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ABSTRACT

In recent years there has been a remarkable increase in the number of alcohol and other drug education, prevention, and treatment programs in higher education and funding has not kept pace with program development. The purpose of this document is to help those unfamiliar with the process of funding development to identify possible resources and to gain basic ideas about how to begin the process of searching for support. It includes a simplified explanation of how to understand the "Federal Register" and the "Catalog of Federal Domestic Assistance (CFDA)," two large and often confusing documents where the process of seeking public funding begins. The guide covers only public funding sources (those available from government entities), although there are many other sources such as private foundations and trusts. For readers interested in exploring private funding, some helpful resources in this area are included. Following descriptions of the "Federal Register" and the CFDA are half-page descriptions of 19 public program resources and of 11 public research resources. A resource bibliography concludes the document. The Drug-Free Schools and Communities Act Amendments of 1989 are appended. (NB)

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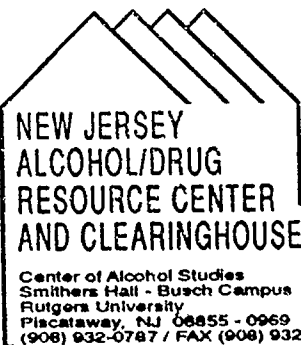
Public Funding Resources for Alcohol and Other Drug Programs

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U.S. DEPARTMENT OF EDUCATION
Office of Educational Research and Improvement
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Introduction

In recent years there has been a remarkable increase in the number of alcohol and other drug education, prevention, and treatment programs in higher education. Amendments to the Drug-Free Schools and Communities Act in 1990 mandate even more specific programming to be developed by colleges and universities. As concern for alcohol and other drug abuse problems grows, funding has not kept pace.

There are some institutions who have been able to develop comprehensive programs with full-time directors, but, in the majority of institutions, these programs exist as part-time entities in departments such as counseling, student services, or residence life. These offices are likely to already be overburdened, and some projects, no matter how important, are allocated fewer resources than they need to be successful in meeting their goals. It is becoming increasingly necessary for collegiate alcohol and other drug programs to stand on their own and seek outside financial support, and this task is likely to fall to an individual already strapped for time and resources. While some funding has become available through legislation such as the Drug-Free Schools and Communities Act and the Educational Improvement Act, these applications require that a great deal of work be done between the identification of needs and the awarding of funds. Grant and proposal development is becoming a specialty in itself.

The purpose of *Public Funding Resources for Alcohol and Other Drug Programs in Higher Education* is to help those unfamiliar with the process of funding development to identify possible resources and to gain basic ideas about how to begin the process of searching for support. It is not meant to be a definitive guide but will hopefully serve as a "springboard" for those who have already identified needs for their programs.

The **Federal Register** and the **Catalog of Federal Domestic Assistance (CFDA)** are intimidatingly large and often confusing documents where the process of seeking public funding begins, and, for too many, ends. We have attempted to offer the reader a simplified explanation of how to understand these resources. Both are extremely valuable for the fund seeker but cannot reasonably be called "user friendly." Most institutions also have development offices whose job, at least in part, is to assist those seeking funding for programs and research. The more familiar one is with the **Federal Register** and the **CFDA**, the easier it will be to work with development offices.

This guide covers only *public* funding sources (i.e. those available from governmental entities), although there are many other sources such as private foundations and trusts. In principal these private sources work in much the same way as public funding agencies in receiving requests and granting funds, but the procedures for application are usually very different, and are sometimes much easier. For those interested in exploring private funding, we have included some helpful resources in this area.

There is one guiding principal to all searches for funding and support: If you don't at least ask, you will certainly never receive. An application may not be approved immediately, but it is a place to start. With most agencies you can apply each funding cycle, and some take applications at any time. Once a proposal exists, it may be sent to any number of places as a request. Best of luck to you all!

Using the Federal Register

The **Federal Register** is published daily, and provides a uniform system for making available to the public the regulations and notices issued by federal agencies regarding their activities. These include proclamations and executive orders, federal agency documents having general applicability and legal effect, documents required to be published by acts of Congress, and other federal agency documents of general public interest, such as notices requesting proposals for funding and outlines of programs the agency wishes to fund. Regulations that appear in the **Federal Register** are amendments to the Code of Federal Regulations (CFR). Most of the announcements made in the **Federal Register** are project grants, or *categorical* grants as they are more commonly known. These grants are funded with discretionary money appropriated annually by Congress to government agencies to be dispensed through a competitive selection process. With the availability of federal funds for projects getting increasingly tight, it is becoming more crucial for those seeking grants to get an edge on the competition by educating themselves on program deadlines, regulations, and criteria.

Generally, a panel of agency staff and outside experts will judge the relative worthiness and importance of each application. This panel maintains total anonymity to avoid any type of bias. All applicants compete with each other in this process. State and local organizations, school districts, units of local government, agencies, and institutions are all in direct competition with one another when they submit applications. Although this may initially seem intimidating, keep in mind that often a smaller organization can have a better grasp of their target population's needs, especially for local programs.

The criteria by which an application is judged vary from program to program. While each project has specific requirements, the decision itself is generally based on several broad factors:

- (1) The relevance of the project to the program goals;
- (2) The initiative, creative, or innovative ideas presented in the application;
- (3) The adaptability of the program for use in other areas.

Attention to detail in compliance with the Request for Proposal (RFP) guidelines from each agency is vital in this process. There is virtually no flexibility in such areas as deadlines and the need for exact compliance in the documentation required for the application. Preapplications, summaries, detailed budgets, letters of support, and forms signed by the proper officials are all part of the application package. It is always the responsibility of the applicant to make certain that the documents are complete and that they arrive on time.

When the **Federal Register** is cited, it is always cited in a uniform manner which includes the month of publication, the volume, and the page number.

Example citation: **Federal Register April '88 at 53 FR 10909**

April is the month of publication
53 is the volume number
FR stands for **Federal Register**
10909 is the page number

This is the proper way to cite the **Federal Register**, and it is the way that all governmental agencies cite their announcements. Most libraries maintain the **Federal Register** in their *government documents* section, and having the citation in this form will enable librarians to help find what you need. Most announcements that will be of interest to those seeking funding are in the section entitled *Notices*.

Another way to search the **Federal Register** is by agency. All agencies are listed *alphabetically* by the names of the agencies in that volume. All the activities for each agency in each volume are shown beneath the agency name.

Example from March '88, Volume No. 54:

[Agency Name] **ACTION**

Notices

[Activities covered by the notices] Grants and cooperative agreements; availability, etc.
Community-Based Illicit Drug Use Prevention Projects.

[Page Number] 1264

Agricultural Marketing Service

Rules

[Title of the program] Milk marketing orders, southwest plains, 12584.
Pears (winter) grown in Oregon, Washington, and California, 12583.

Alcohol, Tobacco, and Firearms Bureau

Rules

Alcohol, tobacco, and other excise taxes
Wine fill tolerance; occupational taxes relating to alcohol, technical corrections, 12607.
Alcohol, viticultural area designations, Santa Clara Valley, California, 12603.

When looking for a particular announcement, you should first look under the name of the sponsoring agency if you do not have a reference number and page.

To the greatest extent possible, announcements listed in this guide have been given correct reference numbers. In some cases, programs having funds administered by an organization other than a federal agency do not have specific reference numbers. In other cases, programs do not have specific reference numbers, but are part of a larger act or public law, and the reference number for such act or public law is given.

