

DOCUMENT RESUME

ED 471 641

JC 030 085

AUTHOR Downey, John A.
TITLE Who Assesses the Assessors? Measuring Administrative Effectiveness in Higher Education.
INSTITUTION Blue Ridge Community Coll., Weyers Cave, VA. Office of Institutional Research.
PUB DATE 2002-00-00
NOTE 26p.
PUB TYPE Information Analyses (070) -- Opinion Papers (120)
EDRS PRICE EDRS Price MF01/PC02 Plus Postage.
DESCRIPTORS *Administrative Organization; *College Administration; *College Presidents; Community Colleges; *Evaluation; Leadership Effectiveness; Leadership Responsibility; Leadership Styles; *Outcomes of Education; *Performance Based Assessment; School Administration; Two Year Colleges

ABSTRACT

This paper argues that college administrators have largely escaped the scrutiny of the otherwise far-reaching and comprehensive outcomes assessment movement in higher education. This paucity of assessment data regarding managers in higher education is especially remarkable in light of the fact that many internal and external constituency groups generally view college administrators, not faculty, as being responsible for initiating improvements in institutional performance. The author examines current trends and best practices in the assessment of academic administrators, draws comparisons between administrative effectiveness measures and those used for curricular and institutional effectiveness measures, and makes recommendations for improving measures and methods of administrative effectiveness. The author distinguishes between the terms "accountability" and "responsibility" as they are used in higher education. Both the public and the political bodies that represent the public view administrative leaders as accountable for the performance of higher education institutions, while higher education administrators view faculty as responsible for curricular responsiveness and change. One hypothesis concerning the current status of assessment measures involves the possibility that higher education leaders may have responded too quickly to the public cry for accountability, perhaps missing the fundamental questions being asked as a result. Contains 40 references. (AUTH/NB)

ED 471 641

U.S. DEPARTMENT OF EDUCATION
Office of Educational Research and Improvement
EDUCATIONAL RESOURCES INFORMATION
CENTER (ERIC)

- This document has been reproduced as received from the person or organization originating it.
- Minor changes have been made to improve reproduction quality.
- Points of view or opinions stated in this document do not necessarily represent official OERI position or policy.

PERMISSION TO REPRODUCE AND
DISSEMINATE THIS MATERIAL HAS
BEEN GRANTED BY

J. Downey

TO THE EDUCATIONAL RESOURCES
INFORMATION CENTER (ERIC)

1

Who Assesses the Assessors?

Measuring Administrative Effectiveness in Higher Education

John A. Downey

Blue Ridge Community College

JC030085

Abstract

College administrators have largely escaped the scrutiny of the otherwise far-reaching and comprehensive outcomes assessment movement in higher education (Heck, Johnsrud, & Rosser, 2000; Hoekema, 1999). This relative paucity of assessing managers in higher education is remarkable in light of the observation that many internal and external constituency groups generally view college administrators, and not the faculty, as responsible for initiating improvements in institutional performance (Banta, 1993; Birnbaum, 2000; Ewell, 1993; Gumpert & Pusser, 1997; McLaughlin, 1996; Seldin, 1988; Young & Knight, 1993). The purpose of the present article is to examine current trends and best practices in the assessment of academic administrators. Comparisons are drawn between administrative effectiveness measures, and those used for curricular and institutional effectiveness procedures. Finally, recommendations for improving measures and methods of administrative effectiveness are proposed.

Assessment of Administrative Effectiveness

College administrators have largely escaped the scrutiny of the otherwise far-reaching and comprehensive outcomes assessment movement in higher education (Heck, Johnsrud, & Rosser, 2000; Hoekema, 1999). Despite the ever-increasing interest of state legislators, governing boards, accrediting agencies, business leaders and the general public regarding higher education accountability (Atwell, 1996; "AGB's top ten policy issues", n.d.; Banta, 1993; Diamond, 1998; Gibbs, 1999; Guskin & Marcy, 2001; Waller, Coble, Scharer, & Giamportone, 2000), relatively little emphasis has been placed on consistently measuring and improving administrative effectiveness in higher education (Dyer & Miller, 1999; Heck, et al., 2000; Hoekema, 1999; Scarborough, 1999; Seldin, 1988). The relative lack of effort in assessing managers in colleges and universities is remarkable in light of the observation that many internal and external constituency groups generally view administrators, and not the faculty, as responsible for initiating improvements in institutional performance (Banta, 1993; Birnbaum, 2000; Ewell, 1993; Gumpert & Pusser, 1997; McLaughlin, 1996; Schmidt, 2002; Seldin, 1988; Young & Knight, 1993).

College administrators have, of course, been evaluated in higher education for many years (Seldin, 1988). However, many of these evaluative processes differ in purpose and scope from the spirit of the outcomes assessment and institutional effectiveness movements that have pervaded the higher education landscape of the past two decades. Evaluations are typically viewed as a necessary method of providing a supervisor with information relative to one specific employee, and for the purpose of making personnel-related decisions relative to that employee. In other words, evaluations provide quantifiable data for "high stakes" personnel decisions, such as promotion, dismissal, and contract renewal (Heck, et al., 2000; Seldin, 1988). Nonetheless,

Seldin (1988) promoted the broader perspective that evaluations ought to be conducted fundamentally to identify an employee's need for improvement, to target weaknesses so as to recognize professional development needs, and ultimately to enhance institutional performance.

