefore deeper conceptual understanding of the content material studied. These results match with the design intentions of the tool to promote conceptual understanding through advance organizer usage.

To explore factors that may have supported or facilitated advanced organizer usage, data analysis explored relationships between advance organizer usage and i) the frequency of tool use, ii) primary tasks performed while using the tool, iii) mastery versus performance learning orientation, and iv) overall course performance.

Only one factor had a clear and strong relationship to advance organizer adoption: the primary task performed while using the tool outside of the CRESST sessions. In the Adaptive Map group, the three heaviest users of the concept maps as advance organizers (Anne, Lillian, and Lisa) were also the three participants who reported, via the weekly usage surveys, using the Adaptive Map tool primarily to review information outside of class and to study for exams. This pattern was absent for those users who used the concept maps as advance organizers the least (Gabriel, Paul, and Sonya).
Gabriel and Paul used the tool primarily to look up information to solve homework problems, and Sonya did not use the tool at all outside of the research sessions.

Though it is impossible to assign causality based on the gathered data, these results corroborate the findings of Lee et al. (2013) which found that students used their textbooks only superficially during problem solving activities. These students engaged a targeted search strategy, relying on features such as the search bar, which both Gabriel and Paul mentioned as a positive tool feature. By using such features, these participants largely ignored the concept map and jumped directly to the details they needed. Those who used the tool to study, however, may have engaged in a more
open ended search. Without a clear final destination (solve this problem), they may have given more attention to the context provided by the concept map as they moved through the material. With a preference for, and more practice in, this type of navigation, students who used the Adaptive Map tool to study regularly gave more attention to the concept maps during the CRESST sessions. Further investigation is needed to pursue this pattern.

Prior Content Exploration

The second major theme addressed content exploration: students using the Adaptive Map tool were more likely to explore content outside the assigned section (observed through direct observation of the CRESST preparation as a percentage of the total twenty minute preparation period), and those students who spent more time exploring outside content learned in a way that more closely matched expert learning. As described in the methods section, all student participants were given a clearly defined section of content to explain for each CRESST session. All traditional textbook users read only the information in the prescribed section; they never looked at pages in other chapters or even other sections in the same chapter. All Adaptive Map users, on the other hand, viewed at least one page outside the prescribed topic area in at least one of the CRESST sessions. These pages usually addressed topics that served as prerequisites to the prescribed topic, hence the name “prior content exploration.” Some Adaptive Map users, such as Lillian and Sonya, spent a third or more of their preparation time reading pages outside the prescribed topic area. Moreover, when questioned, these participants clearly knew they were outside the prescribed topic area, indicating intentional exploration of outside content not undertaken by the traditional textbook students.

To more fully explore this phenomenon, students were classified in terms of how much attention they gave to outside content. Sonya and Lillian, who spent a third or more of their time on outside topics, were classified at the highest level (1). Participants who carefully read through at least one outside topic page were placed at the next level (2), while those who skimmed at least one outside page were at the third level (3). Finally, those who never looked at pages outside the prescribed topic area such as Aaron, Carol, and Ryan were at the lowest level (4). These classifications can be seen in Figure 5.

Table 3 compares the distribution of CRESST rubric scores (across both sessions) for the high prior content explorers (Levels 1 and 2: Gabriel, Lillian, Lisa, and Sonya), and the low prior content explorers (Levels 3 and 4: Anne, Paul, Aaron, Carol, and Ryan).

The largest observed difference is in the distribution of principle coverage, with the “Explorer” group receiving lower scores in general on the measures of principle coverage while the “Non-Explorer” group received higher measures of principle coverage. Though these differences were not significant according to the Mann-Whitney U test, these lower principle coverage scores match the observed behaviors during preparation. Students who spent a lot of time looking at content outside
the assigned section (such as Sonya and Lillian) had less time to read information in the assigned section. Those topics that were not covered during preparation were then often not covered in the explanations. At the same time, the higher conceptual understanding scores sometimes observed in this group (also not statistically significant) mean that for the material covered in the explanations, students in the high content explorer group had better understanding of the information they covered. That is, while they did not cover as much material (principle coverage), they understood the material they covered more thoroughly.

Figure 8 illustrates the differences in the explanation concept maps observed between high and low content explorers, exemplified by Sonya and Paul respectively. The expert map (James) is also included as a reference point. As in past comparisons, Paul and Sonya are comparable in many respects. Both used the Adaptive Map tool and therefore had the same source content, both were average in terms of overall academic achievement, and neither was at either extreme of observed advance organizer usage.

