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Detailed explanations of the legal issues involved in teacher evaluation are discussed in this concise handbook. The basis for discussion is a self-assessment designed for response by the reader. The answers to the self-assessment instrument and explanations of them are discussed under 13 headings: (1) The Use of Test Scores; (2) Negotiability and Arbitrability; (3) Remediation Plans and Probationary Period; (4) Noncompliance with State Law; (5) Noncompliance with Local Policies; (6) Subjective Criteria and Data; (7) Race, Sex or Disability Discrimination; (8) Outspoken Evaluatees; (9) Videotaping; (10) Defamation; (11) Other Costly Consequences; (12) Insubordination; and (13) Open Records Legislation. Attachments include: a summary chart of legislation, regulations, and guidelines for the 50 states and a list of the chart citations; a resource list; and an appendix listing the results of the assessment as taken by participants at Harvard's Institute on School Law. (SPM)

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THE LAW OF TEACHER EVALUATION

A Self-Assessment Handbook
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I am grateful for the extensive research assistance of Susan Freeh, a doctoral student in educational leadership at Lehigh University, in compiling the overview of pertinent state legislation and regulations.

This publication was completed as part of a Center for Research on Educational Accountability and Teacher Evaluation (CREATE) project. CREATE is located in the Evaluation Center at Western Michigan University.
The literature is replete with articles and monographs about the case law of teacher evaluation. The Resources section at the end of this book includes a useful sample of these. I use the term "teacher" in a generic sense, meaning professional personnel: classroom teachers, specialists, counselors, and, when applicable, administrators.

Unfortunately, such literature usually has two fundamental flaws. First, the authors seldom provide sufficient information about interrelated sources of law, such as state statutes and local collective bargaining agreements. For example, the best, relatively recent, comprehensive overview of state statutes is contained in an appendix to Principles and Practices for Effective Teacher Evaluation (1992) by Jerry W. Valentine. That overview does not list statutory citations; fails to differentiate among legislation, regulations, and state guidelines; includes teacher certification requirements where the state law legislated separate provisions for teacher evaluation; and indiscriminately mixes in statewide practices. However, these aspects were not part of the primary purpose of Valentine's book; his overview is an empirical survey of state education departments, rather than a direct analysis of statutes and regulations. No recent source gives useful attention to intersecting statutory issues, such as collective bargaining and open records; few address intersections with common law, such as defamation.
Second, the authors' legal interpretations and recommenda-
tions are colored by the norms of the education profession, and
thus often fail to distinguish between the relevant law and lore.
The focus of this short book is on legal minimums, not profes-
sional optimums. My intention is to provide a summary resource
that is current and comprehensive but also concise and coherent.
For these reasons, I have based the information on an initial self-
assessment for educators concerned with teacher evaluation. That
self-assessment begins on the next page.

I advise readers of this volume to begin using this book by
responding to the self-assessment. Answers and a detailed expla-
nation for each item are provided in the section that follows the
self-assessment. The explanations include extensive footnotes
that cite pertinent court decisions. Following these explanations
is a summary chart of relevant state statutes, regulations, and
guidelines, again followed by specific citations.

This concise publication should be viewed as a starting point.
For further information, I advise readers to consult the cited pri-
mary and secondary sources and to confer with competent counsel
regarding specific issues in teacher evaluation.
Legal Boundaries for Teacher Evaluation: A Self-Assessment

Purchase of this book entitles the buyer to reproduce the self-assessment instrument that begins on the next page, without further permission, for use with students in college and university teacher education classes or for inservice staff development for education professionals.
Legal Boundaries for Teacher Evaluation: A Self-Assessment

DIRECTIONS: Circle the letter that represents your estimate of the current status of the national case law for each item. Use the following true-false scale:

A = Overwhelmingly false
B = Predominantly false
C = Virtually an even split
D = Predominantly true
E = Overwhelmingly true

Note: For a few of the items, the correct answer will be represented as a band, or range, comprising two adjacent response options. This results from incomplete congruence of the pertinent case law. The scope of the case law is national, but the framework is a mosaic of varying state statutes and regulations. Thus, for the accurate answers in an individual school district, it is essential to examine applicable state legislation, regulations, and guidelines as well as local policies and, where applicable, collective bargaining agreements in addition to pertinent case law.

Respond to the following 13 statements.

1. The use of teacher or student test scores is not legally permissible as a notable part of teacher evaluation that may lead to dismissal.
   [false] A B C D E [true]

2. Both evaluation procedures and criteria are mandatorily negotiable and arbitrable.
   [false] A B C D E [true]

3. Providing specific suggestions and extensive time for improvement is judicially required as an essential element of teacher evaluation that may lead to termination.
   [false] A B C D E [true]
4. Failure to strictly comply with state legislation and regulations specific to teacher evaluation will lead to defeat in court. [false]  A  B  C  D  E  [true]

5. Failure to strictly comply with local school board policies specifying procedures for teacher evaluation will lead to defeat in court. [false]  A  B  C  D  E  [true]

6. Courts will not uphold evaluations that are based on subjective criteria or data. [false]  A  B  C  D  E  [true]

7. Teachers face a high hurdle for proof when alleging discriminatory evaluation based on race, sex, or disability. [false]  A  B  C  D  E  [true]

8. Courts will not uphold dismissals based on evaluations that were affected by the outspokenness of the evaluated teacher. [false]  A  B  C  D  E  [true]

9. Videotaping a teacher for evaluation, without the teacher’s permission or without providing a copy to the teacher prior to his or her termination hearing, violates the teacher’s constitutional rights. [false]  A  B  C  D  E  [true]

10. School officials are wide open to liability for defamation if they communicate negative teacher evaluations to others as part of their responsibilities. [false]  A  B  C  D  E  [true]

11. Administrators who do not conduct proper evaluations are likely to suffer other costly consequences. [false]  A  B  C  D  E  [true]

12. Where an evaluated teacher fails to follow improvement directives, including attendance at review sessions, an incompetency case may become an insubordination case. [false]  A  B  C  D  E  [true]

13. Teacher performance evaluations are not subject to public disclosure under open records or freedom of information statutes. [false]  A  B  C  D  E  [true]
1. The Use of Test Scores

Answer: B

Teacher Test Scores. A moderately long line of published court decisions are divided on the topic of teacher test scores. However, on balance, these decisions allow the use of such scores as a notable part of performance evaluation.