The Catalog of Federal Domestic Assistance (CFDA)

The CFDA is published by the U.S. General Services Administration annually in June and updated in December. It lists all types of federal aid and explains the types of assistance, eligibility requirements, application processes, and suggestions on how to write proposals. CFDA numbers have been included in the references of this guide.

All aid programs in the CFDA are grouped into twenty broad categories as follows:

- Agriculture
- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education
- Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security/Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development
- Science and Technology
- Transportation

The Organization of the Catalog

The catalog is divided into three sections: The *Indices*, the *Program Descriptions*, and the *Appendices*

I. Indices

The *Agency Index Summary* provides a description of the functions and activities of federal agencies responsible for administering the programs listed in the catalog. Program numbers are also listed with the administering agency.

The *Agency Program Index* lists all of the programs in the catalog in numerical order by their five-digit program identification number, their program title, the federal agency sponsoring the program, and the type of assistance that the program offers.

Since this guide gives the applicable identification number for each of the programs it outlines, the Agency Index Summary, and the Agency Program Index are the most useful of the indices for locating funding resource information. The other indices will not be covered in detail here, but are as follows:

- The Functional Index Summary
- The Subject Index
- The Applicant Eligibility Index
- Deleted Programs
- Cross Reference Guide
- Added Programs
- The Deadlines Index

II. Program Descriptions

The center section of the CFDA contains descriptions of federal programs listed by their program numbers. Detailed information about programs is contained under the description heading. While there are many sub-sections, those listed below will be the most understandable to applicants. There is a brief explanation of each heading:

•Program Number, Title, and Popular Name

Each program in the CFDA is preceded by a five-digit program identification number. The first two digits identify the federal agency that administers the program, and the last three are assigned in numerical sequence. The program title is a descriptive title given to the program, and the popular name is a less descriptive name used by the agency and by applicants.

•Federal Agency

Information on the federal department, agency, council, or commission sponsoring the program is provided. It also gives the primary organizational sub-unit (e.g. a single office within an agency) that has direct operational responsibility for the program.

•Authorization

The legal authority upon which a program is based can take many forms. This section details the various legal activities through which a program may be authorized, including acts, public laws, titles, sections, statute codes, citations of the U.S. Code, executive orders, presidential reorganization plans, and memoranda from agency heads.

•Objectives

This is a brief statement of specific objectives for the program. It states what the program is intended to accomplish and includes the longer-range goals toward which the program is directed.

•Types of Assistance

This section indicates the form in which the assistance is transmitted from the federal agency to the individual, group, or institution receiving the assistance.

•Uses and Restrictions

This section describes the potential uses for the assistance provided to meet the program objectives as well as the specific restrictions placed on the use of the assistance. It gives examples of applications and offers the opportunity to better understand the objectives.

•Eligibility Requirements

This section indicates who can apply for federal assistance and the criteria the applicants must satisfy. Many times assistance under a particular program is restricted to a certain level or type of applicant. The information in this section provides eligibility requirements for the applicant, as well as for those who may benefit from the program activities.

•Credentials/Documentation

A brief description of the credentials and/or documentation required for approval of an application is given. The applicant eligibility factors that must be proven, certified, or established are included in this section.

•Application and Award Process

This indicates whether coordination with or approval of any governmental or non-governmental unit is required prior to the submission of a formal application.

•Application Procedure

The basic procedural steps required by the federal agency in the application process are noted. This process is outlined beginning with the lowest administrative level of the sponsoring office or agency initially receiving the application, and ending with the federal government.

•Award Procedure

This section lists the basic procedural steps for awarding assistance, beginning with the organizational level having final approval and ending with the lowest level at which federal funds are expended.

•Deadlines

Deadlines for applications to the funding agency are listed. These are stated either in terms of a specific date or between which dates the application should be received.

•Range of Approval/Disapproval Time

This informs the applicants of the representative range of time for the application to be processed at the federal level.

•Appeals

In cases where they exist, procedures and allowable times for re-submission of applications are listed. These procedures and times may vary significantly between agencies.

•Renewals

Applicants are advised as to whether renewal or extensions of applications are available. In cases where they are available, the procedures to follow are indicated.

Assistance Considerations

The formulae and matching requirements, if any, of the assistance available are presented. Any additional requirements of the sponsoring agency, such as in-kind services, maintenance of effort, and length and timing of assistance are detailed.

•Additional Sections

These sections provide information on post-assistance reporting requirements, audits, record keeping, financial information, accounting procedures, regulations, and information contacts.

III. Appendices

The final sections of the CFDA contain six appendices that give detailed information regarding regulations, contacts, budget and other codes, and historical profiles of programs and agencies. While this information may be difficult to read, it is important to the development of a proposal to use the proper codes and to understand the nature and activities of the agency offering the assistance.

Abbreviations Used In This Guide

- Alcohol/DrugIn all cases, this means "alcohol and other drugs"
- CFDACatalog of Federal Domestic Assistance
- CFRCode of Federal Regulations
- CSAPCenter for Substance Abuse Prevention (formerly OSAP)
- EDU.S. Department of Education
- FRFederal Register
- FIPSEFund for the Improvement of Post-Secondary Education
- FYFiscal Year
- HHSU.S. Department of Health and Human Services
- IHEInstitution of Higher Education
- LEALocal Education Agency
- NCAANational Collegiate Athletic Association
- NIHThe National Institute of Health
- NIAAANational Institute on Alcohol Abuse and Alcoholism
- NIDANational Institute on Drug Abuse
- NIMHNational Institute of Mental Health
- OAPPOffice of Adolescent Pregnancy Programs
- PLPublic Law
- RFPRequest for Proposal
- SAMHSASubstance Abuse and Mental Health Services Administration (formerly ADAMHA)
- SEAState Education Agency



Public Program Resources

ACTION Drug Alliance

The ACTION Drug Alliance provides grants to utilize volunteers to conduct innovative drug abuse programs through public awareness and education. Programs should demonstrate involvement of all sectors of the community, including local business and program beneficiaries. Programs are selected for funding based on : (a) demonstrated competence in using volunteers to prevent the use of illicit substances by youth; (b) plans to recruit, train, and retain non-stipend volunteers in priority areas; (c) ability to sustain volunteer efforts; (d) potential for replication of the project model, including dissemination of reports; (e) plans for continuation after expiration of ACTION funds; (f) evidence that the project will be completed in the proposed timetable;(g) capability of proposed staff; feasibility of proposed budget; (h) adequacy of evaluation plans; (i) efforts to create public awareness of the alcohol/ drug problem; (j) letters of support from collaborating agencies; and (k) the ability of the applicant to raise matching funds in cash or in kind.

Preference is given to applicants committed to institutionalizing their project in the community through the development of non-federal funds for project continuation. Therefore, project sponsors must show that they have enlisted the full cooperation and involvement of all sectors of the community.

Administering Agency: ACTION

Award Range: Up to \$35,000 (Under special circumstances, programs may be continued for more than one year. Maximum funding for continued programs may not exceed \$250,000.)

