Creating a College and Career Readiness Accountability Model for High Schools

Executive Summary
The federal government announced in late 2011 that as an alternative to waiting for Congress to reauthorize the Elementary and Secondary Education Act (ESEA), the U.S. Secretary of Education would consider requests from states to waive certain requirements under the No Child Left Behind Act of 2001 (NCLB). The opportunity to request waivers carries with it a requirement that states develop new systems of accountability that support educators, improve academic achievement, and close achievement gaps.

The federal waiver process presents a unique opportunity for states to create accountability systems that focus on preparing students for college and careers. After careful consideration of current state and district accountability models for high schools and conversations with a number of state education leaders about accountability, the NGA Center for Best Practices recommends that states consider the following principles when designing a college career readiness accountability system for high schools:

1. Use multiple measures to determine school and district performance in the areas of assessment, graduation, college and career readiness, and school environment;
2. Provide incentives for preparing the hardest-to-serve students for college and career, including comparing the performance of schools and districts with similar student populations; and,
3. Set realistic targets for accountability measures that are grounded in research and realistic given past school or district performance.

As governors and other stakeholders work on new models of school and district accountability, it is critical that performance measures be closely aligned to overall state goals, such as preparing all students for college and careers. With the creation of new, innovative models of college and career readiness accountability systems, policymakers can focus on the policies and supports that schools and districts need to close their achievement gaps.

Introduction
The federal government announced in late 2011 that as an alternative to waiting for Congress to reauthorize the Elementary and Secondary Education Act (ESEA), the U.S. Secretary of Education would consider requests from states to waive certain requirements under the No Child Left Behind Act of 2001 (NCLB). The opportunity to request waivers carries with it a requirement that states develop new systems of accountability that support educators, improve academic achievement, and close achievement gaps.

New state models of accountability must not only hold districts and schools responsible, but also create systems of support and recognition for schools that are performing well.

States have a unique opportunity to build new systems of accountability that are innovative and experimental. If successful, their innovations could eventually be used as part of a federal accountability system that holds states and local education agencies responsible for the success of educators and students in ways not found in most modern accountability systems. States also have the opportunity to change the elements of
current state accountability systems that have not been effective in improving educator quality, improving student outcomes, and closing achievement gaps.

What Is Wrong with the Current Federal Accountability System?
In 20 states, both a state and a federal accountability system are in place for schools. The federal waiver process presents an opportunity to bring the two systems in line and expand their focus to include preparing students for college and career. The measures used under NCLB do not provide a full picture of student performance. Moreover, the goal of 100 percent proficiency by 2014 is unrealistic.

Measures Not Meaningful
The measures of student performance that NCLB requires do not capture the full picture of a student’s performance in school. Under NCLB, states are required to assess students in mathematics, English/language arts, and science in grades three through eight and once in high school. Additionally, states must include one “other” measure of performance for which schools and districts are held accountable. Traditionally, the other measures have been schoolwide attendance in elementary and middle schools and the four-year cohort graduation rate in high schools.

Though all of those measures are important components of student performance, they are deficient for three important reasons. First, the measures serve as a disincentive for schools to support struggling students. Research suggests that accountability based on student performance on state assessments, rather than on student growth, has led schools to focus on students whose scores are closest to the “proficient” level. That often means that students whose scores are lower get less attention and remediation. Further, struggling students may be discouraged from staying in school because removing them from the group of students taking state assessments can improve a school’s chance of meeting federal expectations. The practice of “pushing out” students is difficult to document; however, practitioners acknowledge that some students, often those whose performance is significantly lower than their peers’, are not encouraged to stay in school or provided with the supports they need to persist.

Second, aggregate measures of performance can hide the students who are most at-risk. For example, average school attendance does not highlight the number of students who miss a significant number of days. Although it is important to monitor how the school is doing as a whole, it is much more important to monitor how many students are missing an inappropriate amount of school. Research suggests that the probability of graduation is nearly two-and-a-half times better for a student who has 10 or fewer absences than for a chronically absent student (one who missed more than 10 percent of school days in a year). Whole-school attendance averages may hide students who are falling off track.