In a number of relatively early civil rights decisions, where the plaintiffs were minority group members, the courts rejected the use of the National Teacher Examination (NTE) as a prerequisite for a teaching certificate and as the basis for nonrenewal because of the lack of validation for these respective purposes. However, the courts generally allowed the NTE for differentiating salary levels, in light of their validation in relation to teacher training. This split of judicial authority is close — in terms of court level and factual focus — with a slight trend toward more latitude for such testing. For example, toward the end of this period of civil rights litigation, a federal appeals court allowed the use of a teacher competency test as a requirement for admission into teacher education programs despite disparate racial results. The controlling criterion of validity evidence stems from constitutional and statutory sources that are largely unavailing where the issue is not racial.

In a host of other decisions, the courts consistently have rejected a variety of challenges, such as breach of contract and substantive
due process claims, to the use of competency tests for certifying or otherwise retaining teachers at the state and local levels.

**Student Test Scores.** A long, although not particularly thick, line of published court decisions rather consistently allows the use of student test scores at least as a notable part of nonrenewal or termination. Illustrative of the same judicial attitude, the West Virginia Supreme Court upheld the use of the students' grade distribution as part of the performance standards for a terminated teacher, even though this use was not expressly delineated in local board policy, and state regulations required "open and honest" evaluations. The only exception was limited; a federal court denied dismissal of the certain due process claims of teachers challenging the use of standardized test scores as part of their evaluation process. The final disposition of this claim was not published, but surviving a dismissal motion does not mean winning a judgment.

**Conclusion.** Thus, to a predominant but not overwhelming extent, the use of teacher or student test scores as a notable part of a performance-based dismissal decision is judicially permitted. No state prohibits such use by statute or regulation. However, one state (California), while mandating the use of student academic progress as a criterion, prohibits "the use of publishers' norms established by standardized tests" for the purpose of teacher evaluation.

---

2. Negotiability and Arbitrability

**Answer: A-B**

Collective bargaining legislation applies to school districts in approximately two-thirds of the states. Among the most recent entries to the list are New Mexico and Ohio. In states without applicable legislation, teacher-board negotiation is discretionary, except that the board may not bargain away nondelegable duties. At the same time, a few states — for example, North Carolina and Texas — expressly prohibit teacher-board bargaining.

Where collective bargaining is required or permitted, the threshold issue is whether teacher evaluation is a negotiable issue. The
typical test is one of balancing the teachers' conditions of employment against the board’s managerial prerogatives. The resulting categories are: 1) mandatory topics, which require good faith bargaining upon the initiation of either party; 2) permissive topics, which require good faith bargaining upon the initiation of either party; and 3) illegal topics, which are not enforceable in terms of either the process or product of bargaining.\textsuperscript{14}

Where teacher evaluation is negotiable, the subsequent issue is whether it is arbitrable, that is, subject to enforceably binding grievance arbitration. The typical touchstone is the scope of the arbitration clause in the collective bargaining agreement.\textsuperscript{15}

\textit{Negotiability.} In the few states where teacher-based bargaining is prohibited altogether, or even where it is only voluntary, teacher evaluation is an illegal subject.\textsuperscript{16} In the many states that have fully legislated teacher-board bargaining, a minority expressly establish teacher evaluation as a mandatory or permissive subject of bargaining; the majority of such statutes are silent on this issue.\textsuperscript{17}

Where such legislation is silent, a few courts have concluded that teacher evaluation is a mandatory subject of negotiations.\textsuperscript{18} However, the trend appears to be toward determining that teacher evaluation is either a permissive subject\textsuperscript{19} or, more often, that only the procedures, not the criteria, of teacher evaluation are negotiable.\textsuperscript{20}

\textit{Arbitrability.} Inasmuch as arbitrability requires not only negotiability but also coverage by a contractual arbitration clause not in conflict with state school codes, teacher evaluation is arbitrable in even fewer cases. For example, in Iowa, where teacher evaluation is a mandatory topic for negotiations,\textsuperscript{21} the state’s highest court determined that performance evaluation was not arbitrable under the applicable language of a local collective bargaining agreement.\textsuperscript{22} Another example is Illinois, where only teacher evaluation procedures, not criteria, are negotiable.\textsuperscript{23} In that state, the courts concluded in two cases, based on the interacting coverage of state legislation and the local collective bar-
gaining agreement, that teacher evaluation grievances were not arbitrable.24

Thus the incidence of published court decisions in which teacher evaluation was determined to be arbitrable has been relatively low, largely limited to a few cases in New York (where, following the contours of negotiability, these cases were limited to procedural matters not precluded by state legislation)25 and Pennsylvania.26

Conclusion. In sum, the current state of the case law across the various states is that 1) evaluation procedures are mandatorily negotiable in perhaps the majority of jurisdictions, but their arbitrability is more limited; and 2) evaluation criteria are rarely mandatory subjects of negotiation and arbitration. Conversely and more simply, the statement that both evaluation procedures and criteria are mandatorily negotiable and arbitrable is at least predominantly, probably overwhelmingly, false.

3. Remediation Plan and Probationary Period

Answer: C

As the statutory chart at the end of this book shows, specific suggestions and, to a less frequent extent, a substantial period for remediation are explicitly required by legislation or regulations in many, but far from all, jurisdictions. In these jurisdictions, the courts have invalidated teacher dismissals in several cases.27 For example, West Virginia has been the scene of a consistent and continuing line of court decisions relying on regulatory requirements for remediation of both tenured and nontenured teachers.28 Similarly, but not as strongly, the majority of the published remediation-related decisions in Illinois have favored the plaintiffs — teachers.29

However, judicial interpretation and enforcement of such statutory requirements have been less than vigorous, with notable erosion or limitations in such lines of case law. For example, in Illinois, a recent decision upheld the dismissal decision of a school district, holding that the plaintiff-teacher had no right to
sue under the remediation statute where he had not exhausted available administrative procedures. A more clear-cut example is an early pair of cases in Washington, where the appellate courts were rather relaxed in their enforcement of the remediation requirement, resulting in losses for the teacher-plaintiffs. Similarly, the clear majority of relevant court decisions in Missouri have provided winning latitude to school boards. One does not have to look far, particularly in recent times, for other examples of less than rigorous judicial interpretation of statutory remediation requirements, with the outcome being adverse to the plaintiff-teacher.

