The Joyce Foundation 2009 Annual Report

Full report available at www.joycefdn.org/ar

Foundation + Collaboration + Inspiration
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Among the great strengths of a policy-oriented foundation like Joyce is the willingness to take a long view, to be patient investors in ideas that take time to have impact, and to take chances on projects that may not work out.

But in times of crisis, we also have an obligation to be responsive to immediate challenges in our Midwest region.

We are now in one of those times.

We began 2009 with Joyce assets at a six-year low, the economy in crisis, and deep uncertainty about the future.

As the year played out, it became clear that despite federal stimulus spending and major interventions to protect the nation’s economy, high unemployment would persist for some time. Midwest states, where we focus our funding, were particularly hard hit, especially in communities largely dependent on the auto industry. Detroit’s unemployment rose to 30 percent, while many towns in Ohio and Indiana were in the double digits. Among young African American men, these numbers were astronomical: 50 percent were unemployed in Detroit, for example.

Meanwhile, the states themselves were and continue to be in crisis. Illinois’ deficit is estimated at more than $13 billion; the state is unable to pay its bills, causing a cascade of trouble for public universities, hospitals, social service agencies, and the people who depend on them. Michigan, Wisconsin, Ohio…all have similar stories.

This grim situation has certainly focused attention on the needs of the most vulnerable. But it has also called to the surface the deep connections and interdependencies of different segments of our society. America needs a highly skilled and well-educated workforce to maintain its leadership—and this cannot happen when up to half of the children in public high schools fail to graduate. It will require community colleges and workforce community programs to be closely aligned with the needs of employers, and effectively support students to complete their credentials at a high rate. Many jobs that disappeared during this recession will not return, so we must identify and invest in strategies to help millions of adults—people who worked hard their entire lives, with great work ethic and the very strong desire to succeed—learn new skills so they can support themselves and their families.

States and the federal government invest billions in programs to help displaced workers, and in supporting community colleges and workforce training programs. Yet the results are mixed. That is why, in 2007, we launched Shifting Gears: to identify and support innovators in six states who could devise ways to improve outcomes of the state workforce systems and community colleges, and more directly
engage employers in the process. Our investment has helped bring together adult education, workforce, and community college systems in unprecedented ways to promote program strategies that lead to jobs and career advancement.

From a taxpayer perspective, it makes sense to make sure that these state-supported institutions are showing results when we need them most. From an employer point of view it is critical; why should jobs go begging in suburban Cleveland, while people who only need the proper training languish in poverty-stricken areas of the city? And of course, the workers themselves, who played by the rules while the game changed around them, need and deserve our collective best efforts to ensure the ongoing prosperity of their communities.

The education of young people is intertwined with workforce challenges and is a high priority for Joyce. High drop-out rates and huge performance gaps between low-income minority students and those who are more affluent is a major problem that has long preoccupied and frustrated policy leaders and educators. How can we hope to prepare young people for future jobs if they do not graduate from high school, never mind moving on to a two-year degree or certificate?

The research is definitive that the quality of the teacher is the single most important factor in students’ success; clearly, teachers deserve our deepest respect and support, since they have such a huge impact on our children. Therefore, Joyce has invested heavily in programs that help ensure that the neediest kids have access to excellent well-supported teachers. This means digging in to how teachers are selected, what they are compensated and rewarded for, how they are mentored and supported in their early years, and which teachers are retained and which are asked to move on.

Race to the Top has been an amazing boost to our efforts. The $4.3 billion carrot, supplemented by major advocacy among education reform groups, prompted more legislative progress in Illinois than we dreamed was possible. In fact, the legislature passed five major bills since September that set the stage for improved teaching and school leadership. Happily, many other states have similar stories. What every state wants, of course, is the money to carry out improvements. But if Illinois is any indication, Race to the Top has already stimulated very significant policy changes that will allow progress in the future.

In our Environment program, 2009 was a very mixed year. Several major advances included a $475 million federal allocation for Great Lakes Restoration, something Joyce and our grantees fought to achieve for years; these funds will be crucial to maintaining water quality in the lakes, which represent 20 percent of the world’s fresh surface water, and will preserve critical habitat for birds and animals. Another Joyce
goal is to stop construction of conventional coal-burning power plants while promoting development of cleaner coal technologies as well as alternative fuel sources. We targeted two high-priority plants—Big Stone II (Grant County, SD) and AMP-Ohio (Meigs County, OH); both were cancelled in 2009, due to a combination of economic and regulatory pressures.

However, as the recession deepened over the past year, state and federal policy makers had diminished enthusiasm for taking on some of the tasks necessary to reduce carbon emissions. With cap and trade legislation in limbo and mixed outcomes from the 2009 United Nations Climate Change Conference in Copenhagen, Denmark, it is quite unclear when we will see renewed activity in this arena.

Public awareness of another threat to the region—invasive species—was given a boost by a creature seemingly direct from Central Casting: giant, voracious, and very unattractive Asian carp, which are eating their way up the Mississippi and into Lake Michigan. Their habit of leaping from the water and occasionally knocking boaters to their knees was captured on YouTube and viewed more than 550,000 times. It remains unclear when the carp will reach the lake and, if they do, whether they can survive in its colder waters. But either way, the point has been made: invasive species are a real threat, it will require regional cooperation to fix it, and there are economic as well as environmental reasons to invest in a solution.

On Gun Violence, the activity of many grantees focused on a landmark Supreme Court case, *McDonald v. City of Chicago*, which challenges the unconstitutionality of the City’s ban on handguns. If the Court decides that the Second Amendment applies to state and local governments, we expect a torrent of litigation as pro-gun groups challenge existing gun laws. Our grantees, led by Legal Community Against Violence, coordinated the development of amicus briefs in the case, and will set up a panel of pro bono lawyers to defend state and local laws in future cases.

Another emphasis has been on reducing gun access in illegal markets. The so-called 'secondary market' which includes gun shows, flea markets, and private sales, is largely unregulated and accounts for 40 percent of gun transfers nationally. This means that prohibited buyers (those with mental illness, or orders of protection in a domestic violence case, for example) can easily obtain guns without a background check. Reducing loopholes in this system has been and will continue to be a major priority for our grantees, like Mayors Against Illegal Guns.

A big push in the Money and Politics program was to help coordinate a strategic initiative focused on the 2010 census. Joyce made close to $1 million in grants aimed at boosting census participation in Illinois, Ohio, Michigan, Minnesota, and Wisconsin, especially of so-called ‘hard-to-count’ communities (minorities, low income, immigrants, renters and others) who
traditionally have been least likely to participate. We did this to ensure that Midwest residents get their fair political voice over the next ten years, as well as their fair share of federal funding—at least $15,000 per person over the decade—for education, employment, the environment, law enforcement, and other issues. We were especially engaged in Illinois, where we led the Count Me In campaign, in which 10 foundation partners supported 60 community-based organizations to help people understand the value of census participation.

Overall, our targeted areas did quite well. At a time when Census Bureau leaders feared there would be a decline in responses nationally, and in the face of many forces working against participation, 72 percent of all American households returned their census forms, matching the 2000 participation rate. All of our states met or exceeded their 2000 rates. In Illinois, the Count Me In initiative appears to have been a significant success. Count Me In grantees helped Chicago boost its mail-back response rate 5 percentage points over its 2000 rate; amongst the 25 largest cities in the United States, Chicago’s gain was the second biggest improvement over 2000. The story is similar in other parts of the state. Many factors likely account for these results (including greater advertising and other efforts by the Census Bureau in hard-to-count communities, as well as city-led efforts), but from the pattern of the results and the reports we got from the field, we believe that the efforts of nonprofit organizations that are seen as trusted voices in their communities likely made a major difference.

Another strategic initiative we undertook in 2009 is an exploration of how prizes, competitions, and challenges may be used to generate breakthrough innovations on persistent social policy problems. Prizes have unique attributes that standard government tools like grants, contracts and regulation often do not. They encourage and reward creativity and innovation; they can attract many new or unlikely entrants or ‘problem-solvers’ to tackle intractable problems; and they can be structured so that government pays only for results, while prize competitors voluntarily cover development costs. Several federal agencies (Defense, Energy, NASA, and notably Education, with Race to the Top) are already using challenges, but most agencies that work on domestic social policy have not had experience with prizes. Though very much a work in progress, it is an area that is gaining significant traction amongst federal policy makers and is a place we expect to concentrate further on through 2010, with a goal of helping launch at least one competition related to a Joyce priority in the next year or two.

And, finally, Joyce’s Culture program has continued to be one of the largest supporters of diverse arts groups in the Midwest. It has been a brutal year, financially, for almost every group; indeed many have
not survived. Yet the quality and vitality of artists' work have, if anything, improved. Notable moments in 2009 included the Ravinia premiere of dancer Bill T. Jones’ tribute to Abraham Lincoln, *Fondly Do We Hope...Fervently Do We Pray*, a multi-media extravaganza now on a national tour and heading to Europe.

We are proud of the work of our grantees and partners, and look forward to a continued strong partnership.

Ellen S. Alberding, President
June 2010
The percentage of adults attending at least one arts activity declined to less than 35% in 2008.
Each year, tens of millions of people attend concerts, plays, operas, and museum exhibitions, yet the percentage of the United States population attending these arts events is shrinking, and the decline is significant.

In fact, according to a study by the National Endowment for the Arts, the percentage of adults attending at least one arts activity declined from 39 percent in 2002 to less than 35 percent in 2008, this all before the market declined. The unexpected drop in the economy squeezed the resources of institutional and individual donors. Suddenly, arts and cultural groups were competing with social service organizations for scarce charitable dollars as donors responded to the immediate needs of shelter, hunger, and environmental or disaster relief.