CFDA Number: 72.014 (Federal Register, January '91 at 56 FR 838 & April '91 at 56 FR 19830)

Contact: State/regional ACTION Office

Headquarters: Calvin Dawson, Acting Director
Drug Alliance Office, Room 8200
ACTION
1100 Vermont Ave. , NW.
Washington, DC 20525

ACTION Drug Alliance Summer Programs

In 1988, the Anti-Drug Abuse Act extended ACTION's drug abuse education and prevention programs and required the agency to set aside between 10% and 15% of its annual drug prevention funds for programs serving youth during the summer months. By law, summer projects may include: (a) extending effective school-based programs or other programs operated during the school year to the summer months; (b) developing or expanding summer recreational, volunteer service, and youth development activities to provide positive alternatives to illicit drug use; and (c) incorporating drug abuse education and prevention activities in public and private programs which serve youth during the summer months. Priority under the summer program goes to projects that serve high-risk youth and provide opportunities for parental involvement. "High-risk youth" is defined as someone under 21 years old who abuses alcohol or other drugs, and who: (a) has dropped out of school; (b) has become pregnant; (c) is poor; (d) is the child of an alcohol or other drug abuser; (e) is the victim of sexual, physical, or psychological abuse; (f) has committed a violent or delinquent act; (g) has experienced mental health problems; (h) has attempted suicide; (i) has experienced long-term pain due to an injury; (j) has repeatedly failed in school; or (k) is in a correction facility.

Preference is given to applicants committed to institutionalizing their project in the community through the development of non-federal funds for project continuation. Therefore, project sponsors must show that they have enlisted the full cooperation and involvement of all sectors of the community.

Administering Agency: ACTION

Award Range: Up to \$35,000

CFDA Number: 72.014 (Federal Register, January '91 at 56 FR 838 & April '91 at 56 FR 19830)

Contact: Local/regional ACTION Office

Headquarters: Calvin Dawson, Acting Director
Drug Alliance Office, Room 8200
ACTION
1100 Vermont Ave. , NW.
Washington, DC 20525

ACTION Mini-Grants

ACTION awards grants to public and non-profit private organizations that will use volunteers as part of their service staff. The ACTION Mini-Grant Program is designed to encourage the use of volunteer staff in community service projects, such as refugee assistance groups, big brother/sister programs, and alcohol/drug abuse prevention campaigns. In recent years ACTION priority areas have included problems of youth such as: (a) alcohol and other drug abuse, latchkey syndrome, illiteracy, adolescent pregnancy; (b) Vietnam veterans; (c) poverty-related problems; (d) refugee assistance; and (e) volunteer clearinghouse activities. For FY '90, ACTION specified that it would consider projects which used non-stipend older youths or adult volunteers as mentors to provide drug prevention and education and direct assistance to low-income youth. Activities may include tutoring and remedial assistance, career and employment counseling, volunteer community service, and sports and social activities. Projects are directed to stress activities which focus on assisting children to realize the importance of the community and their responsibilities as citizens.

Preference is given to applicants committed to institutionalizing their project in the community through the development of non-federal funds or project continuation. Therefore, project sponsors must show that they have enlisted the full cooperation and involvement of all sectors of the community.

Administering Agency: ACTION
Award Range: Up to \$8,400
CFDA Number: 72.010 (Federal Register, October '84 at 50 FR 40063 & January '90 at 55 FR 3243)
Contact: Local/regional ACTION Office
Headquarters: Willa Dumas, Mini-Grant Program
Program Demonstration and Development Division
ACTION
1100 Vermont Ave., NW.
Washington, DC 20525

Adolescent Family Life Demonstration Projects

This program supports demonstration projects that test new approaches to providing care services for pregnant adolescents and adolescent parents, and/or prevention services to encourage the postponement of premarital adolescent sexual activities. The Office of Adolescent Pregnancy Programs (OAPP) funds three types of demonstration grants, depending upon the type of services to be provided: (a) projects which provide care to pregnant adolescents and adolescent parents; (b) projects which provide services to prevent adolescent sexual relations; and (c) projects which combine both care and demonstration services. Each type of program has specific requirements for activities to be funded. In addition to traditional demonstration projects with evaluative components, OAPP has funded "evaluation intensive" projects specifically designed to produce research-quality information bearing on the effectiveness of the demonstration programs. These types of programs are eligible for awards up to 20% higher than the limits for demonstration programs.

OAPP reviews applications according to several factors: applicant's ability to carry out the project, project methodology and expected benefits, innovativeness and replicability, and evaluation plan. Other considerations include provisions for involving families in delivery of services - in the case of care programs to promote adoption as a positive alternative, and, in the case of prevention programs, to promote abstinence from adolescent premarital sexual activity. Also considered are cost-effectiveness, plans to obtain continuation funding, community involvement, incidence of adolescent pregnancy in the area and the current availability of services, nature of the applying organization, the population to be served and the organizational model for service delivery, potential for complementing existing service models, and applicant's ability to administer funds.

Administering Agency: Department of Health and Human Services
Award Range: \$40,00 to \$250,000, depending on project type
CFDA Number: 93.995 (Federal Register, December '89 at 54 FR 52908)
Contact: State social service agencies
Headquarters: Patricia Funderburk, Director
Office of Adolescent Pregnancy Programs, Office of Population Affairs
Hubert H. Humphrey Building, Room 736E
200 Independence Avenue, SW
Washington, DC 20201

Alcohol and Other Drug Problems Prevention Communications Programs Grants

The objectives of this program are: (a) to stimulate the development and evaluation of promising, communication-based approaches to the prevention of alcohol/drug problems; (b) to foster the development and use of communication tools that supplement or provide improved access to the knowledge base available to organizations working in the areas of communications, resource centers, clearinghouses, and material development; and (c) to develop and evaluate approaches to assist communities in improving the overall message environment for prevention and protection of those living in a high-risk milieu. Cooperative funds are to be used to develop such projects as targeted media campaigns, specialized product development, and special tool development.

Activities to be supported include the development, testing, and dissemination of communication campaigns and products, which may include media messages, television and radio programs, billboards and posters, and publications. A major proportion of the overall effort should be devoted to formative evaluation and testing of specific messages for specific target audiences.

Administering Agency: Substance Abuse and Mental Health Services Administration (SAMHSA), formerly ADAMHA

Award Range: Mass media communication projects average award- \$200,000
Communications tools and materials average award- \$200,000

CFDA Number: 93.901

Headquarters: Patricia Sabry, Grants Management Officer
Substance Abuse and Mental Health Services Administration
5600 Fishers Lane
Rockwell II Building
Rockville, MD 20857

Alcohol and Drug Abuse Clinical or Service-Related Training Grants

This program is designed to provide specialized training of health and allied health professions personnel to assure that the alcohol and drug abuse knowledge and skills of these personnel are appropriate to the needs of those they serve. Grant funds may be used to defray institutional costs for the program, such as costs for personnel, supplies, equipment, and travel, and to provide trainee stipends and other allowances, such as tuition and fees for those enrolled in the training program. Grants in support of approved applications are awarded directly to the applicant institution.

Specific areas of interest include risk and disorder processes, prevention and intervention models, and methodologies for improving risk factor and intervention studies.

Administering Agency: National Institute on Alcohol Abuse and Alcoholism

Award Range: Average award- \$127,000

CFDA Number: 93.274

Headquarters: Joseph Weeda, Grants Management Officer
Room 16-86
National Institute on Alcohol Abuse and Alcoholism
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Community Partnership Demonstration Grants

This program is designed to assist local communities in developing a coordinated and comprehensive approach to fighting the "war on drugs." Local governments or local non-profit organizations may apply for funds on behalf of a community coalition, or partnership. The partnerships must consist of at least seven organizations, such as educational institutions, community-based entities, volunteer agencies, hospitals, or law enforcement programs, and must be headed by a non-profit private organization or a public entity. Applicants for partnerships of less than seven entities will not be considered. The grants are intended to promote collaborative efforts in local communities, particularly focusing on alcohol/drug abuse prevention and early intervention. According to CSAP, the administering agency of this program, the key to success is the involvement of segments of the community that are impacted by alcohol and other drug abuse. Partnerships receiving funding are to use the funds to: (a) identify the needs and service gaps within their communities; (b) formulate and establish anti-drug priorities within their communities; (c) coordinate new and established prevention programs throughout their communities; and (d) assist public and private organizations in promoting and supporting alcohol/drug prevention programs.