In their explanations, as Figure 8 shows, both Paul and Sonya have some minor errors and both are missing some links (Paul) or topics (Sonya), but the structures of their maps differ. Sonya has a
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Paul's Explanation Concept Map (Adaptive Map, Non-Explorer)

Sonya’s Explanation Concept Map (Adaptive Map, Explorer)

James’s Explanation Concept Map (Textbook, Expert)

Key
- No Errors Discussing Topic
- Minor Errors Discussing Topic
- Major Errors Discussing Topic
- Incomplete Coverage of Topic
- Dashed boxes represent the extent of the assigned content

Figure 8. CRESST Session 2 Prior Content Explanation Comparison.
firm grasp of the more basic topics (top of the map) but lacked understanding in the more advanced topics (bottom of the map). On the other hand, errors and omissions seemed to be distributed more evenly throughout Paul’s explanation. This difference seems to indicate a difference in strategies by which Sonya mastered lower-level topics before moving on to more advanced ones, even if that meant not getting to the most advanced topics, while Paul seemed to concentrate on getting through all the material during the preparation session even if he misunderstood a few topics along the way.

Notably, Sonya’s map more closely resembles that of James. James was an experienced statics instructor, but, like the students, did not cover fluid statics in his class and therefore also had to learn that material for the second CRESST session. James used the traditional textbook to prepare, unlike the two students, but like Sonya, James seemed to have a solid foundational understanding of the basic information, with mistakes clustered in the more advanced topics. Explanation maps for both of the highest content explorers (Sonya and Lillian) mirrored the expert’s map pattern (strong base knowledge, errors in advanced topics) and thus likely also mirrored the expert’s learning strategy.

In exploring factors that might explain these prior content exploration patterns, five factors were considered: i) the tool itself, ii) frequency of tool use, iii) primary tasks performed while using the tool, iv) mastery versus performance learning orientation, and v) overall course performance. Of these, two emerged as salient. The most obvious and influential factor was the tool: students using the Adaptive Map tool engaged in prior content exploration while those using the traditional textbook did not. This difference suggests that the Adaptive Map tool supports prior content exploration for novice learners in a way the traditional textbook does not. The second salient factor was the Statics Concept Inventory score. Anne and Paul had the highest scores, but spent the least time exploring prior content. The high explorers (Gabriel, Lillian, Lisa and Sonya) scored below the average for all research participants. Though potentially confounding, the lower scores may indicate a higher need for exploration. That is, students coming into the CRESST sessions with prior understanding of the course content (such as Anne and Paul) did not need to explore previously covered material. In contrast, students who did not understand the prior content as well before the sessions were more likely to look at topic pages supporting the prescribed topic area. This relationship suggests that the Adaptive Map Tool may be particularly useful for helping students who are struggling with course concepts, though further research would be required to test that hypothesis.

DESIGN AND IMPLEMENTATION ISSUES

While the two dominant themes (advance organizer usage and prior content exploration) address student learning patterns with respect to the Adaptive Map Tool, three additional themes emerged
from the data that shed light on the design and implementation of the tool: cognitive load, content language complexity, and tool adoption.

First, and most importantly, there was no observed difference in cognitive load between the tool and the textbook. That is, using the 90+ node statics concept map with the Adaptive Map tool was no more mentally demanding than using a traditional textbook, indicating that the information visualization techniques designed to help users manage cognitive load were successful. Notably, students who reported particularly high levels of cognitive load (regardless of tool) on the NASA TLX instruments (Aaron, Gabriel and Paul) did poorly on the corresponding CRESST explanation activities. This correlation further supports the notion that high cognitive load can in fact interfere with learning and should be managed appropriately.

Second, many students identified the simpler and more conversational language of the Adaptive Map tool (in contrast to the more formal language in the traditional textbook) as a draw. In fact, in the debriefing interview, the tone of the language was one of the two most commonly identified benefits, (map layout being the other). The two participants that self-identified as non-native English speakers (Anne and Gabriel) found the language particularly beneficial. Notably, this difference in tone was not intentional; as such it serves as both a potentially confounding factor and an area for future work.

Third, with respect to implementation, adoption rates were high within the participant pool, where five out of six participants reported using the tool outside the research sessions once a week or more. Website analytics confirmed their usage, but also showed few users outside the research participant pool, even though the tool was introduced to about 150 students outside the pool. One explanation may be that study participants adopted the tool after they became familiar with the interface during the research sessions; for non-participants, the cost required to learn something unfamiliar coupled with the lack of structured exposure may have limited students' willingness to explore and adopt the tool. This finding suggests that while the tool design does provide a usable interface that can support learning, it may require structured engagement to encourage use, particularly if students have an alternative, more familiar tool at hand.

**DISCUSSION AND CONCLUSIONS: DESIGNING DIGITAL LEARNING MATERIALS**

As noted in the Introduction, this study sought to identify ways to realize concept map visualization tools in ways that promote conceptual understanding while managing cognitive load. The four research questions that framed the study work together to address this goal.