More often than not, in jurisdictions where there is not an express requirement in state law, the courts have turned deaf ears on the plaintiff-teacher’s argument that specific suggestions and substantial time for improvement are implicitly part of reasonable, good-faith personnel actions. Unless the gap is filled by an express requirement in a local collective bargaining agreement, the modern case law has, with an occasional exception, refused to impose such requirements. For example, Wyoming’s highest court upheld the dismissal of a nontenured teacher for incompetence even though the school board failed to follow its own policy that required annual written evaluations and a probationary period.

**Conclusion.** On balance, considering both the states that require by legislation or regulation specific prescriptions and a substantial period for improvement and those that do not, the statement that they have been judicially required is relatively evenly split.

**4. Noncompliance with State Law**

Answer: C

Reflecting the same trend of item 3 on a broader basis, the courts have been less than expansive in applying requirements of state teacher evaluation statutes and regulations. The relevant court decisions are divisible into those cases where the issue was substantive, such as whether an unsatisfactory evaluation was supported by suf-
ficient evidence, and those where the issue was procedural, such as whether a discharge is warranted where the school administration failed to support an unsatisfactory evaluation with anecdotal records as required by state regulations.

Substantive Matters. Adhering to a long tradition of "academic abstention," the courts' deference to school districts' adverse actions, such as nonrenewal and termination, has been strong in substantive issues arising from performance evaluation, such as whether the evaluator has sufficient subject matter expertise or whether conflicting evidence, on balance, favors the resulting adverse action. The exceptions in such evidentiary matters have been relatively rare. In two such cases, the courts reversed terminations of teachers for incompetence where their overall evaluation ratings were satisfactory.

Procedural Matters. In general, the courts have been notably less deferential to school boards in procedural than in substantive matters. For example, the courts generally have rejected nonrenewal of nontenured teachers where school boards have failed to provide statutorily required procedural due process. However, though the specifically relevant case law reveals that failure to follow the procedural requirements of state teacher evaluation statutes and regulations may be ill-advised, a recently lengthening line of exceptions counterbalances the traditional trend. For example, in Ohio, where legislation requires written notice to the teacher of specific recommendations and means of assistance, the state's highest court upheld the nonrenewal of a nontenured teacher despite the school district's failure to meet this procedural requirement in the first evaluation report. In a relaxed rather than strict interpretation, the court considered the required recommendations and supervisory assistance incorporated by reference in the second evaluation report.

Conclusion. Failure to strictly comply with state legislation and regulations specific to teacher evaluation does not necessarily mean that the district will lose the case. Viewing compliance as primarily a procedural matter, with substantive matters being in
the background, the relevant case law, particularly in recent years, is relatively balanced between precedents favoring teachers and those favoring school boards.

5. Noncompliance with Local Policies

Answer: B-C

The courts have been less than strict where school districts have failed to follow the procedural specifications of their own evaluation policies. The court decisions that have favored plaintiff-teachers in such circumstances have been more than matched by the case law in favor of the defendant-districts. However, most of the court decisions in favor of the defendant-districts were affected by the nontenured status of the teacher or by the procedural posture of the case, such as an intervening ruling by the state board of education. Thus the answer for this item is either predominantly false or virtually an even split.

6. Subjective Criteria and Data

Answer: A

The case law pertaining to subjective criteria and data for teacher evaluation is more limited than that pertaining to the previous pair of items. Rather than being split, however, these court decisions have been quite consistent in upholding personnel actions based on subjective criteria and data. For example, even where a state statute for career ladder advancement required “objective” evaluation, an appeals court upheld a denial based on professional judgment in the absence of preponderant proof of bias or prejudice.

One might expect an expansive exception where the teacher is a member of a minority group, triggering the more strict scrutiny of constitutional and statutory civil rights protection. However, as exemplified by a decision by a federal court of appeals, subjective evaluations are not precluded by such scrutiny. Thus the generalization that courts will not uphold evaluations that are based
on subjective criteria or data is overwhelmingly, if not totally, contradicted by the pertinent case law.

7. Race, Sex, or Disability Discrimination

Answer: D-E

Overlapping with the aforementioned examples of relevant litigation, defendant-districts theoretically are subject to closer judicial scrutiny when the plaintiff-teacher is entitled to special constitutional or statutory antidiscrimination protection. Specifically, the applicable constitutional provision is the Fourteenth Amendment equal protection clause. When applied to race-based claims, the courts have accorded "strict scrutiny" to governmental decisions. Under this level of scrutiny, school districts and other government agencies must show a compelling, rather than merely rational, justification. Fourteenth Amendment claims based on gender and disability have generally not received the same strict level of scrutiny. The primarily applicable legislation, which has accompanying federal regulations, for race-based claims is Title VII of the Civil Rights Act, also known as the Equal Employment Opportunities Act. Gender-based claims also are covered by Title VII, as well as by Title IX. However, the corresponding protection for disability is found in Section 504 of the Rehabilitation Act and the Americans with Disabilities Act.

In practice, the plaintiff-teacher faces a daunting problem of proof. Although in the early court decisions, arising within the especially sensitive context of desegregation, African-American teachers succeeded in proving that personnel actions based on performance evaluations were discriminatory in violation of federal law, the recent record has been barren. Although not yet yielding published court decisions specifically targeting performance evaluation, teachers have evidenced steep uphill, parallel proof problems in related litigation based on gender and disability.