Seldin's (1988) point of view notwithstanding, evaluation methods are often ineffective for promoting positive institutional change because they are rooted in politics (Heck, et al., 2000), lack benchmark comparisons with similar positions across higher education (Dyer & Miller, 1999) or fail to meet many of the fundamental requirements of successful appraisal systems (Seldin, 1988, p. 40). As an aside, a story told by Masiello (1999) about Woodrow Wilson highlights the fact that politics is not a new topic in higher education. Masiello writes that when Wilson left the presidency of Princeton University for a position in government, he quipped "he had learned the art of politics from the professionals and was now going to Washington to practice with the amateurs" (Masiello, 1999, p. 61).

These issues of politics and subjectivity in administrative evaluation are not unlike similar concerns faced by practitioners of the institutional effectiveness and assessment movements. These movements have gained prominence in higher education as a direct reaction to widespread public dissatisfaction about the purpose and effectiveness of higher learning, and are accompanied by a strident call for accountability on the part of policymakers (Birnbaum, 2000, Lucas, 1996). Resource constraints contribute to higher education's thrust toward quality and efficiency, according to Gumpert and Pusser (1997), and the end goal of such efforts is a drastic re-conceptualization of higher education institutions. Despite this propulsion of higher education toward the requirement of measuring effectiveness, the assessment movement has been characterized by conflict over the identification of quality standards, the operational definitions of such standards, attempts to quantify the values inherent in the teaching/learning

process, and the uneven application of criteria relative to quality measures (Lucas, 1996; Miller, Finley, & Vanko, 2000).

The purpose of the present article is to examine current trends and best practices in the assessment of academic administrators. Comparisons are drawn between administrative effectiveness measures, and those used for curricular and institutional effectiveness procedures. Finally, recommendations for improving measures and methods of administrative effectiveness are proposed.

The Call to Accountability

Ironically, accountability and responsibility are frequently not aligned in institutions of higher learning. For example, Ferren (1997) faults the delegation of curricular authority from boards and presidents to the faculty as contributing to the confusion between college responsibility and fiscal accountability. Specifically, she views the lack of contact between the faculty responsible for the development of courses and curricula, and the administrators accountable for cost containment and fiscal stability, as an obstacle to the nearly impossible task of managing the curriculum for fiscal stability (Ferren, 1997, p. 534).

This disconnect between responsibility and accountability is a unique characteristic of higher education that derives directly from the historical form and function of the enterprise, an enterprise in which decision making is diffused throughout the organizational structure (Birnbaum, 1988). This unique structure is even more problematic in that there is general disagreement among the various constituency groups within higher education (e.g., faculty, administrators, alumni, staff and students) regarding the amount of influence desired from the very source of legal authority for collegiate decision making, boards of trustees (Birnbaum, 1988). Finally, this ambiguity is further complicated by the fact that many collegiate boards of trustees are rejecting the notion that they

should function only as policy overseers and are taking a more active role in everyday governance decisions (Fisher & Koch, 1996; Ingram, 1996; Seldin, 1988).

One reason why colleges have struggled so much with the accountability mandate, and with the changing nature of governmental roles relative to postsecondary education (Gibbs, 1999), is because the unique historical attribute of diffused decision making in higher education is in direct conflict with public perception. The public believes that those responsible for curricular changes are also accountable for their outcomes, mainly because that is the way most businesses function. Arguably both the public, and the political bodies that represent the public, view administrative leaders as accountable for the performance of higher education institutions. Meanwhile, higher education administrators view faculty as responsible for curricular responsiveness and change. The public finds it unfathomable that change cannot occur in higher education through administrative fiat.

This clash between accountability and responsibility is described by Dill (1982) as a conflict between ideology and bureaucracy within academic institutions, a quarrel that always results with ideology as the victor. Dill (1982, p. 264) writes:

The very authority of the enterprise therefore rests on obedience to a set of values or ideological norms. For example the legitimacy of rules and regulations within academic institutions is determined by their consistency with the goals of academic ideology. When professional commitment to these norms (e.g., the pursuit of truth), comes into conflict with bureaucratic rules (e.g. governmental requirements that researchers obtain the consent of their subjects) priority is given to ideology (e.g. many researchers ignoring the regulations in conducting the research).

Dill's use of the research example could be easily replaced with the governmental call to accountability. Perhaps the bureaucratic notion of measuring the outcomes of learning clashes so fundamentally with the unquestioned ideology of the teaching enterprise, that assessment

regulations are easily dismissed by faculty because of their shared belief about the value of instruction.

In the same fashion, shared ideology among academic administrators concerning their roles and functions may contribute to difficulty in assessing their effectiveness. Many of the problems of measurement that plague other accountability movements in higher education also are relevant to administrative evaluation. Concern over the fairness of subjective evaluation, the inability to create measurable outcome statements related to job performance, and the variety of roles assigned to academic administrators, even those with similar job titles, all contribute to measurement difficulty.

Ironically, many of the fears about administrative evaluation that are expressed by administrators in higher education are indistinguishable from the arguments faculty members use when criticizing measures of teaching effectiveness. Few individuals like their performance to be judged (Miller, et al., 2000), especially when such judgments are made subjectively (Heck, et al. 2000), or on the basis of the relative achievements of others, i.e. student performance (Muffo, 1996). Despite these concerns, faculty and administrators will have little choice but to “tolerate this kind of assessment measurement” despite the tacit recognition that “the efficacy and quality of faculty work involve imponderables not reducible to any meaningful quantification” (Lucus, 1996, p. 239). Eaton (2001) emphasizes the enduring nature of the contradiction between the inevitability of accountability standards on the one hand, and the inadequate measures of accountability on the other. Despite the view of some in academe that the focus on student outcomes “is a call to reduce the teaching and learning experience to measurable objectives that cannot capture the true richness of the collegiate experience”, critics of postsecondary education contend that current methods of learning outcomes clearly will not satisfy all of higher education’s constituents (Eaton, 2001, p. 43).