The activities that CSAP has approved for these projects to undertake are: (a) recruitment and training of adults and youth for grassroots leadership in alcohol/drug prevention; (b) community development and empowerment of local citizens to enable them to respond to alcohol/drug abuse through consultation and planning; (c) organizational development to improve systems aimed at alcohol/drug abuse education; (d) creation of mechanisms to plan, assess, and coordinate activities related to alcohol/drug abuse prevention in the community; and (e) support of community activities or citizen-sponsored advocacy and action planning for alcohol/drug abuse prevention by the partnership staff through training and team building.

Administering Agency: Center for Substance Abuse Prevention (CSAP)
Award Range: Average award for FY 1991-\$300,000
CFDA Number: 93.194 (Federal Register December '90 at 55 FR 51161)
Contact: Center for Substance Abuse Prevention
Headquarters: David Robbins, Division of Prevention Implementation
Center for Substance Abuse Prevention
5515 Security Lane, Rockwall II
Rockville, MD 20857

Community Youth Activity Program (CYAP)

The Community Youth Activity Program provides competitively-based grants to states to support local education, training, and recreational projects for youth at risk of abusing alcohol/drugs, such as school dropouts and gang members. Part of the fund is also reserved for projects of national significance, which are activities expected to have broad impact in preventing the use of drugs by youth.

State agencies are awarded the funds, which they may use for community services and partnerships that prevent the use of alcohol/drugs through education, training, and recreation projects. In making awards to local organizations, the law gives priority to projects that: (a) target youth who are not in school or are at risk of dropping out; (b) seek to involve dropouts in educational programs, involve youth in community-based activities, develop training or employment opportunities for youths, or provide alternatives to alcohol/drug abuse; (c) provide after-school, vacation, and weekend activities, including sports; (d) involve participation by members of the business community; (e) provide outreach to individuals of all ages who are at high risk for becoming alcohol/drug abusers; (f) target communities with the most serious problems to enable them to develop comprehensive, long-term, community-wide prevention/education strategies; (g) involve youthful gang members, or those at risk for joining gangs, in education, community-based activities, training, or employment opportunities as alternatives to gang involvement; and (h) inform youth about available activities that may discourage them from gang involvement. In addition, the grant authorization was amended to give priority to after-school programs for unsupervised children. Applicants' proposals must include a description of the method to be used to evaluate the impact programs will have on the alcohol/drug abuse problems within the targeted communities.

Administering Agency: Center for Substance Abuse Prevention (CSAP)
Award Range: Average award of discretionary grants for FY '91- \$435,000
CFDA Number: 93.170 Amended by P.L. 101-226 (Federal Register August '90 at 55 FR 33580)
Contact: Center for Substance Abuse Prevention (CSAP)
Headquarters: Dave Robbins, Division of Prevention Implementation
Center for Substance Abuse Prevention
Room 9A-40, Parklawn Building
5600 Fishers Lane
Rockville, MD 20857

Drug-Free Schools and Communities Act Drug Prevention Programs in Higher Education (Analysis and Dissemination Competition)

This program awards funds to help institutions of higher education establish, operate, and improve projects involving alcohol/drug abuse education and prevention. The Analysis and Dissemination Competition seeks projects to analyze and disseminate successful program designs, policies, and results of projects supported under Institution-Wide or Special Focus Competitions. Awards under this category are limited to current or former recipients of an institution-wide or special focus grant. ED held two separate competitions in FY '91: (a) dissemination of successful institution-wide projects, which gave priority to projects designed to disseminate successful designs, policies, and results of projects supported under institution-wide competition; and (b) analysis of institution-wide projects, which gave priority to projects designed to analyze successful project designs, policies, and results of projects supported under institution-wide competitions. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Analysis and Dissemination projects are evaluated competitively according to weighted factors including design, methods and management plan, key personnel, evaluation, cost-effectiveness, and budget clarity. ED usually funds the most highly-rated applications.

Administering Agency: U.S. Department of Education Fund for the Improvement of Post-Secondary Education (FIPSE)

Award Range: Award range for FY 91- \$35,000 to \$150,000

CFDA Number: 84.183 (Federal Register August '90 at 55 FR 33580)

Contact: Fund for the Improvement of Post-Secondary Education

Headquarters: Dr. Ronald Bucknam, Drug Prevention Programs in Higher Education
Fund for the Improvement of Post-Secondary Education
U.S. Department of Education
ROB-3, Room 3100
7th and D Streets, SW
Washington, DC 20202-5175

Drug-Free Schools and Communities Act Drug Prevention Programs in Higher Education (Institution-Wide Competition)

This program awards funds to help institutions of higher education establish, operate, and improve projects involving alcohol/drug abuse education and prevention. The Institution-Wide Competition seeks comprehensive projects within institutions of higher education with the goals of eliminating students' alcohol/drug abuse through education, rehabilitation referral, and other appropriate activities. Students, faculty, and staff must benefit from the projects, thus the name "Institution-Wide." Projects in this category are required to conduct an initial and final assessment of the use of illegal drugs and the abuse of alcohol/drugs by their students. They are also required to develop an institution-wide alcohol/drug policy or to review and revise (if necessary) an existing one. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Institution-wide projects are evaluated competitively according to weighted factors including need, design, methods and management plan, key personnel, evaluation, cost-effectiveness, and organizational commitment. ED usually funds the most highly-rated applications.

Administering Agency: U.S. Department of Education Fund for the Improvement of Post-Secondary Education (FIPSE)

Award Range: Award range for FY 91- \$10,000 to \$250,000

CFDA Number: 84.183 (Federal Register August '90 at 55 FR 33580)

Contact: Fund for the Improvement of Post-Secondary Education

Headquarters: Dr. Ronald Bucknam, Drug Prevention Programs in Higher Education
Fund for the Improvement of Post Secondary Education
U.S. Department of Education
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7th and D Streets, SW
Washington, DC 20202-5175

Drug-Free Schools and Communities Act Drug Prevention Programs in Higher Education (Special Focus Competition)

This program awards funds to help institutions of higher education establish, operate, and improve projects involving alcohol/drug abuse education and prevention. The Special Focus Competition seeks projects addressing one or more specific approaches or problem areas related to on-campus alcohol/drug abuse education and prevention. Eligible approaches or problem areas within the Special Focus Competition include: the formulation of promising new approaches to individual and institutional leadership and responsibility; the development and implementation of programs conducted in conjunction with national student organizations; and programs that focus, either individually or in combination, on specific types of drug use or alcohol abuse, specific prevention approaches, or particular student activities or campus events.

Special Focus applications are evaluated competitively in three separate areas according to weighted factors: (a) formulation of promising approaches to prevention, evaluated on need, design, management plan, key personnel, cost effectiveness, and budget clarity; (b) development and implementation of programs in conjunction with national student organizations, evaluated on design, management plan, key personnel, evaluation, cost-effectiveness, and organizational commitment; and (c) development, implementation, operation, or improvement of programs that concentrate on specific types of drug use or alcohol abuse, evaluated on need, design, management plan, key personnel, evaluation, and cost-effectiveness.

