This report from phase 1 of a two-phase study conducted during 1989-1991 reviews 85 programs in 12 federal agencies that authorize the expenditure of funds for adult education services. The impetus for this study was the requirement in Section 6214 of the Hawkins-Stafford Elementary and Secondary School Improvement Amendments of 1988. Data were obtained from existing information and interviews with program and contracts office personnel. Many federal programs authorizing multiple activities did not require that obligations or expenditures for adult education activities be reported separately. Most of the monies came from U.S. Department of Education programs funded under the Adult Education Act. The support for adult education was concentrated on the provision of direct educational services in basic skills/literacy. The 27-page study report is followed by detailed descriptions of the 85 federal programs. Each description includes the following: a categorization according to federal agency and office, Catalog of Federal Domestic Assistance number, a brief discussion of the program and its relation to adult literacy activities, program type (primary, secondary, indirect), documentation of program outcomes, eligible recipients, length of assistance, overall program budget obligation, adult education program component budget obligation, examples of funded projects, and program contact. Program descriptions are grouped in three sections alphabetically by federal agency. The three sections correspond to the program's classification as primary, secondary, or indirect. Appendixes include definitions, descriptions of federal programs, and tables illustrating programs by adult education funds obligated, type of interagency coordination requirement, funding mechanism, and data collection requirements. (YLB)
STUDY OF FEDERAL FUNDING SOURCES AND SERVICES FOR ADULT EDUCATION

Final Report

Prepared Under Contract by:
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Study of Federal Funding Sources and Services for Adult Education

Final Report

Judith A. Alampr ese
June S. Sivilli

1992
This document is the final report from Phase 1 of a two-phase study of Federal funding sources and services for adult education. The study was conducted by COSMOS Corporation during 1989-1991 for the U.S. Department of Education's Office of Policy and Planning, with joint funding from the U.S. Departments of Education, Labor, and Health and Human Services. During the Phase 1 study, information was collected and synthesized about all programs within the Federal government that authorize expenditures for adult education services. During the Phase 2 study, State and local program efforts to deliver coordinated services in adult education were investigated. The findings from the Phase 2 study are reported in a companion document.*

The impetus for this study was the requirement in Section 6214 of the Hawkins-Stafford Elementary and Secondary School Improvement Amendments of 1988, which called for an interagency study of Federal funding in adult education. The three sponsoring Federal agencies were interested in determining the types of adult education services and level of support for such services that are available to States and local agencies. In addition, the agencies wanted to identify effective coordination strategies that State and local adult education programs are using to support the delivery of adult education services.

During the Phase 1 study, information was gathered about 85 programs in 12 Federal agencies that fund adult education services. The data collection methods used in the study included face-to-face and telephone interviews with numerous Federal officials and non-Federal leaders in adult education, and the review of program reports and data documents produced by the Federal agencies.

These data collection activities would not have been possible without the cooperation and support from the representatives of the 85 Federal programs, who graciously retrieved and compiled data about the adult education programs under examination. This task often involved the review of numerous paper files to identify the types of adult education services being supported by an agency.

Throughout the Phase 1 study, the study team benefitted from the advice of the staff of the U.S. Department of Education's Office of Policy and Planning. In addition, the team was guided in its efforts by the members of the study's interagency working group from the U.S. Departments of Education, Labor, and Health and Human Services. We thank these members for their generous assistance and recommendations. Finally, we thank the members of the study's Project Advisory team, who reviewed previous drafts of this report. These members were: Judith Crocker, Jon Deveaux, Garrett Murphy, Sondra Stein, and Mary Williams.

The members of the COSMOS staff who conducted this study were: Judith A. Alamprese, the study's director and principal author of the report; June Sivilli, study researcher and contributing author; Donna Hughes and Susan Chew, study researchers; Laurence Rudolph, Oona Cheung, and Chyrl Jones, members of the data coding and analysis team; Jeff Porterfield and Bernice Hughes, members of the production team; and Peter Bateman, the study's corporate reviewer.

Finally, while we are thankful for the assistance provided by others, the authors alone are responsible for the contents of this final report.

Judith A. Alamprese
June S. Sivilli

COSMOS Corporation
EXECUTIVE SUMMARY

Background

Increased concern about the levels of adult literacy in this country has prompted Federal, State, and local officials to develop initiatives aimed at improving the educational opportunities available for undereducated adults. In addition to the funding of new Federal programs, numerous State and local task forces and alliances have been formed to raise awareness about the importance of adult literacy education and to encourage the formation of private and public partnerships to address our country’s literacy problem.

In response to the need for information about the amount of monies and types of services available for adult education (i.e., literacy, basic skills, English as a second language, adult secondary education, and General Educational Development), the Congress--in the Adult Education Amendments of 1988--called for a study of Federal funding sources and services for adult education. The provision in the Amendments required that the Secretary of Education, in conjunction with the Secretary of Labor and the Secretary of Health and Human Services, conduct an interagency study of adult education funding and activities (Section 6214 of the Hawkins-Stafford Elementary and Secondary School Improvement Amendments of 1988, P.L. 100-297). To meet this requirement, the U.S. Department of Education's Office of Policy and Planning--with funding from the U.S. Departments of Education, Labor, and Health and Human Services--commissioned COSMOS Corporation to carry out a project with the following two objectives:

1. To collect and synthesize available information about all adult education programs within the Federal government that support literacy, basic skills, English as a second language (ESL), or adult secondary education; and

2. To provide recommendations about the need for program coordination and facilitation among Federal, State, and local levels.

This project was conducted in two phases that corresponded to the objectives above. The Phase 1 study examined the variety of Federal programs that authorize the expenditure of funds for adult education services. This report
presents the findings from the Phase 1 study, in which 85 programs in 12 Federal agencies were reviewed. The Phase 2 study investigated effective efforts in State and local coordination of adult education services.

**Study Approach and Data Collection Procedures**

Previous efforts describing the Federal investment in adult education have included the Washington Consulting Group's Federal Interagency Committee on Education (FICE) report and the U.S. House of Representatives Subcommittee on Elementary, Secondary, and Vocational Education study. Both studies identified a range of Federal programs during the period 1984-1986, which obligated funding directly for adult education services or indirectly through discretionary and block grant programs. In order to update information and provide an accurate understanding of the amounts of monies available for adult education, this study examined Federal funding for adult education programs from Fiscal Year (FY) 1986 through FY 1989.

The study team developed operational definitions for terms that would be used to describe the components of adult education programs examined in the study. The definitions of terms were drawn from the authorizing legislation for programs addressing adult education. Potential programs for study were categorized according to one of three types:

- **Primary program**—adult education is explicitly stated as a priority objective in the program’s authorizing legislation;
- **Secondary program**—adult education is an approved activity stated in the authorizing legislation and supports the primary objective of the program; or
- **Indirect program**—there is not an explicit legislative mandate for adult education activities, and a policy decision is required to fund adult education activities.

These categories were used as the initial filter for determining whether a program should be included in the study. The study team identified programs by examining the FICE and Subcommittee reports, as well as other funding programs initiated since the FICE study.
The team reviewed existing information about programs from the Catalog of Federal Domestic Assistance (CFDA) and the FICE report to identify outstanding information that needed verification. Federal officials were contacted both in-person and by telephone, and interviews were conducted with program and contracts office personnel. The team devised a coding scheme to review each program using the descriptive and financial data collected during the interviews. To assure the reliability and validity of the data, written documentation on program financial data were required.

Study Findings

An analysis of available data on the funding of Federal adult education programs revealed the following findings:

- This study identified 85 programs in 12 Federal agencies that authorize or support adult education activities. Twenty-seven of the programs were categorized as primary, 27 as secondary, and 31 as indirect;

- Many Federal programs authorizing multiple activities do not require that obligations or expenditures for adult education activities be reported separately, which has resulted in limited available data on adult education. Therefore, the amount of Federal funds spent on adult education that can be reliably verified is a low-end estimate. The figures that were compiled from 31 programs indicated that $247,090,059 was obligated for adult education in FY1989. Most of these monies came from Department of Education programs funded under the Adult Education Act. However, this amount does not include funds from programs such as the Job Training Partnership Act, Job Opportunities and Basic Skills Program, or the State Legalization Impact Assistance Grants. If figures for these and other programs categorized as secondary were available, the amount would be substantially higher than that which has been calculated;
The support for adult education is concentrated on the provision of direct educational services in basic skills/literacy. While other activities—such as research, dissemination, and staff training—have been funded, they represented only about four percent of the monies accounted for in FY1989. Support for these areas is critical to the improvement of the overall system for adult education; and

Two barriers to collecting information for the study were the lack of data and the difficulty in accessing information. In some instances, data on adult education activities are not collected from State and local programs, and thus were not available from the Federal program office. In other instances, the data are located in paper files and were not easily retrievable. Improved processes are needed for data reporting and storage in order to monitor the ongoing Federal support for adult education.
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Part A

STUDY FINDINGS
I. INTRODUCTION

Background to the Study

During the past decade, concern about the levels of adult literacy in this country has prompted Federal, State, and local agencies to develop initiatives aimed at increasing the country's investment in adult education. Federal agencies have engaged in various activities to call attention to the importance of literacy and basic skills education and to attempt to address barriers to the provision of educational services supported by Federal monies. These efforts have included the U.S. Department of Education's Adult Literacy Initiative as well as inter-agency task forces to identify impediments in regulations governing Federal programs authorizing adult education services, such as varied data reporting requirements and differing definitions of target population groups and services. In addition to the Federal efforts, State and local agencies have created alliances and coalitions to coalesce support for literacy programs.

This period also has witnessed the expanded involvement of the business community in supporting adult education programs and in forming private and public partnerships to enhance workforce literacy. Through efforts such as the Project Literacy U.S. (PLUS) community task forces and local business initiatives, private sector representatives have engaged increasingly in public discussions about literacy and its relationship to the quality of life and economic productivity of communities. Federal funding programs in workplace literacy also have stimulated business and industry's participation in the delivery of adult education services.

Federal legislation has had a critical role in bolstering adult education during this period. The passage of the National Literacy Act of 1991 represents a culmination of efforts to expand the programs and resources available to address the country's literacy problem. The importance of literacy and basic skills education also is evident in programs authorized by the Family Support Act and the Job Training Partnership Act (JTPA) amendments. Both Acts encourage State and local entities to work cooperatively with educational institutions in designing and implementing services for educationally and economically disadvantaged adults to promote job training and economic self-sufficiency.

The legislative effort that was significant earlier in this decade in strengthening resources and services for adult education was the reauthorization of the Adult Education Act (AEA), under Section 6214 of the Hawkins-Stafford Elementary and Secondary School Improvement Amendments of 1988 (P.L. 100-297). In addition to a State grant program, the Adult Education Act Amendments
authorized projects for workplace literacy, English literacy, and literacy services for the homeless. The AEA also called for the conduct of the National Adult Literacy Survey (NALS), in order to provide a definitional framework and comprehensive data on the nature and extent of adult illiteracy.

Another provision in the AEA Amendments required that the Secretary of Education, in conjunction with the Secretary of Labor and Secretary of Health and Human Services, conduct an interagency study of Federal funding sources and services for adult education programs. Because of the growing concern about the levels of adult literacy in this country and the need for increased fiscal support for programs, such a study was viewed as an important information source for Federal, State, and local officials involved in adult literacy.

Currently, adult education services are delivered by a diverse group of agencies and organizations, including: local school districts, community colleges, community-based organizations, libraries, voluntary organizations, and other community agencies. While many of these organizations have relied on funding under the Adult Education Act as the main support for their adult education programs, increasingly they are expanding their base to obtain monies appropriated under the Department of Labor's Job Training Partnership Act, the Department of Health and Human Services's Family Support Act and Immigration Reform and Control Act, and other programs. Given local programs' need to expand their support base, a study of adult education funding sources and services was regarded as a critical resource for identifying the major funding programs, as well as less-frequently utilized resources.

To meet the Congressional requirement for an interagency study, the U.S. Department of Education's Office of Policy and Planning—with funding from the U.S. Departments of Education, Labor, and Health and Human Services—commissioned COSMOS Corporation to carry out a project that had the following two objectives:

1. To collect and synthesize available information about all adult education programs within the Federal government that support literacy, basic skills, English as a second language (ESL), or adult secondary education; and

2. To provide recommendations about the need for program coordination and facilitation among Federal, State, and local levels.
This project was conducted in two phases that corresponded to the objectives above. The Phase 1 study examined the variety of Federal programs that authorize the expenditure of funds for adult education services. This report presents the findings from the Phase 1 study, in which 85 programs in 12 Federal agencies were reviewed. The Phase 2 study investigated effective efforts in State and local coordination of adult education services. A companion report presents the findings from this study, which includes five case studies of State and local program coordination in the expenditure of adult education monies, as well as a discussion of effective strategies for and impediments to the implementation of coordinated adult education program services.

Study Approach

Previous efforts describing the Federal investment in adult education have included the Washington Consulting Group's Federal Interagency Committee on Education (FICE) Report (1986) and the U.S. House of Representatives Subcommittee on Elementary, Secondary, and Vocational Education's study (1987). Both studies identified a range of Federal programs during the period 1984-1986 that obligated funding for adult education services directly or indirectly through discretionary and block grant programs. While the Washington Study Group's report identified 14 Federal agencies that support 79 literacy programs, a validation study undertaken by the U.S. House of Representatives Subcommittee suggested that both the number of programs cited and the funding estimated for literacy activities in these programs was an overstatement of the Federal effort.

In an attempt to describe more accurately the Federal support for adult education, COSMOS's Phase 1 study examined Federal funding for adult education programs from Fiscal Year (FY) 1986 through FY 1989—the most recent year for which complete data were available at the study's inception. Since the study was a coordinated effort funded by three Federal agencies, a Federal Working Group with representatives from the three agencies was formed to work with COSMOS's team throughout the study. The Working Group provided guidance in all phases of the study's design and data collection and reviewed all documents produced as part of the study.

Data Collection Procedures

Development of Operational Definitions. An initial step in the study was to develop operational definitions for terms that would be used to describe the components of adult education programs examined in the study. Examples of
these terms were "services authorized for funding," "eligible organizations," and "authorized recipients." In this study, the authorizing legislation of the programs under examination was used to derive operational definitions. The study team reviewed the major legislation authorizing adult education programs and selected definitions that were consistent across different programs. Where a term was defined differently across legislation, the most-commonly used definition was selected. The establishment of operational definitions enabled the study team to examine Federal programs from a uniform perspective. The list of operational definitions is presented in Appendix A.

For the purposes of the study, an adult education program was defined as an entity providing direct service, research, training, technical assistance, or as a demonstration or dissemination project in one or more of the following areas:

- Adult literacy/basic skills;
- English as a second language (ESL);
- Adult secondary education; or
- General Educational Development (GED).

Because Federal agencies use the terms literacy and basic skills interchangeably and do not distinguish between them for analytic purposes, the terms were considered as one category in the study.

In addition to defining terms for the study, the study team established the major categories that would be used to examine Federal programs. Using a strategy similar to that undertaken in the FICE study, the study team created three main categories for differentiating Federal programs, based on the priority of adult education in the program's authorizing legislation. These categories of adult education programs were:

- **Primary program**--adult education is explicitly stated as a priority objective in the program's authorizing legislation;
Secondary program--adult education is an approved activity stated in the authorizing legislation and supports the primary objective of the program; or

Indirect program--there is not an explicit legislative mandate for adult education activities, and a policy decision is required to fund adult education activities.

These categories were used as the initial filter for determining whether a program should be included in the study. Any program that could not be classified by one of these three types was not selected for the study.

Identification of Programs. Several methods were used to identify potential Federal programs for review. The study team began by examining the programs cited by both the Washington Consulting Group and the U.S. House of Representatives Subcommittee on Elementary, Secondary, and Vocational Education as having obligated monies in FY1986. The study team decided to have the Phase 1 study overlap one year with the previous efforts so that a new baseline of funding from which to assess growth could be established. Since a criticism of the Washington Study Group’s report was that the amount of Federal funding for adult education had been overestimated, the study team wanted to have a more accurate base from which to compare subsequent levels of funding.

In addition to examining programs previously cited, the study team identified new programs with adult education components authorized since 1986. Based on the review of these programs, the team established categories for data collection and analysis. Since the purpose of the study was to describe both the funding level and characteristics of the adult education components of programs, the categories allowed a variety of data to be collected. These categories were the following:

- Adult education program type--primary, secondary, or indirect;
- Adult education program focus--basic skills/literacy, English as a second language, and GED/adult secondary education;
- Type of interagency coordination--mandated or suggested;
Recipients eligible for funding;

Target population for whom the program is intended;

Number of persons served by program;

Type of program activity--demonstration project; direct educational service; dissemination of information; research; technical assistance; staff training; job training, placement, and readiness; and purchase of property, facilities, and equipment;

Funding mechanism used by program;

Funding cycle of program;

Data collection requirements;

Types of evaluation conducted;

Indicators used to measure program success;

Adult education program fiscal data--authorization, appropriation, obligation, and expenditure;

Overall program fiscal data--authorization, appropriation, obligation, and expenditure; and

Types of special projects funded by program.

**Collection of Information.** To facilitate the data collection process, the study team designed a program description sheet containing these categories. The procedures for collecting information about Federal programs consisted of three main steps. First, the study team reviewed existing information about programs from the Catalog of Federal Domestic Assistance (CFDA) and the FICE report to identify outstanding information and data that required verification. Second, a study team member contacted the directors of the Federal programs to introduce the study and to obtain the names of staff who could provide the required programmatic and financial data. Finally, the study team conducted in-person and telephone interviews with program and contracts office staff. During this third step, the study team collected descriptive as well as written documentation.
about each program's fiscal data. The collection of documented fiscal information was part of the study's quality control procedures to ensure the reliability and validity of the information.

Since the majority of fiscal data about the Federal programs under study was not computerized, the retrieval process was tedious and difficult. The study team made numerous follow-up phone calls and sent the program description sheet to Federal staff to expedite the data collection process. However, in many cases the data from years prior to FY1989 either were not easily accessible or were not available. Thus, Federal staff often had to review stored paper files in order to provide the requested information.

Data Analysis. After the members of the study team collected the initial data on a sample of programs, they further defined the categories on the program description sheet. This process involved the establishment of sub-categories for each of the program description categories, such as the types of interagency coordination required or the types of eligible recipients. A coding form for each program was completed that contained information for each of the program description categories as well as the program's CFDA number. The data on the coding forms were cleaned and entered into a computerized database. Frequency distributions and cross-tabular analyses were performed using the Statistical Package for the Social Sciences (SPSS).

Overview of the Report

This report is presented in two sections: Part A and Part B. The next section in Part A describes the findings from the analysis of Federal programs. Included in this section are a discussion of issues concerning the availability of financial and other data about the Federal adult education programs that were identified, the findings regarding the funding levels of programs, and the characteristics of the programs examined in the study. Part B of the report contains descriptions of each of the 85 Federal programs.
II. STUDY FINDINGS

Overview

During this study, 85 programs in 12 Federal agencies were identified as supporting adult education services during FY1986 through FY1988. For FY1989, 84 programs in 11 agencies were identified, since the Department of Transportation's Basic Education Enrichment Program for the Coast Guard was discontinued. Of the 85 programs, 27 were categorized as primary programs in which adult education is explicitly stated as a priority objective in the program's authorizing legislation.

A main objective of the study was to identify the total amount of Federal monies that was spent on adult education services during the period FY1986 through FY1989. However, a reliable calculation of these monies was impossible because of the lack of data reporting requirements in many of the programs' authorizing legislation and, as well, the unavailability of data that have been collected by Federal agencies. Of the 84 programs examined in FY1989, funding obligations were available for only 31 programs.

A review of the adult education activities authorized by Federal programs indicated that direct instructional service was the predominant activity being supported. Other activities that were authorized but that received considerably less support included research, dissemination, and staff training.

This section of the report discusses the findings regarding the data collection process and the results of the analysis of the programs examined in the study.