Finally, the measures of student performance are not sufficient to provide a full picture of student or school performance. A singular focus on proficiency does not allow a school (or teacher) to earn credit for a student who has grown academically over the course of a school year but still fails to earn a “proficient” score on an assessment. The use of growth measures is one way to address that concern. Using growth allows schools to earn credit for the ability to help students grow academically in spite of being behind. Measuring growth could benefit schools that serve a significant number of students who are not on track to graduate, are overage, or are English language learners, or who require special education services.

Performance Goals Are Unachievable
The requirement that all schools reach 100 percent proficiency by 2014 is perhaps the most significant challenge for states. A number of states, such as Missouri and South Carolina, were required to increase the number of students meeting adequate yearly prog-
ress (AYP) targets by 7 percent to 8 percent a year for a decade because they started out with only a few students reaching a very high bar for proficiency. Such large gains are not found in the schools demonstrating the greatest amount of growth nationally, let alone all of the schools in a state, making the goal of 100 percent proficiency virtually unattainable. The aspirational aspect of the goal helped bring to light the importance of helping all students succeed; however, that particular target runs contrary to the research on goal setting, which has found that in any area, goals must be achievable, as well as challenging and meaningful, if they are to motivate people to work harder. In 35 states more than a quarter of the schools failed to make AYP in 2008–09. In nine states more than half of all the schools missed the target. As annual targets continue to rise on the path to 100 percent, the number of schools labeled “failing” under the NCLB definition grows each year. For instance, nearly 87 percent of the schools in New Mexico missed performance targets in the 2010–11 school year. Even in Tennessee, a first round Race to the Top grantee, only half of the schools are meeting federal performance standards.

Recommended College and Career Readiness Accountability Model for High Schools

Teachers and school administrators focus on the things for which they are accountable. Research indicates that in grades and subjects in which there are tests whose scores are components of district or school accountability, student achievement improves. In a time when there is a national consensus that schools should focus on students’ college and career readiness, it is critical for states to design accountability systems that measure the numbers of students who are college and career ready. Many states have already embarked on that path. After careful consideration of current state and district accountability models for high schools, and conversations on accountability with a number of state education leaders, the NGA Center recommends that states consider the following principles when designing a college and career readiness accountability system for high schools:

1. Use multiple measures to determine school and district performance.
2. Provide incentives for preparing the hardest-to-serve students for college and careers.
3. Set realistic targets for accountability measures.

This brief focuses explicitly on accountability for high schools because the high school level presents the greatest opportunity for state innovation, and it is the point where college and career readiness becomes a reality for most students. This focus, however, is not intended to suggest that assessments and accountability are unimportant in earlier grades. College and career readiness measures are harder to capture for students in elementary and middle school, given the amount of time remaining in their school careers. However, states could tailor the proposed model to hold elementary and middle schools accountable by limiting the emphasis on college and career readiness measures, as many of the states that submitted first round waiver applications did. School-level accountability is but one component of a state’s accountability structure. States also need to continue their focus on student- and educator-level accountability, as well as to determine supports and rewards for students, educators, schools, and districts.

Use Multiple Measures

When building new accountability systems, states need to include a broad range of measures that take into account the full picture of student performance. Yet, states must also guard against including too many measures in their accountability systems. The measures selected need to be meaningful, that is, each must be directly linked to the overall performance goal of college and career readiness. Each must be actionable, so that teachers and administrators know how to help students improve on that particular measure. And each must be limited, so that teachers and administrators are not stretched too
thin or overwhelmed. The NGA Center recommends states build their high school accountability systems to include measures in the areas of assessment, graduation, college and career readiness, and environment (see the appendix for a full list of proposed measures).

Assessment
Many current state high school assessments address knowledge and skills that students learn early in high school. Unfortunately, those assessments do not provide information about whether a student is ready for college and career. The large number of students who require remedial coursework after they enter postsecondary education demonstrates the importance of focusing on preparing and then assessing students’ college and career readiness. Providing college students with remedial coursework now costs an estimated $1.4 billion annually. To address that problem, 45 states have joined forces in two consortia (Partnership for Assessment of Readiness for College and Careers and Smarter Balanced Assessment Consortium) to develop common assessments that will identify whether students are prepared for college and careers and provide the states with more detailed information about their numbers. Although much work remains to design and validate the assessments, states should begin planning to incorporate information about the college and career readiness of their students into their new accountability systems immediately.