Conclusion. Pertinent civil rights case law reveals to a predominant or even overwhelming extent that teachers face a high hurdle for proving claims of discriminatory evaluation based on race, sex, or disability.
8. Outspoken Evaluatees

Answer: B

Civil rights claims extend beyond discrimination, based on the Fourteenth Amendment or its implementing legislation, to include expression, based on the First Amendment. The Supreme Court has established the following three-step test for First Amendment expression claims.\(^5\)

I. The plaintiff-teacher has the burden of proving that his or her expression concerned a public issue and, if so, that his or her right of expression concerning public issues outweighed the defendant-district’s obligation to operate an effective system.

II. If he or she prevails on Step I, the plaintiff-teacher has the burden of also proving that his or her expression was a substantial factor in causing the adverse personnel action.

III. If the plaintiff-teacher prevails on Steps I and II, the burden shifts to the defendant-district to prove that it would have taken the adverse action regardless of the teacher’s expression.

Thus far, the proportion of the pertinent published court decisions in which the plaintiff-teachers have succeeded in passing this three-step test is clearly the minority.\(^6\) Faced with these successive hurdles, plaintiff-teachers have failed variously at all steps: I,\(^7\) II,\(^8\) and III.\(^9\)

Conclusion. Based on the judicial formulation and application of the criteria for First Amendment expression, the odds of an outspoken teacher-evaluatee succeeding on such a constitutional claim are predominantly, although not overwhelmingly, unfavorable.

9. Videotaping

Answer: A-B

The relatively recent use of videotaping for teacher evaluation has led to only a couple of pertinent published court decisions thus far. In the most noteworthy of these, a Texas appeals court
upheld the termination of a teacher, ruling that the school district’s involuntary videotaping of her performance did not violate her right of privacy and that the district’s failure to provide her with a copy of the tape prior to the hearing did not violate her due process rights. Another case was only partially on the point, but the outcome revealed a similar judicial stance. In this case, Missouri’s highest court upheld the termination of a teacher based in part on self-videotaping, which was required for the purpose of professional development but which was not accompanied by a warning of possible use for the purpose of dismissal.

When viewed within these limits, the published case law to date is overwhelmingly permissive of videotaping for performance evaluation; however, when viewed in comparison to the case law specific to the other items in this self-assessment, its limited weight justifies the alternate, “predominant” answer.

10. Defamation

Answer: A

Illustrating the intersection with common law, rather than constitutional law, the issue is the extent to which teacher evaluation can lead to liability for defamation. Termed “slander” in its oral form and “libel” in its written form, defamation generally requires a judgment that communication to one or more third parties stigmatized the plaintiff’s reputation in the community. Although they share a basic template, the states have variations on the common law theme of defamation. For example, in some states falsity is an essential element of defamation, while in others truth is an effective defense against an action alleging defamation.

The immunity defense is applicable to a school board member’s or administrator’s communications of negative evaluations. In some states, school board members and administrators, at least the superintendent, have an absolute immunity in defamation cases arising within the scope of their duties. In such states, the defamation claims of plaintiff-teachers in performance evaluation cases have failed. In other states, administrators have a qualified
immunity, providing an effective defense within their scope of employment unless the plaintiff proves malice. In such states, the relevant cases have been decided largely in favor of the defendant-administrators with only partial exceptions.63

Exemplifying the partial exceptions, the appeals court in a Michigan case remanded for trial whether the superintendent acted with actual malice in sending negative evaluation letters about the plaintiff-principal to the school board.64 The only other partial exception to date has been a decision in which the appellate court remanded the plaintiff’s defamation claim to the trial court to determine whether the defendant-board members were acting within the scope of their duties.65

The conclusion that school officials are likely to be liable for defamation for communicating negative evaluations to others as part of their responsibilities is overwhelmingly false.

11. Other Costly Consequences

Answer: B

Administrators who do not conduct proper evaluations, in conformity with the applicable legal rights and duties, may suffer two other primary forms of adverse consequences: discipline, including discharge, and liability, extending beyond defamation claims.

Discipline/Discharge. The number of pertinent published decisions has been limited, but their outcomes have been consistent. In two separate cases, appellate courts have upheld the termination of principals who failed to develop and implement evaluation of teachers in accordance with school board policies.66 However, in each case, there was at least one other performance problem by the principal.

Liability. In addition to the aforementioned defamation cases, other suits by teachers arising from allegedly improper performance evaluations also have been largely unsuccessful. In one case, a Michigan appellate court rejected claims of negligence, civil conspiracy, and intentional infliction of mental distress aris-
ing from an unsatisfactory rating. In another relevant case, a Missouri appeals court rejected claims of breach of contract and interference with contractual relations. However, in the third pertinent decision, Alabama’s highest court denied a motion to dismiss a teacher’s breach of contract claim premised on the school district’s alleged failure to follow its adopted teacher evaluation policy.

In light of the limited amount and mixed nature of the pertinent case law, the appropriate answer to the “other costly consequences” item appears to be predominantly false.

12. Insubordination

Answer: E

Although the typical performance evaluation case is based on incompetency, the grounds may shift additionally or alternatively to insubordination if the teacher fails to follow reasonable evaluation-related directives. Published court decisions provide clear and consistent examples of teacher-evaluatees who have been defensibly dismissed for failing to attend administrative conferences or failing to implement suggestions for improvement. Thus the answer to this item is overwhelmingly true.

13. Open Records Legislation

Answer: B-C

Several states have open records or freedom of information statutes that provide public access to certain government documents. The recorded performance evaluations of public school teachers are subject to public disclosure under some statutes. On the other hand, a clear minority of states, including Alaska, California, and Connecticut, expressly exclude performance evaluation records from open records statutes.

Where the open records statutes do not expressly exclude performance evaluations and thus are ambiguous, the balance appears to weigh closely in favor of disclosure. In arguably analo-
gous litigation, appellate courts have held that teachers’ grievance records, attendance records, college transcripts, personnel files, confidential settlement, and other recorded information were subject to disclosure under such statutes.

