Do institutional protocols placed on online faculty correlate with learner expectations?

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ABSTRACT

The purpose of this study was to examine whether a set of instructional practices commonly prescribed to online faculty in the higher education setting were consistent with the expectations of a group of experienced online student participants. Online faculty performance conventions were collected from 20 institutions of higher learning located in the United States. The collective practices yielded three primary domains related to administrative faculty performance expectations in online instruction: Communication, Presence/Engagement, and Timeliness/Responsiveness. Undergraduate participants representing a cross section of colleges and universities in the United States were surveyed to determine their expectations for online faculty as compared to scaled items derived from the lists of participating institutions. The results of this investigation offer practitioners insight into how administrative instructional guidelines relate to the user demands of an informed group of undergraduate online students.

Keywords: online teaching, instructional protocol, student expectations of faculty

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INTRODUCTION

The continued expansion of online learning throughout the world has prompted the education profession to identify effective instructional practices common to the online learning environment. The vast majority of such protocols have been administratively defined, ultimately becoming the basis for widely applied evaluations of instructional performance in the Web-based learning environment. At the same time, ubiquitous delivery creating an expanding array of higher learning options for today’s online learners (coupled with the rising costs associated with post-secondary enrollment) foretells a future in which students will become ever more discerning consumers in search of learning options that are more connected to their own individual needs and interests (Allen & Seaman, 2013).

Perceptions of quality are said to be the result of a consumer’s comparison of expectations to actual performance (Malik, 2012). O’Neill and Palmer (2004) defined quality in higher education as “the difference between what a student expects to receive and his/her perceptions of actual delivery (p. 42).” While the metaphor “student as consumer” has been a topic of debate in the higher education community for decades, today’s institutions of higher learning seem to increasingly recognize the value of being responsive to the constituents they serve by exhibiting more of a focus on meeting the expectations of their student clientele. This is widely evidenced in institutional strategies aimed at improved student retention in what has become a progressively competitive market. After all, retention rates for the majority of institutional types are at their lowest level since mandated gathering of retention data first began in 1983 (ACT, 2009). Accordingly, and consistent with any consumer driven enterprise, student satisfaction with their post-secondary learning experience becomes an pervasive consideration that becomes particularly significant given the enrollment prospects of a growing online learner demographic.

An area of interest that is worthy of further review would include an investigation of whether the instructional guidelines, that online faculty are commonly held accountable to by their administration correspond, with the expectations that an increasingly demanding online learner population has for them. In particular, how do student expectations of their online faculty compare to those performance expectations set by the institution? The purpose of this quantitative investigation was to determine the extent to which a set of performance protocols prescribed to online faculty at various higher learning institutions were consistent with the expectations of a group of experienced online student participants seated for this inquiry.

REVIEW OF THE LITERATURE

For some time, administrators and faculty have recognize that understanding the needs and wants of students (and meeting their expectations) are important attributes to developing environments in which students can learn effectively (Seymour, 1993; Gerdes & Mallinckrodt, 1994). Many contemporary institutions have come to the realization that they have indeed become active participants in a service industry and have, therefore, placed added importance on student satisfaction given the increasing competition for enrollments. After all, student satisfaction has been positively correlated to advancements in student recruitment, persistence, and academic success (DeShields et al., 2005; Helgesen & Nesset, 2007).

One theoretical framework that considers how consumer expectation correlates with satisfaction and retention can be found in the Expectation Confirmation Theory (ECT). ECT
contains that consumer expectations, together with perceived performance, lead to post-purchase satisfaction. This effect is mediated through positive or negative disconfirmation between expectations and performance in that, if a product outperforms expectations (referred to as positive disconfirmation) post-purchase satisfaction will result (Spreng et al. 1996, Oliver, 1980). On the other hand, should a product fall short of expectation (e.g. negative disconfirmation) consumer dissatisfaction is the likely result. Further, ECT holds that people’s intention to repurchase a product or service is largely determined by their satisfaction with prior use (Anderson and Sullivan, 1993). In response, higher education administrators have focused more attention on influences that reinforce their efforts to attract, support, and retain students.