Findings Regarding Availability of Data

In attempting to collect programmatic and fiscal information about Federal programs, the study team encountered two main barriers: the types of reporting requirements that are specified by the authorizing legislation of the programs and by the agencies funding the programs, and the ways in which these data are stored and managed.

Reporting Requirements. A major impediment to obtaining reliable fiscal and programmatic information about Federal adult education activities was the nature of the reporting requirements. For many programs, the authorizing legislation does not require that programs report fiscal or other types of
information—such as client learning gains or program evaluation information. While fiscal information was available from most of the Federal programs classified as primary (i.e., adult education is explicitly stated as a priority), the amount of monies obligated or expended for adult education activities by secondary programs (i.e., adult education is an approved activity that supports the primary objective of the program) could not be readily identified. Even though budget information for the overall program obligations for secondary programs could be obtained, the percentage of funding that was spent on adult education could only be grossly estimated (an example is the Job Training Partnership Act programs).

Furthermore, for programs identified as indirect (i.e., there is no explicit legislative mandate for adult education activities and a policy decision is required to fund such activities), adult education program component data usually were not available, and overall program obligations were not meaningful figures for estimating the level of funding for adult education services. For example, a number of indirect programs are block grant initiatives in which adult education services occasionally are funded. Because of the variability in these programs’ funding priorities and the lack of reporting requirements (e.g., the Community Services Block Grant Program funded by the Department of Health and Human Services), it was impossible to document the extent to which adult education services have been supported. One exception was for indirect programs that fund specific adult education projects—such as discretionary programs—where fiscal information for specific projects was available. The Department of Education’s National Diffusion Network is an example of this type of indirect program.

In addition to fiscal data, the other types of program data intended to be analyzed in this study were not required to be reported by many of the funding recipients. These data included information about the types and numbers of persons served, indicators of program success, and program evaluation information. While formula grant programs generally require data about the characteristics of individuals served and performance outcome data, other grant programs tend to be less specific in their requirements. As a result, these categories of information were not able to included in this study’s analysis of program characteristics.

Storage and Management of Data. Another deterrent to calculating the Federal investment in adult education was the unavailability of data about the Federal programs under study. Many of the programs examined in this study are not part of a computerized data management system. Rather, data are stored in paper files that often were not readily available to Federal program staff, particularly for activities funded in previous years. In some instances in which
data for indirect programs were computerized, the project-level data for these programs were not classified according to project topic. Consequently, it was difficult to identify individual projects for which the focus of activity has been adult education. This lack of a comprehensive data management system inhibited the amount and specificity of Federal program data that could be collected and analyzed.

General Findings Regarding Federal Programs

Overview of Funding. This study identified 85 Federal programs in 12 Federal agencies that authorize or have supported adult education activities in FY1986-FY1988, and 84 programs in 11 agencies in FY1989. Brief descriptions of each of these programs are presented in Appendix B. Table C-1, Appendix C, presents the following information about each of the programs identified in the study: their categorization by program type (i.e., primary, secondary, or indirect); the CFDA number; and the obligated monies that were available for FY1986, FY1987, FY1988, and FY1989. While the majority of programs examined in the study were classified by the CFDA, some adult education programs--such as those in the Department of Defense--are not listed in the CFDA. These programs have been listed with the first two numbers of their agency's CFDA code.

Presented in Table 1 is the list of adult education programs by Federal Agency and program type for FY1989 (only 84 programs are presented, since the Department of Transportation's Basic Education Enrichment Program for the Coast Guard was discontinued in FY1989). As shown in the table, 27 (or 32 percent) of the programs were categorized as primary, 26 (or 31 percent) were secondary, and 31 (or 37 percent) were indirect programs. The Federal agency with the greatest number of programs was the U.S. Department of Education, which had 38 programs that support adult education activities, followed by the U.S. Department of Health and Human Services with 13 programs and the U.S. Department of Labor with seven programs.

Compared to the previous FICE report, which identified 79 programs in 14 agencies in FY1986, this study included only agencies that fund programs (in contrast to the FICE report that included non-funding services provided by the Library of Congress). Also omitted from this study was the Tennessee Valley Authority (TVA), since the study team could not document that TVA monies had been used for adult education services.
<table>
<thead>
<tr>
<th>Agency</th>
<th>Type of Program</th>
<th></th>
<th></th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Primary</td>
<td>Secondary</td>
<td>Indirect</td>
<td></td>
</tr>
<tr>
<td>ACTION</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Appalachian Regional Commission</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Department of Agriculture</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Department of Defense</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>Department of Education</td>
<td>16</td>
<td>13</td>
<td>9</td>
<td>38</td>
</tr>
<tr>
<td>Department of Health and Human Services</td>
<td>2</td>
<td>4</td>
<td>7</td>
<td>13</td>
</tr>
<tr>
<td>Department of Housing and Urban Development</td>
<td>0</td>
<td>0</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Department of the Interior</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Department of Justice</td>
<td>2</td>
<td>0</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>Department of Labor</td>
<td>1</td>
<td>3</td>
<td>3</td>
<td>7</td>
</tr>
<tr>
<td>Department of Veterans Affairs</td>
<td>0</td>
<td>2</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Total</td>
<td>27</td>
<td>26</td>
<td>31</td>
<td>84</td>
</tr>
</tbody>
</table>
This study also identified 22 new programs authorized since the FICE study. In addition to listing new programs, this study categorized some of the existing programs differently than did FICE. For example, the study team specified a program as secondary only when adult education services are an authorized activity as stated in the legislation. The FICE report's classification of secondary programs did not always meet this criterion.

Federal Funding for Adult Education. A main purpose of the study was to identify funding that has been used to support adult education activities. Table 2 presents data on the monies that had been obligated (i.e., distributed through a grant, contract, or other funding mechanism), for each of the fiscal years examined in the study categorized by type of program. In FY1989, the available data for 31 programs showed that at least $247,090,059 was spent on adult education. The figures listed in this table, and in Table C-1, Appendix C, represent low-end estimates of the monies that have been obligated. For several programs, obligation figures were not available because the monies allotted for adult education activities were not tracked separately. In other programs, previous years' data were not retrievable. In most cases, the study team gathered written documentation of obligation figures rather than relying on verbal quotes.

However, in comparing this study's results to the FICE report, there was a substantial difference in the amount of obligated monies reported for FY1986 ($351,690,949 in FICE compared to $132,402,845 as shown in Table 2). This difference is explained by two factors. First, the FICE study estimated monies for some secondary programs that do not track adult education obligations, such as the Vocational Education Basic Grants to States. This estimation process accounts for a large part of the difference in monies reported. Since estimates of adult education monies obligated in secondary programs were not verifiable, these data were not used in this study. Second, some of the obligation figures for primary programs differ in the two studies. This difference may be due to variation in the ways in which fiscal data were collected in the studies.

Major Funding Sources. While data on the exact amount of monies being spent on adult education was not available, a review of overall program obligations for primary and secondary programs indicated that the following were the major sources of funding for adult education (not listed in order of magnitude):
Table 2
PROGRAM TYPE BY ADULT EDUCATION MONIES OBLIGATED

<table>
<thead>
<tr>
<th>Program Type</th>
<th>FY1986</th>
<th>N*</th>
<th>FY1987</th>
<th>N</th>
<th>FY1988</th>
<th>N</th>
<th>FY1989</th>
<th>N</th>
<th>Total Amount Obligated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primary</td>
<td>131,572,079</td>
<td>9</td>
<td>147,632,849</td>
<td>12</td>
<td>171,259,739</td>
<td>14</td>
<td>214,963,806</td>
<td>20</td>
<td>665,428,473</td>
</tr>
<tr>
<td>Secondary‡</td>
<td>275,000</td>
<td>1</td>
<td>964,198</td>
<td>3</td>
<td>649,000</td>
<td>1</td>
<td>19,409,172</td>
<td>5</td>
<td>21,297,370</td>
</tr>
<tr>
<td>Indirect</td>
<td>555,766</td>
<td>3</td>
<td>717,500</td>
<td>2</td>
<td>6,514,398</td>
<td>3</td>
<td>12,717,081</td>
<td>6</td>
<td>20,504,745</td>
</tr>
</tbody>
</table>

Total by Year 132,402,845 13 149,314,547 17 178,423,137 18 247,090,059 31

* N = Number of Programs

‡ The monies obligated by the majority of secondary programs for adult education activities are not reported and thus are not listed in the table. However, the overall amount of monies obligated for a number of the secondary programs not listed above is as follows: FY1986 (17): 3,838,107,955; FY1987 (17): 4,753,763,535; FY1988 (21): 5,045,625,366; FY1989 (21): 4,894,263,329. It is clear that even if a small percentage of these funds were spent for adult education, the total amount obligated would increase substantially.
15

- Adult Education Act programs;
- Job Training Partnership Act programs;
- State Legalization Impact Assistance Grants;
- Vocational Education Act programs; and
- Work Incentive (WIN)/Job Opportunities and Basic Skills Training (JOBS) program.

If, for example, only 10 percent of the overall obligations for the programs listed above--excluding the Adult Education Act--were calculated for FY1989, the amount would be substantially higher than that which has been identified.

In terms of reported monies, the programs categorized as primary accounted for the majority of the support for adult education activities. Presented in Table C-2, Appendix C, is a list of the primary programs. Among these programs, the Adult Education Act-funded activities represented the greatest Federal investment in adult education. As part of the national focus on adult literacy, the Department of Education expanded its funding to include programs such as Even Start, the Library Program, and the English Literacy Program. However, these represented only a small percentage of the Department of Education's overall support for adult education. Also not accounted for were the adult education activities carried out as part of the Department's Vocational Education Program, which are not reported separately from vocational education services.

Characteristics of Adult Education Programs

The examination of the 85 Federal programs revealed a number of characteristics of program services and requirements that are of interest to adult education policymakers and practitioners. These included the following: the adult education focus and types of activities being funded by the Federal government, the types of agencies and organizations eligible to receive this funding, the types of interagency coordination required or suggested by the legislation, the funding mechanisms used by agencies, and the types of data that are required by Federal programs.

Program Focus and Activities. For the 27 primary programs identified, the dominant focus of programs that authorize adult education activities was basic skills/literacy. As shown in Table 3, other areas of focus were English as a
Table 3

MAIN ADULT EDUCATION FOCUS BY PROGRAM TYPE
FY1989*

<table>
<thead>
<tr>
<th>Primary Adult Education Program Focus</th>
<th>Program Type</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Primary</td>
</tr>
<tr>
<td>Adult Secondary Education/GED</td>
<td>4</td>
</tr>
<tr>
<td>Basic Skills/Literacy</td>
<td>15</td>
</tr>
<tr>
<td>English as Second Language</td>
<td>4</td>
</tr>
<tr>
<td>Mixed</td>
<td>4</td>
</tr>
<tr>
<td>Adult Education Not Listed as a Main Focus for Funding</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>27</td>
</tr>
</tbody>
</table>

* One program listed under Adult Secondary Education/GED, the Department of Transportation's Basic Education Enrichment Program for the Coast Guard, was discontinued in FY1989.
second language and adult secondary education/GED. Basic skills/literacy also was found to be the most prevalent adult education activity for programs categorized as secondary and indirect, although for the majority of these programs adult education was not the dominant focus. Overall, nearly half of programs examined in the study specified basic skills/literacy as the main substantive focus.

The main type of activities supported by these programs was the provision of direct educational services (i.e., instruction). Approximately half (53 percent) of the programs identified offered instruction as their main service. As shown in Table 4, this finding was consistent across the three types of programs. The activities that were the least likely to be the main thrust of a program were technical assistance, the purchase of property, facilities, and equipment, and staff training. Because of the emphasis in expanding the adult education service delivery system, new Federal programs have emphasized instructional services rather than training or technical assistance. While additional research, dissemination, and staff training efforts will be supported under the National Literacy Act of 1991, these activities represented only about four percent of the funding that could be calculated for Federal adult education activities in FY1989.

Eligible Recipients. A review of the 85 programs found that a variety of agencies and organizations were eligible to receive funding for adult education services—as is shown in Table 5. The recipients of the major sources of funding were States. The States, in turn, distributed these monies to local government and community agencies.

The variety of eligible recipients were categorized according to four main types: 1) State government, 2) local government or agency, 3) non-profit or for-profit organization, and 4) other entities such as Federally-recognized Indian tribal organizations and the U.S. Territories. Among the first three categories, local government or agency was the most frequently-listed eligible recipient across the 85 programs. Although a diversity of organizations theoretically can access adult education monies, the main recipients still tended to be State and local government agencies.

Interagency Coordination. With the national focus on the adult literacy issue has come an increased emphasis on the importance of interagency coordination. In reviewing the legislation and regulations for each program, the study team coded the types of interagency coordination required or suggested for each of the programs in the study. For many programs, however, the specification of this requirement was not clearly stated. Presented in Table D-1, Appendix D, is a listing of the required or suggested interagency coordination activity that could be identified for each of the programs. As shown in Table 6, 45 (or 53 percent) of the programs did not require or suggest any interagency coordination.
Table 4
MAIN PROGRAM ACTIVITY BY PROGRAM TYPE
FY1989*

<table>
<thead>
<tr>
<th>Program Activity</th>
<th>Program Type</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Primary</td>
<td>Secondary</td>
<td>Indirect</td>
<td>Total</td>
<td></td>
</tr>
<tr>
<td>Demonstration Projects</td>
<td>2</td>
<td>1</td>
<td>3</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Direct Educational Service</td>
<td>20</td>
<td>14</td>
<td>11</td>
<td>45</td>
<td></td>
</tr>
<tr>
<td>Technical Assistance</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Staff Training</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Research</td>
<td>1</td>
<td>3</td>
<td>3</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>Purchase of Property, Facilities, and Equipment</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Dissemination of Information</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Job Training/Placement/ Readiness</td>
<td>0</td>
<td>3</td>
<td>1</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>Mixed Activity</td>
<td>2</td>
<td>3</td>
<td>8</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>27</td>
<td>27</td>
<td>31</td>
<td>85</td>
<td></td>
</tr>
</tbody>
</table>

* One program listed under Direct Educational Service, the Department of Transportation's Basic Education Enrichment Program for the Coast Guard, was discontinued in FY1989.
Table 5

ORGANIZATIONS/AGENCIES ELIGIBLE FOR FUNDING
BY TYPE OF PROGRAM
FY1989

<table>
<thead>
<tr>
<th>Type of Recipient</th>
<th>Primary</th>
<th>Secondary</th>
<th>Indirect</th>
<th>Total*</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Government</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Agency other than Education</td>
<td>0</td>
<td>3</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>State Education Agency</td>
<td>11</td>
<td>5</td>
<td>1</td>
<td>17</td>
</tr>
<tr>
<td>State, no Agency Specified</td>
<td>2</td>
<td>7</td>
<td>8</td>
<td>17</td>
</tr>
<tr>
<td>Local Government/Agency</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Consortium of Local Education Agencies</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>County</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Education Institutions—Non-Specific</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Institution of Higher Education</td>
<td>4</td>
<td>6</td>
<td>4</td>
<td>14</td>
</tr>
<tr>
<td>Local Agency other than Education</td>
<td>5</td>
<td>1</td>
<td>6</td>
<td>12</td>
</tr>
<tr>
<td>Local Education Agency</td>
<td>8</td>
<td>2</td>
<td>5</td>
<td>15</td>
</tr>
<tr>
<td>Postsecondary Educational Institution</td>
<td>4</td>
<td>4</td>
<td>3</td>
<td>11</td>
</tr>
<tr>
<td>Nonprofit/For Profit Organizations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nonprofit Educational or Training Organization Serving a Group Whose Usual Language is Other than English</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Private Nonprofit Organization/Agency</td>
<td>4</td>
<td>4</td>
<td>8</td>
<td>16</td>
</tr>
<tr>
<td>Private Nonprofit Vocational Training Institution</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>Private Nonprofit Organization/Agency</td>
<td>7</td>
<td>8</td>
<td>11</td>
<td>26</td>
</tr>
<tr>
<td>Public Nonprofit Organization/Agency</td>
<td>4</td>
<td>10</td>
<td>13</td>
<td>27</td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Appalachian States</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>Correctional Agency</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Federally-Recognized Indian Tribal Organization</td>
<td>5</td>
<td>0</td>
<td>4</td>
<td>9</td>
</tr>
<tr>
<td>Federal Agency</td>
<td>2</td>
<td>0</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>Indian Institutions and Organizations</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Individual</td>
<td>0</td>
<td>5</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>Land Grant Institutions</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Organization Serving and Representing Hawaiian Natives/Alaska Native Organ.</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Refugee Resettlement/National Voluntary Resettlement Agency</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>U.S. Territories</td>
<td>4</td>
<td>1</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td>Not Applicable</td>
<td>6</td>
<td>1</td>
<td>0</td>
<td>7</td>
</tr>
</tbody>
</table>

* Total exceeds total number of programs due to multiple coding of types of recipients
Table 6

TYPE OF INTERAGENCY COORDINATION SUGGESTED OR REQUIRED BY FEDERAL PROGRAMS

<table>
<thead>
<tr>
<th>Type of Interagency Coordination</th>
<th>Number of Programs Where Coordination is Required or Suggested*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coordination with Programs Authorized by other Legislation or Another Federal Agency</td>
<td>16</td>
</tr>
<tr>
<td>Coordination with other Relevant State Training and Education Programs</td>
<td>9</td>
</tr>
<tr>
<td>Partnership Between Private and/or Public Agencies/Organizations</td>
<td>16</td>
</tr>
<tr>
<td>Coordination Between Federal Program and State: State Review of Program Plan</td>
<td>5</td>
</tr>
<tr>
<td>None</td>
<td>45</td>
</tr>
</tbody>
</table>

* Some programs reported more than one type of interagency coordination
Where coordination was required or suggested, it was for coordination with programs authorized by other legislation or for partnerships between private and public organizations and agencies. Although the need for interagency coordination has been advocated by Federal officials, as indicated in the interviews with program staff, the nature of this coordination has not been clearly specified in legislation.

**Program Funding Mechanisms.** Of the five main mechanisms used to fund programs that support adult education services, the most common was the project grant, contract, and cooperative agreement. These funding mechanisms, along with formula grants, accounted for the majority of ways in which monies have been distributed. A list of the funding mechanisms for the 85 programs is presented in Table E-1, Appendix E.

**Data Collection Requirements.** As discussed earlier, the data reporting requirements for the programs examined in this study were not substantial. Table 7 identifies the six main types of data that were collected by the programs, in addition to financial information that is required (but not readily available) for all Federally-funded activities. The list of data collection requirements for each program is presented in Table F-1, Appendix F. As shown in Table 7, the most frequently-reported requirement was descriptive information about program results or evaluation information. This requirement usually is unstructured and the funding recipient has discretion regarding the format and length of the information that is to be reported. Because of this discretion in reporting, there is little uniformity in the data, which prohibits within and cross-program analyses. Furthermore, the quality of these data often is limited due to the caliber of the measures that are used to assess program success.

While few programs traditionally have reported adults' learning gains, this type of data requirement has become more prevalent. For example, the Adult Education Act Amendments of 1988 as well as the National Literacy Act of 1991 call for the reporting of test data using standardized instruments. In addition, changes in data required for the Job Training Partnership Act Title II and Title III programs have been proposed to include reporting of participants' attainment of educational and occupational skills.

From a policy perspective, the limitations on data collection requirements have hampered both the Federal and State governments' abilities to assess the relative success of their adult education efforts. The new data collection requirements should provide needed policy-relevant data that can be used to make decisions at all program levels.
Table 7
FEDERAL PROGRAM REQUIREMENTS
FOR DATA COLLECTION

<table>
<thead>
<tr>
<th>Data Collection Requirement</th>
<th>Number of Programs Reporting Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participant Demographic/Language Group/ Socio-economic Information</td>
<td>21</td>
</tr>
<tr>
<td>Participant Learning/Job Outcomes</td>
<td>22</td>
</tr>
<tr>
<td>Program Activity Information</td>
<td>28</td>
</tr>
<tr>
<td>Participation Information</td>
<td>11</td>
</tr>
<tr>
<td>Cooperative Institutional Arrangements</td>
<td>5</td>
</tr>
<tr>
<td>Description of Program Results/ Evaluation Information</td>
<td>61</td>
</tr>
</tbody>
</table>
Summary

Federal Adult Education Programs. This analysis of Federal adult education programs has indicated that the number of programs and amount of funding for these programs increased gradually during the period FY1986-FY1989, as shown in Figure 1. The type of activity funded most frequently has continued to be the provision of instructional services.