In one of the few cases directly concerned with this issue, Connecticut’s highest court held that teacher performance documents are depending on the discretion of the state Freedom of Information Commission subject to disclosure.

An appeals court in the state of Washington similarly interpreted the ambiguity in favor of releasing a teacher’s performance evaluations. However, the state legislature changed the statute soon after to eliminate the ambiguity. Subsequently, in another case, the same appellate court rejected disclosure of a principal’s performance evaluations. Similarly, a New York appellate court ruled that a teacher’s performance documents were “squarely” excluded by the relevant state legislation.

Thus, on balance, the generalization that, where states have such open records statutes, teacher performance evaluations are not subject to disclosure appears to be predominantly false or an even split.

**Overall Conclusion**

The legal boundaries for performance evaluation of professional educators in the public schools, especially those drawn by courts in the substantial spaces left open by state statutes and regulations, are much broader than are realized by the typical evaluator or evaluatee. Both often confuse the pertinent law with the professional lore. Rather than feeling fearful of or hamstrung by the rulings of federal and state courts, school officials should focus on doing the “right thing” — based on professional norms — in local agreements, policies, and practices. As a starting point, it is worthwhile to check the applicable framework of legislation, regulations, and case law in individual jurisdictions. In all likelihood, school personnel — both those who evaluate and those who are evaluated — will find ample legal latitude in
designing and conducting personnel evaluation for both positive and negative career consequences.


4. United States v. LULAC, 793 F.2d 636 (5th Cir. 1986).


8. In re Termination of Johnson, 451 N.W.2d 343 (Minn. Ct. App. 1990); cf. Fay v. Board of Directors, 298 N.W.2d 345 (Iowa 1980); Whaley v. Anoka-Hennepin Indep. Sch. Dist., 325 N.W.2d 128 (Minn. 1982). Conversely, illustrating the latitude for school board discretion, a state appellate court upheld the termination of a


10. St. Louis Teachers Union v. Bd. of Educ., 652 F. Supp. 425 (E.D. Mo. 1987). The court denied the defendant district’s motion to dismiss the teachers’ procedural, based on property (salary advancement), and substantive due process claims. At the same time, the court granted the defendant district’s motion to dismiss their other Fourteenth Amendment claims, which were based on equal protection as well as due process.

11. CAL. EDUC. CODE §44662.


14. Id., p. 32.

15. Id. p. 36.


18. See, e.g., Evansville-Vanderburgh Sch. Corp. v. Roberts, 405 N.E.2d 895 (Ind. 1980); Board of Sch. Trustees v. Indiana Educ. Employment Relations Bd., 543 N.E.2d 662 (Ind. Ct. App. 1989); Northeast Community Sch. Dist. v. Public Employment Relations Bd., 408 N.W.2d 46 (Iowa 1987); Aplington Community Sch. Dist. v. Iowa Pub. Employment Relations Bd., 392 N.W.2d 495 (Iowa 1986); cf. Central Michigan Univ. Faculty Ass’n v. Central Michigan Univ., 273 N.W.2d 21 (Mich. 1978). In addition, see the entries in the Comments column of the Summary Chart on pages 32-33 for those states, such as Indiana, Massachusetts, Minnesota, and Rhode Island, where the state legislation for teacher evaluation expressly requires collective bargaining.

1976); cf. Wethersfield Bd. of Educ. v. Connecticut Bd. of Labor Relations, 519 A.2d 41 (Conn. 1986) (not mandatory). In addition, see the Summary Chart for those states, such as Nevada, New York, and Oklahoma, where the relevant state legislation requires consultation with collective bargaining or other teachers’ representatives.


27. See, e.g., Gunter v. Board of Trustees, 854 P.2d 253 (Idaho 1993); Board of Sch. Directors v. Merrymaking Educ. Ass'n, 354 A.2d 169 (Me. 1976); Ganyo v. Independent Sch. Dist., 311 N.W.2d 497 (Minn. 1981); cf. Munger v. Jesup Community Sch. Dist., 325 N.W.2d 377 (Iowa 1982). In some of the cases, however, the court concluded that the district met the remediation requirements. See, e.g., Childers v. Independent Sch. Dist. No. 1, 645 P.2d 992 (Okla. 1981); cf. McKenzie v. Webster Parish Sch. Bd., 609 So.2d 1028 (La. Ct. App. 1992) (reserved for trial). The plaintiff was non-tenured in some of these cases and tenured in others, depending on the statutory coverage.


v. Hazelwood Sch. Dist., 710 S.W.2d 462 (Mo. Ct. App. 1986). The plaintiff-teachers in all of these cases were tenured, reflecting the preferential protection for them in Missouri relating to remediation.


34. See, e.g., Paramount Unified Sch. Dist. v. Teachers Ass’n of Paramount, 32 Cal. Rptr.2d 311 (Ct. App. 1994).


board complied with its evaluation procedures and obtained favorable ratings for a nontenured teacher, the court refused to infer a right to her renewal. King v. Jefferson County Bd. of Educ., 659 So.2d 686 ( Ala. Cir. Ct. App. 1995).


49. Tyler v. Hot Springs Sch. Dist., 827 F.2d 1227 (8th Cir. 1987). For further such court decisions, see infra note 51 and accompanying text.


786 F.2d 280 (7th Cir. 1986) (national origin discrimination). In *Evans*, the plaintiff prevailed on a separable Title VII claim of retaliation.


54. A possibly emerging additional civil rights category is age, based on the Age Discrimination in Employment Act. For a recent and relevant age-discrimination ruling, in which the court rejected summary judgment for the school district, see *Sekor v. Capwell*, 889 F. Supp. 34 (D. Conn. 1995).