For the areas of mathematics and English/language arts, states should plan to use the new assessments to hold schools accountable for the percentages of students who score at the levels “college and career ready” and “approaching/emerging college and career readiness,” as well as the percentage whose growth is “adequate,” as determined by the state. States should include those measures for an assessment on science as well, but they will have to use state-developed assessments to obtain the information for the foreseeable future. States may also want to include other subjects, such as history or other subjects assessed through end-of-course exams, to provide a more robust picture of student learning in their accountability system.

The federal Race to the Top assessment grant program requires that states participating in the two consortia establish common performance-level definitions across the performance continuum, including “college and career ready” (CCR). The model included in this brief operates under the assumption that the scores required for high school graduation and for the designation “college and career ready” are different. The term “approaching/emerging college and career readiness” (A/E CCR) is used to signify the level directly below CCR, which could be used initially as the graduation score level by the 25 states that require or plan to require an exit exam for high school graduation.

The assumption of different score levels for graduation and college and career readiness is in place for two reasons. First, to prevent large numbers of students who have not been in the system long enough to have had extensive exposure to the content aligned to the Common Core State Standards from being deemed “not ready” for college and careers. Second, to protect the integrity of the CCR performance level from pressure to lower the expectation. The CCR level must truly represent performance that indicates readiness for credit bearing courses for postsecondary institutions to use the score in placement decisions. In this scenario, over time, states could increase their annual targets to the point where their graduation expectation is the “college and career ready” level. When a state decides that the CCR level is the graduation requirement, then that score category would receive greater weight in the proposed index.

Graduation
High school graduation is the single largest hurdle that students must clear to enroll in postsecondary education and training. Students who do not graduate high school are less likely than others to become employed and, on average, earn less than their peers with some postsecondary education. An accurate, cohort-based measure of the number of on-time graduates in a given year is an essential measure of system performance. Forty-five states will have released their four-year cohort graduation rates.
The four-year cohort graduation rate must remain the common benchmark against which all schools are judged. Four years is the traditional time for a student to move through high school. However, more than 20 percent of high school students do not graduate in four years. The persistence of students beyond four years must be rewarded as a valuable alternative to dropping out. Schools and districts should be accountable for an extended, five- or six-year-cohort graduation rate. Just as a marathon runner’s time is tracked even after he or she has missed a qualifying time, states should continue to encourage students to earn a high school diploma beyond four years and should continue to track them. Currently, only 10 states have approval to use extended-year rates in federal accountability decisions. Of the 11 states that submitted waiver applications in the first round, four proposed to include an extended-year graduation rate. The number of states using an extended-year rate is likely to increase as longitudinal cohort data become more available.

Credit accumulation is a measure of the pace at which a student is progressing through high school. States should monitor, and hold schools accountable for, the number of students who are on track to graduate, as well as the number who are accumulating credit at a faster pace than traditionally expected. Accountability based on accelerated credit is beneficial for two populations of students. Students who are off track need to be able to accumulate credit at a faster pace than traditionally expected to graduate within four years. Schools should also encourage students who demonstrate readiness for college to progress with their studies at an accelerated pace. Accelerating those students benefits the school in terms of efficiency, as well as the student, who can earn college credit at little or no cost. A majority of states can currently capture credit accumulation, and all of those that accepted federal funds under the American Recovery and Reinvestment Act are required to do so by September 2012.

Interim Measures of College and Career Readiness

All states have the data to calculate the measures proposed by the NGA Center, except for the information from the college and career readiness assessments under development by the two federally funded assessment consortia (PARCC and SBAC). Until those exams are available, in the 2014–15 school year, states will need to identify interim assessment measures for determining the percentage of students who are ready for college and work. For some states, that may mean using a cross-walk score from another assessment, such as the National Assessment of Education Progress (NAEP), to estimate a percentage of students who are college and career ready. (The National Assessment Governing Board plans to release a cross-walk study providing this information.) Other states might choose performance on college entrance exams, such as the SAT or ACT, for calculating readiness.