With the increased national concern about adult literacy has come the call for coordination across State agencies and between State and local agencies. While the mandates for coordination have not been clearly specified in most programs' authorizing legislation, the need for coordination continues to be emphasized by Federal program staff. Another priority has been the need for improved program accountability and assessment of program results.

The available data on the funding of adult education programs indicate the following:

- Many Federal programs authorizing multiple activities do not require that obligations or expenditures for adult education activities be reported separately, which has resulted in limited available data on adult education. Therefore, the amount of Federal funding spent on adult education that can be reliably verified is a low-end estimate. The figures that were compiled from 31 programs indicated that $247,090,059 was obligated for adult education in FY1989. Most of these monies came from Department of Education programs funded under the Adult Education Act. However, this amount does not include funds from programs such as the Job Training Partnership Act, Job Opportunities and Basic Skills Program, or the State Legalization Impact Assistance Grants. If figures for these and other programs categorized as secondary were available, the amount would be substantially higher than that which has been calculated; and
Figure 1

FEDERAL FUNDING APPROPRIATED FOR ADULT EDUCATION:
ESTIMATES FOR FY1986–1989

<table>
<thead>
<tr>
<th>Year</th>
<th>Estimate of Federal Funding Appropriated in Millions</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY1986</td>
<td>132</td>
</tr>
<tr>
<td>FY1987</td>
<td>149</td>
</tr>
<tr>
<td>FY1988</td>
<td>178</td>
</tr>
<tr>
<td>FY1989</td>
<td>247</td>
</tr>
</tbody>
</table>

Legend:
- Black: Estimate of Federal Funding Appropriated in Millions
- White: Programs for which Funding Could be Estimated
The support for adult education has been concentrated on the provision of direct educational services in basic skills/literacy. While other activities--such as research, dissemination, and staff training--are funded, they represented only about four percent of the monies that were accounted for in FY1989. Support for these areas is critical to the improvement of the overall system for adult education.

**Federal Data Management.** The main difficulty in conducting this study was both the lack of and lack of access to data regarding adult education program and fiscal activity. In some instances, data existed but were not easily retrievable. In other cases, data about adult education activities were not tracked at the State or local program level.

Comprehensive, quality data must be collected if we are to assess the progress that is being made in supporting adult education services at the Federal level. In order to improve the quality of data that are available, the Federal government should consider the implementation of a data management system that will allow easy retrieval of information about Federally-funded program activities.
REFERENCES


Part B

DESCRIPTIONS OF FEDERAL PROGRAMS
I. OVERVIEW OF FEDERAL PROGRAM DESCRIPTIONS

Organization of the Descriptions

This section of the report presents detailed descriptions of the 85 Federal programs that were examined in the study. The purpose is to provide detailed information about the programs that can be used by adult education practitioners, policymakers, and researchers. These descriptions present data that are program-specific rather than aggregated in tables and, as such, have more utility for explaining the unique features of programs.

Each program description includes a categorization of the program according to Federal agency and office, a brief discussion of the program and its relation to adult literacy activities, as well as the following information:

- **CFDA Number**: Number of program as catalogued in the 1989 edition of the Catalog of Federal Domestic Assistance (CFDA numbers are not available for selected programs);

- **Program Type**: The relationship of the program to adult education based on the authorizing legislation. Categories of program type are the following:

  - Primary--adult education is explicitly stated as a priority objective in the program's authorizing legislation;

  - Secondary--adult education is an approved activity stated in authorizing legislation and supports the primary objective of the program; or

  - Indirect--there is not an explicit legislative mandate for adult education activities, and a policy decision is required to fund adult education activities;

- **Documentation of Program Outcomes**: The types of reports required to document program progress and results;

- **Eligible Recipients**: Agencies, organizations, or other entities eligible to apply for funding from the program;
- **Length of Assistance**: Length of award period for grants, contracts, cooperative agreements, or other awards made by the program;

- **Overall Program Budget Obligation**: Amount of funds obligated for the entire program;

- **Adult Education Program Component Budget Obligation**: Amount of funds obligated for adult education activities (adult literacy, basic skills, ESL, or adult secondary education);

- **Examples of Funded Projects**: Examples of adult education projects funded by the program (where available);

- **Program Contact**: Name, address, and telephone number of Federal agency member who can provide information about the program.

The program descriptions in this section of the report are grouped in three sections alphabetically by Federal agency. The three sections correspond to the program’s classification as primary, secondary, or indirect. This presentation scheme is intended to guide the reader in identifying the Federal programs according to the importance of adult education activities in each program.

**Reporting of Budget Data**

Each program description presents either overall program budget obligation data or adult education component budget obligation data. While it would have been desirable to have expenditure information for each program, these data were not available for the majority of the programs examined in the study. Rather, obligation data for either the entire program or for the adult education component of the program were collected.

Where possible, estimates of funds spent for adult education (i.e., adult education program component data) are listed. The majority of the primary programs and selected indirect programs had this information available. Most of the secondary programs identified in the study, however, did not record or calculate the amount of monies spent on adult education activities separately from their overall budget obligations. Thus, overall budget data are presented for the secondary programs.
II. PRIMARY PROGRAMS
Title: Volunteers in Service to America (VISTA) - Literacy Corps

Agency: ACTION
Office: Not applicable

CFDA Number: 72.015

Description:
VISTA recruits and places volunteers in community service positions that address problems associated with poverty. A primary objective of the program is to help low-income persons achieve self-sufficiency. Through VISTA’s Literacy Corps, volunteer resources are mobilized to help communities address illiteracy problems.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Yearly reports documenting volunteer assignments and training activities

Eligible Recipients: Federal, State, or local government agencies or private non-profit organizations; State and local education agencies; State and local agencies administering adult poverty organizations; local, municipal, and State government entities; and administrative entities designated to administer job training plans under the Job Training Partnership Act

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Budget obligation for 1986 is not available.

1987 $1,969,000
1988 $2,870,000
1989 $2,792,000
Example of Funded Project:

- An example of VISTA’s literacy activities is found in the Literacy Volunteers of America (LVA) project in Connecticut that recruits and trains VISTA volunteers to assist communities in reducing illiteracy. VISTA Volunteers established literacy programs in 18 satellite organizations, helped to recruit volunteer literacy tutors, and provided support that allowed the satellite centers to become self-sufficient.

Program Contact: Patricia A.E. Rodgers, Assistant Director
VISTA Program
Office of Domestic Operations
ACTION
Room 8100
1100 Vermont Avenue, N.W.
Washington, D.C. 20525

(202) 606-4845
Title: Air Force Education Program

Agency: Defense
Office: Air Force

CFDA Number: Not applicable

Description:
This program encompasses two sub-programs: Basic Skills Development and High School Completion. The Basic Skills Development component prepares individuals for Air Force occupational training and develops their skills to improve work performance. The High School Completion component provides the classroom training necessary for receipt of a high school diploma from an accredited high school.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Number of personnel promoted to the next highest skill level

Eligible Recipients: Not applicable

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation: Budget obligations for 1986-1988 are not available.
1989 $9,369

Examples of Funded Projects: Not applicable

Program Contact: Cole Hunter, Chief
Educational Services Branch
Headquarters - Director of Personnel Education Programs
U.S. Air Force
Room 4D-228
Washington, D.C. 20330-5060

(703) 695-7327
DEFENSE

Marine Corps Basic Skills Education Program

Title: Marine Corps Basic Skills Education Program
Agency: Defense
Office: Marine Corps
CFDA Number: Not applicable

Description:
This program provides basic skills training in mathematics, English, and English as a second language for marines whose skills are such that they may not be able to perform their jobs. The Basic Skills Education Program is a part of the overall tuition assistance program of the Marine Corps.

Program Type as Defined by Legislation: Primary
Documentation of Program Outcomes: Learning achievement gains
Eligible Recipients: Not applicable
Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation:
1986 $998,000
1987 $506,723
1988 $577,756
1989 $656,226

Examples of Funded Projects: Not applicable

Program Contact: Sydell Weiss, Director
Code MHEP
Headquarters
U.S. Marine Corps
Washington, D.C. 20380-0001
(703) 697-9356
Title: Naval Education and Training Program

Agency: Defense
Office: Navy

CFDA Number: Not applicable

Description:

The Skill Enhancement Program is designed to upgrade participants' basic skills to improve their work performance. The program consists of the following four components:

- Functional Skills Program: provides basic skills training in reading, mathematics, and writing;
- Schoolhouse Skill Enhancement Training: provides basic skills training to enable participants to complete specialized skill training schools;
- Jobs-Oriented Basic Skills: provides remedial training for selected individuals prior to their entrance into specialized skill training schools; and
- Fundamental and Applied Skill Training: provides training in verbal and study skills for recruited sailors whose standardized test scores fall below the sixth grade level.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Learning achievement gains

Eligible Recipients: Not applicable

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation:

1986 $2,822,000
1987 $3,735,000
1988 $2,952,000
1989 $3,068,000
DEFENSE
Naval Education and Training Program

Examples of Funded Projects: Not applicable

Program Contact: Captain M. Hayes, Director
Education and General Training
Naval Air Station
Pensacola, Florida 32508-5100

(904) 452-4984
Title: U.S. Army Basic Skills Education Program

Agency: Defense
Office: Army

CFDA Number: Not available

Description:
This program provides basic skills training that improves academic performance and allows participants to function more effectively in work. The program includes the following components:

1. Basic Skills Education Program I - provides basic skills training for Individuals in Initial Entry Training (IET) who score below pre-determined standards or who do not meet the Army’s standards for reenlistment;

2. Basic Skills Education Program II - provides basic skills training for permanent party personnel whose test scores are below a certain level or who do not meet the Army’s reenlistment standards;

3. Career Soldier Education Program I and II - provides basic skills training for permanent party personnel who have deficiencies in academic skills or who do not meet Army standards for reenlistment.

4. English as a Second Language - provides ESL instruction for soldiers who score below a designated level on the English Comprehension Level Test.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Test scores, enrollment c, eligible persons, attainment of pre-determined standards

Eligible Recipients: Not applicable

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation:
1986 $13,721,886
1987 $13,820,097
1988 $7,889,696
1989 $6,795,024
DEFENSE
U.S. Army Basic Skills Education Program

Examples of Funded Projects: Not applicable

Program Contact: David Keltner
Educational Program Administrator
U.S. Army
2461 Eisenhower Avenue, Room 802
Alexandria, Virginia 22331-0472

(703) 325-4318
Title: Adult Education for the Homeless
Agency: Department of Education
Office: Office of Vocational and Adult Education
CFDA Number: 84.192

Description:
This program supports States in the provision of basic skills remediation and literacy training for homeless adults whose inability to speak, read, or write the English language constitutes a substantial impairment of their ability to obtain or retain employment commensurate with their ability. The goal of the program is to improve the level of education of homeless persons in order to reduce their dependency on others.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Learning achievement in basic education and life skills

Eligible Recipients: State education agencies and U.S. territories; a State education agency may operate the program directly or distribute funds to sub-grantees

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation:

1986 Program not authorized
1987 $6,900,000
1988 $7,180,000
1989 $7,094,000
 Examples of Funded Projects:

- South Dakota funded three types of organizations to administer educational services: a State literacy council, a career learning center, and a homeless shelter. The State's program also emphasized the development of computer-based curricula, using various commercial software programs.

- New York State's Department of Education developed two program models: one designed to provide educational services at homeless shelters, and the other created for learning center-based programs with provisions for the transportation of students.

Program Contacts:

Paul R. Geib, Jr., Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4521
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240
(202) 205-5864

James T. Parker, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4426
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240
(202) 205-5499
Title: Adult Education - State-Administered Basic Grant Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.002

Description:
This formula grant program provides funding to States to improve existing and establish new adult education services in basic skills, literacy, adult secondary education, and English as a second language. Funds are used to supplement State and local monies for adult education with the following restrictions: 1) not more than five percent for administrative costs, 2) not more than 20 percent for Adult Secondary Education, and 3) not less than 10 percent for services to institutionalized adults. Funds are allocated according to each State's Plan and are used to support direct instruction in the areas above, as well as for staff development and special demonstration projects such as development of basic skills workplace program curriculum, volunteer training methods, student assessment tools, and use of technology for instruction.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Learning achievement gains in basic education and literacy skills, learners' personal growth, and development of life skills

Eligible Recipients: State education agencies, who award grants to local education agencies and other public or private agencies, organizations, institutions eligible to apply for funding from designated State educational agencies

Length of Assistance: 27 months
EDUCATION
Adult Education - State-Administered Basic Grant Program

Adult Education Program Component Budget Obligation:

<table>
<thead>
<tr>
<th>Year</th>
<th>Obligation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1986</td>
<td>$97,579,000</td>
</tr>
<tr>
<td>1987</td>
<td>$105,981,000</td>
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<tr>
<td>1988</td>
<td>$115,367,000</td>
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<tr>
<td>1989</td>
<td>$136,344,000</td>
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</table>

Examples of Funded Projects: Not applicable

Program Contact: Ronald S. Pugsley, Branch Chief
Office of Vocational and Adult Education
U.S. Department of Education
Room 4423
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-9872
EDUCATION
Adult Migrant Farmworker and Immigrant Education Program

Title: Adult Migrant Farmworker and Immigrant Education Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: Not applicable

Description:
This program is authorized to support adult education programs and services for adult migrant
farmworkers and immigrants.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Progress as documented in project reports

Eligible Recipients: State education agencies

Length of Assistance: 12 to 18 months

Adult Education Program Component Budget Obligation: Funds have never been appropriated for
this program.

Examples of Funded Projects: Not applicable

Program Contact: Joyce F. Campbell, Education Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4420
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240

(202) 205-5412
EDUCATION
Appalachian Regional Education Program

Title: Appalachian Regional Education Program
Agency: Department of Education
Office: Office of Vocational and Adult Education
CFDA Number: 23.012*

Description:
This program, funded by the Appalachian Regional Commission (ARC) and administered by the Department of Education, supports projects that help people of the Appalachian region compete in the labor market. Workplace literacy is one emphasis of the program.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Quarterly and yearly reports

Eligible Recipients: Agencies, institutions in the Appalachian Region

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Budget obligations for 1986 and 1988 are not available.

1987 $596,520
1989 $137,952

* CFDA number is the number of the Appalachian Vocation and Other Educational Facilities and Operations Program of the Appalachian Regional Commission. Monies for the Appalachian Regional Education program are transferred from this program.
Examples of Funded Projects:

- In a multi-county area of Mississippi, the State Department of Education sponsored a project for adults in the Appalachian area of the state. The project’s goal was to foster economic growth as a function of education. The project included both adult literacy and workplace literacy components. An extensive media campaign was initiated to recruit participants.

- The communities of Belmont and Olean, New York operated Adult Learning Centers that served Cattaragus and Allegany Counties. These programs used computer-assisted instructional systems that aided adults in improving their literacy skills. The goal of the programs was to promote economic development by increasing workers’ literacy skills.

- In Chautauqua, Cattaragus, and Allegany counties in New York, ARC and JTPA funds were pooled with contributions from employers and other sources to provide training for disadvantaged persons, veterans, and dislocated workers. The program included basic and occupational skills training that assisted individuals in finding new or better employment.

Program Contact: Joyce D. Cook, Branch Chief  
Office of Vocational and Adult Education  
U.S. Department of Education  
Room 4515  
Mary E. Switzer Building  
400 Maryland Avenue, S.W.  
Washington, D.C. 20202-7242  
(202) 205-8859
Title: Even Start - Local Education Agencies

Agency: Department of Education
Office: Office of Elementary and Secondary Education

CFDA Number: 84.213

Description:

This grants program supports local education agencies in providing family-centered education projects that encourage parents to participate in their children's education. Early childhood education and adult education services are integrated in a unified program that is implemented in coordination with existing community resources.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Performance reports documenting participants' outcomes; third-party evaluation of program's impact on parents and children

Eligible Recipients: Local education agency or consortium of local educational agencies that has within its geographic jurisdiction children from ages one to seven, who reside in an elementary school attendance area designated for participation in projects under Part A, Chapter I of Title I of the Elementary and Secondary Education Act of 1965, as amended, and have at least one parent eligible for adult education under the Adult Education Act.

Length of Assistance: 12 months, renewable up to 48 months


1989 $14,500.300
Examples of Funded Projects:

- The San Juan School District in Monticello, Utah utilized three mobile learning laboratories to provide a family-centered education program designed to meet the needs of rural residents, particularly American Indians.

- The Lowell School District in Massachusetts combined the expertise and resources of agency administrators, practitioners, and parents to create a family-oriented Shared Literacy Center. The center provided services such as parent-child play groups for toddlers and preschoolers, literacy clubs, adult literacy institutes, joint outreach, and screening and referral.

Program Contact:  Tish Rennings, Educational Program Specialist
Even Start
Office of Elementary and Secondary Education
U.S. Department of Education
Room 2004
400 Maryland Avenue, S.W.
Washington, D.C. 20202

(202) 401-0716
EDUCATION
Family English Literacy Program

Title: Family English Literacy Program
Agency: Department of Education
Office: Office of Bilingual Education and Minority Language Affairs
CFDA Number: 84.003J

Description:
This discretionary grants program supports projects for providing instruction in the English language for limited English proficient (LEP) families, adults, and out-of-school youth. Other services include instruction to assist eligible aliens in gaining knowledge of English and U.S. history and government.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Program progress documented in reports specified by award

Eligible Recipients: Local education agencies, institutions of higher education, private non-profit and for-profit organizations

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation:
1986 $2,500,000
1987 $2,600,000
1988 $4,500,000
1989 $4,600,000
Examples of Funded Projects:

- Project SCALE (Satellite Centers for Adult Leadership and Education) in San Antonio, Texas developed a television-based literacy instructional model that used both traditional and nontraditional teaching techniques for limited English proficient adults.

- The Sacramento-Stockton Family English Literacy Project (FELP) in Sacramento, California provided training in language and parenting to 100 limited English proficient parents of children enrolled in Title VII projects.

- The Family Initiative for English Literacy (Project FIEL) in El Paso, Texas was developed to increase literacy and language skills of limited English proficient adults and their children. The project provided instruction to parents and their children in the same classroom and at home.

Program Contact: Mary Mahoney, Manager
Family English Literacy Program
U.S. Department of Education
Room 5618
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-6642

(202) 205-8728
Title: Indian Education - Adult Indian Education

Agency: Department of Education
Office: Office of Elementary and Secondary Education

CFDA Number: 84.062

Description:

The objective of this grants program is to plan, develop, and implement programs for Indian adults in order to increase their mastery of basic skills, increase the number of program participants who earn high school diplomas, and encourage the development of programs regarding the culture and heritage of Indian adults. Grant funds may be used to establish and operate literacy, basic skills, and high school equivalency programs as well as to disseminate information and material about these programs.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Yearly progress reports

Eligible Recipients: Indian tribes, Indian organizations, Indian Institutions, State and local educational agencies

Length of Assistance: Up to 36 months

Adult Education Program Component Budget Obligation:

<table>
<thead>
<tr>
<th>Year</th>
<th>Budget Obligation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1986</td>
<td>$2,797,000</td>
</tr>
<tr>
<td>1987</td>
<td>$3,000,000</td>
</tr>
<tr>
<td>1988</td>
<td>$3,000,000</td>
</tr>
<tr>
<td>1989</td>
<td>$4,000,000</td>
</tr>
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</table>

Examples of Funded Projects: Not available

Program Contact: John Tippeconnic, III, Director  
Office of Indian Education  
Office of Elementary and Secondary Education  
U.S. Department of Education  
400 Maryland Avenue, S.W.  
Washington, D.C. 20202

(202) 401-1887
Title: Library Literacy (Library Services and Construction Act, Title VI)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.167

Description:

The Library Literacy Program provides grants to State and local public libraries. State libraries may use funds to provide assistance to local libraries in initiating adult literacy programs, and providing staff training for librarians and volunteers in extending library literacy programs to under-served populations. Local libraries may use funds to promote the use of or train volunteers, acquire materials that aid adults in need of literacy training, or support library facilities for carrying out these activities.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Number of persons served, results achieved by program

Eligible Recipients: Local and State public libraries

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation:

1986 $7,736,643
1987 $5,000,000
1988 $4,787,000
1989 $4,730,000
Examples of Funded Projects:

- The Leslie County Public Library in rural Leslie County, Kentucky sponsored a travel fund to enable the library literacy coordinator to travel to remote areas of the county to recruit literacy volunteers and students.