**RQ1: How are students engaging in learning activities with the proposed tool? How does this differ from the learning behaviors students engage in with traditional textbooks?**
Students using the Adaptive Map tool are more likely to exhibit behaviors that indicate advance organizer usage and prior content exploration than students using a traditional textbook. That is, they are 1) viewing and processing an overview of the information before trying to process the detailed content information, and 2) reviewing topics from previous sections that are relevant to the section they are currently studying.

**RQ2: How do these observed learning behaviors promote or hinder the formation of conceptual understanding?**

Both advance organizer usage and prior content exploration were linked to higher measures of conceptual understanding. By supporting these practices, the Adaptive Map tool appears to encourage students to engage in meaningful learning that leads to the formation of conceptual understanding.

**RQ3: Are students experiencing cognitive overload during any of the observed learning behaviors, and if so how is this affecting the learning process?**

Students using the Adaptive Map tool did not seem to experience higher cognitive loads than students using the traditional textbook. Students who reported particularly high cognitive loads in either group, though, performed poorly on learning measures, reaffirming the value of cognitive load theory in this context.

**RQ4: What factors contribute to students adopting or not adopting the proposed tool for learning activities?**

Students identified the tool’s map layout, simple and more conversational language, and search feature as primary reasons for adoption. Notably, research participants adopted the tool at a high rate, but few outside this group did so, despite being provided with access. Increasing adoption rates likely requires improving the interface to increase usability and/or integrating the tool more tightly into a class to increase students’ familiarity with the interface.

Together, these findings address the primary goal: An Adaptive Map tool designed using information visualization techniques helps users manage cognitive load while viewing large concept maps. In doing so, such a tool can support student use of concept maps as advance organizers and encourage students to explore relevant previously covered content. Both behaviors support the meaningful learning that leads to the formation of conceptual understanding.
These results suggest that, as content becomes increasingly digital, adaptive maps such as the one tested in this study can play a critical role in helping students navigate and learn from these digital repositories. At the same time, the findings go beyond the Adaptive Map tool itself and suggest implications for the design of digital learning aids more broadly.

- **Deliberately design and present an overview of the information**: The Adaptive Map was designed primarily as an advance organizer, and the designers deliberately addressed decisions needed to visually present an understandable overview of the information in the content repository. The students who were most successful in learning the information, regardless of tool, were the ones who utilized this overview. Designs should encourage students to view and process a meaningful visual overview of information, targeted toward novices, before addressing detailed content.

- **Make relevant prior information easily accessible**: All students using the Adaptive Map tool viewed relevant information covered earlier in the course, while students using the traditional textbook did not. This act of looking back enhanced the learning process. The visual links in the Adaptive Map tool made it very easy to visit related prior information quickly and then return to the topic at hand; traditional textbooks, in contrast, require both knowing what those links are and knowing where to find them in previous chapters. By making it easy for users to locate and visit relevant topics and then quickly return to their original location, digital tools can help students connect the new topics to the relevant prior content.

- **Help users manage cognitive load**: A central design goal of the Adaptive Map tool was to help users manage their cognitive load when examining large stores of information. The tool was largely successful, but students who did report high cognitive loads using either the Adaptive Map tool or the traditional textbook had lower measures of learning across all categories. As a result, designers must continue to manage visual information, as suggested by the Design Guidelines, avoiding screen clutter that could overwhelm the users and thus hinder learning.

- **Identify the sources of usage behaviors and habits**: Not all students who used the Adaptive Map tool used it in the same way, and their research session usage appeared linked to the way they used the tool outside of the research session. These outside usage patterns seemed to be developed into habits that were carried into the research sessions. As a result, in studies of digital learning tools, researchers must look beyond the behaviors students exhibit in controlled settings to explore how and why students developed those behaviors.

- **Make novel design features intuitive and give students time to adjust**: As noted, although the Adaptive Map tool was introduced to over 150 students, only the study participants showed meaningful adoption; notably, adoption seemed to result from participation in the study, rather than the reverse. In other words, students needed time and support to become familiar with...
the tool – here provided by the CRESST sessions – in order to adopt it. Thus two avenues to support adoption of novel digital tools would be to 1) tightly integrate the tool through in-class usage or homework problems to give students time to learn the interface, and/or 2) make the interface design as intuitive as possible to minimize the learning curve.

These guidelines, emerging from the study of students’ engagement with one particular digital tool, provide an important framework as more and more educators develop digital learning tools for their courses.