## Summary Chart of Legislation, Regulations, and Guidelines

<table>
<thead>
<tr>
<th>States</th>
<th>Sources of laws/rules</th>
<th>Who evaluates</th>
<th>Who is evaluated</th>
<th>Evaluation period</th>
<th>Type of eval.</th>
<th>Evaluation process</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>AL</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent/principal</td>
<td>Teachers and other staff</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>L = legislation, R = regulations, G = guidelines</td>
</tr>
<tr>
<td>AK</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent/principal</td>
<td>Teachers only</td>
<td>Annual</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'any certified administrator; evaluators must be trained</td>
</tr>
<tr>
<td>AZ</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Board of Education</td>
<td>Teachers and other staff</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'guidelines state evaluation period, type, and process</td>
</tr>
<tr>
<td>AR</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Principal/teacher</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annually</td>
<td>Different for tenured/tenured staff</td>
<td>'student progress</td>
</tr>
<tr>
<td>CA</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent/principal</td>
<td>Teachers and other staff</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'any certified administrator</td>
</tr>
<tr>
<td>CO</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent/principal</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annually</td>
<td>Different for tenured/tenured staff</td>
<td>'guidelines state type and process of eval.</td>
</tr>
<tr>
<td>CT</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Board of Education</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'state policy addresses all five categories</td>
</tr>
<tr>
<td>DE</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers and other staff</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'establish institute to dev. teacher eval.</td>
</tr>
<tr>
<td>FL</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'supt. establishes procedures</td>
</tr>
<tr>
<td>GA</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'Professional Standards Commission designee</td>
</tr>
<tr>
<td>HI</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'policy, in effect, has force of law; LEA is SEA.</td>
</tr>
<tr>
<td>ID</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td></td>
</tr>
<tr>
<td>IL</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'requires dev. with teacher reps/bargaining agent</td>
</tr>
<tr>
<td>IN</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'certified administrators</td>
</tr>
<tr>
<td>IA</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'guidelines state who eval. and type</td>
</tr>
<tr>
<td>KS</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'cert. eval. or KY &quot;distinguished ed.&quot;</td>
</tr>
<tr>
<td>KY</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td></td>
</tr>
<tr>
<td>LA</td>
<td>✓ ✓ ✓ Legislation, Regulation, Guidelines</td>
<td>Superintendent</td>
<td>Teachers only</td>
<td>Continuous</td>
<td>Annual</td>
<td>Different for tenured/tenured staff</td>
<td>'guidelines state type of evaluation and process</td>
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<td>State</td>
<td>Notes</td>
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<tr>
<td>ME</td>
<td>'Dept. of Ed. to est. models</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>MD</td>
<td>'State bd. req. eval.; negotiated agree. common</td>
<td></td>
<td></td>
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<tr>
<td>MA</td>
<td>subject to collective bargaining 'may include student performance</td>
<td></td>
<td></td>
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<tr>
<td>MI</td>
<td>subject to collective bargaining</td>
<td></td>
<td></td>
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<tr>
<td>MN</td>
<td>requires bargaining for tenured teachers 'non-tenured teachers</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>MS</td>
<td>'Dept. of Education assists local districts in establishing</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>MO</td>
<td>guidelines state evaluation process</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>MT</td>
<td>procedures for approval of eval. policy</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>NB</td>
<td>requires policy development with teachers' elected reps.</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>NV</td>
<td>guidelines state who evaluates and type of eval. 'level III-A teachers'</td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>NH</td>
<td>guidelines state who is to be evaluated, type of evaluation and process</td>
<td></td>
<td></td>
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<tr>
<td>NJ</td>
<td>subject to collective bargaining</td>
<td></td>
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</tr>
<tr>
<td>NM</td>
<td>includes pupil &quot;progress and growth&quot;</td>
<td></td>
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<tr>
<td>NY</td>
<td>guidelines state who evaluates and type of eval. 2&quot;level III-A teachers&quot;</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>NC</td>
<td>guidelines state who is to be evaluated, type of evaluation and process</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>ND</td>
<td>requires consultation with teachers' representatives; subject to bargain</td>
<td></td>
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</tr>
<tr>
<td>OH</td>
<td>requires development with teachers and administrators</td>
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</tr>
<tr>
<td>OK</td>
<td>board establishes policy with teachers' reps or bargaining agent</td>
<td></td>
<td></td>
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<tr>
<td>OR</td>
<td>alternative must be approved by PA Dept. of Education</td>
<td></td>
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<td>PA</td>
<td>subject to collective bargaining</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>RI</td>
<td>guidelines address all five categories</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>SC</td>
<td>guidelines state type and process of evaluation</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>SD</td>
<td>&quot;career level III teachers, principals, asst. principals, or superintendents&quot;</td>
<td></td>
<td></td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>TN</td>
<td>guidelines state type and process of evaluation</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>TX</td>
<td>local standards board&quot;</td>
<td></td>
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<tr>
<td>UT</td>
<td>requires dev. by joint comm. of elected teachers/adm. for Board approval</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VT</td>
<td>&quot;local standards board&quot;</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VA</td>
<td>guidelines address all five categories</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WA</td>
<td>guidelines state type and process of evaluation</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>WV</td>
<td>5 yr+ employees' evaluation may be bargained</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>WI</td>
<td>guidelines require employee copy</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>WY</td>
<td>based on job descriptions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
For each state, the sequence of sources of information is: 1) legislation, 2) regulations, and 3) related policies and guidelines. The items in the third category are shown in brackets because they do not have the force of law and because the sampling of guidelines in this list is less complete. Legislative citations were compiled through use of the LEXIS database using signifiers of tenure, dismissal, evaluation, performance, standards, teacher, educators, professional, and development.

The category, who evaluates, is based on who has the responsibility for conducting, or causing to be conducted, evaluations. In almost half the states, the responsibility is delegated to the local board of education or its equivalent, though Arizona and Arkansas require that board-designated evaluators be trained specifically for the task. Who is evaluated ranges from teachers and other personnel, required in 26 states, to only teachers, required in Arizona, Maryland, and Minnesota. In addition, Montana prescribes evaluations for nontenured teachers. In 22 states, the Evaluation period differs for tenured and nontenured personnel, with the frequency of evaluation greater for nontenured personnel.

Legislative requirements for evaluation range from none in 24 states to a general prescription for some type of process in 20 states to a categorical list of attributes and achievements that are to be considered for performance evaluation. Four states specifically require consideration of student performance, though California
proscribes the use of normed student scores on standardized tests as a factor.