- The Great Bend Public Library in Great Bend, Kansas sponsored a project to determine the abilities of students referred to the adult literacy program in the library’s service area. The project involved the design of a comprehensive reading assessment program that included development of individualized reading plans and assignment of a "reading partner" who served as an instructor and mentor for lower-level readers.

- In Plattsburgh, New York, the Clinton-Essex-Franklin Library System sponsored an outreach program in conjunction with other literacy providers. The program provided portable microcomputers and software for computer-assisted literacy instruction for homebound persons, the elderly, and others lacking transportation or child care.

Program Contact: Carol Cameron, Program Officer
Office of Library Programs
U.S. Department of Education
555 New Jersey Avenue, N.W.
Washington, D.C. 20208-5571

(202) 219-1315
EDUCATION
Migrant Education Even Start (MEES)

Title: Migrant Education Even Start (MEES)
Agency: Department of Education
Office: Office of Elementary and Secondary Education
CFDA Number: 84.214a
Description:
This family-centered, State grants program serves migratory parents and migratory children by integrating early childhood education and adult education into a unified program. The program focuses on assisting preschool children reach their full potential as learners and provides literacy and parenting skills to their parents.

Program Type as Defined by Legislation: Primary
Documentation of Program Outcomes: Development of indicators is pending
Eligible Recipients: State education agencies
Length of Assistance: 12 months, renewable for four years
Adult Education Program Component Budget Obligation: Budget obligations for 1986-1988 are not available.
1989 $444,600
Example of Funded Project:
- The New York State Department of Education was awarded $153,529 to develop a Migrant Home Literacy program to provide the parents of three- and four-year old children with the knowledge, motivation, skills, and resources needed to help their children. The project trained home literacy visitors to provide weekly home services to parents, including basic skills instruction and tips on parenting approaches.

Program Contact: Regina Kinnard, Educational Program Specialist
Office of Elementary and Secondary Education
U.S. Department of Education
Room 2155
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 401-0803
EDUCATION
Migrant Education - High School Equivalency Program (HEP)

Title: Migrant Education - High School Equivalency Program (HEP)

Agency: Department of Education
Office: Office of Elementary and Secondary Education

CFDA Number: 84.141

Description:
This program provides three-year grants to institutions of higher education or other private non-profit organizations to assist migrant and seasonal farmworkers beyond the age of compulsory school attendance to complete the course work necessary to receive a high school diploma or its equivalent. Organizations that participate in the HEP provide outreach, teaching, counseling, and placement services to enable eligible migrant dropouts, 17 to 24 years of age, to obtain a high school equivalency certificate.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Learning achievement in basic skills

Eligible Recipients: Institutions of higher education or private non-profit agencies in cooperation with institutions of higher education

Length of Assistance: 36 months

Adult Education Program Component Budget Obligation: Budget obligations for 1986 and 1987 are not available.

1988 $7,276,000
1989 $7,410,000
Examples of Funded Projects:

- The University of South Florida in Tampa, Florida offered GED and pre-GED courses of study to the targeted population. Other program services available to students included: a student assessment component, individual learning resource instruction, vocational evaluation system for placement, and cultural activities.

- The Training and Development Corporation in Bangor, Maine conducted outreach and recruitment for classroom instruction leading to GED, offered individual assessment in reading and math, and utilized the Comprehensive Competency Program (CCP) to provide individualized instruction to students.

Program Contact: Ramon Ruiz, Acting Director
Office of Migrant Education
U.S. Department of Education
Room 2149
400 Maryland Avenue, S.W.
Washington, D.C. 20202-4723

(202) 401-0740
Title: National Adult Education Research

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.191

Description:
This program supports projects that improve or expand adult education. Program activities include research, development, evaluation, technical assistance, and related activities.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Achievement of stated program goals, products produced

Eligible Recipients: Local education agencies, postsecondary education institutions, private for-profit and private and public non-profit agencies, State education agencies, U.S. territories, Federally-recognized Indian tribal organizations, and organizations representing or serving Hawaiian natives

Length of Assistance: 12 month and multi-year contracts

Adult Education Program Component Budget Obligation: No funds were appropriated prior to 1988.
1988 $1,915,000
1989 $1,976,000

Examples of Funded Projects:

- The Educational Testing Service is conducting a National Adult Literacy Survey (NALS) to measure the literacy skills of a nationally-representative sample of adults and to report on the condition of literacy.
EDUCATION
National Adult Education Research

- Developmental Associates is conducting a national evaluation of adult education involving a longitudinal survey of students and a study of local programs.

Program Contact:  
James T. Parker, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4426
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240

(202) 205-5499
EDUCATION
National Adult Literacy Volunteer Training Program

Title: National Adult Literacy Volunteer Training Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: Not applicable

Description:
This program is authorized to fund grants to support planning, implementation, and evaluation of programs designed to train adult volunteers, especially older adults who wish to tutor students in adult education programs.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Project reports, deliverables

Eligible Recipients: State and local education agencies, private and public non-profit agencies

Length of Assistance: 12 to 18 months

Adult Education Program Component Budget Obligation: Funds have never been appropriated for this program.

Examples of Funded Projects: Not applicable

Program Contacts: Ronald Pugsley, Branch Chief
Office of Vocational and Adult Education
U.S. Department of Education
Room 4428
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-9872

Mary Seibles, Education Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4419
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240
(202) 205-9403
Title: National English Literacy Demonstration Programs for Persons of Limited English Proficiency

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.223b

Description:
This program supports the development of innovative educational approaches and methods of English language instruction for adults of limited English proficiency. Discretionary grants and contracts are awarded to organizations to carry out activities for assisting limited English proficient adults, out-of-school youths, or both achieve full competence in the English language.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Project reports and products

Eligible Recipients: Public and private non-profit agencies, institutions and organizations; companies certified by the Small Business Administration as holding an 8(a) designation

Length of Assistance: 12 to 18 months

Adult Education Program Component Budget Obligation: No funds were appropriated prior to 1989.

1989 $244,000

Example of Funded Project:
- Slaughter and Associates were awarded a contract to develop a resource guide that can be used by adult education administrators and teachers in planning, developing, and evaluating literacy programs for adult migrant farmworkers.
EDUCATION
National English Literacy Demonstration Programs for Persons of Limited English Proficiency

Program Contacts: Joyce F. Campbell, Education Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4420
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-5412
EDUCATION
National Workplace Literacy Program

Title: Workplace Literacy
Agency: Department of Education
Office: Office of Vocational and Adult Education
CFDA Number: 84.198
Description:
This program funds demonstration programs that serve adults who are deficient in work-related basic skills. The program's objectives are to improve the workplace by increasing the literacy level of workers and by upgrading the skills of workers as the workplace changes. The program also allows individual projects to meet the literacy needs of adults with limited English proficiency.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Job-related basic skills competencies, increased productivity or promotability, job retention

Eligible Recipients: Partnerships that consist of at least one entity from business, industry, labor organizations, or private industry councils coupled with one of the following: State or local educational agencies, institutions of higher education or schools (including employment and training agencies or community-based organizations); one partner must be designated as the applicant

Length of Assistance: 18 months

Adult Education Program Component Budget Obligation: Funds were first appropriated in 1988.
1988 $9,574,000
1989 $11,856,000
Examples of Funded Projects:

- James Madison University in Harrisonburg, Virginia, working in conjunction with seven poultry factories in the Shenendoah Valley, received a grant in 1988 to implement a mobile, computerized, rural workplace literacy project.

- The Los Angeles Unified School District, working in conjunction with Domino’s Pizza, Educational Data Systems, Inc., and the Hudson Institute, received a grant in 1988 to develop workplace literacy programs for adults associated with customer service occupations in the food and hotel industries.

Program Contacts:

Sarah Newcomb, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4428
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-9872

Nancy Smith Brooks, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4312
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-8269
Title: State-Administered Workplace Literacy Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: Not available

Description:
This program is implemented when the annual appropriation for workplace literacy exceeds or equals $50,000,000. The program supports discretionary grants awarded by State education agencies for workplace literacy instruction through partnerships between education and business, industry, or labor organizations.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Job-related basic skills competencies, increased productivity or promotability, job retention

Eligible Recipients: State education agencies, U.S. territories

Length of Assistance: Determined by State

Adult Education Program Component Budget Obligation: Funds have never been appropriated for this program.

Examples of Funded Projects: Not applicable

Program Contact: Sarah Newcomb, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4428
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-9872
Title: State-Administered English Literacy

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.223a

Description:
This program supports States in the provision of services for limited English proficient adults and/or out-of-school youth. The grants to States may provide support services for program participants involving child care and transportation costs. At least 50 percent of the grants made by State education agencies must be used to fund programs operated by community-based organizations that demonstrate the capability to administer programs for limited-English-proficient adults.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Participants' English language proficiency

Eligible Recipients: State education agencies, U.S. Territories

Length of Assistance: 27 months

Adult Education Program Component Budget Obligation:
1989 $4,446,000

Examples of Funded Projects: Not applicable

Program Contact: Nancy Smith Brooks
U.S. Department of Education
Office of Vocational and Adult Education
Room 4312
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-8269
Title: Job Opportunities and Basic Skills Training (JOBS) Program

Agency: Department of Health and Human Services
Office: Administration for Children and Families

CFDA Number: 13.781

Description:
This formula grants program provides Aid to Families with Dependent Children (AFDC) recipients with education, job training, work activities, and support services to help them attain self-sufficiency. The program requires coordination among education, training, and employment programs in order to assure more comprehensive service provision for beneficiaries. Literacy and basic skills instruction is one education service supported under the JOBS program.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Progress documented on required Federal forms and in other reports

Eligible Recipients: States, U.S. territories, Federally-recognized Indian Tribes and Alaska Native organizations

Length of Assistance: Varies; grant funds are awarded to States quarterly and to Indian Tribes and Alaska Native organizations annually

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Mary Ann Higgins, Acting Director
Division of JOBS Program
Office of Family Assistance
Administration for Children and Families
U.S. Department of Health and Human Services
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447
(202) 401-9294
HEALTH AND HUMAN SERVICES
State Legalization Impact Assistance Grants

Title: State Legalization Impact Assistance Grants (SLIAG)
Agency: Department of Health and Human Services
Office: Office of Refugee Resettlement, Administration on Children and Families

CFDA Number: 13.786
Description:

Through the SLIAG program, States receive formula grants for costs incurred in providing services to eligible legalized aliens. Allowable services include public assistance, public health assistance, and education. Adult education activities supported by the program include basic skills instruction, high school equivalency degree preparation, citizenship training, and instruction for adults of limited English proficiency.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Program records documenting purposes and recipients of funding

Eligible Recipients: States and U.S. Territories

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations

Examples of Funded Projects: Not applicable

Program Contact: David Howell, Deputy Director
Division of State Legalization Impact Assistance Grants
U.S. Department of Health and Human Services
370 L’Enfant Promenade, S.W.
6th Floor ORR/DSL.A
Washington, D.C. 20447

(202) 401-9264
Title: Adult Education Program

Agency: Department of the Interior
Office: Bureau of Indian Affairs

CFDA Number: Not available

Description:

The Adult Education Program serves adults who are at least one-fourth Indian blood descendant of an Indian tribal government recognized by the Department of the Interior. The program primarily emphasizes GED preparation but also provides instruction in workplace literacy and job preparation. Program funding and emphasis vary from year to year based on the Indian priority system.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Learning achievement gains; number of persons receiving instruction

Eligible Recipients: Federally-recognized Indian tribal organizations

Length of Assistance: Not available

Adult Education Program Component Budget Obligation:

1986 $3,391,000
1987 $3,496,000
1988 $3,141,000
1989 $3,138,000

Examples of Funded Projects: Not applicable

Program Contact: Charles Geboe, Chief
Post Secondary Education Branch
Office of Indian Education Programs
Bureau of Indian Affairs
U.S. Department of Interior
1849 C Street, N.W.
Washington, D.C. 20240

(202) 208-4871
Title: Adult Basic Education Program

Agency: Department of Justice
Office: Federal Bureau of Prisons

CFDA Number: Not applicable

Description:
This program provides mandatory basic skills and literacy training for inmates in Federal prisons who lack a high school diploma. Inmates meeting this criterion are required to attend Adult Basic Education instruction for a minimum of 120 days.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Attainment of a high school diploma or General Educational Development (GED)

Eligible Recipients: Not applicable

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation: Budget obligations are not available.

Examples of Funded Projects: Not applicable

Program Contact: Sylvia McCollum, Education Administrator
Federal Bureau of Prisons
U.S. Department of Justice
320 First Street, N.W.
Washington, D.C. 20534

(202) 724-3022
Title: Citizenship Education and Training

Agency: Department of Justice
Office: Immigration and Naturalization Service

CFDA Number: 16.400

Description:
This program sponsors the distribution of the Federal Textbook on Citizenship, written at various reading levels, to persons who are interested in becoming naturalized citizens of the United States. The Textbook provides instruction in the English language, U.S. history and government, and citizenship and is used in conjunction with citizenship instruction provided through the public school system.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: None

Eligible Recipients: Public schools or other educational groups conducting citizenship instruction under the supervision of public schools.

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation:
1986 $26,550
1987 $28,509
1988 $230,287
1989 $718,332

Examples of Funded Projects: Not applicable

Program Contact: R. Michael, Deputy Associate Commissioner of Adjudications
Immigration and Naturalization Service
425 Eye Street, N.W.
Washington, D.C. 20536

(202) 514-3320
Title: Job Corps, JTPA Title IV

Agency: Labor
Office: Employment and Training Administration

CFDA Number: Not applicable

Description:

The Job Corps prepares economically disadvantaged youth between 16 and 22 years of age to obtain and retain jobs or enroll in vocational and technical schools, junior colleges, or other institutions for further education or training. The services provided by Job Corps centers include basic skills and General Educational Development instruction, vocational skills training, counseling, health care, and other support services. All Job Corps participants are assessed to determine their proficiency in literacy, basic skills, and job skills, and training plans are designed based on these results.

Job Corps centers usually are residential programs, although non-residential services are allowable. Most centers are administered by corporations and non-profit organizations under contract to the Department of Labor. Approximately one-third of the centers, called Civilian Conservation Centers, are operated by the U.S. Departments of Interior and Agriculture and staffed by federal employees.

Program Type as Defined by Legislation: Primary

Documentation of Program Outcomes: Job placement, learning achievement gains, retention in program

Eligible Recipients: Private for-profit organizations, private non-profit organizations, Federal agencies

Length of Assistance: Projects are funded for 60 months in most cases. Federally-managed centers are operated based on a memorandum of understanding.

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget figures.
LABOR
Job Corps, JTPA Title IV

Examples of Funded Projects: Not applicable

Program Contact: Peter Rell, Director
Job Corps
Employment and Training Administration
U.S. Department of Labor
Room N-4510
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-8550
III. SECONDARY PROGRAMS
Title: Retired Senior Volunteer Program (RSVP)

Agency: ACTION
Office: Not applicable

CFDA Number: 72.002

Description:
RSVP recruits and places adults over age 60 in volunteer positions in communities. In 1985, the RSVP Literacy Project was initiated to provide seed monies to RSVP projects interested in adult literacy activities. A network was formed to provide information, technical assistance, and information to such projects.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Project services and activities documented in progress reports

Eligible Recipients: State, local government agencies, and private non-profit organizations

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Budget obligations for 1986, 1988, and 1989 are not available.

1987 $391,198

Examples of Funded Projects:

- In Providence, Rhode Island, the RSVP project recruited and trained literacy volunteers.

- In Raleigh, North Carolina, RSVP developed a curriculum to improve the reading skills of mothers in prison and their children.

- In Minneapolis, Minnesota, RSVP funded 12 subgrants to urban literacy coalitions that provide literacy services.

Program Contact: Constance Burns, Assistant Director
Office of Domestic Operations
ACTION
Room 6100
1100 Vermont Avenue, N.W.
Washington, D.C. 20525

(202) 606-4855
Title: Student Community Service Program (SCS)

Agency: ACTION
Office: Not applicable

CFDA Number: 72.005

Description:
SCS recruits and places student volunteers from public and private secondary, postsecondary, and vocational institutions in community service projects designed to eliminate poverty-related problems. Student volunteers work part-time and often earn academic credit for participation in the program. Literacy instruction and tutoring are allowable volunteer activities under this program.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Program progress as documented in reports

Eligible Recipients: Public and private non-profit organizations

Length of Assistance: 12 months (optional renewal for 24 to 36 months)

Overall Program Budget Obligation: Budget obligation for 1986 is not available.

1987 $1,364,000
1988 $1,310,000
1989 $1,349,000

Examples of Funded Projects:

- In Lanoille County, Vermont, students from Johnson State College provided tutoring in adult basic education along with other services to low-income, rural residents.

- In Genesco, New York, SCS placed students from various schools in volunteer positions designed to assist migrant farmworkers. Students provided adult basic education, job skills training, and other services.
Example of Funded Projects:

- In Duluth, Minnesota, students in high schools, colleges, and vocational/technical schools were recruited to provide services to low-income citizens and residents of nursing homes and rehabilitation centers. Students worked in senior centers, health agencies, nutrition programs, and provided literacy services in Right-to-Read programs.

Program Contact: Patricia A.E. Rodgers, Assistant Director
Student Community Service Program
Office of Domestic Operations
ACTION
Room 8100
1100 Vermont Avenue, N.W.
Washington, D.C. 20525

(202) 606-4845
Title: Food Stamps

Agency: Department of Agriculture
Office: Food and Nutrition Service

CFDA Number: 10.551

Description:

The Food Stamp Program provides coupons to low income households, welfare recipients, and unemployed or under-employed persons for use in purchasing food. Payments are channeled through specified State agencies.

Certain food stamp funds may be used to support educational programs or activities to improve basic skills or otherwise improve employability as part of a State's employment and training plan. Such activities may include high school or equivalent educational programs, remedial education programs, or instructional programs in English as a second language.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Performance standards; in FY1989, States had to place 35 percent of mandatory participants into employment and training activities. For FY1990, the rate will be 50 percent.