Although those methods are necessary in the interim until the new assessments are available, states should not place great weight on these scores in their accountability systems because they are, at best, estimates. In the case of the SAT, moreover, they reflect students’ aptitude, not their mastery of college and career readiness standards.
**College and Career Readiness**

States should hold schools and districts accountable for the percentage of students who pass a dual enrollment or dual credit course, who score “proficient” on an Advanced Placement (AP) or International Baccalaureate (IB) exam, or who earn a career certificate, as a way to further encourage college and career preparation. Students who obtain college credit in high school—through dual enrollment, dual credit, or AP or IB programs—are more likely to enroll in college and complete a degree. Many districts assess students in dual credit and enrollment courses, yet the quality of those courses can vary across schools and districts. States should consistently evaluate whether the courses truly represent college-level work. At the same time, students who earn a career certificate are better prepared for entry into a job or further training. Leaving high school with college credit or a career certificate not only shows that a student is ready for postsecondary success but also provides a head start toward that objective. Indiana, Florida, and Oklahoma currently include these measures in their state accountability systems as a way of recognizing those important indicators of college and career readiness.

Research suggests that an additional set of attributes that states have not begun to assess are also critical for a student’s preparation for college and career (see the text box “Other Measures of College and Career Readiness”). As assessments for those skills become available in the future, states may want to include the scores in their accountability systems.

**School Environment**

School environment is one of the most important measures of school and district performance, but it is often overlooked. There are three critical methods that states can use to monitor school environment: student surveys, teacher conditions surveys, and analysis of chronic absenteeism. School working conditions surveys consistently indicate that the culture and working conditions in a school affect teacher and student performance. Many states, such as North Carolina and Maryland, administer school working conditions surveys. They use the data to make policy decisions and also require districts to use them to cre-

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**Other Measures of College and Career Readiness**

State assessments in content areas such as mathematics focus entirely on the knowledge and skills outlined in standards. It is absolutely critical that students master that content to meet the standards. But research indicates that many other student attributes are critical for success in higher education. a

Critical thinking, problem solving, and even persistence are critical not only for students entering higher education but also for those going directly into the workplace. To date, no state has incorporated the acquisition of such skills into its accountability system. But if the goal is truly to prepare students for life beyond high school, states need to incorporate them into curricula, assessments, and even accountability systems.

States may also want to consider actual postsecondary outcomes. Metrics such as enrollment, remediation, and persistence can help determine whether schools are meeting the ultimate goal of college and career readiness. Incorporating those measures into the accountability system could lead educators to think about nonacademic skills as components of their improvement efforts.

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ate district improvement plans. North Carolina also uses the results of its surveys to evaluate school principals on their ability to improve working conditions. Working conditions survey items vary from state to state. For example, some ask respondents whether academic expectations are clearly communicated, about the level of student engagement, and whether an atmosphere of safety and respect exists.

The use of student surveys appears to be growing. Some foundations have invested in studying the extent to which student surveys predict how much the students are learning. The Measures of Effective Teaching project (MET) is examining what students’ perceptions of their teacher, their learning environment, and their school can tell schools and school districts about what happens in classrooms and how to improve both teacher practice and student learning.¹⁹

Student attendance data can also be helpful. The percentage of students missing school for extended periods can indicate student disengagement, which is often a precursor of dropping out of school.²⁰ Student disengagement can occur, for example, when the student is not receiving the academic, social, or emotional supports he or she needs to be successful in school. Students learn and retain information when they are engaged, which they cannot be if they are missing school. Holding schools and districts accountable for chronic absence data can help prevent student disengagement.

**Other Considerations**

States should consider aggregating the scores for each individual measure into an index that provides a single, overall score or letter grade for a school or district. Although states will likely place different levels of emphasis on the various metrics, general guidelines can be followed when assigning points:

- Assessment and graduation measures should account for at least half of all points allocated, with each accounting for no less than 25 percent, and should include a greater emphasis on growth and the four-year-cohort graduation rate.
- College and career readiness and school environment measures should each account for at least 10 percent of all points allocated.
- Bonus points available should be no greater than the weight for the smallest category of points elsewhere in the index (e.g., college and career readiness, school environment), so that schools and districts cannot completely ignore any category.