Administering Agency: U.S. Department of Education Fund for the Improvement of Post-Secondary Education (FIPSE)

Award Range: Award range for FY 91-\$5,000 to \$250,000 (widely variable depending on the category)

CFDA Number: 84.183 (Federal Register August '90 at 55 FR 33580)

Contact: Fund for the Improvement of Post-Secondary Education

Headquarters: Dr. Ronald Bucknam, Drug Prevention Programs in Higher Education
Fund for the Improvement of Post-Secondary Education
U.S. Department of Education
ROB-3, Room 3100
7th and D Streets, SW
Washington, DC 20202-5175

Drug-Free Schools and Communities Act Emergency Grants Program

This program awards funds to eligible local education agencies (LEAs) that demonstrate significant need for additional resources to combat student alcohol/drug abuse issues. These LEAs must serve areas where there are large numbers or high percentages of arrests or youth convictions relating to alcohol/drug use, large numbers or high percentages of referrals of youth to alcohol/drug rehabilitation programs, or significant alcohol/drug abuse problems, as identified by other appropriate data.

ED may fund projects that: (a) support comprehensive community-wide alcohol/drug abuse education programs that involve school personnel, clergy, law enforcement personnel, judicial officials, local government, community leaders, and parents; (b) provide technical assistance to schools to prevent unlawful possession, use, or distribution of alcohol/drugs by students on school premises or at school activities; (c) involve prevention of alcohol/drug use by students through activities such as educating parents, teachers, and school administrators about the causes, symptoms, and effects of alcohol/drug use; and (d) present innovative approaches to combatting alcohol/drug use in the LEA. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Applications are evaluated on concept design, relationship to Drug-Free Schools Act programs, operation plan, key personnel, evaluation plan, and commitment and capacity to reach the goals. Applicants must provide an assessment of the existing problem and a plan of specific procedures that will be implemented to monitor the progress of the project.

Administering Agency: U.S. Department of Education

Award Range: Average award for FY 91- \$500,000

CFDA Number: 84.233 (Federal Register December '90 at 55 FR 52146)

Contact: State Education Agency or Governor's office

Headquarters: Ruth Tringo, U.S. Department of Education
Drug-Free Schools and Communities Staff
400 Maryland Avenue, SW, Room 2135
Washington, DC 20202-6439

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Drug-Free Schools and Communities Act Federal Activities Grants (Discretionary Grants Program)

In general, the Federal Activities Program awards funds to support model program development, dissemination, technical assistance, and curriculum development activities. It is specifically intended to support initiatives that: (a) develop, disseminate, or evaluate information or approaches to comprehensive alcohol/drug education and prevention, community-based alcohol/drug education and prevention, strategies to communicate anti-drug messages to youth, exemplary programs for specific target groups such as children of alcoholics, and successful alternative programs that use innovative approaches in re-enrolling students who left school because of alcohol/drug abuse; (b) expand and evaluate alternative programs that use innovative approaches to re-enrolling students who were removed from school because of alcohol/drug abuse and develop materials which describe implementation of successful programs in returning students to school; and (c) present an innovative approach to combatting alcohol/drug abuse which is consistent with the Drug-Free Schools and Communities Act. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Discretionary Grant proposals are evaluated competitively according to weighted factors, including: concept design, relationship to other alcohol/drug education programs implemented under the Drug-Free Schools and Communities Act, plan of operation, quality of key personnel, evaluation plan, and applicant's commitment and capacity to successfully complete the project.

Administering Agency: U.S. Department of Education

Award Range: Average award for FY 91- \$250,000

CFDA Number: 84.184 (Federal Register December '90 at 55 FR 52146)

Contact: Office of Elementary and Secondary Education

Headquarters: Gail Beaumont, Drug-Free Schools and Communities Staff
Office of Elementary and Secondary Education
U.S. Department of Education
400 Maryland Avenue, SW, Room 2123
Washington, DC 20202-6151

Drug-Free Schools and Communities Model Demonstration Grants to Institutions of Higher Education

This program provides funds to IHEs and Consortia for projects coordinated with local elementary and secondary schools to develop and implement quality alcohol/drug abuse education curricula. Awards under the IHE Model Demonstration Grants Program may be used to fund projects that: (a) demonstrate effective alcohol/drug prevention strategies which test theories of prevention, assess delivery techniques, and modify effective strategies to serve the needs of other populations, such as high-risk youth; (b) demonstrate research-based strategies focusing on factors that protect individuals from alcohol/drug abuse, including personal knowledge, skills, and attitudes; (c) demonstrate the results of innovative teacher preparatory programs or model certification requirements that enhance drug and alcohol abuse education; and (d) utilize research findings to develop and assess innovative methods and models for alcohol/drug abuse education and prevention. ED gives priority to joint projects involving IHE members, elementary and secondary school teachers, and community representatives in the application of educational research and evaluation and its integration into the proposed programs. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Applications are evaluated competitively according to weighted factors, including: concept design and contribution to improving the quality of alcohol/drug abuse education and prevention, relationship to other Drug-Free Schools and Communities programs, plan of operation, quality of key personnel, evaluation plan, and applicant's commitment and capacity to successfully complete the program.

Administering Agency: U.S. Department of Education

Award Range: Estimated average award for FY '91- \$320,000

CFDA Number: 84.184 (Federal Register December '90 at 55 FR 52153)

Contact: Fund for the Improvement of Post Secondary Education

Headquarters: Seledia Shephard or Carol Chelmer, Drug-Free Schools and Communities Staff
Office of Elementary and Secondary Education
U.S. Department of Education
400 Maryland Avenue, SW, Room 2135
Washington, DC 20202 6151

Drug-Free Schools and Communities Act State and Local Formula Grants Program

Drug-Free Schools Formula Funds are used to carry out school and community based alcohol/drug prevention, early intervention, rehabilitation referral, and education projects. Most of the funds are allotted to SEAs, which distribute them to LEAs who will administer the school-based alcohol/drug abuse education and prevention activities. An LEA may award funds for alcohol/drug prevention and education projects, including: (a) curricula, textbooks, and other materials; family programs; counseling programs for students, families, and peers; (b) referral programs for treatment; (c) in-service and pre-service training for teachers, counselors, and other education personnel; (d) primary prevention and intervention programs; (e) community education; public education using professionals and former abusers; (f) on-site efforts to identify alcohol/drug abusers; special programs for student athletes; (g) programs for dropouts; (h) model alternative schools for alcohol/drug abusers; and (i) other relevant programs. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Applications must include: a comprehensive plan and budget, a local or sub-state advisory group, a description of the problem, an anti-alcohol/drug policy, mandatory programs for all grade levels, a plan to coordinate with community-based agencies, assurance that federal funds will supplement existing funds, a fiscal audit plan, a progress report plan, a plan for use of funds, assurances that information on local counseling resources will be circulated to parents and students, a description of how curricula meet school needs, a description of how school personnel will be trained, and a description of how schools will interface with local communities in alcohol/drug abuse prevention.