Eligible Recipients: State or U.S. Territory agency responsible for administration of Food Stamp Programs are eligible for funds; food stamp recipients are eligible for services

Length of Assistance: Varies; time phasing is not applicable

Adult Education Program Component Budget Obligation:

*1989 $17,000,000

Examples of Funded Projects: Not applicable

Program Contact: Ellen Henigan
Food and Nutrition Service
U.S. Department of Agriculture
Room 718
3101 Park Center Drive
Alexandria, VA 22302

(703) 756-3762

Title: Appalachian Vocational and Other Educational Facilities and Operations

Agency: Not applicable
Office: Appalachian Regional Commission

CFDA Number: 23.012

Description:
This program provides people of the Appalachian region with training and education services needed to secure employment. Funds also may be used for purchase of equipment, renovation of educational facilities, and educational demonstration projects.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Program progress as documented in reports

Eligible Recipients: States, State education agencies, and institutions of higher education

Length of Assistance: 12 months

Overall Program Budget Obligation: Funding amounts cannot be broken out by program. The overall obligations for non-highway Appalachian Regional Development Programs are as follows:

1986 $33,053,000
1987 $24,808,000
1988 $36,433,000
1989 $34,731,000

Examples of Funded Projects: Not applicable

Program Contact: Jack Russell, Director
Human Resources Division
Appalachian Regional Commission
Room 627
1666 Connecticut Avenue, N.W.
Washington, D.C. 20235

(202) 673-7876
Title: Post-Vietnam Era Veterans' Educational Assistance (Voluntary-Contributory Matching Program)

Agency: Department of Veteran Affairs
Office: Veterans Benefits Administration

CFDA Number: 64.120

Description:
This program provides educational assistance to persons entering the Armed Forces after December 31, 1976 and before July 1, 1985. Participants may select a program of education to assist them in attaining an educational, professional, or vocational objective at any approved educational institution they choose that will accept them as students or trainees. Participants are required to contribute to the program while performing service. Adult secondary education is one program option for participants.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: None

Eligible Recipients: Veterans who served and servicepersons currently serving who meet the following conditions: a) entered active duty after December 31, 1976 and before July 1, 1985, and b) were released under conditions other than dishonorable or continue on active duty but have completed their obligated period of service (or six years of active duty, whichever comes first), and c) have satisfactorily contributed to the program (satisfactory contribution consists of the monthly deduction of $25 to $100 from military pay, up to a maximum of $2,700, for deposits in the special fund). Participants, while on active duty, may make a lump sum contribution to the fund, up to the maximum sum.

Length of Assistance: Eligibility ceases at the end of 10 years from the date of the participant's last discharge or release from active duty.
DEPARTMENT OF VETERANS AFFAIRS
Post-Vietnam Era Veterans Educational Assistance (Voluntary-Contributory Matching Program)

Overall Program Budget Obligation:

1986 $144,755,000
1987 $86,470,000
1988 $180,893,000
1989 $132,681,000

Examples of Funded Projects: Not applicable

Program Contact: Robert H. Ketels, Chief
Central Office Education Operations
Veterans Benefits Administration
Department of Veterans Affairs
810 Vermont Avenue, N.W.
Washington, D.C. 20420

(202) 233-6552
DEPARTMENT OF VETERANS AFFAIRS
Veterans Educational Assistance (Noncontributory GI Bill)

Title: Veterans Educational Assistance (Noncontributory GI Bill)
Agency: Department of Veterans Affairs
Office: Veterans Benefits Administration
CFDA Number: 64.111

Description:
The objectives of this program were to attract individuals to participate in the armed forces by extending the benefits of a higher education to qualified persons who might not otherwise be able to afford such an education; and to restore lost educational opportunities to those whose education was interrupted by active duty after January 31, 1955 and before January 1, 1977.*

Program Type as Defined by Legislation: Secondary
Documentation of Program Outcomes: None
Eligible Recipients: Veterans and current military personnel
Length of Assistance: 5 months
Overall Program Budget Obligation:
1986 $648,797,000
1987 $522,074,000
1988 $439,171,000
1989 $342,797,000
Examples of Funded Projects: Not applicable

Program Contact: Robert H. Ketels, Chief
Central Office Operations
Veterans Benefits Administration
U.S. Department of Veterans Affairs
810 Vermont Avenue, N.W.
Washington, D.C. 20420
(202) 233-6552

* The noncontributory GI Bill ended on December 31, 1989. No benefits are payable under the noncontributory GI Bill for any training pursued on or after January 1, 1990.
Title: Bilingual Vocational Materials, Methods and Techniques (BVMMT)

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.100

Description:
This program supports research, training, demonstration, and dissemination projects in bilingual vocational education. Through the BVMMT Program's funding of such projects, instructional materials, methods, and techniques are developed and training is provided to State agencies and institutions. Instruction in English as a second language is an allowable activity in projects funded by this program.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress documented in performance reports: other reporting requirements specified by the grant or contract

Eligible Recipients: State agencies, public and private educational institutions, non-profit organizations, private for-profit organizations, and individuals

Length of Assistance: 12-18 months

Overall Program Budget Obligation:
1986 $368,600
1987 $368,600
1988 $373,400
1989 $377,100

Examples of Funded Projects: Not available

Program Contact: Laura Karl, Educational Program Specialist
Office of Vocational and Adult Education
Room 4519
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-5565
Title: Bilingual Vocational Training Program (BVT)

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.077

Description:
This grants program supports projects that provide occupational skills instruction and job-related English-as-a-second-language instruction to limited-English speaking adults and out-of-school youth. Projects are designed to help participants achieve year-round employment, adjust to changing manpower needs, expand their skills, and advance in employment.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress documented in performance reports: other reporting requirements specified by the grant or contract

Eligible Recipients: Local education agencies, State agencies, postsecondary educational institutions, private vocational training organizations, non-profit organizations created to serve a group whose usual language is other than English, and private for-profit agencies and organizations (eligible for contracts only)

Length of Assistance: 12 to 24 months

Overall Program Budget Obligation: Budget obligation for 1986 is not available.

1987 $2,764,500
1988 $2,764,500
1989 $2,764,500

Examples of Funded Projects: Not available

Program Contact: Laura Karl, Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4519
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-5565
Title: Centers for Independent Living

Agency: Department of Education
Office: Office of Special Education and Rehabilitative Services

CFDA Number: 84.132

Description:
This grants program supports independent living centers serving individuals with handicaps. The centers provide attendant care, independent living skills training, housing and transportation referral and peer counseling. Functional literacy activities may be provided for participants in the centers.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Annual program progress reports

Eligible Recipients: State vocational rehabilitation agencies, other public and private agencies, including institutions for higher education

Length of Assistance: 36-60 months

Overall Program Budget Obligation: Budget obligations for 1986-1987 are not available.

1988 $25,499,462
1989 $25,688,000

Examples of Funded Projects: Not available

Program Contact: John Nelson
Independent Living Branch
Rehabilitation Services Administration
U.S. Department of Education
400 Maryland Avenue, S.W.
Washington, D.C. 20202-2741

(202) 205-9362
Title: Comprehensive Services for Independent Living

Agency: Department of Education
Office: Office of Special Education and Rehabilitative Services

CFDA Number: 84.169

Description:
This formula grants program supports the provision of independent living services for individuals with severe mental, physical, or other handicaps that limit their ability to function independently. Services include attendant care, recreation, counseling, referral and provision of technological aides. Training in basic skills for employment is an allowable activity under the program.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress results documented in annual progress reports and case service reports

Eligible Recipients: State agencies (including U.S. territories and possessions) designated as the State unit to administer the State’s independent living rehabilitation services program

Length of Assistance: 36 months

Overall Program Budget Obligation:

1986 $5,264,000
1987 $5,900,000
1988 $5,840,000
1989 $5,770,000

Examples of Funded Projects: Not applicable

Program Contact: John Nelson
Independent Living Branch
Rehabilitation Services Administration
U.S. Department of Education
400 Maryland Avenue, S.W.
Washington, D.C. 20202-2741
(202) 205-9362
Title: Cooperative Demonstration Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.199

Description:
This program supports exemplary cooperative demonstration projects such as high technology training programs in vocational education. Project awards are based on the establishment of effective institutional partnerships that address skills shortages and access to quality vocational education for certain individuals. The program supports dropout prevention, workplace reentry, worksite training, and apprenticeship projects. Most projects address some aspect of literacy.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Program progress as documented in reports, including job placement outcomes and participation rates

Eligible Recipients: Institutions of higher education, State education agencies, local education agencies, private for-profit organizations and agencies, and public and private non-profit organizations

Length of Assistance: 18 months

Adult Education Program Component Budget Obligation: Budget obligations for 1986-1988 are not available.

FY1989 $1,110,573

Example of Funded Project:
- Valencia Community College in Orlando, Florida, implemented a competency-based, computer-integrated Manufacturing Program to upgrade workers' skills in advanced manufacturing technologies. The program also prepared students to enter new occupational fields in manufacturing-oriented enterprises.
EDUCATION
Cooperative Demonstration Program

Program Contact:
Richard DiCola, Program Officer
Robert Miller, Program Officer
Division of National Programs
Office of Vocational and Adult Education
U.S. Department of Education
Mary E. Switzer Building, Room 4520
400 Maryland Ave., S.W.
Washington, D.C. 20202

(202) 205-9962
Title: Educational Resources Information Center (ERIC)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: Not applicable

Description:
ERIC is a network of clearinghouses and other components that provide information on educational topics. The clearinghouses have responsibility for acquiring the significant educational literature within their particular areas, selecting the highest quality and most relevant materials, processing the selected items for input into databases, and providing information analyses products and various user services based on the database. In order to meet new educational needs, adjunct clearinghouses are established in association with existing clearinghouses. The adjunct clearinghouses identify and acquire literature within their scope area, and provide free reference and referral services. In addition to the clearinghouses, there are four ERIC Support Components that produce, publish, and disseminate ERIC products and services. Clearinghouses relevant to adult education include the Clearinghouse on Adult, Career and Vocational Education, the Clearinghouse on Languages and Linguistics, and the National Clearinghouse on Literacy Education (an adjunct clearinghouse).

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress documented in quarterly and annual reports

Eligible Recipients: Private and public non-profit and for-profit agencies and institutions; institutions of higher education

Length of Assistance: Up to 60 months

Overall Program Budget Obligation: Budget obligation for 1986 is not available.

1987 $373,000
1988 $649,000
1989 $620,000
Examples of Funded Projects:

- The ERIC Clearinghouse on Adult, Career, and Vocational Education at Ohio State University addresses all levels of adult and continuing education from basic literacy training through professional skill upgrading.

- The National Clearinghouse on Literacy Education at the Center for Applied Linguistics focuses on the development of a database in the area of literacy education for adults and out-of-school youth with limited English proficiency (LEP).

Program Contact: Robert Stonehill, Director
ERIC Program
Office of Educational Research and Improvement
U.S. Department of Education
555 New Jersey Avenue, N.W.
Washington, D.C. 20208

(202) 219-2289
Title: Interlibrary Cooperation and Resource Sharing (Library Services Construction Act, Title III)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.035

Description:

The objective of this program is to provide formula grants to States to develop, establish, expand, or operate local, State, regional, and interstate cooperative library networks. The program also is intended to promote resource sharing through coordination among public, academic, school, and special libraries. Such assistance may include projects that are designed to combat illiteracy or that serve the needs of individuals with limited English-speaking proficiency.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Achievement of States' long-range goals

Eligible Recipients: State library administrative agencies that award subgrants to libraries and other non-profit organizations

Length of Assistance: Up to 24 months

Overall Program Budget Obligation:

1986 $25,000,000
1987 $30,000,000
1988 $35,000,000
1989 $30,000,000

Examples of Funded Projects: Not applicable

Program Contact: Robert Klassen, Director
Public Library Services
Office of Library Programs
Office of Educational Research and Improvement
U.S. Department of Education
Room 402
555 New Jersey Avenue, N.W.
Washington, D.C. 20208-5571
(202) 219-1303
Title: Library Career Training (Higher Education Act, Title II-B)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.036

Description:

The objective of the Library Career Training Program is to assist institutions of higher education and library organizations in the training and retraining of persons through fellowships, institutes, and traineeships in areas of library specialization where there are shortages. The program indirectly serves the information needs of special populations, including the illiterate, by preparing librarians for service to these populations.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress reports indicating the number of fellows, trainees, grants, participants, and institutes

Eligible Recipients: Institutions of higher education, post-secondary educational institutions, public non-profit organizations, agencies

Length of Assistance: 12 months

Overall Program Budget Obligation:

1986 $612,200
1987 $663,470
1988 $409,876
1989 $399,787
Example of Funded Project:

- The University of North Texas, School of Library and Information Science received funding to educate library personnel at the doctoral level in order to provide leadership in library management services to children and young adults, with a special emphasis on the disadvantaged.

Program Contact:  
Yvonne Carter, Program Officer  
Library Development Staff  
Office of Library Programs  
Office of Educational Research and Improvement  
U.S. Department of Education  
New Jersey Avenue, N.W.  
Washington, D.C. 20208-5571

(202) 219-2293
Title: Library Services (Library Services Construction Act, Title I)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.034

Description:
The program provides formula grants to States to assist public libraries in establishing, expanding, and improving public library services. Such assistance may include projects that help public libraries and other organizations implement literacy programs for adults and school dropouts and aid in the establishment of community-based information referral centers.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Achievement of States' long-range goals

Eligible Recipients: State library administrative agencies that award subgrants to libraries and other non-profit organizations

Length of Assistance: Up to 24 months

Overall Program Budget Obligation:
1986 $70,339,000
1987 $78,400,000
1988 $77,341,787
1989 $79,324,038

Examples of Funded Projects: Not available

Program Contact: Robert Klassen, Director
Public Library Services
Office of Library Programs
Office of Educational Research and Improvement
U.S. Department of Education
Room 402
555 New Jersey Avenue, N.W.
Washington, D.C. 20208-5571
(202) 219-1303
Title: National Vocational Education Research Program

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.051

Description:
This program supports the National Center for Research in Vocational Education, research activities that help to improve access to vocational education programs for all population segments, and six curriculum coordination centers. The program is also designed to provide funds to stimulate private sector involvement in vocational education and to promote more effective coordination at all levels among programs dealing with vocational education.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Program progress reports as specified in awards

Eligible Recipients: Individuals, public and private organizations, institutions, and agencies; applicants for the National Center include only non-profit agencies associated with a public or private, non-profit university

Length of Assistance: Length varies by award

Adult Education Program Component Program Obligation: Budget obligation for 1988 is not available.

1986 $275,000
1987 $200,000
1989 $60,000
Example of Funded Project:

- In 1987, the National Center for Research in Vocational Education at Ohio State University conducted a study to determine the degree of participation of limited English-proficient students in secondary and adult vocational education programs that do not receive Federal bilingual vocational training funding, and to identify programs' problems as well as successes in encouraging components of the bilingual vocational training model.

**Program Contact:** Richard DiCola, Program Manager
Division of National Programs
Office of Vocational and Adult Education
U.S. Department of Education
Mary E. Switzer Building, Room 4520
400 Maryland Ave., S.W.
Washington, D.C. 20202

(202) 205-9962
Title: Postsecondary Education Programs for Handicapped Persons

Agency: Department of Education
Office: Office of Special Education and Rehabilitative Services

CFDA Number: 84.078

Description:
This grants program supports the development, operation, and dissemination of model programs in vocational, technical, postsecondary, or adult education that are designed to serve deaf or other handicapped persons.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Program progress documented in interim and final reports

Eligible Recipients: State education agencies, institutions of higher education (including junior and community colleges), vocational and technical institutions, and other appropriate non-profit educational agencies

Length of Assistance: 12 to 36 months

Overall Program Budget Obligation:

1986 $5,264,000
1987 $5,900,000
1988 $5,840,000
1989 $5,770,000

Examples of Funded Projects: Not available

Program Contact: Michael Ward, Chief
Secondary Education Transition Branch
U.S. Department of Education
Room 4624
Mary E. Switzer Building
330 C Street, S.W.
Washington, D.C. 20202
(202) 205-8163
Title. Vocational Education Basic Grants to States

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.048

Description:
This program provides formula grants to States for the development, expansion, and improvement of vocational education programs. Basic skills and literacy are part of the vocational educational services that are delivered. Traditionally underserved populations such as the handicapped, disadvantaged adults in need of training or retraining, single parents and homemakers, and incarcerated persons are targeted in these programs.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Attainment of predetermined standards

Eligible Recipients: State education agencies

Length of Assistance: 27 months

Overall Program Budget Obligation:
1986 $777,633,429
1987 $743,965,099
1988 $809,507,974
1989 $825,600,408

Examples of Funded Projects: Not applicable

Program Contact: Marcel Duvall, Acting Director
State Administration Branch
Office of Vocational and Adult Education
U.S. Department of Education
Room 4321
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242
(202) 205-9444
EDUCATION
Vocational Education - Consumer and Homemaking Education

Title: Vocational Education - Consumer and Homemaking Education
Agency: Department of Education
Office: Office of Vocational and Adult Education
CFDA Number: 84.049

Description: Through this formula grant program, States support consumer and homemaking education instructional programs and services that prepare youth and adults for the occupation of homemaking and provide instruction in food and nutrition, individual and family health consumer education, family living and parenthood education, child development and guidance, housing, home management, management of resources, and clothing and textiles. Basic skills and literacy instruction are offered as part of these programs. Emphasis is placed on providing services in areas of high unemployment or that are economically depressed.

Program Type as Defined by Legislation: Secondary
Documentation of Program Outcomes: Annual performance report
Eligible Recipients: State education agencies, U.S. territories
Length of Assistance: 27 months
Overall Program Budget Obligation:
1986 $30,273,000
1987 $31,633,000
1988 $32,791,000
1989 $33,118,000
EDUCATION
Vocational Education - Consumer and Homemaking Education

Example of Funded Project:

- Triopia High School in Wheaton, Illinois offered a family literacy program as one component of its consumer and homemaking education activities.

Program Contact: Bertha G. King, Education Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4327
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-9249
Title: Administration on Developmental Disabilities--Basic Support and Advocacy Grants

CFDA Number: 13.630

Agency: Department of Health and Human Services
Office: Human Development Services

Description:
This program provides formula grants that support services which allow developmentally disabled persons to reach their fullest potential. Monies may be used for State or local planning of services for disabled persons or for assistance to public or private, non-profit agencies engaged in activities that benefit developmentally disabled persons. Literacy activities are an allowable activity under the Basic Support component of the program.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Annual progress reports

Eligible Recipients: Designated State agencies except that those receiving allotments for protection and advocacy of the rights of the developmentally disabled must be independent of any agency that provides services to the developmentally disabled

Length of Assistance: Annual allotment that must be obligated by States by the end of the fiscal year following the fiscal year for which appropriations were made

Overall Program Budget Obligation: Budget obligations for 1986-1987 are not available.

1988 $77,549,000
1989 $79,534,000

Examples of Funded Projects: Not applicable

Program Contact: Raymond Sanchez, Director
Program Operations Division
Administration on Developmental Disabilities
U.S. Department of Health and Human Services
Room 329D
200 Independence Avenue, S.W.
Washington, D.C. 20201
(202) 690-5962
Title: Refugee and Entrant Assistance - State Administered Programs

Agency: Department of Health and Human Services
Office: Office of Refugee Resettlement

CFDA Number: 13.787

Description:
This program provides direct payments to States for supporting medical assistance, social services, and targeted assistance for eligible refugees and Cuban and Haitian entrants. Funds are distributed through States, which may purchase services from other agencies. Mainstream English Language Training (MELT) projects funded by the program have supported testing and refinement of assessment instruments, curriculum development, training and technical assistance, and instruction in English.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress documented in quarterly performance reports from States

Eligible Recipients: Resettlement agencies designated by States

Length of Assistance: 12 months

Overall Program Budget Obligation:
1986 $327,356,000
1987 $321,687,000
1988 $298,868,000
1989 $324,851,000

Examples of Funded Projects: Not applicable

Program Contact: Chris Gersten, Director
Office of Refugee Resettlement
Administration for Children and Families
U.S. Department of Health and Human Services
370 L'Enfant Promenade, S.W., 6th Floor
Washington, D.C. 20447

(202) 401-9246
HEALTH AND HUMAN SERVICES  
Refugee Assistance - Voluntary Agency Programs

Title: Refugee Assistance - Voluntary Agency Programs
Agency: Department of Health and Human Services
Office: Office of Refugee Resettlement
CFDA Number: 13.788

Description:
This program provides grant awards to voluntary resettlement agencies to assist refugees in becoming self-supporting American citizens. Awards are made on a dollar-for-dollar matching basis up to a maximum of $957 per refugee. Up to 80 percent of the non-Federal share may consist of in-kind donations, with a minimum required cash match of 20 percent. Services provided by the program include food and housing, case management services, and job development and placement. English language training and job training are allowable activities under the program.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Progress documented in reports submitted three times per year.