In particular, it is critical that graduation measures remain a significant component of the new accountability systems to ensure that schools have a direct incentive to serve all students. If the graduation rate does not receive significant weight in the index, schools will not see positive increases in their accountability scores if they achieve significant graduation rate improvements. At the same time, schools could increase their accountability scores without increasing their graduation rate. It is essential that states not allow one of the most important outcomes of high school to be overlooked.

**Provide Incentives for Preparing the Hardest-to-Serve Students for College and Career**

Schools and districts should receive additional credit for supporting all students on the path to college and career readiness, with a special emphasis on hard-to-serve student populations. Bonus points should be awarded for year-to-year improvement in:

- The percentage of students scoring at the “college and career ready” level on the new federally funded assessments;
- The four-year-cohort graduation rate;
- The percentage of students demonstrating success on a college and career readiness measure;
- The percentage of students demonstrating accelerated credit accumulation;
- The percentage of graduates enrolling in post-
secondary education or obtaining employment with a family-sustaining wage within one year of graduation; and

- The percentage of students enrolling in postsecondary education who do not require remediation.

Each of those measures should include additional emphasis on improvements made by students who are overage and undercredited, limited English proficient, or receiving special education services and those who scored in the bottom 25 percent on assessments in eighth grade. For example, states could give more weight to a school’s scores on measures for students in those special populations.

Further, states should incorporate a “peer index” when determining the rating of a school, to account for differences in hard-to-serve student populations (off track, overage and undercredited, limited English proficient, receiving special education, or performing poorly on state assessments). Both California and the New York City school district use a peer index that accounts for the “degree of difficulty” facing a school. As, for example, a competitive diver is awarded points for executing a dive based on its technical difficulty (referred to as “degree of difficulty”), in that model, schools are rewarded for improvements both in overall performance and in the performance of students whose proficiency levels are the school’s lowest.

A peer index compares a school’s scores on the identified measures to a set of schools, known as “peer schools,” that have similar student body characteristics (such as percentages of students scoring at the “basic” level on state assessments, for example). Schools that outperform their relative peers receive more points for the particular measure. In that system, schools are also compared to the overall state average on particular measures. Creating a peer index ensures that schools are on a level playing field when their performance is judged.

Set Realistic Targets for Accountability Measures

Although it is important to set ambitious goals for student performance, being overambitious and unrealistic can be detrimental to efforts to improve schools. One of the greatest lessons learned from NCLB is that states should not set a goal that is too ambitious. Individuals may disregard a goal if it does not seem achievable. For states, the most challenging aspect of setting performance targets is setting ambitious targets that are not unrealistic.

Performance targets should be realistic given the starting points of the students and the resources available to help them improve. States should consider their targets in relation to leading schools, districts, and states. As state longitudinal data systems become fully operational, states need to identify schools and districts that are making the most progress and calibrate subsequent state improvement targets to reflect the progress that those models demonstrate is possible. For example, Colorado produces a report for each school and district that details individual student growth—disaggregated by subpopulation—in comparison with the rest of the state. A state that aims to increase the percentage of high school students with college credit may choose to benchmark its performance to past growth in the percentage of students scoring a 3 or higher on an AP exam or to the state with the greatest five-year increase on that indicator (Vermont, at 6 percent). While taking into account new funding opportunities and policy changes, states should aim for relatively consistent progress across the length of the goal. Delaying expected gains until the end of the performance period may not spur immediate action.
Establish Transparency as the Foundational Principle of Accountability

Accountability for public spending is essential. Transparency is an effective way to engender public trust. Over the last 10 years, states have increased the amount of data that they report publicly. The movement toward accountability through transparency should continue and expand. States should not only report an expanded set of disaggregated performance data but should also begin to report school, district, and state education spending decisions. However, when determining how much and which data to report, it is important to balance transparency and the integrity of the accountability system. Transparency should not take precedence over ensuring that the data points used to make decisions about school ratings or accreditation are sound and accurate.