Administering Agency: U.S. Department of Education

Award Range: Total awards to SEAs/LEAs for FY '91-\$ 396, 028, 500 (Range \$52.8 Million to \$2.4 Million)

CFDA Number: 84.186 (Federal Register August '90 at 55 FR 33580)

Contact: Fund for the Improvement of Post-Secondary Education

Headquarters: Allen King, Director, Drug-Free Schools Staff
Office of Elementary and Secondary Education
U.S. Department of Education
400 Maryland Avenue, SW, Room 2135
Washington, DC 20202

Drug-Free Schools and Communities Act Training of Teachers, Counselors, and School Personnel Program

SEAs, LEAs, and IHEs are eligible to apply for grants under this program. Specifically, this program funds projects that: (a) establish, expand, or enhance programs and activities for the training of school personnel concerning alcohol/drug abuse education and prevention; (b) train teachers, administrators, guidance counselors, social workers, psychologists, nurses, librarians, and other school personnel who work with high-risk youth in the area of alcohol/drug abuse education and prevention; (c) train teachers, administrators, guidance counselors, and other school personnel in the implementation of innovative alcohol/drug abuse education and prevention programs, including those that focus on children of alcoholics; or (d) train teachers, administrators, guidance counselors, and other school personnel in those alcohol/drug abuse prevention, education, and intervention programs that involve family and community participation. In FY '91, ED also gave priority to programs that addressed the dangers of anabolic steroids and other "gateway" drugs such as tobacco and alcohol products. In order to receive federal funds of any type, LEAs, SEAs, and IHEs must comply with the requirements of the Drug-Free Schools and Communities Act (see appendix).

Applications for this program are evaluated competitively according to weighted factors, including: Concept design and contribution to improving the quality of alcohol/drug education, relationship to other programs funded under the Drug-Free Schools Act, plan of operation, quality of key personnel, evaluation plan, and applicant's commitment and capacity to successfully complete the project. ED usually funds the most highly-rated applications.

Administering Agency: U.S. Department of Education

Award Range: Award range for FY 91- \$100,000 to \$300,000

CFDA Number: 84.207 (Federal Register August '90 at 55 FR 33580)

Contact: U.S. Department of Education

Headquarters: Ethel Jackson, Drug-Free Schools Program
Office of Elementary and Secondary Education
U.S. Department of Education
FOB 6, Room 2135
400 Maryland Avenue, SW
Washington, DC 20202

High Risk Youth Projects

This program gives grants to projects designed to demonstrate effective models to prevent alcohol/drug abuse among high-risk youth, to provide early intervention strategies for youth in the early stages of alcohol/drug abuse, and to treat and rehabilitate youths currently involved in alcohol/drug abuse. The Public Health Service Act (P.L. 100-690) defines a high risk youth as a person under 21 who has been or is at risk of becoming a substance abuser, and who: (a) is a dropout; is poor; is pregnant; (b) is a child of a substance abuser; (c) is an abused child; (d) has committed a violent or delinquent act; (e) has attempted suicide; (f) has experienced long-term physical pain due to an injury; or (g) has experienced chronic failure in school. CSAP does not consider this list exhaustive and does not exclude other documented groups of high risk youth.

Under the heading *Prevention and Early Intervention*, CSAP will fund demonstration projects that: (a) propose and evaluate innovative prevention/intervention approaches that show promise of adding to what is known about the topic; and (b) assess the effectiveness, replicability, and generalizability of existing prevention/intervention models. Under *Multiple Risk/Multiple Level Interventions*, CSAP will also fund projects that target youths with multiple risk factors and propose multi-level, comprehensive prevention/intervention strategies that directly address these factors. Risk factors specifically mentioned include parents, extended family histories, schools, neighborhoods, and the individual.

Preference is given to projects showing linkages to, and non-duplication of, existing services, and those which provide for dissemination of results. All applicants must document the support of state and local government and other service agencies for their project. CSAP does not award grants for substance abuse research, although it may fund a limited number of projects that have some linkage to a research project.

Administering Agency: Center for Substance Abuse Prevention (CSAP)

Award Range: Average award for FY 91-\$300,000 for continued projects, and \$275,000 for new projects

CFDA Number: 93.144 (Federal Register March '91 at 56 FR 8763)

Contact: Center for Substance Abuse Prevention (CSAP)

Headquarters: Bernard McColgan, Director
Division of Demonstrations and Evaluations
Center for Substance Abuse Prevention
Room 9B-03, Rockwall II Bldg., 5515 Security Lane
Rockville, MD 20857

National Youth Sports Program (NYSP)

The National Collegiate Athletic Association (NCAA) receives an annual federal grant from the Office of Community Services (OCS), a division of the Administration for Children and Families in the Department of Health and Human Services (HHS). The NCAA subgrants these funds to colleges and universities to operate both summer and year-round sports programs designed to foster self-esteem, provide counseling on career and educational alternatives, and supply a constructive alternative to alcohol/drug abuse. Institutions of higher education that have suitable facilities and are accessible to low-income communities are eligible. In both program components, youth receive training, practice, and competition in sports, including basketball, volleyball, softball, tennis, and dance/aerobics from college and high school coaches and athletes for at least 2 hours each day. In addition, they participate in enrichment activities, including 7.5 hours per week of counseling in alcohol and other drug abuse prevention, 3 hours weekly on career and educational opportunities, and 3 hours weekly on good nutritional practices and personal health care. Participants also benefit through exposure to the higher education community.

Generally, preference is given to applicants based on need for the service in the target community, facilities available at the institution, other resources available, and the level of federal funding. Also favorably considered are applications that propose to conduct all activities at their own facilities and those who propose to utilize community resources.

Administering Agency: National Collegiate Athletic Association (NCAA)

Award Range: Variable, depending on project (average award for FY 1991-\$47,000)

CFDA Number: 13.793

Contact: National Collegiate Athletic Association

Headquarters: Edward A. Thiebe
NCAA Director of Youth Programs
6201 College Boulevard
Overland Park, KS 66211 2422

Federal Contact: Mary Ann MacKenzie, Program Manager
Office of Community Services Family Support Administration
Fifth floor, 370 L'Enfant Promenade, SW
Washington, DC 20447

Substance Abuse Conference Grants

This program provides discretionary support for planned meetings and conferences sponsored by new or ongoing constituent organizations or coalitions in their efforts to prevent alcohol and other drug abuse.

Public, private, profit, or non-profit agencies including state and local government agencies, universities, colleges, hospitals, and academic or research institutions may apply.

Administering Agency: Substance Abuse and Mental Health Services Administration (SAMHSA-formerly ADAMHA)

Award Range: Award range for FY 91- \$25,000 to \$50,000

CFDA Number: 93.174

Headquarters: Christine Chen, Grants management Officer
Substance Abuse and Mental Health Services Administration
5600 Fishers Lane, Room 12C15
Rockville, MD 20857

Public Research Resources

Alcohol Abuse and Alcoholism Small Research Grants

These grants provide financial support that is principally intended for newer, less experienced investigators who do not have regular research grant support or resources available from their institutions. Small grants may be used to carry out exploratory or pilot studies and to develop and test new techniques or methods. They may also be used by investigators changing research areas.

Applications may be made for support of research involving a wide variety of biomedical, biobehavioral, or clinical disciplines, but the research must be relevant to alcohol abuse and alcoholism. Grants are awarded for one to two years and are renewable for one year.