Another contributor to the decrease in audience participation may be how technology is changing the arts experience. Increasingly, museums are digitizing collections and making their holdings accessible to the world. Dance companies and choreographers are uploading performance videos on YouTube to generate remote audiences and demand for their work. Social media and mobile technology is quickly becoming the primary vehicle to promote works to younger audiences.

While mainstream arts organizations report declines in audience, community-based and culturally specific arts organizations are showing signs of growth. The 2009 National Arts Index found that the number of these organizations has grown faster than the rate of growth for all traditional arts organizations—and even faster than the rate of the minority population in the United States. But in this case, more does not mean better as the Index also reported that up to one-third of these groups operate with a deficit, reinforcing the need to equip these groups with capacity-building resources to strengthen their leadership and financial position.

In 2004, Joyce created an awards and recognition program to support artists of color and expand the repertoire of art that speaks to diverse, urban audiences within the Great Lakes region.

Through the Joyce Awards, the Foundation distributes up to $300,000 to cultural organizations in Chicago, Cleveland, Detroit, Indianapolis, Milwaukee, and Minneapolis/St. Paul to commission new works by minority artists. Award winners not only present their works to the institutions’ traditional audiences; but they also work with community groups, school children, and public art projects, fostering deeper connections between arts organizations and the surrounding communities.
For example, the Indianapolis Symphony Orchestra (ISO)—seeking to strengthen their relationship with the city’s growing Latino community—received a 2007 Joyce Award in Music to commission a new piece by Latina composer Gabriela Lena Frank.

Frank spent more than two years immersing herself in Indianapolis’ Latino communities, talking to residents about their personal stories of immigration and the sacrifices they made to create better lives for themselves and their families.

The Latino/a Youth Collective, a program that helps youth with personal and community development, was one of the organizations with which Frank developed a close working relationship. She was particularly moved by their use of testimonios, a way for the young adults to publicly share stories about the daily struggles of immigrant life. She incorporated this practice into her own work, calling the five movements of her composition, _testimonios_.


Since its premiere, Frank’s work and the ISO have received attention from both near and far. Indianapolis’ public television station broadcast a documentary about Frank’s creative process and time spent in the city, and the ISO received an award for its service to the Hispanic Community by La Plaza, a Latino organization in Central Indiana. In addition, orchestras from around the world are clamoring to perform _Peregrinos_—in fact, 22 requests were received within five months of its first performance.

“By working closely with Latino organizations and residents, Frank helped introduce ISO and orchestral music to a new and important audience,” said Beth Perdue Outland, vice president of ISO Learning Community. “Now that the composition is receiving worldwide attention, we are pleased to help introduce Indianapolis’ Latino community to the world.”

This year, Indianapolis will be home to another Joyce Award premiere. The Indiana Repertory Theatre (IRT) will debut a new play by Charles Smith, _The Gospel According to James_, which explores the brutal lynching story of two Indiana African American boys and the escape of another. Accompanying the play, IRT will launch a series of public awareness events that delve into our nation’s dark history of violence and race.

“Only on the stage can we have these kinds of conversations,” stated Janet Allen, IRT’s artistic director. “Only on stage can we transmit the historical tone of that moment; it’s like nothing else. And, we have a responsibility to create art like that.” And it’s the cultural relevancy of the art that will bring new audiences to IRT and remind all Indianapolis citizens of their shared history.
Far too often, the teachers with the least experience are teaching the students who are farthest behind.
Studies confirm highly effective teachers can make an enormous difference in how well students learn. Yet not all students have access to high-quality teachers. In fact, research has shown that low-income students and students of color are more likely than their higher income and white counterparts to be taught by an ineffective teacher.

As an early funder of efforts to improve teacher effectiveness policies, Joyce funded research to better understand the impact more effective teachers have on student achievement. The Foundation made teacher quality the primary focus of its Education program, and made significant investments to support policies that prepare, recruit, and retain good teachers in high-need schools.

Ground-breaking Joyce grantees like the Education Trust analyzed teacher quality in three Great Lakes states—Ohio, Illinois, and Wisconsin—and documented the inequitable distribution of teachers. Another early grantee, The New Teacher Project (TNTP), has developed strategies to meet teacher quality challenges, such as offering hiring support of teachers and identifying systemic barriers to teacher advancement. Several years ago, TNTP analyzed bargaining contracts and hiring systems in Chicago and Milwaukee and identified changes that could better distribute and reward teachers in these school districts.

These early investments in teacher quality continue to take hold as national and state education policy makers have increased their focus on teacher effectiveness policies. Last year, the U.S. Department of Education unveiled its $5 billion Race to the Top Fund, an innovative competition that rewards states that adopted significant education reform measures—including teacher effectiveness strategies—while providing successful examples for other states and local school districts to follow.

“States have a huge opportunity and significant monetary incentive to make teacher quality a priority,” said Timothy Daly, president of TNTP. “With this new federal attention, school systems can develop real, meaningful ways to cultivate, keep, and reward the teachers who are helping our students learn and eliminate those who are not.”

Forty states as well as the District of Columbia submitted Race to the Top applications and committed to implementing four key reforms: adopting standards and assessments that better prepare students for college and the workplace; improving data systems to better measure student growth; turning around their lowest-performing schools; and recruiting, developing, rewarding, and retaining effective teachers and principals where they are needed most.

While Illinois did not win funding in the first round of the Race to the Top challenge, policy makers
collaborated to achieve real improvements at the state level. The overall competition galvanized state leaders to pass significant education reform measures including: overhauling statewide teacher and principal evaluation systems; establishing a state longitudinal education data system; increasing the limit on charter schools; and expanding opportunities for high-quality teacher and principal preparation programs run by nonprofit organizations like Teach for America.

Joyce grantee, Advance Illinois, a statewide, advocacy coalition of civic, community, and business groups working to improve education, helped spearhead Race to the Top-style reforms in Illinois. They have developed a framework of education policy priorities that recommend highly effective teachers in every classroom in the state as well as reforms that strengthen teacher development and evaluation.

"Whether or not Illinois secures Race to the Top funding, we have made solid progress in improving education here in Illinois," said Robin Steans, executive director of Advance Illinois. "We will continue to push for reform until all our students have strong teachers and leaders who can provide our students a world-class education."

Joyce will continue to explore new avenues in developing teacher effectiveness policies by engaging new audiences in the discussion. Working with a coalition of teachers, students, parents, and community leaders, the Foundation looks to increase the number of voices seeking to improve the quality of teachers and principals.
Many good-paying jobs remain unfilled, but too few low-skilled workers do not get the training they need to qualify.
Gaining a foothold on a career path is a goal that too often eludes many adult workers. Lack of higher education and skills often results in either unemployment or low-paying, dead-end jobs.

In fact, jobs that require additional skills and more than a high school diploma—such as those held by medical technicians, electricians, and nurses—constitute nearly 50 percent of all current employment and will continue to account for a large percentage of jobs in the future.

In fact, according to the Georgetown University Center on Education and the Workforce, the economy is estimated to create 47 million job openings: 14 million new jobs and 33 million job openings to replace retiring baby boomers. Two-thirds of these jobs require at least some postsecondary education or training. Yet there are simply not enough skilled workers to meet the demand for these positions.

The current system for educating and preparing low-skilled, low-income adults does not meet the needs of employers or workers. Too often workers who pursue skill upgrades get stuck in courses that never lead to a credential or better job, and too often employers find that workforce programs are not able to adapt quickly enough to their changing requirements.

In 2006, Joyce sought to fundamentally change and improve state policies to help adult workers earn credentials that employers value. The initiative, Shifting Gears—a multi-year, multi-million dollar policy and systems change effort in six states (Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin)—was designed to strengthen adult education and skill-development systems so that more low-skilled workers gain the education, skills, and credentials they need to advance and succeed in a changing economy.

Within each of the states, Shifting Gears participants have sought to catalyze policy change by building on local innovation and testing promising strategies in education and training for low-skilled adult workers.

For example, in Illinois, innovative organizations—like Instituto del Progress Latino—have worked to help participants improve both basic academic and occupational skills that will lead to good paying jobs. This ‘bridge program’ integrates basic skills development and occupational instructions in key sectors like manufacturing and health care. Such programs are being used as models for change as state agencies look to shape future workforce policies in Illinois.

Now, Illinois is seeking to implement these bridge programs in every community within the next two years. “The strength of Illinois’ workforce is dependent on the availability and accessibility of programs that help adult workers gain the education and skills they need,” said Jennifer Foster, senior director for Adult Education and Family Literacy for the State of Illinois. “The policies we have established will not only
make our workers stronger, but will help our state’s economy thrive.”

Shifting Gears partners have also worked closely with employers, bringing them to the table, to help identify skills needed for jobs, review curriculum, define credentials, and map requirements for career advancement. Ensuring education programs are aligned with employer needs will help eliminate shortages of adequately prepared workers and allow their businesses and, ultimately, bottom lines to grow.

In addition to working within the states, Shifting Gears leaders continue to work collaboratively across the Great Lakes region. Its Web site www.shifting-gears.org has acted as a clearinghouse for workforce practitioners and provided immediate access to national resources, examples of pioneering Midwest workforce development programs, and information about promising policies and practices across the nation.

As a result, the new paths Shifting Gears states have charted are gaining attention at the federal level. As the national discussion around workforce policy reform develops, state and federal leaders are turning to Shifting Gears participants for solid examples of state and local innovation on education and training issues.
Stopping billions of dollars in dirty energy investments; starting billions flowing for Great Lakes restoration
Many have described the Great Lakes as the linchpin for the region’s economy as well as its cultural identity. The lakes and surrounding watershed are a wealth of biological diversity—supporting more than 130 rare species and myriad ecosystems—while providing water for consumption, recreation, transportation, and energy generation.