The collecting of detail on student satisfaction has become a major factor in the assessment of quality in higher education institutions (Leckey and Neill, 2001) and student appraisal have become a common standard for producing useful feedback which may, in turn, be used to improve instruction. In their 2008 study that sought to identify common methods for the assessment of teaching effectiveness, Jahangiri, Mucciolo, Choi, & Spielman concluded that student evaluations encompass a preponderance of the feedback regarding instruction collected in the higher learning setting. The process of gathering student opinion about their satisfaction with teaching practices on their learning experience, analyzing and interpreting this information, and then responding to the results are viewed to be of importance for several reasons (Rahman, 2006). Not only can instructors review how others interpret their teaching methods, thereby improving their instructional delivery, but the information can be used by administration to make formative recommendations (e.g., identifying areas for improvement) and to make summative decisions (e.g., decisions about promotion) (Dunegan & Hrivnak, 2003). The use of student evaluations also gives students an important opportunity to effectively contribute to the teaching-learning process by outlining pre-consumption expectation and post-consumption disconfirmation, as defined by the ECT framework.

Although student evaluations tend to encompass a significant measure of how faculty effectiveness is viewed, their validity has been nonetheless challenged as a useful method for evaluating teaching excellence. While the extent to which students should be viewed as consumers has been (and will continue to be) questioned by some, student ratings do provide an important perspective that can be used for inclined faculty to reflect on their approach to instruction (Greenwald, 1997). Of the Sloan Consortium’s “Five Pillars of Quality Online Education,” student satisfaction is regarded as being a key to the decision to continue learning (Sloan, n.d.). The literature offers evidence that student satisfaction is positively correlated to retention and an individual’s decision to take additional courses (Booker & Rebmon, 2005). A 2011 study conducted by Harris, Larrier, & Castano found that when student expectations are consistent with their learning experiences, they are more likely to persevere in online learning.

Yet in something of a paradox, while it might be suggested that learner satisfaction is held the highest regard, very few investigations have examined the degree to which student expectations of online instruction are being met. Harris, et. al. (2011) concluded that only a limited number of formal investigations have examined how student expectations of faculty performance influence satisfaction, retention, and persistence in online learning. Joseph et al. (2005) reported that investigations of quality in higher education have disproportionately relied on the views of academic administration, while apparently overlooking direct input from students. Their findings were that “decisions about what constitutes the quality of service (e.g. such as deciding what is ‘most important’ to students) are exclusively in the hands of administrators and/or academics” (p. 67). The authors contend that “traditional approaches have left decisions
about what constitutes quality of service (e.g. such as deciding what is most important to students) exclusively in the hands of administrators and/or academics.” They go on to suggest that academic administrators “focus more on understanding the needs of their students, who are the specific and primary target audience” (p.67).

Other investigations have established that the majority of student evaluations of teaching are developed based on faculty and administrators’ knowledge and experience, supplemented by review of previous research (Marsh, 2007), but excludes students’ input (Ory & Ryan, 2001). Oldfield and Baron (2000, p. 86) maintain that “there is an inclination to view service quality in higher education from an organizational perspective”. They suggest that institutions of higher learning pay more attention to what their students want instead of collecting “data based upon what the institution perceives its students find important.” Highlighting the necessity of academic institutions to consider the practices of businesses in the area of customer service, and applying it in the context of higher education, Sines and Duckworth (1994) concluded that “it’s time for educational institutions to face two facts: they are in a competitive battle for students, and students are customers (p. 14)”.