The ability to monitor performance and to study the particular aspects of success and failure is critical for ensuring system transparency and identifying areas for improvement. For example, states can require that information about the postsecondary outcomes of students be provided to high schools. Those data are critical, as they enable teachers and administrators to calibrate their preparation of students with postsecondary expectations. Forty-four states have the technical ability to provide this information to all high schools, but to date, only eight provide evidence of college readiness in individual high school feedback reports to all schools. States also can monitor student mobility in high schools, to track which schools are net importers or exporters of students and how that affects accountability measures, such as graduation rates.

Transparency of financial data can accomplish two things. First, it can be a check against the improper uses of funds, which may arise with greater spending flexibility. Second, it can enable practitioners and researchers to identify areas where efficiencies could be achieved. To obtain this transparency, the states could publicly report financial information on their state education agency websites. States could also create a common financial reporting system for all schools, districts, and education agencies to use, as Rhode Island recently did through its Uniform Chart of Accounts.


b. For more information, see http://www.ride.ri.gov/Finance/funding/Uniform%20Chart%20of%20Accounts.
Conclusion
As states embark on designing new models of school and district accountability, it is critical that the performance measures be closely aligned to overall state goals, such as preparing all students for college and career. Once the U.S. Department of Education approves the new accountability systems, and performance targets that are realistic and meaningful are in place, policymakers can focus on the policies and supports necessary for schools and districts to close their achievement gaps. States are in a prime position to lead in designing new, innovative college and career readiness accountability systems for high schools that will ultimately become the foundation for a reauthorized Elementary and Secondary Education Act.

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## Appendix. Proposed State High School Accountability Measures

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<th>Categories</th>
<th>Measures</th>
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<tbody>
<tr>
<td>Assessment</td>
<td>% of students rated “college and career ready” on assessments in English/language arts and math</td>
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<tr>
<td>Graduation</td>
<td>4-year-cohort graduation rate</td>
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<tr>
<td>College and career readiness&lt;sup&gt;a&lt;/sup&gt;</td>
<td>% of students who score “proficient” on AP/IB exam, pass a dual credit/enrollment course, or earn a career certificate</td>
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<tr>
<td>School environment</td>
<td>Teacher working conditions survey</td>
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<tr>
<td>Bonus&lt;sup&gt;e&lt;/sup&gt;</td>
<td>% of students rated college and career ready on assessments in English/language arts and math</td>
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Notes

4 Annual improvements varied by state depending on where a state placed its proficiency cut score. Schools in states such as Missouri and South Carolina were required to accomplish significant growth annually because they started out with few students reaching a very high bar for proficiency.
5 Robert Linn, “Toward a More Effective Definition of Adequate Yearly Progress” (paper prepared for the measurement and Accountability Roundtable sponsored by the Chief Justice Earl Warren Institute on Race, Ethnicity and Diversity, Washington, DC, 2006). Available at: http://www.law.berkeley.edu/centers/ewi-old-research/k12equity/Linn.htm.
12 Center on Education Policy, “State High School Tests: Changes in State Policies and the Impact of the College and Career Readiness Movement” (Washington, D.C.: 2011). Available at: http://www.cep-dc.org/cfcontent_file.cfm?Attachment=Dietz%5FHSEE2011%5F120811%2Epdf. States that have exit exam requirements are responsible for determining the score level required for graduation. This score level may be set individually or collectively using one of the score levels developed by the PARCC or SBAC consortia. Given governors interest in comparability of assessment scores, states with exit exam requirements should consider establishing a common performance level for high school graduation.
16 For a summary of the proposed metrics in the state waiver applications submitted in the first round, see http://www.edweek.org/media/13waiver-c1.pdf.
18 In states that are moving to greater emphasis on proficiency-based models of learning, the measurement of chronic absenteeism should in no way be considered a barrier to allowing students to gain credit outside of the school day. The measurement of chronic absenteeism should focus on those students that are taking courses that require regular attendance at school (whether in a building or online).
19 For more information on the MET project, see http://www.metproject.org/.
21 Ibid.
22 Ibid., “Toward a More Effective Definition of Adequate Yearly Progress.”
23 For an example of a district growth summary report, see https://cedar2.cde.state.co.us/documents/Growth2009/DistrictSummary/1220.pdf.