Yet, despite their large size, the Great Lakes still face a number of challenges. Problems such as air and water pollution, invasive species, and the harmful impact of global climate change are damaging the delicate watersheds of the region. Joyce has responded to these environmental threats by making significant investments in both clean energy and clean water.

Within the region, one of the greatest threats to the environment and the health of citizens is coal-fired power plants, a heavily-used method for power generation in the Midwest. According to the Union of Concerned Scientists (UCS), emissions from these power plants account for one-third of the total carbon dioxide released into the atmosphere in the Great Lakes region. UCS cites coal emissions as significant contributors to respiratory illnesses such as chronic bronchitis and aggravated asthma.

In 2005, dozens of proposals to build new coal-fired power plants in the region were under consideration. If approved, the investments needed for these plants would have crowded cleaner energy resources out of the market and locked the region into several more decades of harmful energy production that would contribute to global warming, as well as smog, acid rain, and mercury poisoning.

That same year, Joyce launched a new clean energy strategy, in part, to stop the development of the proposed coal plants that would not capture their global warming pollution and also to accelerate the adoption of enhanced technology that would do so.

For example, Joyce grantees, Izaak Walton League of America (the League), and Minnesota Center for Environmental Advocacy (MCEA), joined other stakeholders in the region to prevent the construction of a coal-fired power plant known as Big Stone II that would have primarily generated power for Minnesota. Using both legal strategies and a widespread public education and outreach campaign, the League, MCEA, and their partners spread the word about the massive carbon pollution impacts of Big Stone II and emphasized the environmental and economic risks of the plant. By 2009, investors pulled away from the project and construction plans were scrapped.

“Stopping the construction of Big Stone II was a significant victory for both utility customers and the environment,” said William Grant, associate executive director of the League. “Across the region, there are alternative sources of power that are cheaper for consumers and better for the environment. Tapping
these resources will save us money, make us healthier, and ultimately curb global warming emissions."

Using many of the same successful economic and environmental arguments that were used in Minnesota, Joyce grantees Ohio Environmental Council and the Natural Resources Defense Council played key roles in a coalition that succeeded in securing the cancellation of plans to build a coal plant in Ohio in 2009. With the defeat of these two projects, the dozens of proposed new Midwest coal plants are effectively reduced to a single, new project currently pending in Michigan.

In 2009, Joyce grantees scored another environmental victory by advocating for significant federal funding to restore and protect the Great Lakes. During his candidacy, President Obama pledged $5 billion to protect and restore the Great Lakes and his administration has developed a five-year plan to make that promise a reality. In the FY2010 federal budget, Congress approved a substantial down payment toward that pledge by including $475 million for the Great Lakes Restoration Initiative (GLRI), double the investment in such Great Lakes programs from 2008.

This increased funding will help improve Great Lakes protection and restoration by focusing on five key areas: toxic substance clean-up and prevention; control and reduction of invasive species; decrease in agriculture run-off and soil erosion; protection and restoration of wildlife and habitats; and collaborative monitoring and evaluation among Great Lakes regulatory agencies and authorities.

A clean and healthy Great Lakes Basin could pay real dividends for residents as the region looks to rebound from severe economic challenges. According to a cost-benefit analysis conducted by the Brookings Institution and funded by Joyce grantee the Council of Great Lakes Industries, continued investments in restoration activities could lead to billions in direct economic benefits—including increased tourism dollars, higher property values, and reduced water treatment costs in the region. The study concluded that restoring the health of the Great Lakes could create $50 billion in economic benefits for the region—almost twice the amount it would cost to restore the lakes.

"The health of our lakes has a significant impact not only on the quality of our lives, but also on the bottom line of the regional and national economy," said Andy Buchsbaum, executive director of the National Wildlife Federation’s Great Lakes Regional Center which collaborated on the study. “Investments made to restore the Great Lakes will help to protect a treasured natural resource and preserve the region for generations to come."
Since 1992, more than 577,000 Americans have been killed by firearms.
In the early 1990s, gun violence reached unprecedented levels. According to the Centers for Disease Control and Prevention, in 1994 the number of Americans killed by firearms peaked with 39,595 deaths. Another 104,390 people suffered non-fatal injuries. While the annual number of gun-related deaths has decreased to approximately 30,000, the loss of life remains a chilling reality across the United States.

To help curb the devastation, Joyce launched a program in 1992 aimed at preventing gun violence through improved public policies. Since that time, a cornerstone of the Gun Violence program's grant making has been funding for research to inform the policy of gun violence prevention.

Historically, public debate concerning firearms framed the issue as one of criminal justice—using stiff penalties and rigid laws to help stop gun violence. Joyce forged a different path and approached the issue from a public health perspective, with a focus on violence prevention. The Foundation has worked to expand the body of knowledge on gun violence prevention by funding critical analysis of the issue.

For example, Joyce supported a series of studies conducted by Harvard Injury Control Research Center at the Harvard University School of Public Health, which examined the relationship between access to firearms and the risk of firearm violence. Research indicated states with a higher level of gun ownership also had a higher level of gun homicide and suicide. The correlation between gun availability and state homicide rates applied to men and women across all age groups.

In addition to studying firearm homicides, Joyce supported further investigation into suicide, a leading cause of death among Americans 40 years old or younger. Harvard researchers conducted case-control studies that indicated a strong link between a gun in the home and an increased risk of suicide. The data also revealed that easy access to a firearm in the home not only heightened the suicide risk for the gun owner, but for the owner’s spouse and children as well.

Beyond the emotional toll gun violence takes on individuals, families, and communities, Joyce sought to examine the economic stress of gun deaths and injuries. Researchers from Duke University and Georgetown University published Gun Violence: The Real Costs (2000), which calculated that gun violence costs Americans approximately $100 billion each year. In addition to surveying direct expenses, such as emergency medical aid and loss of job productivity, the research team also employed extensive survey data to measure the subjective costs of living in a society where there is risk of being shot or losing a loved one to gunfire.

Additional research supported by Joyce helped build a body of evidence on effective law enforcement strategies to reduce gun violence. Research conducted by the Johns Hopkins Center for Gun Policy
and Research discovered that undercover stings, lawsuits, and in some cases, prosecution of licensed gun dealers, led to significant reductions in the flow of new guns to criminals in Chicago and Detroit. In West Milwaukee, a crackdown on one single gun dealer led to a 44 percent reduction in the flow of guns into the illegal market citywide. In addition, studies by University of California-Davis have highlighted the particular role gun shows play as a venue for illegal trafficking.

“For too long, policies surrounding firearms have been developed based on assumptions, not facts,” said Stephen Teret, professor of health and public policy at the Johns Hopkins School of Public Health. “The expansion of public health research will help policy makers make informed decisions about preventing gun violence.”

Since the Gun Violence program’s inception in 1992, Joyce-supported research has played a central role in most of the major gun violence prevention policy debates across the country. For example, research on the risk posed by firearms, and on the cost of firearm violence, was considered by the Supreme Court in two pivotal cases addressing the scope of permissible gun regulation under the Second Amendment. Advocates and attorneys cited this work in briefs submitted in *Heller v. District of Columbia* (2008) and *McDonald v. City of Chicago* (2010). It is anticipated that the research will continue to inform policy makers as states and municipalities work to address local gun violence and implement public safety measures that comply with the Supreme Court’s decisions.

Joyce-supported research has also been referenced in recent efforts to crack down on illegal gun trafficking. For example, using data on gun shows and other private sellers, Joyce grantees in Illinois and Wisconsin are currently working to control secondary market abuses by requiring all firearm transfers to go through licensed dealers. The studies also have been used to support a proposed federal policy to regulate private sales at gun shows, and also to demand stricter oversight of licensed dealers selling firearms at gun shows. Likewise, research by Johns Hopkins School of Public Health is informing the work of law enforcement in targeting corrupt gun dealers who supply the illegal market.

Improving access to data and research on gun violence has also been a priority for Joyce. The Foundation continues to support efforts to expand the National Violent Death Reporting System (NVDRS). As part of the Centers for Disease Control and Prevention, NVDRS collects violent death data from a variety of law enforcement and medical sources in order to develop a comprehensive portrait of homicide and suicide. NVDRS now collects data from 18 states with the goal of nationwide expansion so that this critical information will be available to develop violence prevention policies across the country.
To increase access to information, the Harvard Injury Control Research Center recently unveiled a new tool for those who study, review, or develop gun policies. The Firearms Research Digest is a public, online clearinghouse of academic research on firearms violence for use by law enforcement, public health officials, policy makers, news media, and others concerned with issues of gun violence.

“There is a wealth of data for use in the policy arena,” said David Hemenway, PhD, of the Harvard School of Public Health. “Already the studies we have collected can help those concerned with gun violence find new and smart approaches grounded in research and science.”
Surveys indicate Midwesterners rate concern of ‘influence of money in state politics’ on a par with health care, public education, and state taxes.
Money and Politics

A healthy democracy depends on careful nurturing of the bedrock values of trust, fairness, choice, freedom, and knowledge. This sense of community is undermined when our systems of government are weakened by political corruption, special interest influence, and closed-door deal making.

Communities in the Great Lakes region are grappling with volatile economic, social, and political realities. Economies are declining. Budget gaps are increasing. Populations are shifting. Several states in the region are likely to lose a congressional seat after the 2010 census. State legislatures are struggling to address these challenges at a time when public confidence in government is reaching all-time lows across the country.

Midwesterners—especially during these trying times—deserve honest, accountable, and responsive governments that work to solve the problems that matter most to our communities.