Administering Agency: National Institute on Alcohol Abuse and Alcoholism (NIAAA)
Award Range: Maximum award of \$50,000 for direct costs for up to two years
CFDA Number: 93.273
Headquarters: Joseph Weeda, Grants Management Officer
Room 16-86
National Institute on Alcohol and Alcoholism (NIAAA)
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Alcohol, Drug Abuse, and Mental Health (ADM) Disorders Prevention at the Workplace-NIAAA

Research grant proposals are being sought that address the commonalities and differences among risk factors for ADM problems and their prevention at the workplace. Proposals must involve research that cuts across the concerns of at least two of the three National Institute of Health (NIH) institutes: the National Institute on Alcohol Abuse and Alcoholism (NIAAA), the National Institute on Drug Abuse (NIDA), and the National Institute of Mental Health (NIMH). The objective of the program is to encourage the submission of applications on research relevant to preventive interventions in the workplace that may reduce the incidence of ADM-related disorders such as accidents or injuries on the job, as well as absenteeism and employee turnover.

Specific areas of interest include risk and disorder processes, prevention and intervention models, and methodologies for improving risk factor and intervention studies.

Administering Agency: National Institute on Alcohol Abuse and Alcoholism
Award Range: Average award- \$215,000
CFDA Number: 93.273
Headquarters: Joseph Weeda, Grants Management Officer
Room 16 86
National Institute on Alcohol Abuse and Alcoholism
5600 Fishers Lane, Parklawn Building, Room 16C10
Rockville, MD 20857

Alcohol, Drug Abuse, and Mental Health (ADM) Disorders Prevention at the Workplace-NIDA

Research grant proposals are being sought that address the commonalities and differences among risk factors for ADM problems and their prevention at the workplace. Proposals must involve research that cuts across the concerns of at least two of the three National Institute of Health (NIH) institutes: the National Institute on Alcohol Abuse and Alcoholism (NIAAA), the National Institute on Drug Abuse (NIDA), and the National Institute of Mental Health (NIMH). The objective of the program is to encourage the submission of applications proposing research relevant to preventive interventions in the workplace that may reduce the incidence of ADM-related disorders such as accidents or injuries on the job, as well as absenteeism and employee turnover.

Specific areas of interest include risk and disorder processes, prevention and intervention models, and methodologies for improving risk factor and intervention studies.

Administering Agency: National Institute on Drug Abuse
Award Range: Average award- \$215,000
CFDA Number: 93.279
Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Alcohol Research Grants

The National Institute on Alcohol Abuse and Alcoholism (NIAAA) makes grant awards for basic and applied research projects. Support is available to develop new knowledge in a wide range of areas relevant to alcohol abuse and alcoholism, including studies of the biochemical, physiological, and behavioral mechanisms leading to pathological drinking behavior, studies of alcohol-induced organ damage, and studies of clinical, behavioral, and environmental factors. The goal of these projects is the discovery of more effective diagnostic, prevention, and treatment techniques. Applications are encouraged for projects that focus on the development and validation of new and improved treatment and intervention approaches, the reduction of alcohol-related death and trauma, and the prevention of alcohol-related problems and alcoholism.

The long-range goal of the research program is to develop new knowledge that will facilitate the achievement of two broad objectives: (a) reduction of the incidence and prevalence of alcohol abuse and alcoholism; and (b) reduction of the morbidity and mortality associated with alcohol abuse and alcoholism. Areas of interest include, but are not limited to, incidence and prevalence, treatment, alcohol and pregnancy, alcohol-related medical disorders, safety and trauma, behavioral and environmental antecedents, and prevention. Special attention should be given to the need for projects that focus on the alcohol-related problems of women, the elderly, infants, adolescents and youth, and minority ethnic groups.

Administering Agency: National Institute on Alcohol Abuse and Alcoholism (NIAAA)
Award Range: Variable, depending on project type
CFDA Number: 93.273
Headquarters: Joseph Weeda, Grants Management Officer
Room 16-86
National Institute on Alcohol Abuse and Alcoholism
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Alcoholism Treatment and Assessment Research Development Grants

Applications are sought for developmental grants for alcoholism treatment assessment research intended to generate studies that will be building blocks in the development of future, more intensive research studies on alcoholism treatment. The purpose of the grants is to support promising activities of institutions to build their capacity to do treatment assessment research, to conduct pilot surveys leading to expansion, enhancement, or modification of existing alcoholism treatment research programs, and to plan and conduct pilot research leading to the development of clinical trials in alcoholism treatment assessment.

NIAAA is interested in projects that focus on special risk groups such as women, adolescents, elderly, and minority and ethnic groups. Applications should address one or more of the following aspects of alcoholism treatment: (a) client classification and/or typology; (b) treatment regimens (c) therapeutic processes; (d) treatment settings; and (e) treatment formats.

Administering Agency: National Institute on Alcohol Abuse and Alcoholism (NIAAA)

Award Range: Variable, depending on project

CFDA Number: 93.273

Headquarters: Joseph Weeda, Grants Management Officer
Room 16-86
National Institute on Alcohol Abuse and Alcoholism
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Drug Abuse Aspects of AIDS Research Grants

This program is intended to stimulate research on the interrelationship between AIDS and drug abuse. The research program has several foci: (a) research on implications for AIDS risk behaviors of poly-and-multiple-drug abuse; (b) prevention/intervention issues and strategies on resistance in at-risk populations, and involving communities, community-based training, workplace initiatives, special populations, sexual behavior, basic research on risk-taking, and drug treatment programs; (c) clinical epidemiology of initiation to drug abuse, progression from experimentation to dependence, relationships between sexual behavior and drug use, and HIV infection of drug users and partners emphasizing heterosexual transmission; (d) identification and study of the role of drug abuse in mother-to-child transmission of HIV emphasizing data relevant to prevention/intervention strategies; (e) identification and study of co-factors that, together with HIV exposure factors, affect vulnerability, transmission, and disease course; (f) pre-clinical research using animal models and human and animal tissue to examine the interrelationship of HIV and the effects of drugs on the immune, endocrine, and central nervous systems; (g) clinical immunology of AIDS/HIV infection in drug-abusing populations emphasizing joint effects of medical, drug-abuse, and stress-related factors; (h) study of central nervous system impairment due to HIV in drug abusers; (i) mathematical modeling of AIDS risk and transmission among special vulnerable populations and the general public; (j) acquisition of data from other countries that have implications for U.S. AIDS programs and policies; and (k) ethical and legal considerations relevant to drug abuse and AIDS.

NIDA employs a variety of mechanisms that support the research training and professional development of clinicians and scientists, including research projects, small grants, FIRST awards, program projects, and development awards for scientists and clinicians. Each may have somewhat different application requirements.

Administering Agency: National Institute on Drug Abuse (NIDA)

Award Range: Average award in FY '91: \$215,000

CFDA Number: 93.279

Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville MD 20857

Drug Abuse Etiology Among Ethnic and Minority Populations Research Grants

The National Institute on Drug Abuse (NIDA) and the Office of Juvenile Justice and Delinquency Prevention (OJJDP) have proposed to jointly fund this research program to encourage the scientific study of drug abuse among ethnic and minority populations from a variety of perspectives.

Specific objectives of this research program include: (a) the identification of reciprocal effects between ethnic and minority group membership and special, culturally-related factors that may influence initiating, maintaining, or relapsing into drug abuse; (b) identification and analysis of factors related to access to drugs and risk factors of initiation, maintenance, or relapse; (c) identification of developmental correlates and consequences of drug abuse behavior and the impact on society; (d) development of conceptual models of the etiology and function of drug abuse; and, (e) identification of naturally-occurring support systems that promote the use or non-use of drugs.

Administering Agency: National Institute on Drug Abuse (NIDA)
Award Range: Average award for FY '91-\$215,000
CFDA Number: 93.279
Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Drug Abuse Research Grants

The research projects funded by the National Institute on Drug Abuse (NIDA) are devoted to increasing understanding of the causes and consequences of drug abuse. NIDA supports research that improves and refines methods for the assessment, treatment, and prevention of drug abuse ranging from fundamental studies of the mechanisms of actions of drugs to applied research and development, including studies of drug abuse as a factor in the AIDS epidemic.