Historically, higher education administrators have viewed resource acquisition, allocation, and spending as synonymous with quality (Lucus, 1996). However, as the public demanded more tangible measures of institutional success at the collegiate level, student learning outcomes became the focus of institutional effectiveness measures toward the goal of documenting that graduates have actually accomplished “learning” (Lucus, 1996). Chaffee (1998) describes this response to external demands for accountability as higher education’s new “customer focus”. However, Chaffee also cites the difficulties associated with achieving a common understanding of this term as the single greatest factor contributing to the declining quality of management in colleges.

One intriguing hypothesis concerning the current status of higher education assessment measures involves the possibility that, perhaps defensively, higher education leaders may have responded too quickly to the urgent public cry for accountability. In their haste to provide some reasonable response to questions about the fundamental purpose and nature of higher education, questions rarely asked in previous generations, administrators responded by providing a tidal wave of quantifiable data. In the rush to justify all that is done in higher education, perhaps we have missed the fundamental questions truly being asked by an impatient public.

Chaffee (1998) implies this point by suggesting that answers provided to the public by higher education leaders thus far, have merely generated more questions. While sufficiently providing the public with plenty of data about faculty workload, for example, Chaffee points out that we have failed to really hear and understand the very question asked of us by a skeptical public. Asserting that the public simply wants to see the educational benefits resulting from the increasing costs associated with higher learning, Chaffee advocates the adoption of an attitude of caring toward students as a method of providing student “customers” with those benefits.

Chaffee's (1998) "attitude of caring" may prove helpful in improving the assessment process itself. There are two steps to providing the public with the answer to their questions concerning the benefits of higher education. First, all of the internal constituency groups in higher education must assume an attitude of caring toward the unquestioned ideology that defines the enterprise. In other words, college and university leaders, faculty and administrators alike, must articulate more forcefully and believably the intangible benefits associated with undergraduate education. Most of these benefits derive from ideologies concerning liberal education, and organizations like the American Association of Colleges and Universities have recently recognized the need to proclaim these benefits more directly to the general public (American Association of Colleges and Universities [AAC&U], 2002). Secondly, assuming widespread belief in the ideology of higher education benefits is possible, higher education leaders must, to the best of their ability, operationalize and measure these benefits. This ought to be done even while simultaneously promoting the belief that the sum of such measurements fails to describe the overall beneficial experience associated with undergraduate education. The practice of measuring the benefits of higher learning involves the assessment of both institutional effectiveness and administrative effectiveness programs toward the common goal of improving student learning in academe.

Comparisons between Institutional and Administrative Effectiveness Programs

Ronco and Brown (2000) list four elements of effective institutional assessment programs. They are the critical assessment of student learning, the articulation of program goals, the setting of standards for accomplishment, and the measurement of the extent to which such standards are met. The ultimate goal of institutional effectiveness procedures is to demonstrate that higher education makes a difference (Banta, 1993), and to move an institution toward the

continuous improvement of program outcomes (Chapin, 1999). Seldin (1988) points out that the practice of administrative evaluation is geared toward the identification of an employee's need for improvement in order to target weaknesses and recognize professional development needs. However, Seldin further argues that the end result of administrative evaluation procedures is, as it is the case in institutional assessment, the enhancement of institutional performance.

Therefore, both institutional effectiveness and administrative evaluation programs can be viewed as two sides of the same coin. Both processes are designed to accomplish the same end: institutional improvement based on student outcomes. Furthermore, both processes provide data that responds, in part, to external cries for measures of accountability. Despite these between institutional and administrative effectiveness procedures, Seldin suggested in 1988 that administrative evaluation processes lag well behind institutional effectiveness programs in methodological sophistication (Seldin, 1988). Not much has improved in administrative evaluation methodology since the late 1980s, and my contention is that this is principally due to the remarkable disregard of administrative accountability by higher education leaders.

Since the outcomes assessment and administrative evaluation movements share similar goals, the latter would do well to examine the successful practices of institutional effectiveness programs in order to close the methodological gap between the two. Ronco and Brown's (2000) four elements of effective institutional assessment programs provide a reasonable starting point from which to examine administrative evaluation methods. The first element, the critical assessment of student learning, constitutes the fundamental task of effective assessment programs, measuring standards related to program objectives (Chapin, 1999; James Madison University, 1998). Outstanding administrative evaluation programs similarly require a unifying task, the measurement of administrative standards relative to institutional objectives. The second

element of effective institutional assessment programs involves the articulation of program goals, a task that is paralleled on the administrative assessment side by the articulation of administrative goals. The third element, the setting of standards for accomplishment, is much more complicated in administrative evaluation. That is due to the fact that four different types of standards exist in personnel related assessment (Heck, et al. 2000). These four types of standards, first described by the Joint Committee on Standards for Education Evaluation (as cited by Heck, et al., 2000), make it difficult for faculty, staff and administrators to agree on which accomplishments administrative evaluation standards will focus on. Stufflebeam and Nevo (as cited in Heck, et al., 2000, p. 666) define the four types of standards. Utility standards involve the aspects of evaluation that ensure informative, timely, and influential products. Proprietary standards are those associated with ethical constraints that ensure the rights of all are protected in the process of evaluation. Feasibility standards refer to the efficiency and ease of the evaluation process. Finally, accuracy standards infer the dependability of the information concerning administrative performance. Heck, et al. (2000) demonstrate that the various constituency groups in higher education (deans, faculty and staff) pay attention to different standards while developing criteria for the administrative evaluation process.