Since 1995, Joyce has funded efforts to combat the corroding effects money can have on politics, with an initial focus on reforming the national system of financing election campaigns. In the early 2000s, political scandals that rocked Illinois, Ohio, and Wisconsin galvanized the Foundation to move toward a more regional reform approach—strengthening democracy not only by promoting campaign finance reforms, but also supporting efforts to protect government openness and ethics, fair and competitive elections, an independent judiciary, and informed citizen participation.

Enter the Midwest Democracy Network. In late 2006, with support from Joyce, a fresh, new coalition came together to give voice to this broad-based democracy reform agenda in Illinois, Michigan, Minnesota, Ohio, and Wisconsin.

The Network’s members work across state lines to bring together uncommon allies in the battle for a healthy democracy. Participants include political reformers, civil rights advocates, grassroots organizers, civic educators, legal experts, academics, researchers, and policy analysts from state-based groups, as well as regional and national institutions. This diverse array of people and organizations mobilizes all the skills required to create meaningful social change.

“The Midwest Democracy Network was founded on the premise that there is strength in numbers in leading a pro-democracy movement in the region,” says Dan McGrath, executive director of Take Action Minnesota and Network steering committee chair. “Our states may face different challenges, but we share core democratic values and by learning from each other’s successes we are building a coalition that is advancing a real reform agenda.”

Since Network partner organizations joined forces, they have had a string of successes. Each victory illustrates the promise of this unique alliance.
In 2009, in the case of *Caperton v. Massey*, the U.S. Supreme Court ruled that a state supreme court justice could not hear a case involving the financial interests of a major donor to the judge’s election campaign. Capitalizing on this opportunity, after more than a decade of groundwork with input from national Network partners, Wisconsin state-based reform groups won the adoption of a public financing system for its state supreme court elections—only the third state in the nation to do so.

Network partners worked to encourage another national model in Michigan when the state’s supreme court considered toughening standards on when judges must remove themselves from hearing cases. According to the Justice at Stake Campaign, a majority of the justices voted to authorize the entire state supreme court to review whether there is an unacceptable ethical conflict on decisions made by individual justices.

With great effort from Network partners, lawmakers enacted Illinois’ first-ever limits on individual, corporate, and group donations to political campaigns, putting the state on a path to cleaning up its culture of pay-to-play politics.

Currently, Network partners are engaged in a regional campaign to ensure electoral districts are drawn in a way that is fair, accountable, and reflects the diversity of the populace. With guidance from the Brennan Center for Justice—which counsels advocates across the country on redistricting reform—Midwest groups are working together to develop new and effective redistricting policies and shed light on the process.

On the cutting edge of engagement around this issue, Network partners with Ohio’s Secretary of State organized a redistricting competition in 2009 and found that even the worst-scoring plan for congressional districts submitted was rated superior to the actual 2000 redistricting plan.

“Too often, redistricting happens outside the public eye and supports the political interests of elected officials,” says Susan Liss, Democracy Program Director at the Brennan Center. “By shining a light on the process and seeking real reform, we can help make sure that deliberate gerrymandering of election districts stops and those voted to office become more responsive to public interest.”

The Midwest Democracy Network experiment continues in the wake of the U.S. Supreme Court decision, *Citizens United v. Federal Election Commission*, which lifted corporate and union bans on spending treasury funds in federal campaigns. State-based groups and the constellation of resource organizations supported by Joyce are sorting through the game-changing impact the ruling has on state laws and refining their democracy agenda to meet the challenges ahead.
## Summary of 2009 Grants

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<th>Category</th>
<th>Number</th>
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<td>Money and Politics</td>
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<td>Culture</td>
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<td>Special Opportunities</td>
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<td>Discretionary, Memberships, and Employee Matching</td>
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<td>892,550</td>
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</tbody>
</table>

**Total Grants**

|                | 214   | **$29,206,172** | **$36,046,443** |
Education Grants 2009: $6,798,722

Innovation

Albany Park
Neighborhood Council
Chicago, IL $100,000
To train student leaders from the VOYCE collaboration to advocate for improving teacher quality and to develop a pipeline of students of color who are encouraged to pursue careers in policy research and community organizing. (1 yr.)

Chicago Urban League
Chicago, IL $154,500
To fund a new education policy staff position and to build the organization’s education policy analysis and research ability. (1 yr.)

Community Renewal Society
Chicago, IL $150,000
To support Catalyst Chicago, a monthly news magazine that reports on and analyzes the progress, problems, and politics of school reform in Chicago. (1 yr.)

Council of Chief State School Officers
Washington, DC $300,000
To work in collaboration with EducationCounsel to analyze and improve the capacity of the Illinois State Board of Education and the Indiana Department of Education. (18 mos.)

GreatSchools
San Francisco, CA $75,000
For expansion of the GreatSchools Milwaukee program to help inform low-income Milwaukee parents about their K-12 school options and encourage parents to choose academically successful schools. (1 yr.)

Innosight Institute
San Mateo, CA $140,000
To research and develop recommendations for federal, state, and local policy makers to better use technology to improve student and teacher learning. (18 mos.)

Joan Ganz Cooney Center for Educational Media and Research Inc.
New York, NY $199,700
To support the Teacher Preparation for a Digital Age Initiative. (1 yr.)

Michigan State University College of Education
East Lansing, MI $116,726
To expand on the findings of the RAND study ‘Charter Schools in Eight States’ and update the researchers’ analysis of high school graduation, college entry, and degree attainment rates of students who attended a Chicago Public Schools charter high school. (2 yrs.)

The Mind Trust
Indianapolis, IN $100,000
For a research study to evaluate the current governance structure of Indianapolis’ 11 public school systems and make recommendations for reforms that will lead to improved student achievement and greater efficiencies. (1 yr.)
Education Grants continued

**Early Childhood Education**

**Erikson Institute**
Chicago, IL $150,000
To further improve and evaluate the New Schools Project. (1 yr.)

**Evanston Community Foundation**
Evanston, IL $50,000
To launch the Illinois Early Childhood Fellowship, a special project of the Evanston Community Foundation Inc. focused on developing a pipeline of new, knowledge-committed leaders, and building institutional and field capacity in the early childhood field. (1 yr.)

**Illinois Action for Children**
Chicago, IL $150,000
To support the Community Focus Project, a community organizing and advocacy project designed to improve the quality of teaching at the early childhood level and better align early childhood education with kindergarten and elementary grade teaching. (1 yr.)

**MDRC**
New York, NY $100,000
To support the Foundations of Learning Project, a large-scale test of an early childhood professional development model that includes training in classroom management skills, and provides students who are involved in the project with access to onsite clinically trained professionals who provide individualized clinical services to Head Start children identified as being at greatest risk. (1 yr.)

**Ounce of Prevention Fund**
Chicago, IL $100,000
For its Kids Public Education Policy Project to build support for the alignment of early childhood and K-12 education systems in Illinois. (1 yr.)

**Voices for Illinois Children Inc.**
Chicago, IL $70,000
To help maintain Illinois’ investments in prekindergarten and birth-to-three programs and increase linkages between prekindergarten and K-3 within the context of a broader developmental approach to education. (1 yr.)

**Wisconsin Council on Children and Families Inc.**
Madison, WI $150,000
To improve the quality of early childhood teaching by increasing the percentage of early childhood educators attaining at least a two-year degree in early childhood. (1 yr.)
Education Grants continued

**Teacher Quality**

**Center for American Progress**
Washington, DC $306,465  
To continue to develop and advance policy changes that support improvements in human capital systems throughout the country. (2 yrs.)

**Center for Teaching Quality Inc.**
Hillsborough, NC $184,500  
To recruit and train progressive union leaders in the Midwest. (1 yr.)

**Corporation for the Advancement of Policy Evaluation**
Washington, DC $447,761  
To complete its evaluation of the Teacher Advancement Program in 40 high-need Chicago schools. (2 yrs.)

**Education Pioneers**
Oakland, CA $100,000  
To support its continued work to develop a pipeline of talented education leaders in Chicago focused on transforming the educational system so that all students receive a quality education. (1 yr.)

**Education Sector Inc.**
Washington, DC $200,000  
To improve the effectiveness of our teacher workforce and to modernize teacher policy in districts and schools across the nation. (1 yr.)

**The Education Trust**
Washington, DC $608,635  
To advance teacher quality policies by providing research, policy analysis, and strategy support to national civil rights groups, state-level advocacy organizations, and state legislators. (2 yrs.)

**The Mind Trust**
Indianapolis, IN $380,000  
To promote improved teacher quality policies in Indianapolis and Indiana and to foster educational entrepreneurship in Joyce-targeted districts and cities. (2 yrs.)

**National Commission on Teaching and America's Future**
Washington, DC $295,000  
To profile the education workforce in Illinois and Indiana, and analyze how retirement structures and policies impact teaching quality in those states. (18 mos.)

**National Institute for Excellence in Teaching**
Santa Monica, CA $290,000  
To strengthen the Chicago Teacher Advancement Program and to provide information about successful performance pay reforms to policy makers and practitioners. (1 yr.)
The Philanthropy Roundtable
Washington, DC $50,000
To continue supporting its K-12 education initiatives, especially those focused on teacher and principal excellence. (2 yrs.)

Public Impact
Chapel Hill, NC $488,620
To provide tools and assistance to policy reformers who want to create meaningful teacher evaluation and tenure systems that enhance student learning. (2 yrs.)

Southern Illinois University, Edwardsville
Edwardsville, IL $221,815
To conduct a comprehensive study of the distribution of quality principals in Illinois. (2 yrs.)

Target Area Development Corporation
Chicago, IL $225,000
For the development of a statewide grassroots education campaign targeted toward increasing parental access to and knowledge of sound education policy reforms in Illinois. (18 mos.)

Teachers College, Columbia University
New York, NY $200,000
To strengthen media coverage of state and local use of Race to the Top funds, school turnaround efforts, and policies related to improving teacher effectiveness and distribution. (2 yrs.)