METHOD

Population and Sampling

Having received the authorization to proceed with the study from the Institutional Review Boards (IRB) of the participating institutions from which the participant sample would be drawn, the investigator sought to seat a heterogeneous panel of experienced online students to assist in the determination of the how the expectations commonly placed on online faculty compared to the aggregated list of protocols collected for this investigation. Given the investigative design relied on the involvement of informed participants, a requirement for a minimum equivalency of five successful online course enrollments constituted an eligible candidacy for this investigation. Administrators asked faculty to voluntarily post an announcement describing the study in their online courses, and this announcement served as an invitation to students reflective of the designated qualification profile to participate. Enrollment in the study was closed when a sample of 62 participants representing a group of consenting adult online students meeting the qualification profile had been achieved.

Research Design

This study employed a quantitative, non-experimental, exploratory research design. The research was non-experimental because participants were not randomly assigned. Instead, a purposive sampling scheme was employed in the recruitment of informed participants for this study. This sampling technique is commonly applied when the investigator is interested in specific seating a qualification profile of the participants (Trochim, 2007).

Data Collection

For this study, lists of online instructional protocols were solicited from 40 institutions of higher learning located in the United States, 20 of which responded to the request. The items included in each of the submissions were open-coded and similar codes were clustered together,
recurring themes were linked, and the emergent domains were established. Three domains related to administrative faculty performance expectations in online instruction were formed: Communication, Presence/Engagement, and Timeliness/Responsiveness. Subcategories of each domain were formed when they were referenced by at least ten of the institutions. The ranges of expectations within each subcategory were then arranged, forming a scale according to intensity.

The construct of the thirteen item instrument presented the instructional requirements gleaned from the twenty institutions included in this investigation. The instrument adhered to a standard closed item response survey format, and was created using a Web-based application that has been widely acknowledged as offering a valid and secure method for data collection. Qualified participants were provided with an Internet address where they received the survey.

RESULTS

All of the qualified participants successfully responded to each of the 13 items in the two week timeframe allotted, and the survey was subsequently closed. Of the 62 respondents, 41 were female. The age range was 19 through 42, with the majority of participants \((n = 35)\) ranging in age from 21 through 28. Forty percent of the participants were enrolled in Associate degree programs, and sixty percent were pursuing their bachelor’s degree. The mean online course completion of the sample was nine courses.

Table 1 (Appendix) presents how the participants responded when queried about the expectations they have for online faculty when it comes to communication. The results indicate that the majority of the participants did expect contact from the instructor before the start of the term, with most favoring the day prior to (50%), closely followed by those expecting contact the week prior to the start of the term (40%). Student participants were dissimilar when it came to their expectations for individual telephone contact prior to the start of the term, with the majority (65%) suggesting that welcome calls should only be placed when requested by the online student. The students’ surveyed overwhelmingly expected that new units of instruction would be prefaced with an announcement describing assignments and reiterating due dates, either the day of (45%) or the day prior to (55%) the start of the unit, and the majority (55%) felt that online faculty should only be expected to post pictures of themselves at their own discretion.

With regard to student expectations for online faculty presence and engagement, participants expected online faculty to access their course every day of the week (55%) and participate in discussions daily (45%) or two to three times during the week (55%), but engage in a manner that is consistent with the activity (43%). Finally, the majority of online students surveyed expect their faculty to maintain office hours. These results are presented in Table 2 (Appendix).

Table 3 (Appendix) reveals the survey results of online student participants relative to their expectations for faculty timeliness and responsiveness. More than half of the participants (52%) expected online faculty to respond to email inquiries from students within 12 hours of receipt, and to voicemail messages within 12 hours (40%) to 24 hours (42%). Fifty seven percent of the students surveyed expected faculty to return a minor graded assignment within three days, and a major assignment within one week (63%).

Limitations

As with any research endeavor, limitations will exist. The data for this study were
collected over a span of 2 months in the Fall of 2012. Only 62 participants completed the data collection forms for this investigation. Additionally, the online instructional protocols of only 20 institutions were included in the survey. Accordingly, the results may not be generalized to the larger population. Finally, because the survey instruments used in this study required participants to self-report their perceptions, the results can only be considered valid to the extent that the subjects truthfully reported their own perceptions.