As a consequence of the variety of evaluation standards in personnel assessment, the fourth element of institutional effectiveness, the measurement of standards, also becomes clouded when applied to administrative evaluation procedures. All four of elements constitute important features of both institutional and administrative effectiveness programs. Therefore, a more detailed analysis of how each of them might assist practitioners to develop an effective administrative evaluation process follows.

*Applying the Elements of Institutional Effectiveness to Administrative Evaluation**Element one: Defining the unifying outcome associated with administrative effectiveness.*

The link between institutional effectiveness and measured student learning appears to have face validity. An institution of higher learning is only as effective as the documented learning growth observed in its students. When examining administrative effectiveness however, Seldin (1988) provided a useful distinction between measures of *outcomes* and measures of *behaviors*. Seldin points out that academic administrators have traditionally been judged by measures of behavior. Conversely, the institutional effectiveness movement has traditionally emphasized outcome measures. Outcome orientations emphasize *result* measurements, whereas behavior orientations emphasize *activity* measures (Seldin, 1988).

Measuring administrative effectiveness by what an administrator does is problematic, mainly because of the myriad of differing roles that administrators play (Dyer & Miller, 1999; Gmelch, Wolverton, Wolverton, & Sarros, 1999; Heck, et al., 2000; Hoekema, 1999; Tucker, 1992), because those roles continue to change over time (Seldin, 1988), and because such roles are subject to the prevailing political motivations of the time (Heck, et al., 2000). Townsend and Bassoppo-Moyo (1997) add further fuel to the complexity of roles by asserting that few studies have actually asked college administrators about the work they do, what they need to know, or what characteristics are important for academic leaders to possess. These authors undertook that task by evaluating the data from an open-ended survey on essential knowledge and skills needed by current academic administrators in community colleges. The researchers looked at responses from 61 administrators (out of 160 surveys distributed) and found that many administrators felt inadequately prepared for making budgetary, legal and personnel decisions. The authors concluded that higher education administration graduate programs ought to require

coursework in finance, law and budgeting, as well as courses that emphasize competence in interpersonal relations.

One way to avoid the problems associated with the complexity of roles inherent in administrative positions is by emphasizing particular aspects of the academic manager's role over others in the evaluation process (Heck, et al., 2000). However, Heck et al (2000) caution that the criteria resulting from the delineation of administrative roles should be grounded in research and practice, and well articulated in the administrative job description.

In summary, to achieve the clear description of outcomes for administrative effectiveness measures, evaluation procedures must move away from behavioral standards and toward a results orientation. Such an outcomes focus must take into account the myriad of roles incumbent upon academic administrators. Evaluation methods must include advance criteria, written into job descriptions, upon which effectiveness will be measured. Ideally, the one unifying outcome for measuring administrative effectiveness should be the same outcome for judging institutional effectiveness. That is the answer to the question regarding the extent to which the administrator can claim credit for moving the institution toward the goal of improved student learning. Unlike faculty however, the administrator must also be concerned with the conflicting role of achieving this outcome within the limits of budgetary constraints (Dyer & Miller, 1999).

Element two: Articulation of administrative goals.

The second element of institutional effectiveness involves articulation of the goals that derive from the outcome of student learning (Ronco & Brown, 2000). In other words, college and university leaders are called upon to articulate, in advance, how they strive to achieve the end result of learning. When applied to administrative effectiveness, the task that emerges is to

express the manner in which administrative oversight will contribute to student learning within the context of cost containment. Hammons & Murry, Jr. (1998) argue that academic administrators are well equipped to perform the academic functions, but they are ill equipped to perform the managerial/fiscal functions.

Employing the analogy of the financial audit, Hammons & Murry, Jr. (1998) advocate the use of a "Management Audit Questionnaire" (MAQ), developed by Murry, Jr. (1994), to assist administrators in determining their managerial effectiveness. Bemoaning the fact that most administrators are hired based merely on their educational credential and past work experience, the authors assert that knowledge of, or experience with, managerial principles are woefully lacking in collegiate administrators. As a result, the authors believe that administrators are not well suited to perform the necessary tasks associated with the functions and roles of a manager.

A key benefit to employing the MAQ for managerial assessment is that both managerial and academic criteria can be measured because of the flexibility of the instrument. Hammons & Murry, Jr. (1998) emphasize the use of the questionnaire as part of an overall process of planned change. The items on the MAQ associated with managerial assessment were developed after extensive literature review and were subject to intensive revision through a Delphi study technique with community college presidents. In addition to those criteria, a college-specific managerial assessment committee is free to develop further items that measure other aspects of administrative effectiveness. Once developed, the MAQ is administered to a wide range of individuals with knowledge about the performance of the administrator. After analysis of the data, an individual appraisal of the manager's skills can be provided, and professional development can address areas of needed improvement.