University of Missouri
Columbia, MO $295,000
To analyze how the design of the Illinois and Indiana teacher pension systems impact the recruitment and retention of a high-quality teaching workforce. (18 mos.)

Teach for America Inc.
New York, NY $400,000
To continue support of its Policy and Advocacy Initiative to increase alumni involvement in high-impact policy and advocacy work and catalyze broader education reform efforts. (2 yrs.)
Employment Grants 2009: $4,391,200

**Advocacy**

Sargent Shriver National Center on Poverty Law Inc.
Chicago, IL $250,000
For continued advocacy to promote transitional jobs and career advancement opportunities for low-income adults in Illinois and nationally. (2 yrs.)

Women Employed Institute
Chicago, IL $450,000
For advocacy to increase the number of low-skilled adult learners who obtain college-level training credentials in Illinois. (2 yrs.)

Making the Case

MDRC
New York, NY $200,000
To support an evaluation and cost analysis of Ohio’s TANF Educational Awards Program. (2 yrs.)

**National Center for Business Champions**

Denver, CO $100,000
For the Great Lakes Business Champions project. (1 yr.)

The University of Chicago Chapin Hall Center for Children
Chicago, IL $50,000
For the Chicago DataWorks project, which will collect, analyze, and disseminate information on the performance of Chicago-area workforce development programs. (1 yr.)

Shifting Gears

Illinois Community College Board
Springfield, IL $400,000
To continue its Shifting Gears Initiative, a state policy reform effort designed to create pathways for low-skilled adults to obtain postsecondary occupational credentials that lead to family-supporting wages. (2 yrs.)

**Michigan Department of Energy, Labor & Economic Growth**

Lansing, MI $300,000
For continuation of its Adult Learner Initiative, a state policy reform effort designed to create better education options for low-skilled adults. (2 yrs.)

**Minnesota State Colleges and Universities**

St. Paul, MN $450,000
To continue its FastTRAC Initiative, a state policy reform effort designed to create pathways for low-skilled adults to obtain postsecondary occupational credentials that lead to family-supporting wages. (2 yrs.)
Employment Grants continued

Ohio Board of Regents  
Columbus, OH $440,000  
To increase the education attainment level and earnings potential of low-income working adults by widening their access to postsecondary workforce education and shortening their paths to degrees or credentials. (2 yrs.)

PublicVoice Inc.  
New Rochelle, NY $538,200  
To provide strategic communications assistance to the Shifting Gears state policy initiatives. (2 yrs.)

State of Wisconsin Department of Workforce Development  
Madison, WI $600,500  
For continuation of its RISE Initiative, a state policy reform effort designed to create career pathways for low-skilled adults that will lead to employment in high-demand jobs. (2 yrs.)

Job Retention & Stability  
Center for Economic Progress  
Chicago, IL $50,000  
For its continued national advocacy work on tax policy for low-income working families. (1 yr.)

Transitional Jobs Reentry Demonstration  
Center for Community Solutions  
Cleveland, OH $30,000  
For dissemination of the Transitional Jobs Reentry Demonstration research findings in Ohio. (1 yr.)

Goodwill/Easter Seals Minnesota  
St. Paul, MN $60,000  
For dissemination of the Transitional Jobs Reentry Demonstration research findings in Minnesota. (1 yr.)

Jasculca/Terman  
Chicago, IL $400,000  
To help disseminate research findings from the Joyce Foundation’s Transitional Jobs Reentry Demonstration project. (2 yrs.)

Workforce Inc.  
Indianapolis, IN $30,000  
For dissemination of the Transitional Jobs Reentry Demonstration research findings in Indiana. (1 yr.)

YWCA of Greater Milwaukee  
Milwaukee, WI $42,500  
For dissemination of the Transitional Jobs Reentry Demonstration research findings in Wisconsin. (1 yr.)
Environment Grants 2009: $8,428,200

**Clean Coal/Energy**

**Environment Ohio Research and Policy Center**  
Columbus, OH $150,000  
For continued participation on the stakeholder committee of the Midwestern Governors Association greenhouse gas accord and to work for policies in the state of Ohio that support the regional agreement. (2 yrs.)

**Fresh Energy**  
St. Paul, MN $300,000  
To support the work of the REAMP media center. (2 yrs.)

**Georgetown University**  
Washington, DC $200,000  
For its State-Federal Climate Resource Center. (1 yr.)

**Izaak Walton League of America Inc.**  
St. Paul, MN $350,000  
To continue its efforts to develop policies that drive a transition away from conventional coal-fired electricity and promote low emissions alternatives. (2 yrs.)

**Great Lakes**

**Alliance for the Great Lakes**  
Chicago, IL $150,000  
For its work to achieve results under the Great Lakes Regional Collaboration Strategy for improving coastal health, reducing polluted runoff, and enhancing habitat. (1 yr.)

**American Rivers Inc.**  
Washington, DC $375,000  
For a project to reduce polluted stormwater runoff to Milwaukee's rivers through the use of green infrastructure. (3 yrs.)

**Prairie Stewardship Network**  
Ashley, ND $98,700  
To support a climate and energy policy conference in 2009 in Bismarck, North Dakota. (1 yr.)

**Center for Neighborhood Technology**  
Chicago, IL $350,000  
For a project to frame and test methods to apply 'least cost water planning,' a method that would put different options for supplying drinking water and treating wastewater on equal footing in the Great Lakes region. (2 yrs.)
Environment Grants continued

**Center for Neighborhood Technology**
Chicago, IL $200,000
To expand local, state, and regional adoption of green infrastructure as a preferred approach to stormwater management. (2 yrs.)

**Clean Wisconsin Inc.**
Madison, WI $283,250
To support the efforts of itself plus Gathering Waters Conservancy and Wisconsin Wildlife Federation to protect and restore the Great Lakes basin waters of Wisconsin. (1 yr.)

**Council of Great Lakes Governors Inc.**
Chicago, IL $202,250
For the Great Lakes Water Resource Managers’ Initiative, an effort to provide state and provincial water resource agency staff with the information and skills necessary to implement the Great Lakes-St. Lawrence River Basin Water Resources Compact. (2 yrs.)

**Council of State Governments**
Lexington, KY $150,000
To support the Great Lakes Legislative Caucus. The Caucus provides a forum for state legislators to exchange information and ideas about Great Lakes protection and restoration policy making. (2 yrs.)

**Environmental Defense Fund Inc.**
New York, NY $1,104,000
For continued leadership of a collaborative effort to improve water quality in the Maumee River watershed and influence related Farm Bill implementation. (2 yrs.)

**Environmental Law Institute Inc.**
Washington, DC $100,000
To support effective implementation of a new federal approach to siting wetland and stream mitigation projects by conducting a pilot study in a Great Lakes subwatershed that could serve as a nationwide model. (18 mos.)

**Great Lakes Commission**
Ann Arbor, MI $60,000
To support the development of briefings on local investments in Great Lakes and St. Lawrence protection and restoration, including information to support Great Lakes Regional Collaboration Strategy initiative implementation. (1 yr.)

**Great Lakes Environmental Law Center**
Detroit, MI $50,000
To expand its capacity to work on habitat protection, water quality, and water withdrawal issues in the Great Lakes basin. (2 yrs.)

**Great Lakes United**
Amherst, NY $75,000
For continued coordination of Canadian Great Lakes restoration strategies and communications and to serve as a bridge between U.S. and Canadian restoration efforts, Compact implementation and the Great Lakes Water Quality Agreement. (1 yr.)
Environment Grants continued

**Michigan Environmental Council**  
Lansing, MI $230,000  
To support the work of four organizations to advance Great Lakes protection and restoration priorities in Michigan. (1 yr.)

**Minnesota Environmental Partnership**  
St. Paul, MN $100,000  
To perform watershed-based stormwater pollution reduction and habitat protection work in two priority Lake Superior watersheds and to leverage Clean Water, Land and Legacy Amendment funds to protect and restore Lake Superior tributaries. (1 yr.)

**National Wildlife Federation**  
Washington, DC $175,000  
For continued policy and media leadership of the national Healing Our Waters campaign. (1 yr.)

**Natural Resources Defense Council Inc.**  
New York, NY $300,000  
To protect the Great Lakes from the introduction and spread of invasive species and to participate in renegotiation of the Great Lakes Water Quality Agreement. (2 yrs.)

**Northeast-Midwest Institute**  
Washington, DC $150,000  
To support the director of the Great Lakes Congressional Task Force. (2 yrs.)

**Ohio Environmental Council**  
Columbus, OH $300,000  
To support its initiatives to protect, conserve, and restore Ohio’s portion of the Lake Erie basin and therefore the Great Lakes ecosystem. (2 yrs.)

**River Revitalization Foundation**  
Milwaukee, WI $1,950,000  
To support the participation of seven environmental nonprofit organizations in the Southeastern Wisconsin Watershed Trust, an effort to achieve clean water in the Greater Milwaukee watersheds. (3 yrs.)

**Wisconsin Wetlands Association**  
Madison, WI $75,000  
To promote stronger wetland policies in Wisconsin and to share lessons learned with partners working on wetland issues throughout the Great Lakes region. (1 yr.)
Environment Grants continued

Cross-Program Support

Chicago Jobs Council
Chicago, IL $175,000
To coordinate a portion of the City of Chicago's Green Jobs for All initiative that would prepare low-income, low-skilled individuals for jobs that are created or transformed through the implementation of the Chicago Climate Action Plan. (1 yr.)

Council of State Governments
Lexington, KY $225,000
To support the Midwest Governors Association's Creating Jobs in the New Energy Economy Initiative. (1 yr.)