Support will be considered for applications in the areas of basic biomedical and neuro-scientific research at the genetic, molecular, organ, and system level, as well as epidemiology and etiology of drug abuse, prevention research, treatment research, behavioral and clinical pharmacology, AIDS and drug abuse research, and drug abuse in the workplace.

Administering Agency: National Institute on Drug Abuse (NIDA)
Award Range: Average award for FY '91- \$215,000
CFDA Number: 93.279
Headquarters: Dr. Jack Manischewitz, Acting Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Drug Abuse Small Research Grants

The Small Grants Program is designed to provide relatively rapid support that is principally intended for newer, less experienced investigators, those at small colleges, and others who do not have regular research grant support or resources available to them from their institutions.

Small grants may be used to carry out pilot or exploratory studies, or for developing and testing of a new technique or method by investigators who may be changing research areas. Grants are available for the support of research in any scientific area relevant to drug abuse. Proposals may involve a wide variety of biomedical, biobehavioral, behavioral, or clinical disciplines, but relevance to drug abuse must always be a factor.

Support may be provided for a period of up to two years. Applications are accepted at any time.

Administering Agency: National Institute on Drug Abuse

Award Range: Maximum of \$50,000 (direct costs for up to two years)

CFDA Number: 93.279

Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse (NIDA)
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Drug Abuse Treatment Research Grants

The National Institute on Drug Abuse wishes to award grants to stimulate controlled clinical trials and scientifically-based outcome evaluation research directed toward the treatment of drug abuse and/or drug abuse treatment service systems. The knowledge gained should lead to the improvement of existing treatment systems as well as to the development of new and innovative forms of therapy.

Specifically, studies focused on one or more components of the treatment process impacting on well-defined categories of drug abusers will be considered. These include screening procedures to identify candidates for treatment or strategies to enhance entrance into treatment; diagnosis to provide profiles of severity of substance abuse and drug-related problems; utilization of diagnostic profiles to determine specific therapeutic interventions, with the goal of optimizing treatment effectiveness for identified sub-groups; treatment delivery, monitoring, and outcome evaluation; and aftercare service or prolonged continued treatment, with the goal of reducing relapse or recurrence of drug abuse.

Administering Agency: National Institute on Drug Abuse (NIDA)

Award Range: Average award for FY 91: \$215,000

CFDA Number: 93.279

Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

Drug Use in the Workplace Prevalence and Impact Research Grants

The goals of this program are to encourage systematic research on the impact of drug use and abuse in the workplace as well as studies of the prevalence and etiology of workplace-related drug use and abuse.

This effort will, of necessity, be cross-disciplinary, and individual and collaborative proposals are encouraged from relevant areas, including, but not limited to, psychology, epidemiology, sociology, business and labor relations, public health, management, and economics.

Areas of interest include the impact of drug use in the workplace, productivity, health, and safety research, development of performance assessment methods, job performance standards, performance assessment batteries, simulations and field studies, and prevalence and etiology of drug use.

Administering Agency: National Institute on Drug Abuse (NIDA)

Award Range: Average award for FY '91-\$215,000

CFDA Number: 93.279

Headquarters: Shirley McKenney, Grants Management Officer
Room 8A54
National Institute on Drug Abuse
5600 Fishers Lane, Parklawn Building
Rockville, MD 20857

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Appendix

The Drug-Free Schools and Communities Act
Amendments of 1989
(Public Law 101-226-December 12, 1989)

The Drug-Free Schools and Communities Act Amendments of 1989

(Public Law 101-226) December 12, 1989

Section 22: Drug-Free Schools and Campuses

In General -

(1) Certification of Drug and Alcohol Abuse Prevention Program - Title XII of the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.) is amended to read as follows:

Drug and Alcohol Abuse Prevention

Sec. 1213

(a) Notwithstanding any other provision of law, no institution of higher education shall be eligible to receive funds or any other form of financial assistance under any federal program, including participation in any federally funded or guaranteed student loan program, unless it certifies to the Secretary (of Education) that it has adopted and it has implemented a program to prevent the use of illicit drugs and the abuse of alcohol by students and employees that, at a minimum, includes:

(1) The annual distribution to each student and employee of:

(A) Standards of conduct that clearly prohibit, at a minimum, the unlawful possession, use, or distribution of illicit drugs and alcohol by students and employees on its property or as part of its activities;

(B) A description of the applicable legal sanction under state, local, or federal law for the unlawful possession of or distribution of illicit drugs and alcohol;

(C) A description of the health risks associated with the use of illicit drugs and the abuse of alcohol;

(D) A description of any alcohol or drug counseling, treatment, or rehabilitation or re-entry programs that are available to students and employees; and

(E) A clear statement that the institution will impose sanctions on students and employees (consistent with local, state and federal law), and a description of those sanctions, up to and including expulsion (students) or termination of employment (employees) and referral to prosecution, for violations of the standards of conduct required by paragraph (1) (A); and

(2) A biennial review by the institution of its programs to:

(A) Determine its effectiveness and implement changes to the program if they are needed; and

(B) Ensure that the sanctions required by paragraph (1) (E) are consistently enforced.

(b) Each institution of higher education that provides the certification required by subsection (a) shall, upon request, make available to the Secretary and to the public a copy of each item required by subsection (a) (1) as well as results of the biennial review required by subsection (a) (2).

(c) (1) The Secretary shall publish regulations to implement and enforce the provisions of this section, including regulations that provide for:

(A) The periodic review of a representative sample of the programs required by subsection a and

(B) A range of responses and sanctions for institutions of higher education that fail to implement their programs or to consistently enforce their sanctions, including information and technical assistance, the development of a compliance agreement, and the termination of any form of federal financial assistance.

(2) The sanctions required by (a) (1) (E) may include the completion of an appropriate rehabilitation program.

(d) Upon the determination by the Secretary (of Education) to terminate financial assistance to any institution of higher education under this section, the institution may file an appeal.

(2) Effective date:

(A) Except as provided in sub-paragraph (B), the amendment made by paragraph (1) shall take effect on October 1, 1990.

(B) The Secretary of Education may allow any institution of higher education until not later than April 1, 1991, to comply with section 1213 of the Higher Education Act of 1965 as added by paragraph (1), if such institution demonstrates:

(i) that it is in the process of developing and implementing its plan under each section; and

(ii) it has a legitimate need for more time to develop and implement such a plan.

In addition, any alcohol/drug abuse prevention and education materials used at the institution must be the most readily available, accurate, and up-to-date. Materials, curricula, and counseling must clearly reflect the message that alcohol/drug abuse is wrong and harmful.

Any recipient of federal funds through the Drug-Free Schools Act must clearly identify its program as a "federal program funded under the Drug-Free Schools and Communities Act of 1986." This new requirement is added by the Crime Control Act of 1990 (P.L. 101-647).

(Note: This information is excerpted from the complete acts. For the complete text of the final regulations of this act, see the Federal Register at 55 FR 33580 dated August 16, 1990).

The New Jersey Alcohol/Drug Resource Center and Clearinghouse serves institutions of higher education, state agencies, communities and school districts throughout the state of New Jersey by providing technical assistance, training, and resources in alcohol and other drug abuse education and prevention. For more information on the Clearinghouse, call or write to.

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