Eligible Recipients: National voluntary resettlement agencies under contract to the Department of State

Length of Assistance: 12 months

Overall Program Budget Obligation:
1986 $3,808,000
1987 $5,828,000
1988 $7,659,000
1989 $15,808,000

Examples of Funded Projects: Not applicable

Program Contact: Chris Gersten, Director
Office of Refugee Resettlement
Administration for Children and Families
U.S. Department of Health and Human Services
370 L'Enfant Promenade, S.W., 6th Floor
Washington, D.C. 20470
(202) 401-9246
HEALTH AND HUMAN SERVICES
Work Incentive Program/WIN Demonstration Program (WIN/WIN Demo)

Title: Work Incentive Program/WIN Demonstration Program (WIN/WIN Demo)

Agency: Department of Health and Human Services
Office: Administration for Children and Families

CFDA Number: 13.790

Description:
Jointly sponsored by the U.S. Departments of Labor and Health and Human Services, this formula grants program promoted economic self-sufficiency among Aid to Families with Dependent Children (AFDC) recipients. The program supported adult education, job training and placement, and support services. With the authorization of the Job Opportunities and Basic Skills Training program in 1988, the WIN/WIN Demo program began phasing out and the authority for the program was repealed in October, 1990.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Periodic financial and non-financial reports as required by DOL and HHS

Eligible Recipients: States, including Puerto Rico, the Virgin Islands, and Guam

Length of Assistance: WIN -- 12 months; WIN Demo -- funds awarded quarterly based on quarterly estimates for State welfare agencies

Overall Program Budget Obligation:
1986 $203,195,000
1987 $126,000,000
1988 $92,551,000
1989 $91,440,000

Examples of Funded Projects: Not applicable

Program Contact: Jason Turner, Director
Office of Family Assistance
Administration for Children and Families
U.S. Department of Health and Human Services
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447
(202) 401-9275
LABOR

Employment and Training Assistance for Dislocated Workers, JTPA Title III

Title: Employment and Training for Dislocated Workers, Job Training Partnership Act (JTPA Title III)

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.246

Description:
Formula grants and discretionary funds are used to provide readjustment services to workers who have been displaced from their jobs or who are about to be laid off with little likelihood of being rehired or who are long-term unemployed. The readjustment services that are authorized under this program include assessment of participants' educational skills, interests, and aptitudes; job counseling; assistance in job search and job placement; literacy and basic skills instruction; and English language instruction for non-English speakers. Eighty percent of the funds are allotted by statutory formula, and 20 percent are for the discretionary formula.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Annual report from States documenting program outcomes and participant characteristics; discretionary awards specify reporting requirements

Eligible Recipients: States and others as authorized by the Secretary; States’ application for formula funds is made through the submission of a State Plan to the Department of Labor and application for discretionary funds is made through the Governors’ submission of a proposal to the Department of Labor

Length of Assistance: 12 months

Overall Program Budget Obligation:

1986  $95,511,726
1987  $195,602,366
1988  $286,648,956
1989  $283,773,000
Examples of Funded Projects: Not available

Program Contact: Robert Colombo, Director
Office of Worker Retraining and Adjustment Programs
Office of Employment and Training
Employment and Training Administration
U.S. Department of Labor
Room N-4703
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-5577
LABOR
Migrant and Seasonal Farmworkers (Migrant and Other Seasonally Employed Farmworker Programs), JTPA Title IV

Title: Migrant and Seasonal Farmworkers (Migrant and Other Seasonally Employed Farmworker Programs), JTPA Title IV

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.247

Description:
This program provides job training, job search assistance, literacy, basic skills, and English-as-a-second-language instruction, and related supportive services to migrant and seasonal farmworkers who are chronically unemployed or underemployed. These services are designed to assist farmworkers and their dependents seek alternative job opportunities that will enable them to obtain stable, year-round employment at an income above the poverty level. In addition, these services assist those remaining in the agricultural labor market to improve their standard of living. No less than 94 percent of the funds is allocated to States on a formula basis and up to six percent may be set aside for farmworker housing grants, technical assistance, and special projects.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Quarterly and annual program reports documenting attainment of performance standards, including entered employment rates and average wage at placement

Eligible Recipients: Public agencies, units of government, private non-profit organizations authorized by their charters or articles of incorporation to operate employment and training programs

Length of Assistance: 12 months

Overall Program Budget Obligation: Budget obligation for 1987 is not available.

1986 $60,842,000
1988 $65,983,000
1989 $68,522,000
LABOR
Migrant and Seasonal Farmworkers (Migrant and Other Seasonally Employed Farmworker Programs), JTPA Title IV

Example of Funded Project:

- California Human Development Program provides English-as-a-second-language and basic skills instruction in workplace literacy programs designed to assist farmworkers in obtaining the education skills needed to enhance their job keeping and job seeking capacities.

Program Contact: Paul Mayrand, Director
Office of Special Targeted Programs
Employment and Training Administration
U.S. Department of Labor
Room N-4641
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-5500
LABOR

Training Services for Disadvantaged Youth and Adults and Summer Youth Employment and Training, JTPA Title II

Title: Training Services for Disadvantaged Youth and Adults, JTPA Title II-A, and Summer Youth Employment and Training, JTPA Title II-B

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.250

Description:

Through JTPA Title II-A, Training Services for Disadvantaged Youth and Adults, job training, literacy and basic skills instruction, English-as-a-second-language instruction, and related support services are provided to economically disadvantaged individuals to increase their employment and earnings. Title II-A authorizes and determines the requirements for block grants to States to support adults and youth training programs that are to be administered by States and carried out through a partnership between the private sector and government at the State and local level. States retain 22 percent of the funds for Statewide activities and allocate 78 percent of the funds to local Service Delivery Areas (SDAs). While these funds are targeted for services to the economically disadvantaged, up to 10 percent of an SDA’s participants can be non-disadvantaged individuals who face unemployment barriers.

The Summer Youth Employment and Training Program (JTPA Title II-B) is designed to enhance the basic education skills of disadvantaged youth, encourage high school completion, and provide youth with work experience. Distribution of funds for Title II-B is the same as for Title II-A.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Annual report describing how performance standards have been met (e.g., entered employment rate, average wage at placement, and average cost over per entered employment for Title II-A, and job placement and competency attainment for Title II-B) have been met

Eligible Recipients: States (Governors are designated as the official recipients of grants and are responsible for designation of service delivery areas and approval of local job training plans)

Length of Assistance: 12 months
LABOR
Training Services for Disadvantaged Youth and Adults and Summer Youth Employment and Training, JTPA Title II

Overall Program Budget Obligation: Budget obligations for 1986 and 1987 are not available.

1986 $1,786,700,000 (II-A); $624,600,000 (II-B)
1989 $1,843,500,000 (II-A); $735,500,000 (II-B)
1988 $1,809,486,000 (II-A); $750,000,000 (II-B)
1989 $1,787,772,000 (II-A); $718,050,000 (II-B)

Examples of Funded Projects: Not applicable

Program Contact: Hugh Davies, Acting Director
Office of Employment and Training
Employment and Training Administration
U.S. Department of Labor
Room N-4703
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-5580
TRANSPORTATION

Basic Education Enrichment Program (BEEP)

Title: Basic Educational Enrichment Program (BEEP)
Agency: Department of Transportation
Office: U.S. Coast Guard
CFDA Number: Not applicable

Description:

The Basic Educational Enrichment Program, a component of the Coast Guard Education and Training Program, was discontinued in 1987. Prior to this time, the program provided Coast Guard personnel with basic skills training designed to improve work performance. The program served Coast Guard recruit graduates and non-rated field personnel who scored below a pre-determined level on the Navy Basic Test Battery or the Armed Services Vocational Aptitude Battery.

Program Type as Defined by Legislation: Secondary

Documentation of Program Outcomes: Learning achievement gains, students graduated, students disenrolled

Eligible Recipients: Not applicable

Length of Assistance: Not applicable

Adult Education Program Component Budget Obligation: Adult education obligations were not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: YNMC T.R. Scaramastro
Commandant (G-PRF-1)
U.S. Coast Guard
2100 2nd Street, S.W.
Washington, D.C. 20593

(202) 267-2436
IV. INDIRECT PROGRAMS
Title:  Cooperative Extension Service

Agency:  Agriculture
Office:  Extension Service

CFDA Number:  10.500

Description:
Through this formula grant program, the Cooperative Extension Service funds land-grant institutions which, through State and county extension service offices, provide education, technical assistance, and research services. The program focuses on social, economic, and environmental issues of importance to American citizens and communities. Adult education services are among the educational activities carried out by State and county extension service personnel.

Program Type as Defined by Legislation:  Indirect

Documentation of Program Outcomes:  Quarterly and yearly progress reports

Eligible Recipients:  Land-grant institutions

Length of Assistance:  Funds advanced as required

Adult Education Program Component Budget Obligation:  Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects:  Not Applicable

Program Contact:  Myron D. Johnsrud, Administrator
Extension Service
U.S. Department of Agriculture
Room 338A
14th and Independence Ave., S.W.
Washington, D.C. 20250-0900

(202) 447-3377
Title: Appalachian State Research, Technical Assistance, and Demonstration Projects

Agency: Appalachian Regional Commission

Office: Not applicable

CFDA Number: 23.011

Description:
This program provides funds for research, technical assistance, and demonstration projects that promote economic development in the Appalachian region. Priority is given to technical assistance projects that foster job creation. Literacy activities are an approved use of funds to carry out the goals of the Commission.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Project progress as document in project reports

Eligible Recipients: Appalachian state, alone or in combination with other Appalachian states; local public bodies; State instrumentalities

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Adult education budget obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Jack Russell
Human Resources Division
Appalachian Regional Commission
Room 627
1666 Connecticut Avenue, N.W.
Washington, D.C. 20235

(202) 673-7876
DEPARTMENT OF VETERANS AFFAIRS
Vocational Training for Certain Veterans Receiving VA Pension

Title: Vocational Training for Certain Veterans Receiving VA Pension

Agency: Department of Veterans Affairs
Office: Veterans Benefits Administration

CFDA Number: 64.123

Description:
This program assists new pension recipients in resuming and maintaining gainful employment by providing vocational training and other services. The program provides for direct payment to service providers for the entire cost of tuition, books, fees, supplies, and other services. Counseling services are provided in the program and the training phase typically does not exceed 24 months. This program is limited to veterans awarded a V.A. pension on or before February 1, 1985 through January 31, 1992, for whom the achievement of a vocational goal is determined to be reasonably feasible. Pre-GED instruction is an education service supported through this program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Participants' progress monitored in follow-up of Individualized Written Rehabilitation Plans

Eligible Recipients: Veterans awarded pension between February 1, 1985 through January 31, 1992

Length of Assistance: Training assistance may not exceed 24 months and may not be started on or after August 1, 1992. Employment assistance may be provided up to 18 months following training or may be provided instead of training.

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: James Reed, Deputy Director
Vocational Rehabilitation
Vocational Rehabilitation and Education Services
Veterans Benefits Administration
U.S. Department of Veterans Affairs
810 Vermont Avenue, N.W.
Washington, D.C. 20420
(202) 233-3935
Title: Vocational Rehabilitation for Disabled Veterans (Vocational Rehabilitation)

Agency: Department of Veterans Affairs
Office: Veterans Benefits Administration

CFDA Number: 64.116

Description:
This program assists service-disabled veterans and hospitalized service persons pending discharge in becoming self-sufficient and obtaining employment. A disabled veteran is given an evaluation to establish eligibility and entitlement and to determine whether the veteran needs extended evaluation, independent living services, educational or vocational training, employment services, or a combination of these benefits. Pre-GED instruction is the primary adult education activity supported by this program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Participants' progress monitored in follow-up of Individualized Written Rehabilitation Plans

Eligible Recipients: Veterans and service persons--veterans of World War II and later service with compensable service-connected disability--and certain hospitalized service persons pending discharge or release from service, who are determined to be in need of vocational rehabilitation because of an employment handicap

Length of Assistance: Four years for actual training; an individual has 12 years from date of eligibility date within which to use the four years of training

Adult Education Program Component Budget Obligation: Adult Education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: James Reed, Deputy Director for Vocational Rehabilitation
Vocational Rehabilitation and Education Services
Veterans Benefits Administration
810 Vermont Avenue, N.W.
Washington, D.C. 20420

(202) 233-3935
Title: College Work-Study (CWS)
Agency: Education
Office: Office of Postsecondary Education
CFDA Number: 84.033

Description:

The College Work-Study Program provides part-time employment to eligible postsecondary students to help them meet their educational expenses. Literacy tutoring is an allowable use of funds for employment of students in this program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Annual report documenting implementation of the program

Eligible Recipients: Public and private non-profit institutions for higher education

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Adult education budget obligations are not calculated separately from the overall budget obligations.

Examples of Funded Projects: Not available

Program Contact: William L. Moran, Director
Student Financial Assistance Programs
Office of Postsecondary Education
U.S. Department of Education
400 Maryland Avenue, S.W.
Washington, D.C. 20202

(202) 708-4690
Title: Demonstration Centers for the Retraining of Dislocated Workers

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.193

Description:
This grants program funds demonstration centers that focus on application of general vocational education theories to problems associated with retraining dislocated workers. Basic skills training is one of the services provided by the centers.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Achievement of stated program goals

Eligible Recipients: Public or private agencies, institutions, or organizations

Length of Assistance: 12 to 24 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects:
- Roxbury Community College in Roxbury Crossing, Massachusetts Center retrained dislocated workers in order to demonstrate the applicability of general theories of vocational education to the specific problems of retraining displaced workers.
- The Center for Occupational Research and Development in Waco, Texas, in conjunction with Lorain County Community College, provided assessment, retraining, counseling, and placement services to dislocated workers.

Program Contact: Paul R. Geib, Jr., Educational Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4521
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7240

(202) 205-5864
Title: Educational Research and Development: Field-Initiated Studies

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.117

Description:

The Field-Initiated Studies program funds research, dissemination, and training projects that address issues and problems considered by the Secretary of Education to be of national importance. Topics in adult education, such as family literacy, are among those eligible for funding by this program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Results documented in final report

Eligible Recipients: Public or private organizations, institutions, agencies, or individuals

Length of Assistance: Up to 18 months

Adult Education Program Component Budget Obligation: Budget obligations are not available

Examples of Funded Projects:

- Boston University conducted a study to explore the effects of an intergenerational approach to providing literacy services.

Program Contact: Delores Monroe, Coordinator
Field-Instituted Studies Grant Program
Office of Education Research and Improvement
U.S. Department of Education
Room 620
555 New Jersey Ave., N.W.
Washington, D.C. 20208-5646

(202) 219-2223
Title: Fund for the Improvement and Reform of Schools and Teaching (FIRST): Family-School Partnership Program

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.212

Description:
This grants program provides assistance to local educational agencies to conduct projects that increase the involvement of families in improving the educational achievement of their children. Basic skills education for parents is an allowable program activity.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Final performance report

Eligible Recipients: Local educational agencies eligible to receive Chapter I funds

Length of Assistance: Up to 36 months

Adult Education Program Component Budget Obligation: Funds were first appropriated in 1989.

1989 $374,000

Examples of Funded Projects:
- In the Family-School Partnership program operated by the Owsley County Board of Education in Kentucky, parents had the opportunity to participate in literacy and GED-preparation classes.
- The Chelsea School Department's Family-School Partnership program in Massachusetts included literacy instruction for parents in order to improve their ability to utilize reading skills to enrich their own lives as well as to help their children succeed in school.

Program Contact: Brian Gray, Program Manager
FIRST Program
U.S. Department of Education
Room 522
555 New Jersey Ave., N.W.
Washington, D.C. 20208-5524

(202) 219-1496
EDUCATION
Fund for the Improvement of Postsecondary Education (FIPSE)

Title: Fund for the Improvement of Postsecondary Education (FIPSE)
Agency: Department of Education
Office: Office of Postsecondary Education
CFDA Number: 84.116

Description:
Through FIPSE's grant program, innovative programs are funded to improve access to and the quality of postsecondary education. Several FIPSE projects address adult literacy and limited English proficiency.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Progress and final evaluation reports

Eligible Recipients: Two- and four-year colleges and universities, community organizations, libraries, museums, consortia, student groups, and local government agencies

Length of Assistance: 12 to 36 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not available

Program Contact: Tom Carroll, Deputy Director
Fund for the Improvement of Postsecondary Education
U.S. Department of Education
Room 3100
7th and D Streets, S.W.
Washington, D.C. 20202-5175
(202) 708-5750
Title: Library Research and Demonstration (Higher Education Act, Title II-B)

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.039

Description:
This grants program supports research and demonstration projects that improve library and information science practices, including the promotion of efficient information delivery, cooperative efforts related to librarianship, and development and training programs. One project funded by this program was a study performed by the University of Wisconsin at Madison on Literacy Efforts in Libraries.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Reporting requirements specified in award

Eligible Recipients: Institutions of higher education; public or private agencies, institutions, or organizations

Length of Assistance: 12 to 60 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not available

Program Contact: Ray M. Fry, Acting Director
Yvonne B. Carter, Program Officer
Library Development Staff
Office of Library Programs
U.S. Department of Education
Room 404
555 New Jersey Avenue, N.W.
Washington, D.C. 20208

(202) 219-1320
Title: National Diffusion Network

Agency: Department of Education
Office: Office of Educational Research and Improvement

CFDA Number: 84.073

Description:
The National Diffusion Network promotes the dissemination and adoption by educational institutions of practices that have been approved by the Department of Education's Program Effectiveness Panel. Four types of projects are funded by this program:

1. Developer Demonstrator grants to programs that have Program Effectiveness Panel approval for the national dissemination of these programs;

2. State Facilitator grants to one entity in each State to assist in the identification and dissemination of exemplary programs;

3. Dissemination Process grants to disseminate information, products, and research findings that are of use to education service providers; and

4. Private School Facilitator grants to disseminate exemplary education programs to private schools nationwide.

Adult education programs are among those that have been funded as Developer Demonstrator projects.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Progress documented in reports specified by the grant; Developer Demonstrator grant progress requirements include numbers and types of persons served, as well as activities performed.

Eligible Recipients: State education agencies, local education agencies, institutions of higher education, and other public and non-profit private agencies, organizations, or institutions; State facilitator grant applicants must be located in the State to be served; Developer Demonstrator and Dissemination Process grant applicants must have Program Effectiveness Panel approval.

Length of Assistance: 12 months, renewable up to 48 months.
Adult Education Program Component Budget Obligation:

1986  $215,000
1987  $217,500
1988  $173,741
1989  $130,000

Examples of Funded Projects:

- The Comprehensive Adult Student Assessment System (CASAS) project in San Diego, California disseminated its integrated adult assessment and curriculum management system.

- The Bronx Educational Services Program in Bronx, New York disseminated its community-based approach to providing comprehensive literacy services.

Program Contact: Jane Linda Narayanan, Acting Director
National Diffusion Network
Office of Education Research and Improvement
U.S. Department of Education
Room 510
555 New Jersey Ave., N.W.
Washington, D.C. 20208-5645

(202) 219-2137
Title: Student Literacy Corps

Agency: Department of Education
Office: Office of Postsecondary Education

CFDA Number: 84.219

Description:

The Student Literacy Corps, implemented in 1989, provides discretionary grants to institutions of higher education to recruit undergraduate college students who serve as volunteer tutors in structured classroom settings in community agencies that serve educationally or economically disadvantaged persons (e.g., Head Start Centers, adult education programs, prisons, agencies serving the handicapped, etc.). Students participating in the program receive academic credit in academic areas such as social science, economics, or educational courses and are required to volunteer for a minimum of six hours per week of the academic term.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Not determined at this time

Eligible Recipients: Institutions of higher education

Length of Assistance: 24 months

Adult Education Program Component Budget Obligation: Funds were first appropriated in 1989.
1989 $4,802,153

Examples of Funded Projects: Not available

Program Contact: Diana Hayman, Director
Student Literacy Corps
Office of Postsecondary Education
U.S. Department of Education
Room 3022
7th and D Streets, S.W.
Washington, D.C. 20202-5251
(202) 708-8394
Title: Vocational Education
   Indian and Hawaiian Natives

Agency: Department of Education
Office: Office of Vocational and Adult Education

CFDA Number: 84.101a

Description:
This program provides project grants and contracts to organizations serving members of Indian
Tribes or Hawaiian native populations for the purpose of improving vocational education. Basic
skills, literacy, and adult secondary education programs are allowable activities supported by this
program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Reporting requirements specified in the grant or contract

Eligible Recipients: A tribal organization or an Indian Tribe that is eligible to contract with the
Secretary of the Interior for the administration of programs under the Indian
Self-Determination and Education Assistance Act of 1975 or under the Act of
April 16, 1934; any organization primarily serving and representing Hawaiian
Natives that is recognized by the Governor of Hawaii.