Emerging Priorities

Enterprise Community Partners Inc.
Columbia, MD $200,000
For launch of the Emerald Cities Collaborative multi-city energy efficiency and green jobs initiative in the Midwest. (9 mos.)

Institutes for Journalism and Natural Resources
Missoula, MT $100,000
To continue to offer its Great Waters Institute training to young and mid-career newspaper, magazine, radio, television, and online journalists. (1 yr.)
Grants

Gun Violence Grants 2009: $3,223,438

Gun Violence
American College of Preventive Medicine
Washington, DC $203,193
To support education and advocacy around the continuation and expansion of the National Violent Death Reporting System. (1 yr.)

Freedom States Alliance
Chicago, IL $25,000
To support its transition following a merger with States United to Prevent Gun Violence and its continued efforts on behalf of state gun violence prevention groups. (6 mos.)

International Association of Chiefs of Police
Alexandria, VA $250,000
To continue implementation efforts around the recommendations contained in the report of the Great Lakes States Summit on Gun Violence. (1 yr.)

Johns Hopkins University
School of Hygiene and Public Health
Baltimore, MD $179,971
For support of research on policies that can more effectively restrict firearm ownership to law-abiding persons. (2 yrs.)

Legal Community Against Violence
San Francisco, CA $340,000
For core support to Legal Community Against Violence to provide legal and technical assistance in support of state and local gun violence prevention policy reform efforts. (20 mos.)

University of California, Davis
Sacramento, CA $175,000
For core support of the Violence Prevention Research Program’s work on research and policy development focused on firearm violence and its prevention. (2 yrs.)

The University of Chicago
Chicago, IL $100,000
To support the University of Chicago Crime Lab’s work carrying out randomized experiments to generate new information about how best to reduce youth gun violence in Chicago. (1 yr.)

University of Washington
Seattle, WA $15,000
To support the Harborview Injury Prevention and Research Center’s production of an educational video that highlights the problems that arise from the easy availability of firearms in communities across Washington. (1 yr.)

Violence Policy Center
Washington, DC $585,000
To continue its research, public education, communications, advocacy, and coalition efforts in support of effective gun violence prevention policies. (1 yr.)
Gun Violence Grants continued

**Illegal Gun Initiative**

**Ceasefire Pennsylvania Education Fund**
Philadelphia, PA $25,000
To support a pilot project to deploy four field organizers to build local citizen coalitions in underrepresented areas of the state. (4 mos.)

**The Educational Fund to Stop Gun Violence**
Washington, DC $85,274
To support its work at the state and federal levels to close secondary gun markets and to work with the District of Columbia on a constitutionally permissible gun law following the *Heller* decision. (6 mos.)

**Freedom States Alliance**
Chicago, IL $115,000
To support its transition following a merger with States United to Prevent Gun Violence and its continued efforts on behalf of state gun violence prevention groups. (6 mos.)

**Illinois Council Against Handgun Violence**
Chicago, IL $350,000
For continued support of its public, media, and policy-maker education efforts to promote firearm policy reform in Illinois, and to integrate the activities of the Illinois Campaign to Prevent Gun Violence into the Illinois Council Against Handgun Violence programs. (1 yr.)

**Legal Community Against Violence**
San Francisco, CA $100,000
To continue its work tracking state legislation and responding to requests for technical assistance and policy analysis from mayors, state legislators, county executives, and state gun violence prevention groups. (1 yr.)

**United Against Illegal Guns Support Fund**
New York, NY $500,000
To support the work of Mayors Against Illegal Guns to educate the public and policy makers about the problems of illegal guns and gun trafficking, and for the City Coordinators program of the Mayors Against Illegal Guns coalition. (1 yr.)

**United Against Illegal Guns Support Fund**
New York, NY $175,000
For expansion of the Mayors Against Illegal Guns City Coordinators program. (2 yrs.)
Money and Politics Grants 2009: $1,897,062

Regional Reform

**The Brookings Institution**
Washington, DC $100,000
To support the Brookings Institution’s Bringing Democracy to Political Mapmaking initiative. In partnership with the American Enterprise Institute, Brookings will organize a clearinghouse for tracking, advising, promoting, and ensuring information sharing. (1 yr.)

**Campaign Finance Institute**
Washington, DC $125,000
To support a project entitled Small Donor Democracy: Options for the Midwest and for the U.S. Congress. (18 mos.)

**Citizen Advocacy Center**
Elmhurst, IL $50,000
To support the promotion of policy recommendations of the Midwest Open Government Project; and the Center’s educational and organizational efforts to achieve a full census count in DuPage County. (1 yr.)

**George Mason University**
Fairfax, VA $104,152
To support the Campaign for Accountable Redistricting. (18 mos.)

**Justice at Stake Campaign Inc.**
Washington, DC $190,000
To support Midwest judicial reform efforts through policy research, development, and advocacy; communications and public education; and networking and coalition building. (1 yr.)

**The Ohio State University Foundation**
Columbus, OH $150,000
To support a process for designing and developing model citizen redistricting commissions in two Midwest states. (1 yr.)

**The Ohio State University Foundation**
Columbus, OH $62,800
To support a published study entitled *From Registration to Recounts—2008 Retrospective/ Look Forward*, an analysis by the University’s Moritz College of Law of major election law and administration issues that arose during the 2008 elections in Illinois. (1 yr.)

**Women in Government**
Washington, DC $25,000
To organize, in partnership with the Foundation and its grantees, programs on gun-related domestic violence and redistricting at the 2010 16th Annual State Directors’ Conference and the 17th Annual Midwest Regional Conference. (1 yr.)
Money and Politics Grants continued

State Reform
Business and Professional People for the Public Interest
Chicago, IL $40,000
To support a research study on policy strategies for strengthening the role of inspectors general in enforcing state and local government ethics laws. (1 yr.)

The Cleveland Foundation
Cleveland, OH $50,000
To support its financial oversight and management of the You Can Count on Me Ohio project, a statewide census awareness campaign. (1 yr.)

The Fund for Justice
Chicago, IL $50,000
To support its Judicial Performance Commission Pilot Project. (1 yr.)

Illinois Campaign for Political Reform
Chicago, IL $335,000
To support the development and promotion of a broad political reform agenda through policy research and advocacy, communications and public education, and organizing and coalition-building. (1 yr.)

League of Women Voters of Wisconsin Education Fund
Madison, WI $50,000
To support the We Count! Wisconsin Census Project 2010, a collaborative effort with the Wisconsin Civic Engagement Project to achieve a complete census count in the state’s hard-to-count communities. (1 yr.)

League of Women Voters of Minnesota Education Fund
St. Paul, MN $120,000
To support the State of Democracy in Minnesota project and a special 2010 Census initiative. (2 yrs.)

League of Women Voters of Wisconsin Education Fund
Madison, WI $120,000
To support the development and promotion of a comprehensive political reform agenda for Wisconsin. (2 yrs.)

Mexican American Legal Defense and Educational Fund
Los Angeles, CA $150,000
To support the organization’s census education, advocacy, and outreach activities in Illinois and elsewhere in the Midwest. (2 yrs.)

Michigan Nonprofit Association
Detroit, MI $50,000
To support its 2009-10 census awareness campaign in Michigan. (1 yr.)
Money and Politics Grants continued

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<th>Minnesota Council of Nonprofits Inc.</th>
<th>Regents of the University of Minnesota</th>
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<td>St. Paul, MN $50,000</td>
<td>Minneapolis, MN $75,110</td>
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<td>To manage a multi-organizational initiative entitled Census 2010:</td>
<td>To support the Center for the Study of Politics and Governance’s Minnesota Redistricting Project.</td>
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<td>Collaborating for a Complete Count. (1 yr.)</td>
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Culture Grants 2009: $2,015,000

**Access**

**Americans for the Arts**
Chicago, IL $60,000
For continued support of a professional development program for minority arts administrators. (2 yrs.)

**The Art Institute of Chicago**
Chicago, IL $50,000
To support the museum’s Hispanic Outreach Initiative. (1 yr.)

**Chicago Children’s Museum**
Chicago, IL $75,000
To increase the diversity of the museum’s visitors, staff, and board, and the dissemination of a white paper on its diversity practices to the field. (1 yr.)

**Chicago Theatre Group Inc.**
Chicago, IL $300,000
To increase minority audience, staff, and board participation. (2 yrs.)

**Chicago Tourism Fund**
Chicago, IL $50,000
To continue support of Millennium Park’s Made in Chicago Jazz series. (1 yr.)

**Court Theatre**
Chicago, IL $50,000
For continued salary support for African American director Ron O’J Parson as a resident artist. (1 yr.)

**Guild Complex**
Chicago, IL $30,000
For continued support of Palabra Pura, a bilingual poetry series. (1 yr.)

**Museum of Contemporary Art**
Chicago, IL $50,000
To support a residency and exhibition by African American artist Mark Bradford to increase audience diversity. (1 yr.)

**Community-based Arts**

**Black Ensemble Theater Corporation**
Chicago, IL $150,000
To support capacity-building initiatives in audience expansion, marketing, and technology. (2 yrs.)

**Chicago Cultural Alliance**
Chicago, IL $25,000
For salary support of its executive director. (1 yr.)

**Chicago Jazz Philharmonic**
Chicago, IL $60,000
For continued support to build organizational capacity and the launch of a concert series at the Auditorium Theatre. (2 yrs.)

**Deeply Rooted Productions**
Chicago, IL $30,000
For continued support of staff salaries and its collaboration with the Arts & Business Council to strengthen organizational capacity. (1 yr.)
Culture Grants continued

Garfield Park Conservatory Alliance
Chicago, IL $30,000
To support a seasonal arts and music festival program. (1 yr.)

Muntu Dance Theatre
Chicago, IL $150,000
To support capacity building in the areas of financial management, fund-raising, and marketing. (2 yrs.)