**IMPLICATIONS AND RECOMMENDATIONS**

This survey study examined the extent to which performance protocols routinely assigned to online faculty in the post-secondary setting correlated with the expectations of a group of experienced online student participants. The investigators role in this study was not to add to the age old debate of whether students should be classified as customers. Instead, reflective of the rudimentary premise of the Expectancy Confirmation Theory suggesting that students enroll in the higher learning experience with certain expectations, and when they believe that their goals have been satisfied, and they persist.

It should come as no surprise that the vast majority of expressed protocols that govern delivery of Web-based courses at the post-secondary level have been administratively defined, simply because the nature of these rules are an extension of more widely applied evaluations of instructional performance. First devised as basic practices when online learning began to emerge, the protocols were more widely recognized and publicized as best practices in the literature. After all, online learning practitioners new to the field had to have a set of rules to operate by - how would we had known, for example, how often a faculty member should demonstrate a presence in their online classroom? Albeit perhaps difficult to fathom, there was a time when academic institutions were far less prescriptive regarding an educator’s approach to online instructional delivery. Still, some will note the relatively wide variance of protocols between the twenty institutions included in this investigation to also be of interest, suggesting that administrative expectations for online faculty (at least in the areas of communication, presence & engagement, and timeliness & responsiveness) are still very much institutionally determined.

Online learning now operates in an environment that a demanding and increasingly sophisticated consumer base is pursuing. With an ever-expanding array of available online learning opportunities, students are increasingly aware of what they are seeking in their online experience – perhaps now more than ever before – and learners will certainly be attracted to those options where they find the greatest satisfaction. Based on the findings of this investigation, practitioners should consider the expectations derived from the sample population participating in this endeavor as they contemplate their own institution’s practices (as well as how those practices were devised).

**Communication Expectations**

Based on the survey responses, participants favored an email message being sent by the faculty member within one week prior to the start of the term. Beyond the courtesy of a welcome, the benefit of such a correspondence could be in introducing the student to the learning experience by offering a syllabus, determining whether texts and other related materials have been accounted for, and providing contact information. According to the students participating
in this study, and contrary to the requirements of some institutions, a telephone call to each enrolled student is not expected unless specifically requested by the student. Also, personal imagery of the instructor should be included as the faculty member deems appropriate.

Once the term is underway, respondents preferred that each new unit of instruction proceed with an announcement the day prior to opening the new module. Such an announcement might serve as an introduction that highlights the relevance of the goals of the unit, accentuates the relation between activities and assignments to the learning objectives, and offer strategies for efficient completion of the stated outcomes.

**Presence & Engagement Expectations**

Active involvement by the faculty member was upheld as an important expectation by the students participating in this study. He majority of the students surveyed support the expectation that faculty should access their online course at least once per day, seven days of the week. In addition, they should participate in discussions at least two to three days during the week, but this participation should be consistent with the activity. The group felt that regular office hours should not be required of faculty, instead favoring that faculty be available by appointment.

As range of protocols collected from the institutions is considered, this is perhaps an area where considerable variance between institutions remains. After all, given the ubiquitous nature of today’s technology, it would seem that an institutional expectation that faculty access their online course a minimum of one time per week would be unacceptable. It was interesting to note that, according to the prevailing view of this sample, faculty participation in discussions need only be consistent with the 2-3 times per week engagement is consistent with the minimum expectation for student performance.

**Timeliness/Responsiveness Expectations**

Of the three protocol areas included in this investigation, the most robust dialog received from colleagues attending conference presentations reporting the results came in the area of timeliness and responsiveness. Clearly, this is an area where the unresolved argument regarding student as consumer is amply revealed.