Length of Assistance: Up to 36 months

Adult Education Program Component Budget Obligation: Adult education obligations are not
calculated separately from overall budget obligations.

Example of Funded Project:
- The Cocopah Vocational Training Center in Somerton, Arizona administered a project
to provide basic skills and vocational training to members of the Cocopah Indian
Tribe.

Program Contact: Harvey Theil, Education Program Specialist
Office of Vocational and Adult Education
U.S. Department of Education
Room 4522
Mary E. Switzer Building
400 Maryland Avenue, S.W.
Washington, D.C. 20202-7242

(202) 205-5864
Title: Community Services Block Grant Discretionary Awards - Demonstration Partnerships

Agency: Health and Human Services
Office: Administration for Children and Families

CFDA Number: 13.797

Description:
This grants program funds eligible entities to undertake strategies that promote greater self-sufficiency among low-income persons. Job training and education are allowable activities related to the attainment of self-sufficiency.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Progress documented in quarterly progress and financial reports

Eligible Recipients: Current recipients of Community Services Block Grant funds -- usually organizations designated as community action agencies under Federal statutes

Length of Assistance: Up to 24 months

Adult Education Program Component Budget Obligation: Adult education budget obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not available

Program Contact: Eleria Hunter, Director
Division of Community Discretionary Programs
Office of Community Services
Administration for Children and Families
U.S. Department of Health & Human Services
370 L’Enfant Promenade, S.W.
Washington, D.C. 20447

(202) 401-9341
HEALTH AND HUMAN SERVICES
Community Services Block Grant Program

Title: Community Services Block Grant Program

Agency: Health and Human Services
Office: Administration for Children and Families

CFDA Number: 13.792

Description:
This formula grants program provides services that impact the causes of poverty in local communities and assists low-income persons in the areas of employment, education, health, housing, emergency assistance, and related services. Basic skills training is an allowable activity related to employment assistance.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Progress documented in quarterly interim, and final reports

Eligible Recipients: States

Length of Assistance: 12 months (renewable)

Adult Education Program Component Budget Obligation: Adult education budget obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Eleria Hunter, Director
Division of Community Discretionary Programs
Office of Community Services
Administration for Children and Families
U.S. Department of Health & Human Services
370 L’Enfant Promenade, S.W.
Washington, D.C. 20447

(202) 401-9341
HEALTH AND HUMAN SERVICES
Drug and Alcohol Abuse Prevention - High-Risk Youth Demonstration Grants

Title: Drug and Alcohol Abuse Prevention - High-Risk Youth Demonstration Grants

Agency: Health and Human Services
Office: Alcohol, Drug Abuse, and Mental Health Administration, Public Health Service

CFDA Number: 13.144

Description:

The Office for Substance Abuse Prevention (OSAP) funds program grants to test or assess field-based strategies, for the prevention of alcohol and other drug abuse among high-risk youth population. Some projects provide skill-building services, such as basic education for young adults.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Project evaluations, a cross-project evaluation, and quarterly (and later semi-annual) progress reports

Eligible Recipients: Any public (including governmental bodies) or private non-profit organization

Length of Assistance: Up to 60 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Example of Funded Project:

The City Lights project in Washington, D.C. is designed to help high-risk adolescents and young adults make the difficult transition from school to work, and to independence and a drug-free life. Various workplace services are provided that are aimed at increasing the academic and social competence, self-esteem, and employability of student enrollees, including remedial education and vocational counseling to help students obtain jobs.

Program Contact: Stephen Gardner, Chief
High-Risk Youth Branch
Division of Demonstrations and Evaluation
Office for Substance Abuse Prevention
Alcohol, Drug Abuse and Mental Health Administration
U.S. Department of Health and Human Services
Parklawn Building/Rockwall II
5600 Fishers Lane
Rockville, Maryland 20857

(301) 443-0353
Title: Head Start

Agency: Health and Human Services
Office: Office of Human Development Services, Administration for Children, Youth and Families

CFDA Number: 13.600

Description:

Head Start provides health, educational, nutritional, social, and other services to economically disadvantaged children and their families in order to break the cycle of poverty. The major focuses of the program are education, health, parent involvement, and social services. The program design is based on the recognition that parents are the central influence on child development. For this reason, parent education and family literacy are important thrusts of the program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Achievement of stated program goals, program impact on related programs

Eligible Recipients: Private non-profit organizations, public agencies, Federally-recognized Indian tribal organizations, migrant programs

Length of Assistance: 12 months and 24 months

Adult Education Program Component Budget Obligation:

1986 $64,000
1987 $500,000
1988 $500,000
1989 $450,000
Examples of Funded Projects:

- Through the Coordinated Discretionary Grants program, a number of projects have been funded that include adult education services. For example, the "Time to Blossom" project in Lawrenceburg, Kentucky offered GED and life skills training to Head Start parents. In St. Joseph, Missouri, the "Parents Achieving Self-Sufficiency" project helped Head Start parents to participate in education and job training programs.

- During FY1990-1993, the Head Start Family Service Center Demonstration projects will include parent literacy as a priority area. Projects of up to three years duration will be funded to assist families who require additional assistance in order to benefit fully from Head Start Services.

Program Contacts:

**Head Start:**
Richard Johnson, Chief of Social Services
Parent Involvement Branch
Head Start Bureau
Administration for Children, Youth and Families
U.S. Department of Health and Human Services
P.O. Box 1182
Washington, D.C. 20013
(202) 245-0405

**Parent Literacy Initiative:**
Denise Glover, Program Specialist
Administration for Children, Youth and Families
U.S. Department of Health and Human Services
P.O. Box 1182
Washington, D.C. 20013
(202) 205-8552
HEALTH AND HUMAN SERVICES
Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)

Title: Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)

Agency: Health and Human Services
Office: Alcohol, Drug Abuse, and Mental Health Administration, Public Health Service

CFDA Number: 13.169

Description:
The Office for Substance Abuse Prevention (OSAP) funds a demonstration grant program that supports model projects for substance-using (alcohol and other drug) pregnant and postpartum women and their infants. The projects are to develop effective models for the prevention (including education), early intervention, or treatment of alcohol and other drug abuse among pregnant and postpartum women and their infants.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Project evaluations, a cross-project evaluation, and quarterly (and later semi-annual) progress reports

Eligible Recipients: Any public (including State or local) or private for-profit or non-profit organization

Length of Assistance: Up to 60 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Example of Funded Project:

- The Robeson Health Care Corporation in Fairmont, North Carolina developed a Substance Abuse Prevention program that provides support and educational services to perinatal patients with high-risk factors for substance abuse. As part of its educational services, the program offers mini-courses that include literacy instruction, assertiveness training, and money management.

Program Contact: Marilyn Rice, Chief
Perinatal Addiction Prevention Branch
Division of Demonstrations and Evaluation
Office for Substance Abuse Prevention
Alcohol, Drug Abuse and Mental Health Administration
U.S. Department of Health and Human Services
Parklawn Building/Rockwall II
5600 Fishers Lane
Rockville, MD 20857

(301) 443-4564
HEALTH AND HUMAN SERVICES
Special Programs for the Aging - Title III, Part B - Grants for Supportive Services and Senior Citizens

Title: Special Programs for the Aging - Title III, Part B - Grants for Supportive Services and Senior Citizens

Agency: U.S. Department of Health and Human Services
Office: Administration on Aging

CFDA Number: 13.633

Description:
This program supports State agencies on aging in the development of community-based systems of services for older persons. Activities include provision of supportive services and acquisition, alteration, renovation, or construction of facilities to serve as multipurpose senior centers. Literacy training is an authorized use of these funds.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and yearly progress reports

Eligible Recipients: States and U.S. Territories that have State agencies on aging designated by the governors

Length of Assistance: State agencies must obligate funds within the fiscal year for which they are appropriated.

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Edwin Walker, Associate Commissioner
Office of State and Community Programs
Administration on Aging
U.S. Department of Health & Human Services
330 Independence Ave., S.W.
Washington, D.C. 20201

(202) 619-0011
Title: Special Programs for the Aging - Title IV - Training, Research and Discretionary Projects and Programs

Agency: U.S. Department of Health and Human Services
Office: Office of Human Development Services

CFDA Number: 13.668

Description:
This program provides training of workers in the field of aging and supports demonstration and development of knowledge that will improve the lives of older persons. Literacy training is an allowable activity in the program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and yearly progress reports

Eligible Recipients: Private non-profit organizations, public agencies

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects:

- The "Functional Literacy and Older Persons Project" in Indiana attempted to dispel the notion that it is easier to provide literacy services for younger persons than for older persons. The project provided funds for ESL activities in 14 agencies.

- In the "Literacy Intended for Elders Project," literacy training was provided to persons age 55 and older through local senior centers. Volunteer literacy tutors were trained through Literacy Volunteers of America workshops. As a result of the project, five communities established ongoing literacy programs. Also, the project advisory council members formed the Kansas Council on Adult Literacy.

Program Contact: Ronald J. Quarles, Project Officer
Administration on Aging
U.S. Department of Health & Human Services
Room 4261
330 Independence Ave., S.W.
Washington, D.C. 20201
(202) 619-3106
Title:  Community Development Block Grants/Entitlement Grants

Agency:  Housing and Urban Development
Office:  Community Planning and Development

CFDA Number:  14.218

Description:
This program provides formula grants to entitled cities and urban counties to undertake activities that improve living environments and economic conditions in urban communities. Services are targeted primarily toward assistance to low income persons and elimination of slums. Approximately 15 percent of funds are allocated to the public service component, which allows adult literacy activities.

Program Type as Defined by Legislation:  Indirect

Documentation of Program Outcomes:  Quarterly and yearly progress reports

Eligible Recipients:  Cities with at least 50,000 residents, counties with at least 200,000 residents, and cities with under 50,000 residents that have been classified as a central city of a Metropolitan Statistical Area

Length of Assistance:  12 months

Adult Education Program Component Budget Obligation:  Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects:  Not applicable

Program Contact:  James R. Broughman, Director
Entitlement Cities Division
Office of Block Grant Assistance
Community Planning and Development
U.S. Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C.  20410

(202) 708-1577
Title: Community Development Block Grants/Small Cities Program

Agency: Housing and Urban Development
Office: Community Planning and Development

CFDA Number: 14.219

Description:
This program benefits low and moderate income persons by improving housing and economic conditions in urban communities. The public service funding component allows adult literacy activities.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Specific program objectives defined at the State and local levels

Eligible Recipients: States; in instances in which the State chooses not to administer the program, HUD will do so. In such cases, eligible recipients are units of general local governments, including counties. Local governments, in turn, may contract with individuals and non-profit or for-profit agencies.

Length of Assistance: 12 months; in some cases multi-year activities are funded

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Richard J. Kennedy, Director
State & Small Cities Division
Office of Block Grant Assistance
Community Planning and Development
U.S. Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C. 20410

(202) 708-1322
Title: Community Development Block Grants/State's Program

Agency: Housing and Urban Development
Office: Community Planning and Development

CFDA Number: 14.228

Description:
This program benefits low and moderate income persons by conducting activities that improve housing and economic conditions and promote the elimination of slums in urban communities. The public service funding component allows adult literacy activities.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: None

Eligible Recipients: States; States distribute funds to local governments in nonentitlement areas

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not applicable

Program Contact: Richard Kennedy, Director  
State & Small Cities Division  
Office of Block Grant Assistance  
Community Planning and Development  
U.S. Department of Housing and Urban Development  
451 7th Street, S.W.  
Washington, D.C. 20410

(202) 708-1322
Title: Indian Community Development Block Grant

Agency: Housing and Urban Development
Office: Community Planning and Development

CFDA Number: 14.223

Description:

This program provides Indian Tribes and Alaska Native Villages with housing and infrastructure improvements, job opportunity expansion, and economic development assistance. Adult literacy activities are allowable under the public service component of the program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Progress reports

Eligible Recipients: Federally-recognized Indian tribal organizations

Length of Assistance: Up to 24 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not available

Program Contact:
Steve Rhodeside, Assistant Director
Indian Community Division
Office of Block Grant Assistance
State & Small Cities Division
U.S. Department of Housing and Urban Development
451 7th Street, S.W.
Washington, D.C. 20410

(202) 708-1322
Title: Corrections-Research and Evaluation and Policy Formulation

Agency: Justice
Office: National Institute of Corrections

CFDA Number: 16.602

Description:
Through project grants and the dissemination of information, this program supports action research concerning the causes and prevention of crime. Activities also include the evaluation of the effectiveness of programs and strategies designed to improve the corrections system—including basic skills education programs and the development of policy or programs.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and final evaluation reports

Eligible Recipients: States, general units of local government, public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation, or operation of correctional programs and services

Length of Assistance: Awards specify length of project

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not available

Program Contact: George Keiser, Chief
Community Corrections Division
National Institute of Corrections
Room 200
320 First Street, N.W.
Washington, D.C. 20534

(202) 307-3995
Title: Corrections-Technical Assistance/Clearinghouse

Agency: Justice
Office: National Institute of Corrections

CFDA Number: 16.603

Description:
The technical assistance program provides support for various government and private agencies in their efforts to improve the corrections system. The program has sponsored literacy training for inmates and training for correctional educators.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and final evaluation reports

Eligible Recipients: States, general units of local government, public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation, or operation of correctional programs and services

Length of Assistance: Funds advanced as required

Adult Education Program Component Budget Obligation: Budget obligations for 1987-1989 are not available.

1986 $276,766

Examples of Funded Projects: Not available

Program Contact: Susan Hunter, Chief
Prisons Division
National Institute of Corrections
320 First Street, N.W.
Washington, D.C. 20534

(202) 307-1300
Title: Corrections-Technical and Staff Development

Agency: Justice
Office: National Institute of Corrections

CFDA Number: 16.601

Description:
This program sponsors training for law enforcement officials and others connected with the corrections system and develops training seminars, workshops, and programs within state and local agencies that work with criminal offenders. The development of basic education and vocational programs for inmates is one topic addressed in the training.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and final evaluation reports

Eligible Recipients: States, general units of local government, public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation, or operation of correctional programs and services

Length of Assistance: Training varies in length; awards specify length of project

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects: Not Applicable

Program Contact: Dianne Carter, President
National Academy of Corrections
National Institute of Corrections
1790 30th Street
Suite 430
Boulder, Colorado 80301

(303) 939-8855
JUSTICE
Cuban and Haitian Entrant Resettlement Program

Title: Cuban and Haitian Entrant Resettlement Program
Agency: Justice
Office: Community Relations Service

CFDA Number: 16.201

Description:
This grants program supports primary and secondary resettlement and prison outplacement services to Cuban and Haitian nationals meeting specific requirements. Basic skills training and English-as-a-second-language instruction are allowable activities under this program.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly programmatic progress reports, including data on employment and recidivism rates

Eligible Recipients: Public and private, non-profit organizations or agencies; under certain conditions, for-profit organizations or agencies

Length of Assistance: 12 to 36 months

Adult Education Program Component Budget Obligation: Adult education budget obligations are not calculated separately from overall budget obligations.

Examples of Funded Projects:
- The DIMAS House, Inc. in Kansas provided instruction in English as a second language (ESL) as part of its Cuban special placement program.
- The Catholic Community Services of Miami, Florida funded the salaries of ESL teachers and teachers' aides to work with Cuban immigrants.

Program Contact: Lynn McKenzie, Acting Director
Office of Immigration and Refugee Affairs
Community Relations Service
5550 Friendship Boulevard
Chevy Chase, Maryland 20815
(301) 492-5818
Title: Employment and Training Research and Development Projects, JTPA Title IV

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.248

Description:

This program supports employment and training studies that provide information for strengthening the nation's employment and training system and for assessing the employment impact of social and economic trends. The program funds research, demonstration, and experimental projects for new and promising training approaches. Research projects are designed to develop employment and training knowledge with useful applications in occupational training. Demonstration projects develop techniques that are useful in guiding future programming. Research in the area of workplace literacy has become a priority in recent years.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Final report documenting project activities and conclusions

Eligible Recipients: State colleges and universities; public and private junior and community colleges; State and local government organizations including U.S. Territories

Length of Assistance: 12-24 months

Adult Education Program Component Budget Obligation: Budget obligations for 1986-1987 are not available.

1988 $5,840,657
1989 $6,610,928

Example of Funded Project:

- The Educational Testing Service conducted a project to: a) survey JTPA program participants and Unemployment Insurance and Employment Service beneficiary applicant populations in order to profile their literacy, and b) to develop a workplace literacy assessment instrument that job training programs, schools, and State Employment Security Agencies can use in evaluating clients' literacy levels.
Program Contact: Lafayette Grisby, Chief
Research and Demonstration Division
Office of Strategic Planning and Policy Development
U.S. Department of Labor
Employment and Training Administration
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-5677
LABOR

Employment Services and Job Training - Pilot and Demonstration Programs, JTPA Title IV

Title: Employment Services and Job Training - Pilot and Demonstration Programs, JTPA Title IV
(This program is administered jointly with the Employment and Training Research and Development Projects Program, CFDA Number 17.248.)

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.249

Description:
This program is designed to promote new or improve existing linkages between Federal, State, and local employment, training, and human resource agencies and components of the private sector through the funding of job training and related services. Grants and contracts support demonstration projects aimed at assisting members of groups with particular disadvantages in the general labor market or in certain segments of the labor market. Projects also are funded to provide training to meet industry-wide skill shortages and for promotional, developmental, and demonstration activities. Literacy and basic skills training are supported through these projects.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly and final report documenting project activities

Eligible Recipients: State and local governments, Federal agencies, private non-profit and for-profit organizations, and educational institutions (eligibility may be restricted to one or more applicant types under particular announcements and solicitations)

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: See Employment and Training Research and Development Projects Program.

Examples of Funded Projects: See Employment and Training Research and Development Projects Program

Program Contact: Gus Morrison, Chief
Adult and Family Research and Development Unit
Research and Demonstration Division
Office of Strategic Planning and Policy Development
U.S. Department of Labor
Employment and Training Administration
Room N-5637
200 Constitution Avenue, N.W.
Washington, D.C. 20210
(202) 535-0677
Title: Native American Employment and Training Programs, JTPA Title IV

Agency: Labor
Office: Employment and Training Administration

CFDA Number: 17.251

Description:
Through formula grants, this program provides training and employment services to Indians and other Native Americans who face serious barriers to employment. Program services include classroom and on-the-job training, training assistance, basic skills instruction, community service employment, work experience, youth employment programs, day care, health care, job search assistance, and relocation and transportation allowances.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Quarterly status and annual program reports

Eligible Recipients: Indian tribes, bands, or groups; Alaska Native villages or groups; Hawaiian native communities meeting the eligibility criteria; public bodies or private non-profit agencies selected by the Secretary; consortia of tribes, bands, or groups

Length of Assistance: 24 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget figures.

Examples of Funded Projects: Not applicable

Program Contact: Herbert Fellman, Chief
Division of Indian and Native American Programs
Office of Special Targeted Programs
Employment and Training Administration
U.S. Department of Labor
Room N-4644
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-8502
Title: Veterans Employment and Training Programs, JTPA Title IV

Agency: Labor
Office: Employment and Training Administration

CFDA Number: Not applicable

Description:
This program provides employment and training services to service-connected disabled veterans, veterans of the Vietnam-era, and veterans who are recently separated from military services. Eighty percent of the funding is allocated to the States through formula grants. In addition to training, allowable program activities include the funding of demonstration projects in basic skills instruction, research and development, and technical assistance.