Puerto Rican Arts Alliance
Chicago, IL $80,000
For continued support of capacity building initiatives including fund-raising, program expansion and staff salary support. (2 yrs.)

John F. Kennedy Center for The Performing Arts
Washington, DC $100,000
To support an online capacity-building program for mid-sized, culturally-specific arts groups in the Midwest. (2 yrs.)

National Arts Strategies Inc.
Washington, DC $30,000
For a new round of ten Chicago arts and cultural groups’ participation in The Business of Art and Culture, a national executive seminar program to strengthen organizational leadership and build institutional capacity. (1 yr.)

Teatro Vista Theatre with A View
Chicago, IL $80,000
To support its 2009-10 and 2010-11 performance seasons, staff salaries, marketing and audience development. (2 yrs.)

Ma’at Production Association of Afrikan Centered Theatre (MPAACT)
Chicago, IL $30,000
For salary support of its first executive director. (1 yr.)

National Public Housing Museum
Chicago, IL $50,000
For continued salary support of its executive director. (1 yr.)
Culture Grants continued

Creativity

**Alliance of Artists Communities**
Providence, RI $185,000
To support Midwestern Voices & Visions, a project designed to encourage minority participation at Midwestern artist communities. (3 yrs.)

**Milwaukee Art Museum**
Milwaukee, WI $50,000
To commission African American visual artist Theaster Gates to create new works for a multi-media exhibition/installation that includes pottery, sculpture, video, and music at the Milwaukee Art Museum. (1 yr.)

**Minneapolis Society of Fine Arts**
Minneapolis, MN $50,000
To commission Iranian American artist Ali Momeni to create a new media installation and collaborative event. (2 yrs.)

**Old Town School of Folk Music**
Chicago, IL $50,000
To commission the African American stringband the Carolina Chocolate Drops to create a new multi-media collaborative performance piece that would present and celebrate black heritage from Vaudeville to contemporary black culture. (2 yrs.)

**Ordway Center for the Performing Arts**
Saint Paul, MN $50,000
To commission African American choreographer Uri Sands to create a new dance work inspired by the paintings of African American artist Ernie Barnes. (2 yrs.)

**Steppenwolf Theater Co.**
Chicago, IL $50,000
To commission African American playwright Tarell Alvin McCraney to write a new play. (3 yrs.)

Other

**The Pew Charitable Trusts**
Philadelphia, PA $100,000
To continue support of the Illinois Cultural Data Project, a standardized, statewide Web-based data collection system for arts and culture organizations. (2 yrs.)
Special Opportunities Grants 2009: $1,560,000

Alliance for Justice Inc.
Washington, DC $75,000
To support the Alliance for Justice Inc.’s Nonprofit Advocacy Project and Foundation Advocacy Initiative. (1 yr.)

The Brookings Institution
Washington, DC $250,000
For continued support of its Metropolitan Policy Program’s Great Lakes Economic Initiative. (1 yr.)

Center on Budget and Policy Priorities
Washington, DC $445,000
To support its research and policy analysis on fiscal policy matters and policies affecting low-income families, federally and in the Midwest. (2 yrs.)

Chicago Community Trust
Chicago, IL $250,000
To support a coordinated campaign in the state to raise the 2010 census mail response rate among hard-to-count communities. (18 mos.)

The Civic Federation
Chicago, IL $30,000
To support the Cook County Transparency and Environmental Reform Initiative. (1 yr.)

Donors Forum of Chicago
Chicago, IL $55,000
To support the Donors Forum’s Growth Initiative and an expansion of the organization’s public policy activities. (1 yr.)

Greater Washington Educational Telecommunications Association, Inc.
Arlington, VA $30,000
To support television broadcast and online reporting of public policy issues. (1 yr.)

Latino Policy Forum
Chicago, IL $125,000
To support the policy research, development, education, and advocacy activities of the Latino Policy Forum. (1 yr.)

National Public Radio Inc.
Washington, DC $75,000
To support news coverage of current events, issues, and trends impacting the Great Lakes region. (1 yr.)

Vera Institute of Justice Inc.
New York, NY $125,000
To support the Prosecution & Racial Justice Program's development of data collection and case management procedures for reducing racial bias in decision making within the office of the Milwaukee County District Attorney. (1 yr.)

WBEZ Alliance Inc.
Chicago, IL $100,000
For continued support of two neighborhood satellite bureaus in Englewood and Humboldt Park, plus the opening of a new satellite bureau in the multi-ethnic neighborhood of Rogers Park. (1 yr.)
Discretionary Grants 2009: $751,500

**Alliance for the Great Lakes**
Chicago, IL $15,000
To hire a consultant to help the Alliance find a new president and CEO. (6 mos.)

**AmericaSpeaks**
Washington, DC $10,000
To support the planning and facilitation of the Chicago Jazz Summit/Town Hall Meeting, a convening of diverse stakeholders in the Chicago jazz community, including artists, organizations, and presenters in August 2009. (1 yr.)

**Belden Russonello & Stewart**
Washington, DC $20,000
For opinion poll on citizen attitudes about Illinois state government, the political system, and reform options. (3 mos.)

**Chicago Jazz Orchestra**
Chicago, IL $5,000
To support its 30th anniversary season and the Cross Country Suite concert featuring African American clarinetist/saxophonist Victor Goines. (1 yr.)

**City of Chicago, Department of Law**
Chicago, IL $20,000
For costs associated with the defense of the City’s handgun ban in the U.S. Supreme Court. (8 mos.)

**The Civic Federation**
Chicago, IL $15,000
To support a project that would propose solutions to the state’s fiscal problems. (1 yr.)

**Concerned Christian Men**
Chicago, IL $20,000
General support. (1 yr.)

**Council on Foundations Inc.**
Arlington, VA $20,000
To explore the feasibility of launching a national workforce-oriented funders’ affinity group. (1 yr.)

**Council on Foundations Inc.**
Arlington, VA $5,000
To support the 2009 Fall Conference Census Plenary. (6 mos.)

**The Campaign Legal Center**
Washington, DC $10,000
To support a redistricting reform conference at Pocantico, NY. (8 mos.)

**Facing History and Ourselves National Foundation Inc.**
Chicago, IL $20,000
To support the Choosing to Participate initiative in Washington, DC. (1 yr.)
Discretionary Grants continued

**Free Spirit Media**  
Chicago, IL $20,000  
To document the impact of the American Reinvestment and Recovery Act in Chicago.  
(18 mos.)

**Freedom States Alliance**  
Chicago, IL $20,000  
To support daily collection and dissemination of policy-oriented national firearm-related news and information through GunPolicy.org.  
(6 mos.)

**Freedom States Alliance**  
Chicago, IL $20,000  
To support costs associated with the merger of States United to Prevent Gun Violence and Freedom States Alliance. (1 yr.)

**FSG Social Impact**  
Boston, MA $10,000  
To develop an organizational strategic blueprint for Chicago Public Schools’ Office of New Schools. (3 mos.)

**Gilloury Institute**  
Chicago, IL $5,000  
To support Silk Road Cabaret: Broadway Sings the Silk Road.  
(1 yr.)

**Golden Apple Foundation for Excellence in Teaching**  
Chicago, IL $10,000  
To support the 2009 Golden Apple Awards for Excellence. (3 mos.)

**Grantmakers for Education**  
Portland, OR $15,000  
To support a national education summit in Chicago in October 2009. (1 yr.)

**Grantmakers in the Arts**  
Seattle, WA $5,000  
To support the 2009 annual conference. (1 yr.)

**Greater Chicago Food Depository**  
Chicago, IL $10,000  
To support food distribution, enhance public education and advocacy, and expand community programs. (1 yr.)

**Greater Washington Educational Telecommunications Association, Inc.**  
Arlington, VA $20,000  
To support arts coverage on the television broadcast and Web site of NewsHour with Jim Lehrer. (1 yr.)

**Guidestar**  
Williamsburg, VA $5,000  
To support Guidestar’s products and services. (1 yr.)

**Heartland Alliance for Human Needs & Human Rights**  
Chicago, IL $20,000  
To support immigration reform. (1 yr.)
Discretionary Grants continued

Heartland Alliance for Human Needs & Human Rights  
Chicago, IL $20,000  
To support a briefing for the Midwest congressional delegation to highlight regional trends, best practices and policy solutions. (1 yr.)

Human Rights Watch  
Chicago, IL $20,000  
To support its Chicago office. (1 yr.)

Illinois Arts Alliance  
Chicago, IL $3,500  
To support its annual arts awards gala. (1 yr.)

Illinois Department of Commerce and Economic Opportunity  
Chicago, IL $20,000  
To prepare a proposal for funding from the U.S. Department of Labor. (6 mos.)

Independent Sector  
Washington, DC $20,000  
To support Independent Sector’s 2009 Public Policy Action Institute. (6 mos.)

Independent Sector  
Washington, DC $10,000  
To support Independent Sector’s Envisioning our Future initiative. (1 yr.)

Institutes for Journalism and Natural Resources  
Missoula, MT $20,000  
To host the 2009 Society for Environmental Journalists’ post-conference tour in Wisconsin exposing journalists to local, regional and international environmental topics. (1 yr.)

International Latino Cultural Center of Chicago  
Chicago, IL $5,000  
To support the 2009 Latino Music Festival of Chicago, a series of performances that promotes music from Latin America and Spain. (1 yr.)

John F. Kennedy Library Foundation  
Boston, MA $10,000  
For educational programs. (3 mos.)

Justice Center: The Council of State Governments  
New York, NY $20,000  
To support efforts in the Great Lakes Region to capitalize on new opportunities created by the Second Chance Act. (1 yr.)