In summary, academic administrators must set goals that achieve the sometimes contradictory objectives of academic excellence and fiscal constraint. The analogy of a fiscal audit applied to managerial qualities provides a useful framework for administrators to assess their ability in these two conflicting areas. Specifically, the Management Audit Questionnaire (Hammons & Murry, Jr., 1998; Murry, Jr., 1994) provides a needed tool for the assessment of administrative effectiveness relative to the goals of student learning and fiscal constraint.

Element three: Setting standards.

The third element of institutional effectiveness involves setting standards by which the implementation of goals may be judged (Ronco and Brown, 2000). In order to develop criteria for administrative effectiveness, the standards employed for such criteria must be well understood. Heck, et al (2000) argue that the most useful criteria evolves from standards when specific information desired is matched with the sources most qualified to provide such knowledge. However, greater understanding of the various types of standards that can be employed (utility, proprietary, feasibility and accuracy) needs to precede determination of the criteria employed to judge effectiveness. Furthermore, as stated previously, specific information desired for administrative effectiveness emerges from a clear definition of the roles expected of administrators. Academic administrators report that job satisfaction is negatively correlated with ambiguity of administrative roles (Gmelch, et al., 1999; Heck, et al., 2000; Wolverton, Wolverton & Gmelch, 1999). Dyer & Miller (1999) contend that role complexity has contributed to the difficulty in advancing the literature on the topic of administrative assessment.

The myriad of roles expected of academic administrators contributes to the difficulty associated with developing assessment standards related to administrative effectiveness. The information desired from administrative evaluation emerges from the clear delineation of roles

for each administrator. Determining the specific information is desired from administrative evaluations, along with the persons most qualified to provide such information, are critical tasks in the establishment of administrative effectiveness programs.

Element four: Measuring standards.

Perhaps the most important step in the process of determining the effectiveness of an institution is to measure the standards by which the college has asked to be judged. However, this has also been demonstrated to be the most difficult, in that many in academe resist the reduction of the educational enterprise to a set of measurable objectives (Eaton, 2001; Lucus, 1996). The same resistance is characteristic of administrative evaluation, as I have previously pointed out. Furthermore, as Heck, et al (2000) advise, administrative evaluation involves the subjective perception of effectiveness, a characteristic that lends itself to political, reprisal, and control motivations.

Ironically, self-evaluation may be subject to personal bias as well. Kruger & Dunning (1999) offer evidence that the most incompetent people tend to hold overly favorable views regarding their own abilities. The authors further suggest that this over-inflation of cognitive and social competency by the lowest quartile of achievers occurs partially as a result of metacognitive deficiency. In other words, the most incompetent people fail to perceive the level of their own incompetence.

As a result of these concerns involving subjective bias in administrative evaluation, a critical element of measurement must center on the psychometric properties of the assessment instrument used. In other words, the reliability and validity of the evaluation tool ought to be a focus of concern on the part of the evaluator (Heck, et al., 2000; Hammons & Murry, Jr., 1998; London, 1995).

Essential Elements of Administrative Effectiveness.

Seldin (1988) listed seven essential elements of effective administrative appraisal systems. Consideration of these characteristics can assist evaluators in the development of appraisal systems that include fair and reliable measures of effectiveness. *Relevance* refers to the requirement to ensure that clear links exist between institutional goals and administrative performance standards. Seldin proposes that the relevance element can be determined on the basis of answering the question, "What really makes a difference between success and failure in a particular position?" (Seldin, 1988, p. 40). A second essential element of administrative appraisal programs is *sensitivity*. This characteristic refers to the ability of the system to distinguish poor performers from excellent ones. *Reliability* refers to the consistency of judgment across various appraisers, such that bias is eliminated to the greatest extent possible. *Freedom from contamination* is the element that ensures administrative appraisal is free from judgments about factors beyond the control of the person being evaluated. On the other hand, *freedom from deficiency* ensures that the appraisal system includes all of the relevant aspects of the administrator's performance, even those that require inordinate amounts of time to collect or review. *Practicality* is the sixth essential element of effective appraisal systems suggested by Seldin. This refers to the ease with which the appraisal system can be understood and employed in the specific higher education environment where it is administered. Finally, Seldin asserts that *acceptability* is the most essential of all the elements. This element refers to the extent to which evaluators and those being evaluated trust the information provided by the appraisal system. Without acceptability, Seldin contends that appraisal systems will easily be subverted and destroyed. Improving the psychometric properties of evaluation systems can improve their acceptability by demonstrating the reliability and validity of the data collected in the process.

Current best practices in Administrative Effectiveness

A few researchers have attempted to address the psychometric concerns associated with administrative evaluation. While advocating a greater role in collegiate decision making for human resource professionals, London (1995) promoted the use of “ratingless” evaluation systems. Decrying the use of ratings as merely a method of categorizing people, London believed that the elimination of rankings would promote a greater dialogue between the evaluator and the person evaluated. London (1995, p. 199) wrote:

The goal of the new process is to move away from categorizing people toward providing more detailed summaries of performance linked to specific objectives. The ratings (even without forced choice) are a crutch that is highly subjective, likely to be inconsistent across groups (e.g. “exceeding objectives” may mean different things to different managers), and not necessarily backed by solid and well-documented performance information. Encouraging the meaningful review without the rating will focus attention on performance rather than distract attention to a subjective categorization.

A second exemplary model for improving administrative effectiveness entails emphasizing the use of professional development over evaluation techniques. Dyer and Miller (1999) promote this perspective by discussing the woeful lack of department chairperson training programs that emphasize measurable outcomes. While recognizing the complex tasks associated with academic administration, the authors contend that the literature has failed to keep pace with demarcation of the best practices and benchmarking of administrative effectiveness. This is particularly important in light of the complexity of roles required of administrative positions, and Dyer and Miller cite professional development as the best method for managers to acquire the knowledge needed for excellent performance of those multiple roles.