LIMITATIONS AND FUTURE WORK

This study fills a number of gaps in the literature regarding the application of information visualization, advance organizers, concept mapping, and cognitive load theory to student learning, but it also raises many new questions. First, this work was limited in scope; it looked at a small sample of students in a single course with a single instructor. This specially selected population helped limit the number of factors that had to be considered during analysis and allowed for a deep exploration of how participants used the tool, but further work across more participants is needed to examine how the Adaptive Map or similar learning tools are used by different students, in different classes, with different instructors. Additionally, this study compared a single digital tool to a single traditional textbook, and the specific content and the layout of these two tools are invariably tied to the results of this study. Consequently, further studies are needed with a variety of digital and traditional interfaces to confirm the extent to which features identified through this study are most salient to students’ learning experiences and to identify other salient features.

Finally, given increasing concern with students’ ability to integrate knowledge across courses, the Adaptive Map tool itself could be used to investigate the effects of cross-course concept mapping. Expanding the tool’s content from a single course (statics) to closely related mechanics courses such as dynamics and mechanics of deformable bodies would allow not only the ability to study the effect of the tool with differing subject materials, but support cross course connections that could be used by students. As this study showed, students were more likely to explore relevant prior content with the non-linear layout in the Adaptive Map tool; cross-course connections would allow students to easily review relevant topics addressed in previous courses before addressing more advanced topics in later courses. In addition, it would also be interesting to explore why some students engage in Advance Organizer usage or prior content exploration while others do not.

In summary, this research demonstrates the potential of concept map based navigation systems for digital content repositories and the value of collaboration between the engineering education
and information visualization communities to support the development of digital learning materials. The findings serve as the base for future research and development of such educational tools.

The Adaptive Map Digital Textbook Project is an ongoing effort to provide a quality course resource. The tool is designed to fill the role that traditional textbooks have previously filled in courses; serving as a trusted out-of-the-classroom reference for relevant information. Though features may evolve over time, the tool will always seek to fill this role. To access the latest version of the tool and for best practices, please visit the website at adaptivemap.ma.psu.edu.

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Effectiveness of Adaptive Concept Maps for Promoting Conceptual Understanding: Findings from a Design-Based Case Study of a Learner-Centered Tool


AUTHORS

Jacob Moore is an Assistant Professor of Engineering at Penn State Mont Alto. His research interests include digital learning tools in engineering education and additive manufacturing technologies. Jacob holds a Ph.D. in Engineering Education and a Masters in Mechanical Engineering from Virginia Tech as well as a B.S. in Mechanical Engineering from Rensselaer Polytechnic Institute. He can be contacted at jmoore@psu.edu or more information can be found on his website at http://www.personal.psu.edu/jpm46/

Christopher B. Williams is an Associate Professor and W. S. Pete White Chair for Innovation in Engineering Education at Virginia Tech. He holds a joint appointment with the departments of Mechanical Engineering and Engineering Education. His research interests are in Additive Manufacturing processes and materials, engineering design methods, and engineering design education. He is a recipient of several research and teaching awards including a National Science Foundation CAREER Award (2013) and a Virginia Tech Xcaliber Award for technology-assisted teaching (2012). Chris holds a Ph.D. and M.S. in Mechanical Engineering from the Georgia Institute of Technology (Atlanta, Georgia) and a B.S. with High Honors in Mechanical Engineering from the University of Florida (Gainesville, Florida).

Chris North is a Professor of Computer Science at Virginia Tech, and Associate Director of the Discovery Analytics Center. His research interests are in data visualization, visual analytics, and human-computer interaction. He earned his Ph.D. at the University of Maryland, College Park, in 2000.
Effectiveness of Adaptive Concept Maps for Promoting Conceptual Understanding: Findings from a Design-Based Case Study of a Learner-Centered Tool

Aditya Johri is an Associate Professor and Chair in the Department of Applied Information Technology in the Volgenau School of Engineering, George Mason University, Fairfax, VA, USA. He studies the use of information and communication technologies (ICT) for learning and knowledge sharing, with a focus on cognition in informal environments. He also examines the role of ICT in supporting distributed work among globally dispersed workers and in furthering social development in emerging economies. He is a co-editor of the Cambridge Handbook of Engineering Education Research (CHEER), Cambridge University Press (2014). He can be reached at ajohri3@gmu.edu. More information about him is available at: http://mason.gmu.edu/~ajohri3

Marie C. Paretti is an Associate Professor of Engineering Education at Virginia Tech, where she co-directs the Virginia Tech Engineering Communications Center (VTECC). Her research focuses on communication in engineering design, interdisciplinary communication and collaboration, design education, and gender in engineering. She was awarded a CAREER grant from the National Science Foundation to study expert teaching in capstone design courses, and is co-PI on numerous NSF grants exploring communication, design, and identity in engineering. Drawing on theories of situated learning and identity development, her work includes studies on the teaching and learning of communication, effective teaching practices in design education, the effects of differing design pedagogies on retention and motivation, the dynamics of cross-disciplinary collaboration in both academic and industry design environments, and gender and identity in engineering.