Both Remediation and Remedial periods are required for both tenured and nontenured teachers in seven states. Seven states require remediation for both tenured and nontenured teachers, two states require a remedial period for both tenured and nontenured teachers, and Montana requires both remediation and a remedial period for only nontenured teachers. Remediation ranges from "confer and consult" to a specified written plan. Twenty states specify how evaluation copies are to be handled, generally requiring that a copy of the evaluation be provided within a specified time to the person who was evaluated.

Finally, a broad caveat is warranted. The specific language and contextual location of the relevant statutes and regulations vary considerably; their placement into the selected categories of this brief chart is subject to interpretation. For example, the boundaries between teacher evaluation for dismissal, which is the focus of this chart, and teacher assessment for certification or recertification, which is not included in the chart, are not always clear cut. Moreover, these laws are subject to change.

The compiler of this chart wishes to thank Dr. Sandra Tracy, who provided many of the policy and guidelines documents.

**Alabama**
1991 Ala. Acts 459; ALA. CODE Sec. 16-23-16.1
[Alabama State Department of Education. State Plan for Inservice Education/Professional Development.]

**Alaska**
ALASKA STAT. Secs. 14.20.450 and 14.20.480
ALASKA ADMIN. CODE tit. 4 Chapter 19

**Arizona**
ARIZ. REV. STAT. ANN. Secs. 15-537 and 15-538
[Department of Education. Qualified Evaluator Training Manual Arizona.]
Arkansas
ARK. STAT. ANN. Secs. 6-17-201, 6-17-202, 6-17-203, and 6-17-1504
[Arkansas Department of Education. Arkansas Evaluation Sub-Committee Report (December 1984).]

California
CAL. EDUC. CODE Secs. 44660-44664 and 44938

Colorado

Connecticut
CONN. GEN. STAT. Sec. 10-151b, 10-155c, and 10-220a
[Connecticut State Department of Education. The Fifteen Connecticut Teacher Competencies: Standards and Procedures for Approval of Teacher Preparation Programs (1984).]

Delaware
[Delaware State Board of Education. Policy for Appraising Teachers and Specialists (1990).]

Florida
FLA. STAT. ANN. Secs. 231.29 and 231.65

Georgia
GA. CODE ANN. Secs. 20-2-200, 20-2-210, and 20-2-230
[Georgia Department of Education. Georgia Teacher Evaluation Program (1989).]
Hawaii
(See also Hawaii Senate Resolution (SR) 32.)
HAW. REV. STAT. Sec. 297-46

Idaho
IDAHO CODE Secs. 33-514, 33-515, and 33-517
IDAHO ADMIN. CODE Sec. 08.02.C.35

Illinois
ILL. REV. STAT. ch. 105, para. 24A-1 et seq.
ILL. ADMIN. CODE Secs. 50.20, 50.30, 50.40, 50.50, and 50.55

Indiana
IND. CODE Sec. 20-6.1-9
[Indiana Department of Education. Staff Performance Evaluation.]

Iowa
IOWA CODE Secs. 260.33, 272.1, 272.33, and 279.14
IOWA ADMIN. CODE r. 12.3(4)

Kansas
KAN. STAT. ANN. Secs. 72-9003, 72-9004, and 72-9005

Kentucky
KY. REV. STAT. ANN. Sec. 156.101 and 161.790
704 KY. ADMIN. REGS. 3:345
[Kentucky Department of Education. Teacher/Administrator Performance Based Evaluation: Guidelines for Certified Personnel Evaluation.]

Louisiana
LA. REV. STAT. ANN. Sec. 17:3902 and 17:3904

Maine
ME. REV. STAT. ANN. tit. 20-A, Sec. 13802
Me. Code R. Sec. 4.2
Maryland
MD. CODE ANN., EDUC. Secs. 4-205 and 6-103

Massachusetts
MASS. ANN. LAWS ch. 71, Sec. 38

Michigan
MICH. STAT. ANN. Secs. 15.1983, 15.1983(1), and 15.1993

Minnesota
MINN. STAT. Secs. 125.2 and 125.12

Mississippi
MISS. CODE ANN. Secs. 37-3-2 and 37-3-46

Missouri
MO. REV. STAT. Secs. 168.114, 168.116, 168.128, and 168.410
[Missouri Department of Elementary and Secondary Education.
    Guidelines for Performance Based Evaluation (1991).]

Montana
MONT. CODE ANN. Secs. 20-4-402 and 20-4-403
MONT. ADMIN. R. 10.55.701

Nebraska
NEB. REV. STAT. Secs 79-328(5) (I) and 79-12,111
NEB. ADMIN. R. & REGS. Tit 92, ch. 34

Nevada
NEV. REV. STAT. ANN. Secs 391.312, 391.3125, 391.3127, and
    319.313

New Hampshire
N.H. CODE ADMIN. R. EDUC. Secs. 302.02 and 304.01

New Jersey
N.J. STAT. ANN. Sec. 18A:27-3.1
N.J. ADMIN. CODE tit. 6, Secs. 6:3-1.9, 6:3-1.21, and 6:11-3.4
New Mexico
N.M. STAT. ANN. Secs. 22-10-3.1 and 22-10-21
[State Board of Education. Competencies for Educational Personnel.]

New York
N.Y. EDUC LAW Sec. 100.2

North Carolina
1979 N.C. Sess. Laws Sec. 35

North Dakota

Ohio
OHIO REV. CODE ANN. Secs. 3319.01, 3319.02, and 3319.111

Oklahoma
70 OKLA. STAT. ANN. Secs. 6-101.11, 6-101.21, 6-101.24, and 6-103.2

Oregon
OR. REV. STAT. Sec. 342.850

Pennsylvania
24 PA. STAT. ANN. Secs. 11-1108 and 11-1123
22 PA. CODE Secs. 351.21-351.26

Rhode Island
R.I. GEN. LAWS Sec. 16-2-9

South Carolina

South Dakota
S.D. CODIFIED LAWS Sec. 13-43-9.1
S.D. ADMIN. R. 24:08:05, App. A
Tennessee
TENN. CODE ANN. Secs. 49-5-5204 and 49-5-5205

Texas
TEX. EDUC. CODE ANN. Sec. 21.202
[Texas Education Agency. Texas Teacher Appraisal System.]