A third method of addressing psychometric measurement problems associated with administrative evaluation concerns the use of financial auditing techniques as a metaphor for

administrative evaluation. This “managerial auditing” technique, and the use of the management audit questionnaire (MAQ) developed by Murry, Jr. (1994), was discussed in a previous section of this article. Advocates of this auditing technique assert that its use will allow managers to gain a better understanding of the breadth of their tasks and organizations to gain a better understanding of the strengths and weaknesses of the management team (Hammons & Murry, Jr., (1998). Finally, these authors argue that the management audit technique provides more constructive feedback on the abilities of managers than traditional evaluation techniques are able to provide.

The fourth and final method for addressing psychometric properties of administrative evaluation was proposed by Heck, et al. (2000). They advocate an innovative technique for reducing the bias associated with subjective evaluation. Conceding that evaluative appraisal is necessarily a subjective measure of perceptions, the authors promote the use of a statistical technique to ensure that assessment of those perceptions is reasonably accurate. Generalizability theory examines the multiple sources of error variance associated with subjective measurement techniques.

The procedure described by Heck, et al (2000) began with the simple administration of a written questionnaire, which contains items that reflect the tasks, duties, and responsibilities of the administrative role. The instrument was initially administered to 229 faculty and staff who had relevant information and opinions concerning the leadership effectiveness of six particular deans. For each item on the questionnaire, a simple Likert scale ranking system was employed for evaluators to rank the leadership effectiveness of the deans. Initial statistical evaluation demonstrated each of the leadership subscales to be reliable.

Generalizability theory employs statistical techniques to differentiate variance related to the object of measurement (leadership ability) from variance associated with measurement error. Heck, et al. (2000) discount the crossed design procedure as impractical, but advocate the use of a nested design technique. This latter technique used a procedure wherein evaluators rated only their own dean and not the five other deans who were part of the study.

Heck, et al. (2000) assert that in both designs, the error variance is evaluated relative to the desired information the evaluator wants to gain from the procedure. Relative error is helpful if the evaluator needs to make decisions about performance relative to the performance of other administrators. Absolute error assists the evaluator in making decisions concerning one particular dean's individual scores. This latter type of error variance assesses the extent to which an administrator can capably perform the essential duties of the position. After initial evaluation of such error variance, subsequent studies (called D-studies for the resulting decisions made after their analysis) help the evaluator improve the quality of the evaluation process. For example, Heck, et al provide evidence from a D-study that supported their decision to increase the sample size of raters of one particular dean. Specifically, their decision to increase evaluators from 10 to 30 vastly improved the reliability of the seven-item subscale they used to determine leadership effectiveness.

To summarize, Heck et al. (2000) provide substantiation for the notion that Generalizability statistical theory can be employed to easily provide accurate information about the effectiveness of administrators. The authors claim that virtually no other evaluation methods discussed in the literature provide evaluators with sufficient confidence to employ them for high stakes decision-making. Nonetheless, the most revealing result associated with the specific study conducted by Heck, et al was that only 11 percent of the variance associated with leadership rankings could be

attributed to true differences in leadership effectiveness. As the authors point out, “although there are real and measurable differences in the effectiveness of individual deans, there are even greater differences among the perceptions of effectiveness held by the faculty and staff rating the deans” (Heck, et al., 2000, p. 680).

Toward an Eclectic Model for Administrative Effectiveness

No one procedure described above adequately addresses all of the concerns associated with the subjective evaluation of academic administrators. However, each approach offers a novel procedure for assisting evaluators in the attainment of more reliable procedures than those currently in use. Therefore academic leaders charged with the task of evaluating other administrators in higher education settings would do well to examine the utility of each of these measures for use on their own campus. More importantly, the procedures delineated here offer a promising start for future researchers who desire to continue to address this neglected aspect of higher education accountability.

Focusing on administrative evaluation procedures as an additional component of an overall program of institutional effectiveness will increase the assessment credibility of academic administrators with faculty members. First, administrative evaluation will demonstrate to faculty that academic leaders do not fear outcome-based measurement when applied to their own employment specific tasks. Secondly, the promotion of administrative evaluation will increase the empathy of college administrators for the perspective of faculty who assert, like Lucas (1996, p. 239) that “in the final analysis – literally – only so much can be weighed and measured.” Finally, an efficient, psychometrically sound, and well-supported program of administrative effectiveness can, when used with other components of institutional assessment, contribute to the most desired and elusive outcome in higher education, demonstrable improvement in student learning.