Students participating in this study indicated that faculty should respond to an email inquiry from a student within 12 hours of receipt, and a voicemail within 12 – 24 hours of receipt. In the view of this investigator, this expectation is derived by advancements in technology that have provided greater connectivity by way of cell phones and electronic messaging. Coupled with the common marketing scheme of “anytime, anyplace” learning coined by the online learning industry, it would appear that online students desire a more instantaneous response than previously expected. Participants in this study also favored a response time of three days for the return of a minor assignment and one week for a major assignment. Again, it was interesting to note that (at least) one institution found “in a timely fashion” to be an acceptable measure.

In general, the results of this investigation support the view that for online faculty to meet the expectations of their students, they must demonstrate a timely and dependable presence their online courses. They must communicate often with students through consistent feedback, widely engage in opportunities for discourse, and be responsive to occasions for contact. When we place ourselves in the role of a student, would it not be reasonable to assume that such qualities
would also match our own expectations? Perhaps it would be beneficial for responsive intuitions to validate the expectations of their online students as compared to the instructional protocols they have adopted.

REFERENCES


Teaching and Learning in Higher Education: An Evidence-Based Perspective, 319-383.


**APPENDIX**

Table 1

*Institutional Online Instructional Expectations*

**Online Instructional Protocols: Communication**

<table>
<thead>
<tr>
<th>Online faculty should be expected to initiate email contact with each enrolled student:</th>
<th>One month prior to the term start</th>
<th>One week prior to the term start</th>
<th>One day prior to the term start</th>
<th>Should not be expected</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6.45% (n=4)</td>
<td>40.32% (n=25)</td>
<td>50% (n=31)</td>
<td>3.22% (n=2)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Online faculty should be expected to place a welcome telephone call to each student:</th>
<th>The week before the course begins</th>
<th>During the first week of the course</th>
<th>Only when requested by student</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>12.90% (n=8)</td>
<td>22.58% (n=14)</td>
<td>64.52% (n=40)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Online faculty should be expected to preface new units of instruction with an announcement delineating learning objectives and due dates:</th>
<th>A day prior to the start of the new unit</th>
<th>The day of the start of the new unit</th>
<th>Should not be an expectation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>53.24% (n=33)</td>
<td>43.54% (n=27)</td>
<td>3.22% (n=2)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Online faculty should be expected to include personal imagery in their welcome messages:</th>
<th>For each course they teach</th>
<th>As they deem appropriate</th>
<th>Only when students are expected to do so</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>27.41% (n=17)</td>
<td>53.22% (n=33)</td>
<td>19.35% (n=12)</td>
</tr>
</tbody>
</table>

Table 2

*Institutional Online Instructional Expectations*

**Online Instructional Protocols: Presence & Engagement**

<table>
<thead>
<tr>
<th>Online faculty should be expected to access their course at a frequency of not less than:</th>
<th>Once a day, seven days a week</th>
<th>Once a day, except weekends</th>
<th>2 – 3 x per week</th>
<th>At least once a week</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>56.45% (n=35)</td>
<td>25.80% (n=16)</td>
<td>14.52% (n=9)</td>
<td>2.22% (n=2)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Online faculty should be expected</th>
<th>At least one day during the week</th>
<th>2 – 3 days per week</th>
<th>On a daily basis</th>
</tr>
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<td></td>
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Do institutional protocols
Table 3

**Institutional Online Instructional Expectations**

<table>
<thead>
<tr>
<th>Online Instructional Protocols: Timeliness/Responsiveness</th>
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</thead>
<tbody>
<tr>
<td>Online faculty should be expected to respond to email inquiries from students:</td>
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<tr>
<td></td>
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<tr>
<td>Online faculty should be expected to respond to student voicemail inquiries:</td>
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<tr>
<td></td>
</tr>
<tr>
<td>Online faculty should be expected to return a graded “minor” assignment (discussions, 3-5 page papers):</td>
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<tr>
<td></td>
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<tr>
<td>Online faculty should be expected to return a graded “major” assignment (final project, 6+ page papers, team projects):</td>
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