League of Women Voters of Ohio Education Fund  
Columbus, OH $20,000  
To support a conference on judicial reform to explore alternatives to Ohio’s current judicial selection system. (1 yr.)
Discretionary Grants continued

**Legal Community Against Violence**
San Francisco, CA $4,500
To coordinate amicus briefs in the *McDonald v. Chicago* case. (6 mos.)

**Mikva Challenge Grant**
Foundation Inc.
Chicago, IL $1,000
To support the 10 Year Anniversary Celebration and Tribute to Abner and Zoe Mikva. (3 mos.)

**National Association for Urban Debate Leagues**
Chicago, IL $7,500
General support. (1 yr.)

**National Center for Business Champions**
Denver, CO $20,000
To provide technical assistance to the Education and Training Subcommittee of the President’s Economic Recovery Advisory Board. (4 mos.)

**PAX**
New York, NY $20,000
To conduct message research and qualitative testing around suicide prevention and lethal means access. (1 yr.)

**Protestants for the Common Good**
Chicago, IL $10,000
To support policy education and advocacy. (1 yr.)

**Public Campaign**
Washington, DC $20,000
To support a national public opinion survey on congressional public financing proposals. (1 yr.)

**Public Interest Projects**
New York, NY $20,000
To support the Communities for Public Education Reform Initiative’s work in its Chicago site. (6 mos.)

**Public Voice Inc.**
New Rochelle, NY $20,000
To support a dialogue on how philanthropy and business can work together to help low-income people earn credentials, improve skills and move up the career ladder. (1 yr.)

**Sargent Shriver National Center on Poverty Law Inc.**
Chicago, IL $5,000
To support the 2009 Awards Dinner. (2 mos.)

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Discretionary Grants continued

**Strategic Human Services**  
Chicago, IL $5,000  
To support the Nuthin But Peace summer kickoff concert and summer youth programs. (3 mos.)

**Target Area Development Corporation**  
Chicago, IL $5,000  
To support the Citywide Education Organizing Campaign’s technology needs at the March 29, 2009 summit. (1 yr.)

**Theatre Communications Group**  
New York, NY $10,000  
For support of the 2009 Theatre Communications Group National Conference. (1 yr.)

**Uhlich Children’s Advantage Network (UCAN)**  
Chicago, IL $15,000  
To support the execution and publication of the 2009 Teen Gun Survey. (6 mos.)

**Wayne State University**  
Detroit, MI $20,000  
To support a conference focused on alternative approaches to judicial selection in Michigan. (1 yr.)

**University of Pennsylvania**  
Philadelphia, PA $20,000  
To fund the strategic engagement of medical personnel to lower firearm violence. (10 mos.)

**Women in Government**  
Washington, DC $20,000  
To support a forum on redistricting for women state legislative leaders. (1 yr.)
## Membership Grants 2009: $101,530

<table>
<thead>
<tr>
<th>Organization</th>
<th>Location</th>
<th>Amount</th>
<th>Grant Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Council on Foundations Inc.</td>
<td>Arlington, VA</td>
<td>$44,500</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>Donors Forum of Chicago</td>
<td>Chicago, IL</td>
<td>$25,230</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>Environmental Grantmakers Association</td>
<td>New York, NY</td>
<td>$6,800</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>Grantmakers for Children, Youth &amp; Families</td>
<td>Silver Spring, MD</td>
<td>$2,500</td>
<td>Membership Grant (1 yr.)</td>
</tr>
<tr>
<td>Grantmakers for Education</td>
<td>Portland, OR</td>
<td>$6,500</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>Grantmakers in the Arts</td>
<td>Seattle, WA</td>
<td>$2,500</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>Independent Sector</td>
<td>Washington, DC</td>
<td>$12,500</td>
<td>Membership Grant (1 yr.)</td>
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<tr>
<td>The Philanthropy Roundtable</td>
<td>Washington, DC</td>
<td>$1,000</td>
<td>Membership Grant (1 yr.)</td>
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## Statements of Financial Position

(000s omitted)

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<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
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<tbody>
<tr>
<td><strong>Assets</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>912</td>
<td>339</td>
</tr>
<tr>
<td>Investments</td>
<td>738,438</td>
<td>629,670</td>
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<tr>
<td>Collateral received under securities lending program</td>
<td>31,783</td>
<td>16,739</td>
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<tr>
<td>Other assets</td>
<td>2,098</td>
<td>3,064</td>
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<tr>
<td><strong>Total Assets</strong></td>
<td>773,231</td>
<td>649,812</td>
</tr>
<tr>
<td><strong>Liabilities and Net Assets</strong></td>
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<td></td>
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<tr>
<td>Grants payable</td>
<td>11,408</td>
<td>18,549</td>
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<tr>
<td>Other liabilities</td>
<td>1,099</td>
<td>2,362</td>
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<tr>
<td>Payable under securities lending program</td>
<td>31,783</td>
<td>16,739</td>
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<tr>
<td>Deferred federal excise tax payable</td>
<td>450</td>
<td>–</td>
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<tr>
<td><strong>Total Liabilities</strong></td>
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<td>37,650</td>
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<tr>
<td>Net assets—unrestricted</td>
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<td></td>
</tr>
<tr>
<td>Net assets—temporarily restricted</td>
<td>–</td>
<td>1,114</td>
</tr>
<tr>
<td><strong>Total Net Assets</strong></td>
<td>728,491</td>
<td>612,162</td>
</tr>
<tr>
<td><strong>Total Liabilities and Net Assets</strong></td>
<td>773,231</td>
<td>649,812</td>
</tr>
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</table>
## Financial Review

### Statements of Activities

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2009</th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unrestricted</td>
<td>Temporarily Restricted</td>
<td>Total</td>
<td>Total</td>
</tr>
<tr>
<td>Revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Investment revenue</td>
<td></td>
<td></td>
<td>140,265</td>
<td>(271,092)</td>
</tr>
<tr>
<td>Net realized and unrealized gain</td>
<td>140,265</td>
<td>–</td>
<td>140,265</td>
<td>(271,092)</td>
</tr>
<tr>
<td>Interest and dividends</td>
<td>12,704</td>
<td>–</td>
<td>12,704</td>
<td>16,117</td>
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<tr>
<td>Other income</td>
<td>287</td>
<td>–</td>
<td>287</td>
<td>630</td>
</tr>
<tr>
<td></td>
<td>153,256</td>
<td>–</td>
<td>153,256</td>
<td>(254,345)</td>
</tr>
<tr>
<td>Investment expenses</td>
<td>2,080</td>
<td>–</td>
<td>2,080</td>
<td>2,992</td>
</tr>
<tr>
<td>Net investment revenue</td>
<td>151,176</td>
<td>–</td>
<td>151,176</td>
<td>(257,337)</td>
</tr>
<tr>
<td>Expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grants awarded, net of returns</td>
<td>28,797</td>
<td>–</td>
<td>28,797</td>
<td>36,810</td>
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<tr>
<td>Administrative and program expense</td>
<td>5,486</td>
<td>–</td>
<td>5,486</td>
<td>5,562</td>
</tr>
<tr>
<td>Excise tax</td>
<td>564</td>
<td>–</td>
<td>564</td>
<td>(1,588)</td>
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<tr>
<td>Total expenses</td>
<td>34,847</td>
<td>–</td>
<td>34,847</td>
<td>40,784</td>
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<tr>
<td>Net assets released from restrictions</td>
<td>1,114</td>
<td>(1,114)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Increase (Decrease) in Net Assets</td>
<td>117,443</td>
<td>(1,114)</td>
<td>116,329</td>
<td>(298,121)</td>
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<tr>
<td>Net Assets, at beginning of year</td>
<td>611,048</td>
<td>1,114</td>
<td>612,162</td>
<td>910,283</td>
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<tr>
<td>Net Assets, at end of year</td>
<td>728,491</td>
<td>–</td>
<td>728,491</td>
<td>612,162</td>
</tr>
</tbody>
</table>

Published July 2010.

The Joyce Foundation’s most recent audited financial statements are posted at www.joycefdn.org.
# Board of Directors

<table>
<thead>
<tr>
<th>John T. Anderson, Chairman</th>
<th>Anthony S. Earl</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charles U. Daly, Vice Chairman</td>
<td>Roger R. Fross</td>
</tr>
<tr>
<td>Ellen S. Alberding</td>
<td>Howard L. Fuller</td>
</tr>
<tr>
<td>José B. Alvarez</td>
<td>Carlton L. Guthrie</td>
</tr>
<tr>
<td>Robert G. Bottoms</td>
<td>Daniel P. Kearney</td>
</tr>
<tr>
<td>Michael F. Brewer</td>
<td>Paula Wolff</td>
</tr>
</tbody>
</table>
Staff

President
Ellen S. Alberding

Vice President
Lawrence N. Hansen

Vice President, Finance & Administration
Deborah Gillespie

Director of Communications
Charles M. Boesel

Director of Investments
Jane R. Patterson

Director of Strategic Initiatives
Gretchen Crosby Sims

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Angela Rudolph

EMPLOYMENT
Whitney Smith, Program Manager

ENVIRONMENT
Ed Miller, Program Manager
Molly Flanagan

GUN VIOLENCE
Nina Vinik

MONEY AND POLITICS
Lawrence N. Hansen

CULTURE
Michelle T. Boone

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Jessica Illingworth

Controller
Gil M. Sarmiento

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Veronica Salter

Support Staff
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Carol A. Donahue
(Environment, Money and Politics)

Monique Etienne
(Employment, Gun Violence)

Jessica McElroy
(Executive Assistant to the President)

Bonny Saringer
(Education)

Alice Taylor
(Assistant to the Vice President, Finance and Administration)
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Oak Street Beach provided
courtesy of Terry Evans

Waving flags by Daniel Acker/
Bloomberg/Getty