References

- AGB's top ten policy issues for higher education in 2001 and 2002 (n.d.). Retrieved March 14, 2002, from http://www.agb.org/_content/fexplore.cfm
- American Association of Colleges & Universities. (2002). President's CALL: Campaign for the advancement of liberal learning. Washington, D.C.: Author.
- Atwell, R.H. (1996). Higher education governance in despair. *Journal for Higher Education Management*, 11(2), 13-19.
- Banta, T.W.(Ed.). (1993). *Making a difference: Outcomes of a decade of assessment in higher education*. San Francisco: Jossey-Bass.
- Birnbaum, R. (2000). The life cycle of academic management fads. *The Journal of Higher Education*, 71(1), 1-16.
- Birnbaum, R. (1988). How colleges work: The cybernetics of academic organization and leadership. San Francisco: Jossey-Bass.
- Chaffee, E.E. (1998). Listening to the people we serve. In W.G. Tierney (Ed.). *The responsive university: Restructuring for high performance*. Baltimore: Johns Hopkins University Press.
- Chapin, L.W. (1999). Outcomes assessment. In G. Allen (Ed.), *The Resource Handbook for Academic Deans*. Washington, D.C.: American Conference of Academic Deans. ERIC Document Reproduction Service No. ED431370.
- Diamond, R.M. (1998). *Designing and assessing courses and curricula: A practical guide*. San Francisco: Jossey-Bass.
- Dill, D.D. (1982). The management of academic culture: Notes on the management of meaning and social integration. *Higher Education*, 11(3), 303-320

- Dyer, B.G. & Miller, M. (1999). *A critical review of literature related to the department chair position*. ERIC Document Reproduction Service No. ED432193.
- Eaton, J.S. (2001). Regional accreditation reform: Who is served. *Change*, 33(2), 39-45.
- Ewell, P.T. (1993). The role of states and accreditors in shaping assessment practice. In T.W. Banta (Ed.), *Making a difference: Outcomes of a decade of assessment in higher education*. San Francisco: Jossey-Bass pp. 339-356.
- Ferren, A. (1997). Achieving effectiveness and efficiency. In J.G. Gaff, & J.L. Ratcliff (Eds.), *Handbook of the undergraduate curriculum*. San Francisco: Jossey-Bass.
- Fisher, J.L. & Koch, J.V. (1996). *Presidential leadership*. Phoenix: Oryx Press.
- Gibbs, A. (1999). Changing government roles relative to higher education. In C. Johnson & H. Cheatham (Eds.), *Higher education trends for the next century*. Washington, DC: ACPA.
- Gmelch, W.H., Wolverton, M., Wolverton, M.L., & Sarros, J.C. (1999). The academic dean: An imperiled species searching for balance. *Research in Higher Education*, 40(6), 717-740.
- Gumport, P.J., & Pusser, B. (1997). Restructuring the academic environment. In M.W. Peterson (Ed.), *Planning and management for a changing environment: A handbook on redesigning an academic environment*. San Francisco: Jossey-Bass, 453-478.
- Guskin, A.E. & Marcy, M.B. (2001). *Facing the future: Faculty work, student learning, and fundamental reform (Working paper #1)*. Retrieved March 28, 2002 from Antioch University, Project on the Future of Higher Education website: <http://www.antioch.edu/pfhe>
- Hammons, J.O. & Murry, J.W., Jr. (1998). Auditing the quality of management in the community college. *Community College Journal of Research and Practice*, 22, 151-158.
- Heck, R.H., Johnsrud, L.K. & Rosser, V.J. (2000). Administrative Effectiveness in Higher Education: Improving Assessment Procedures. *Research in Higher Education*, 41(6), 663-684.

- Hoekema, D. (1999). Evaluating deans. In G. Allen (Ed.), *The Resource Handbook for Academic Deans*. Washington, D.C.: American Conference of Academic Deans. ERIC Document Reproduction Service No. ED431370.
- Ingram, R.T. (1996). New tensions in the academic boardroom. *Educational Record*, 77(2&3), 49-55.
- James Madison University, Center for Assessment and Research Studies. (1998, December). Characteristics of an effective assessment program. Retrieved April 21, 2002, from the JMU Web site: <http://www.jmu.edu/assessment/effective.htm>.
- Kruger, J. & Dunning, D. (1999). Unskilled and unaware of it: How difficulties in recognizing one's own incompetence lead to inflated self-assessments. *Journal of Personality and Social Psychology*, 77(6), 1121-1134.
- London, M. (1995). Achieving performance excellence in university administration: A team Approach to organizational change and employee development. Westport, CT: Praeger.
- Lucas, C.J. (1996). Crisis in the academy: Rethinking American higher education. New York: St. Martin's Press.
- Masiello, C.D. (1999). The politics of change. In G. Allen (Ed.), *The Resource Handbook for Academic Deans*. Washington, D.C.: American Conference of Academic Deans. ERIC Document Reproduction Service No. ED431370.
- McLaughlin, J.B. (1996). The perilous presidency. *Educational Record*, 77(2 & 3), 13-17.
- Miller, R.I., Finley, C. & Vanko, C.S. (2000) *Evaluating, improving, and judging faculty performance in two-year colleges*. Westport, CT: Bergin & Garvey.
- Muffo, J.A. (1996). Lessons learned from a decade of assessment. ERIC Document Reproduction Service No. ED397716