Utah

Vermont
[State Board of Education. Professional Standards: Defining, Enacting and Putting Them into Practice.]

Virginia
[Virginia Department of Education. Resource Book for Teacher Evaluation.]

Washington
WASH. REV. CODE Sec. 28A.405.100

West Virginia
W. VA. CODE Secs. 18A-2-8, 18A-2-12, and 18A-3A-2
W. VA. Bd. of Educ. Policy No. 5300 (6) (d)

Wisconsin
WIS. STAT. Sec. 121.02

Wyoming
WYO. STAT. Sec. 21-3-110 and 21-3-111


Those readers who might have been surprised at their scores on the self-assessment on pages 4-5 may want to compare their scores to those of the participants at Harvard's Institute on School Law who took the pilot version of the assessment in the summers of 1994 and 1995. Most of those participants had above-average knowledge of legal issues in education, and they had shown sufficient interest in legal issues to enroll in and travel to the institute from various parts of the country. About 55% were superintendents; 20% were principals and supervisors; 15% were university faculty or administrators; and 5% were attorneys. The respondents to the pilot version of the assessment had, on average, 20 years of professional experience; and approximately 60% had taken at least two graduate course in education law, while another 30% had taken one graduate course in education law. The distribution of answers from the 75 participants were:

<table>
<thead>
<tr>
<th>Item</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>NR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Test scores</td>
<td>21%</td>
<td>27%</td>
<td>11%</td>
<td>19%</td>
<td>21%</td>
<td>1%</td>
</tr>
<tr>
<td>2. Negotiability/Arbitrability</td>
<td>24%</td>
<td>15%</td>
<td>9%</td>
<td>33%</td>
<td>17%</td>
<td>1%</td>
</tr>
<tr>
<td>3. Remediation</td>
<td>12%</td>
<td>7%</td>
<td>8%</td>
<td>31%</td>
<td>41%</td>
<td>1%</td>
</tr>
<tr>
<td>4. Statutory noncompliance</td>
<td>9%</td>
<td>9%</td>
<td>8%</td>
<td>31%</td>
<td>39%</td>
<td>4%</td>
</tr>
<tr>
<td>5. Local policy noncompliance</td>
<td>*</td>
<td>*</td>
<td>*</td>
<td>[not included in pilot-testing draft]</td>
<td>*</td>
<td>*</td>
</tr>
<tr>
<td>6. Subjective criteria</td>
<td>15%</td>
<td>24%</td>
<td>23%</td>
<td>20%</td>
<td>16%</td>
<td>3%</td>
</tr>
<tr>
<td>------------------------</td>
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<td>-----</td>
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</tr>
<tr>
<td>7. Discrimination</td>
<td>13%</td>
<td>16%</td>
<td>21%</td>
<td>29%</td>
<td>15%</td>
<td>5%</td>
</tr>
<tr>
<td>8. Outspokenness</td>
<td>12%</td>
<td>19%</td>
<td>24%</td>
<td>23%</td>
<td>17%</td>
<td>5%</td>
</tr>
<tr>
<td>9. Videotaping</td>
<td>3%</td>
<td>13%</td>
<td>11%</td>
<td>17%</td>
<td>55%</td>
<td>1%</td>
</tr>
<tr>
<td>10. Defamation</td>
<td>13%</td>
<td>20%</td>
<td>11%</td>
<td>24%</td>
<td>27%</td>
<td>5%</td>
</tr>
<tr>
<td>11. Other costly</td>
<td>1%</td>
<td>7%</td>
<td>15%</td>
<td>28%</td>
<td>49%</td>
<td>0%</td>
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<tr>
<td>consequences</td>
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<tr>
<td>12. Insubordination</td>
<td>3%</td>
<td>5%</td>
<td>8%</td>
<td>43%</td>
<td>39%</td>
<td>3%</td>
</tr>
<tr>
<td>13. Open records</td>
<td>5%</td>
<td>9%</td>
<td>4%</td>
<td>24%</td>
<td>55%</td>
<td>3%</td>
</tr>
<tr>
<td>legislation</td>
<td></td>
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</tbody>
</table>

Overall, this above-average group selected the correct responses only 21% of the time. If there was only one correct answer to each question, then 20% would be the score one could expect from chance, or just randomly guessing at the answers. Because many of the items have two possible correct answers, these participants scored significantly less than chance. While some of these participants may have been accurately reflecting their local regulations, it is more likely that their answers represented the “lore” of teacher evaluation, not the law.

The items where the answers were particularly skewed toward the lore and not the law were numbers 3 (remediation), 4 (statutory compliance), 6 (subjectivity), 10 (defamation), 11 (other costly consequences), and 13 (open records).
About the Author

Perry A. Zirkel is University Professor of Education and Law at Lehigh University, where he formerly was dean of the College of Education. He has a Ph.D. in Educational Administration, a J.D. from the University of Connecticut, and a Master of Laws degree from Yale University.


He is an active labor arbitrator and also serves on the special education hearing appeals panel for Pennsylvania. Zirkel is the current president of the National Organization on Legal Problems of Education (NOLPE), which has headquarters in Topeka, Kansas.
The Law of Teacher Evaluation is a practical handbook. It provides a summary resource that is current, comprehensive, concise, and coherent.

Readers are encouraged to begin using this book by responding to the self-assessment. Answers and a detailed explanation for each item are provided in the section that follows the self-assessment. The explanations include numerous footnotes that cite statutory and regulatory information. Following these explanations is a summary chart of relevant state statutes, regulations, and guidelines, again followed by specific citations.

A handy reference, this concise publication also can be viewed as a starting point for further study. School administrators, teachers, teacher educators, and students of education will find this guide to be a valuable resource.

The Law of Teacher Evaluation was developed in cooperation with the National Organization on Legal Problems of Education (NOLPE).

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