- Murry, J.W. Jr. (1994). Development of assessment criteria to determine the managerial effectiveness of community and technical college administrators. *Dissertation Abstracts International*, 54, 2484A.
- Ronco, S.L., & Brown, S.G. (2000, May). *Finding the 'start line' with an institutional effectiveness inventory*. Paper presented at the 40th Annual Forum of the Association for Institutional Research, Cincinnati, OH.
- Scarborough, E. (1999). Evaluating department chairs. In G. Allen (Ed.), *The Resource Handbook for Academic Deans*. Washington, D.C.: American Conference of Academic Deans. ERIC Document Reproduction Service No. ED431370.
- Schmidt, P. (2002, February 22). Most states tie aid to performance, despite little proof that it works. *Chronicle of Higher Education*.
- Seldin, P. (1988). *Evaluating and Developing Administrative Performance*. San Francisco: Jossey-Bass.
- Townsend, B.K. & Bassoppo-Moyo, S. (1997). The effective community college academic administrator: Necessary competencies and attitudes. *Community College Review*, 25(2), 41-56.
- Young, C.C. & Knight, M.E. (1993). Providing leadership for organizational change. In T.W. Banta (Ed.), *Making a difference: Outcomes of a decade of assessment in higher education*. San Francisco: Jossey-Bass pp. 25-39.
- Waller, C., Coble, R. Scharer, J., & Giamportone, S. (2000). *Governance and coordination of public higher education in all 50 states (Executive summary)*. Raleigh, N.C.: North Carolina Center for Public Policy Research.
- Wolverton, M., Wolverton, M.L., & Gmelch, W.H. (1999). The impact of role conflict and ambiguity on academic deans. *Journal of Higher Education*, 70(1), 80-106.



*U.S. Department of Education
Office of Educational Research and
Improvement (OERI)
National Library of Education (NLE)
Educational Resources Information
Center (ERIC)*



Reproduction Release

(Specific Document)

I. DOCUMENT IDENTIFICATION:


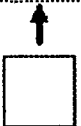
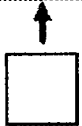
Title: <i>Who Assesses the Assessors? Measuring Administrative Effectiveness in Higher Education</i>	
Author(s): John A. Downey	
Corporate Source: Blue Ridge Community College	Publication Date: n/a

II. REPRODUCTION RELEASE:

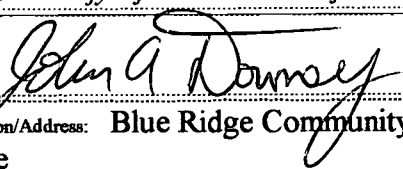
In order to disseminate as widely as possible timely and significant materials of interest to the educational community, documents announced in the monthly abstract journal of the ERIC system, Resources in Education (RIE), are usually made available to users in microfiche, reproduced paper copy, and electronic media, and sold through the ERIC Document Reproduction Service (EDRS). Credit is given to the source of each document, and, if reproduction release is granted, one of the following notices is affixed to the document.

If permission is granted to reproduce and disseminate the identified document, please CHECK ONE of the following three options and sign in the indicated space following.

The sample sticker shown below will be affixed to all Level 1 documents	The sample sticker shown below will be affixed to all Level 2A documents	The sample sticker shown below will be affixed to all Level 2B documents
PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL HAS BEEN GRANTED BY <hr style="width: 80%; margin: auto;"/>	PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL IN MICROFICHE, AND IN ELECTRONIC MEDIA FOR ERIC COLLECTION SUBSCRIBERS ONLY, HAS BEEN GRANTED BY <hr style="width: 80%; margin: auto;"/> <hr style="width: 80%; margin: auto;"/>	PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL IN MICROFICHE ONLY HAS BEEN GRANTED BY <hr style="width: 80%; margin: auto;"/>
TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC) Level 1	TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC) Level 2A	TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC) Level 2B

		
Check here for Level 1 release, permitting reproduction and dissemination in microfiche or other ERIC archival media (e.g. electronic) and paper copy.	Check here for Level 2A release, permitting reproduction and dissemination in microfiche and in electronic media for ERIC archival collection subscribers only	Check here for Level 2B release, permitting reproduction and dissemination in microfiche only
<p>Documents will be processed as indicated provided reproduction quality permits. If permission to reproduce is granted, but no box is checked, documents will be processed at Level 1.</p>		

I hereby grant to the Educational Resources Information Center (ERIC) nonexclusive permission to reproduce and disseminate this document as indicated above. Reproduction from the ERIC microfiche, or electronic media by persons other than ERIC employees and its system contractors requires permission from the copyright holder. Exception is made for non-profit reproduction by libraries and other service agencies to satisfy information needs of educators in response to discrete inquiries.

Signature: 	Printed Name/Position/Title: John A. Downey, Dean of Health and Human Services		
Organization/Address: Blue Ridge Community College Post Office Box 80 Weyers Cave, VA 24486	Telephone: (540) 453-2224	Fax: (540) 234-9598	
	E-mail Address: downeyj@brcc.edu	Date: January 20, 2003	

III. DOCUMENT AVAILABILITY INFORMATION (FROM NON-ERIC SOURCE):

If permission to reproduce is not granted to ERIC, or, if you wish ERIC to cite the availability of the document from another source, please provide the following information regarding the availability of the document. (ERIC will not announce a document unless it is publicly available, and a dependable source can be specified. Contributors should also be aware that ERIC selection criteria are significantly more stringent for documents that cannot be made available through EDRS.)

Publisher/Distributor:
Address:
Price:

IV. REFERRAL OF ERIC TO COPYRIGHT/REPRODUCTION RIGHTS HOLDER:

If the right to grant this reproduction release is held by someone other than the addressee, please provide the appropriate name and address:

Name:
Address:

V. WHERE TO SEND THIS FORM:

Send this form to the following ERIC Clearinghouse:

However, if solicited by the ERIC Facility, or if making an unsolicited contribution to ERIC, return this form (and the document being contributed) to:

ERIC Processing and Reference Facility
4483-A Forbes Boulevard
Lanham, Maryland 20706

Telephone: 301-552-4200
Toll Free: 800-799-3742
FAX: 301-552-4700
e-mail: info@ericfac.piccard.csc.com
WWW: <http://ericfacility.org>

EFF-088 (Rev. 2/2001)