Program Type as Defined by Legislation: Indirect

Documentation of Program Outcomes: Job placement, learning achievement gains

Eligible Recipients: States and others as designated by the Secretary

Length of Assistance: 12 months

Adult Education Program Component Budget Obligation: Adult education obligations are not calculated separately from overall budget figures.

Examples of Funded Projects: Not applicable

Program Contact: Lynne McGrail, Veterans Program Analyst
Veterans Employment and Training Services
U.S. Department of Labor
Room S-1316
200 Constitution Avenue, N.W.
Washington, D.C. 20210

(202) 219-9110
Appendix A

OPERATIONAL DEFINITIONS FOR ADULT EDUCATION STUDY
OPERATIONAL DEFINITIONS FOR ADULT EDUCATION STUDY

Adult - An individual who is sixteen years of age or older.

Adult Education - Services or instruction below the college level for adults whose inability to speak, read, or write the English language or compute constitutes a barrier to their obtaining or retaining employment commensurate with their real ability. These services are designed to help eliminate these barriers and to raise the level of education of such individuals so that they are less likely to become dependent on others.

Adult Basic Education - Instruction designed for an adult who:

- Has minimal competence in reading, writing or computation; or
- Is not sufficiently competent to meet the educational requirements of adult life in the United States; or
- Is not sufficiently competent to speak, read, or write the English language to allow employment commensurate with his/her real ability.
[Federal Register, 54(159):34410]

Adults With Limited English Proficiency - Adults who have limited ability in speaking, reading, writing, or understanding the English language and:

- Whose native language is a language other than English; or who live in a family or community environment where a language other than English is the dominant language; or
- Who are American Indian or Alaskan natives and who come from environments where a language other than English has had a significant impact on their level of proficiency; and
Who, by reason thereof, have sufficient difficulty reading, writing, or understanding the English language to deny such individuals the opportunity to learn successfully in classrooms where the language of instruction is English or to participate fully in our society.


**Adult Secondary Education** - Instruction designed for an adult who:

- Is literate and can function in everyday life, but is not proficient; or
- Does not have a certificate of graduation (or its equivalent) from a school providing secondary education. If using grade level measures, adult secondary education includes grades 9 through 12.

[Federal Register, 54(159):34410]

**Community-Based Organization** - A private non-profit organization which is representative of a community or significant segments of a community, which provides education, vocational education or rehabilitation, job training, or internship services and programs and includes neighborhood groups and organizations, community action agencies, community development corporations, union-related organizations, employer-related organizations, tribal governments, and organizations serving Native Alaskans and Indians.


**Correctional Institution** - Any prison, jail, reformatory, work farm, detention center, or halfway house, community-based rehabilitation center, or any other similar institution designed for the confinement or rehabilitation of criminal offenders.

Criminal Offender - Any individual who is charged with (or convicted of) any criminal offense, including a youth offender or a juvenile offender.

Displaced Homemaker - An individual who:

- Was a full-time homemaker for a substantial number of years; and

- Derived the substantial share of his or her support from:
  (i) a spouse and no longer receives such support due to death, divorce, permanent disability of, or permanent separation from the spouse; or
  (ii) public assistance on account of dependents in the home and no longer receives such support.

Economic Development Agency - Includes local planning and zoning commissions or boards, community development agencies, and other local agencies and institutions responsible for regulating, promoting, or assisting in local economic development.

Educationally Disadvantaged Adult - An adult who:

- Demonstrates basic skills equivalent to, or below, that of students at the fifth grade level; or

- Has been placed in the lowest or beginning level of an adult education program when that program does not use grade level equivalencies as a measure of students' basic skills.

English as a Second Language - English language for persons who were not born in the United States or whose native language is not English.
English Literacy Program - A program of instruction designed to help limited English proficient adults, out-of-school youths (under 16 years of age), or both, achieve full competence in the English language.

Family English Literacy Program - A program of instruction designed to help limited English proficient adults and out-of-school youth (under 16 years of age) achieve competence in the English language. Such programs of instruction may be conducted exclusively in English or in English and the student's native language. Where appropriate, such programs may include instruction on how parents and family members can facilitate the educational achievement of limited English proficiency children.

General Educational Development (GED) - A testing program that enables qualified individuals to earn high school equivalency diplomas or certificates. The GED tests are designed to measure the application of the knowledge and skills generally associated with four years of high school instruction.

Hawaiian Native - Any individual any of whose ancestors were natives, prior to 1778, of the area which not comprises the state of Hawaii. [Section 103(a)(1)(B): 20 U.S.C. 2313(a)(1)(B)]

Homeless Adult - An adult lacking a fixed, regular, and adequate nighttime residence as well as an individual having a primary nighttime residence that is:

- A supervised publicly or privately operated shelter designed to provide temporary living accommodations (including welfare hotels, congregate shelters, and transitional housing for the mentally ill);

- An institution that provides a temporary residence for individuals intended to be institutionalized; or

- A public or private place not designed for, or ordinarily used as a regular sleeping accommodation for human beings.
[Federal Register, 54(159):34410, Authority: 42 U.S.C. 11301]
Indian - Any individual who:

- Is a member of an Indian tribe, band, or other organized group of Indians, including those Indian tribes, bands, or groups terminated since 1940 and those recognized by the State in which they reside; or

- Is a descendent, in the first or second degree, of an individual as described above; or

- Is an Eskimo, Aleut, or other Alaskan native.


Institution of Higher Education - An educational institution in any State (including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Northern Mariana Islands and the Virgin Islands) which provides an educational program for which it awards a bachelor's degree, or provides not less than a 2-year program which is acceptable for full credit toward such a degree, or offers a 2-year program in engineering, mathematics, or the physical or biological sciences which is designed to prepare the student to work as a technician and at a semi-professional level in engineering, scientific, or other technological fields which require the understanding and application of basic engineering, scientific, or mathematical principles or knowledge.


Institutionalized Adult - An adult who is an inmate, patient, or resident of a correctional, medical, or special institution.

[Federal Register, 54(159):34410]

Legalized Alien - An individual lawfully admitted for temporary or permanent residence who was provided:

- Temporary or permanent residence status under section 210 of the Immigration and Nationality Act; or
• Temporary or permanent residence status under section 245A of the Immigration and Nationality Act; or


Literacy/Basic Skills - The attainment of a level of proficiency in reading, writing, speaking in the English language, mathematics, and problem solving that is sufficient to allow each individual to meet goals for proficiency and to meet social and economic demands for proficiency.

Local Educational Agency - A public board of education or other public authority legally constituted within a State (including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Northern Mariana Islands, and the Virgin Islands) for either administrative control or direction of public elementary or secondary schools in a city, county, township, school district, or other political subdivision of a State, or such combination of school districts or counties as are recognized in a State as an administrative agency for its public elementary or secondary schools.

Migrant Farmworker - A person who has moved within the past 12 months from one school district to another, or, in a State that is comprised of a single school district, has moved from one school administrative area to another, to enable him or her to obtain temporary or seasonal employment in any activity directly related to:

• The production or processing of crops, dairy products, poultry, or livestock for initial commercial sale or as a principle means of personal subsistence; or

• The cultivation or harvesting of trees; or

• Fish Farms.
[Federal Register, 54(159):34410]

Native American will be used synonymously with "Indian."
Older Adult - An individual fifty-five years of age or older.

Out-of-School Youth - An individual who is under 16 years of age and beyond the age of compulsory school attendance under State law who has not completed high school or the equivalent.

Private Industry Council - An organization established under section 102 of the Job Training Partnership Act. Each council shall consist of:

- Representatives of the private sector, who shall constitute a majority of the membership of the council and who shall be owners of business concerns, chief executives or chief operating officers of nongovernmental employers, or other private sector executives who have substantial management or policy responsibility; and

- Representatives of educational agencies (representative of all educational agencies in the service delivery area), organized labor, rehabilitation agencies, community-based organizations, economic development agencies, and the public employment service.
[Based on Job Training Partnership Act, 29 USC 1512, sec. 102(a)]

Recently Separated Veteran - An individual who applies for participation under any title of the Job Training Partnership Act Amendments of 1986, within 48 months of the discharge or release from active military, naval, or air service.
**State Education Agency** - The State board of education or other agency or officer primarily responsible for the State supervision of public elementary and secondary schools, or, if there is a separate State agency or officer primarily responsible for supervision of adult education in public schools, then such agency or officer may be designated for the purpose of Title III (the Adult Education Act) by the Governor or by State law.


**Workplace Literacy** - Programs designed to improve the productivity of the workforce through improvement of literacy skills needed in the workplace by:

- Providing adult literacy and other basic skills services and activities;

- Providing adult secondary education services and activities which may lead to the completion of a high school diploma or its equivalent;

- Meeting the literacy needs of adults with limited English proficiency;

- Upgrading or updating basic skills of adult workers in accordance with changes in workplace requirements, technology, products, or processes;

- Improving the competency of adult workers in speaking, listening, reasoning, and problem solving; or

- Providing education counseling, transportation, and nonworking hours child care services to adult workers while they participate in an adult education program.

DESCRIPTION OF FEDERAL PROGRAMS

ACTION

**Retired Senior Volunteer Program (RSVP):** Secondary
RSVP recruits and places adults over age 60 in volunteer positions in communities. Volunteer literacy services usually involve tutoring.

**Student Community Service Programs (SCS):** Secondary
SCS recruits and places student volunteers from public and private secondary and postsecondary institutions. Student volunteers participate in projects that help to eliminate poverty. Literacy instruction and tutoring are allowable volunteer activities under this program.

**Volunteers in Service to America (VISTA) Literacy Corps:** Primary
VISTA recruits and places volunteers in community service positions to address the problems associated with poverty. The VISTA Literacy Corps sponsors projects that directly combat illiteracy.

**Appalachian Regional Commission**

**Appalachian State Research Technical Assistance and Demonstration Projects:** Indirect
This program provides research, technical assistance, and demonstration projects that expand knowledge of the Appalachian region. Literacy projects are an approved use of funds to carry out the goal of the Commission.

**Appalachian Vocational and Other Education Facilities and Operations:** Secondary
This program provides people of the Appalachian region with services that support the training and education needed to secure employment. Funds also may be used for purchase of equipment, renovation of educational facilities, and educational demonstration projects.

**U.S. Department of Agriculture**

**Cooperative Extension Service:** Indirect
The Cooperative Extension Service supports research and educational programs through the U.S. Department of Agriculture and the nation’s Land-Grant Universities and Colleges. The program focuses on social, economic, and environmental issues of importance to United States citizens and communities.
Food Stamps: Secondary

The Food Stamp Program provides coupons to low-income households, welfare recipients, and unemployed or under-employed persons for use in purchasing food. Certain food stamps may be used to support educational programs or activities to improve basic skills or otherwise improve employability as part of a State’s employment and training plan.

U.S. Department of Defense

Air Force

Air Force Education Program: Primary

The Air Force Education Program encompasses two sub-programs: Basic Skills Development and High School Completion. The Basic Skills Development component prepares individuals for Air Force occupational training and develops their skills to improve work performance. The High School Completion component provides the classroom training necessary for receipt of a high school diploma from an accredited high school.

Army

U.S. Army Basic Skills Education Program: Primary

The Basic Skills Education Program provides basic skills training for individuals in Initial Entry Training (IET) and permanent party personnel, and also offers English-as-a-second-language instruction for enlisted personnel.

Marine Corps

Marine Corps Basic Skills Education Program: Primary

This program provides basic skills training in mathematics, English, and English as a second language for Marines in order to upgrade their work performance.

Navy

Naval Education and Training Program: Primary

The Skill Enhancement Program, which is designed to upgrade basic skills to improve work performance, includes four components: 1) Functional Skills Program, 2) Schoolhouse Skill Enhancement Training, 3) Jobs-Oriented Basic Skills, and 4) Fundamental and Applied Skill Training.
**U.S. Department of Education**

**Office of Assistant Secretary for Elementary and Secondary Education**

**Even Start - Local Education Agency:** Primary

This program assists local education agencies in providing family-centered education projects that encourage parents to participate in their children’s education. The program also supports adult literacy activities for parents.

**Indian Education - Adult Indian Education:** Primary

The Adult Indian Education Program plans, develops, and implements programs for Indian adults in order to decrease the rate of illiteracy, increase the mastery of basic skills, increase the number of recipients that earn high school diplomas, and encourage the development of programs relevant to the culture and heritage of Indian adults.

**Migrant Education Even Start Program:** Primary

This program serves migratory parents and migratory children by funding projects that integrate early childhood and adult education into a unified service.

**Migrant Education - High School Equivalency Program:** Primary

This program provides three-year grants to institutions of higher education or other private non-profit organizations to assist migrant and seasonal farmworkers beyond the age of compulsory school attendance to complete the course work necessary to receive a high school diploma or its equivalent.

**Office of Assistant Secretary for Postsecondary Education**

**College Work-Study Program:** Indirect

The College Work-Study Program provides part-time employment to eligible postsecondary students to help them meet their educational expenses. Literacy tutoring is an allowable use of funds for employment of students in this program.

**Fund for the Improvement of Postsecondary Education (FIPSE):** Indirect

Through FIPSE’s grants program, innovative programs are funded to improve access to and the quality of postsecondary education. Several FIPSE projects address adult literacy, limited English proficiency, and English-as-a-second-language instruction.

**Student Literacy Corps:** Indirect

The Student Literacy Corps provides grants to institutions of higher education to recruit undergraduate college students who serve as volunteer tutors in adult settings, including adult education programs.
Office of Assistant Secretary for Vocational and Adult Education

**Adult Education for the Homeless:** Primary
This program supports States in the provision of basic skills remediation and literacy training for homeless adults. The goal of the program is to improve the level of education of homeless persons in order to reduce their dependency on others.

**Adult Education State-Administered Basic Grant:** Primary
This program funds States to improve existing adult education programs and establish new programs. Emphasis is placed on providing basic skills, literacy, secondary school completion, and English-as-a-second-language programs.

**Adult Migrant Farmworker and Immigrant Education Program:** Primary
This program is authorized to support adult education activities, programs, and services for adult migrant farmworkers and immigrants. No funds have been appropriated for this program.

**Appalachian Regional Education Program:** Primary
This program, funded by the Appalachian Regional Commission and administered by the Department of Education, supports projects that help people of the Appalachian region compete in the labor market. Workplace literacy is one emphasis of the program.

**Bilingual Vocational Training Program:** Secondary
This program supports projects that provide occupational skills instruction and job-related English-as-a-second-language instruction to limited-English speaking adults and out-of-school youth.

**Bilingual Vocational Materials, Methods and Techniques:** Secondary
This program supports research, training, demonstration, and dissemination projects in bilingual vocational education. Instruction in English as a second language is an allowable activity in projects funded by this program.

**Cooperative Demonstration Program:** Secondary
This program supports cooperative demonstration projects such as high technology training programs in vocational education. Most projects address some aspect of literacy.

**Demonstration Centers for the Retraining of Dislocated Workers:** Indirect
This program funds demonstration centers that focus on the application of general vocational education theories to problems associated with retraining dislocated workers. Basic skills training is one of the services provided by the centers.
National Adult Education Research: Primary

This program supports projects that improve or expand adult education. Program activities include research, development, dissemination, and related activities.

National Adult Literacy Volunteer Training Program: Primary

This program is authorized to fund grants to support planning, implementation, and evaluation of programs designed to train adult volunteers, especially older adults who wish to tutor students in adult education programs. No funds have been appropriated for this program.

National English Literacy Demonstration Programs for Persons of Limited English Proficiency: Primary

This program supports the development of innovative educational approaches and methods of English-language instruction for persons of limited English proficiency.

National Vocational Education Research: Secondary

This program provides support for the National Center for Research in Vocational Education, six curriculum coordination centers, and special research projects. The objective of the program is to improve the practice of vocational education.

National Workplace Literacy Program: Primary

This program funds demonstration programs that serve adults who are deficient in work-related basic skills. The program's objectives are to improve the workplace by increasing the literacy level of workers and by upgrading the skills of workers as the workplace changes.

State-Administered English Literacy Program: Primary

This program benefits limited English proficient adults and/or out-of-school youth through the establishment, operation, and improvement of English literacy programs. Assistance is provided through grants to States.

State-Administered Workplace Literacy Program: Primary

This program is implemented when the annual appropriation for workplace literacy exceeds or equals $50,000,000. The program supports workplace literacy instruction through partnerships between education and business, industry, or labor organizations.
Vocational Education Basic Grants to States: Secondary
This program assists States in developing, expanding, and improving vocational education programs. Emphasis is placed on traditionally underserved populations such as the handicapped, adults in need of training or retraining, single parents and homemakers, and incarcerated persons.

Vocational Education - Consumer and Homemaking Education: Secondary
This program assists States in providing programs that improve family life and home management. Emphasis is placed on providing services in areas of high unemployment and/or economically depressed areas. Basic skills and literacy instruction are offered as part of these programs.

Vocational Education - Indian and Hawaiian Natives: Indirect
This program provides project grants to organizations serving Indian or Hawaiian native populations for the purpose of improving vocational education. Basic skills, literacy, and adult secondary education programs are allowable activities supported by this program.

Office of Bilingual Education and Minority Language Affairs

Family English Literacy Program: Primary
The Family English Literacy Program supports programs for providing instruction in the English language for limited English proficient (LEP) families, adults, and out-of-school youth. Other services include instruction to assist eligible aliens in gaining knowledge of English, U.S. history, and government.

Office of Educational Research and Improvement

Educational Research and Development - Field Initiated Studies: Indirect
This program supports research, evaluation, dissemination, and training projects that advance knowledge about education policy and practice. Topics in adult education, such as family literacy, are among those eligible for funding by this program.

Educational Resources Information Center (ERIC): Secondary
ERIC is a network of clearinghouses and other components that provide research information on educational topics. Clearinghouses relevant to adult education include the Clearinghouse on Adult, Career and Vocational Education, the Clearinghouse for Language and Linguistics, and the National Clearinghouse on Literacy Education (an adjunct clearinghouse).
Fund for the Improvement and Reform of Schools and Teaching (First) - Family-School Partnership Program: Indirect

This grants program provides assistance to local educational agencies to conduct projects that increase the involvement of families in improving the educational achievement of their children. Basic skills education for parents is an allowable program activity.

Library Career Training (HEA Title II-B): Secondary

The objective of the Library Career Training Program is to assist institutions of higher education and library organizations in the training and retraining of persons in library specialization areas where there are shortages, and to serve the information needs of special populations, including the illiterate.

Interlibrary Cooperation and Resource Sharing (LSCA Title III): Secondary

The objective of this program is to provide formula grants to States to develop, establish, expand, or operate local, State, regional, and interstate cooperative library networks. Such assistance may include projects designed to combat illiteracy.

Library Literacy (LSCA Title IV): Primary

The Library Literacy Program provides grants to State and local libraries to support literacy activities including the provision of adult literacy services, inservice training for librarians, and training for librarians and volunteers in extending library literacy programs to under-served populations.

Library Research and Demonstration (HEA Title II-B): Indirect

This program supports research and demonstration projects that improve library and information science practices, including the promotion of efficient information delivery, cooperative efforts related to librarianship, and development and training programs.

National Diffusion Network: Indirect

The National Diffusion Network promotes the dissemination and adoption by educational institutions of practices that have been approved by the Department of Education’s Program Effectiveness Panel. Adult Education programs are among those funded as Developer Demonstrator projects.

Library Services (LSCA Title I): Secondary

This program provides formula grants to States to assist libraries in establishing, expanding, and improving public library service. Such assistance may include projects that help public libraries and other organizations implement literacy programs for adults.
Office of Special Education and Rehabilitative Services

Centers for Independent Living: Secondary
This grants program supports independent living centers serving individuals with handicaps. Functional literacy activities may be provided for participants in the centers.

Comprehensive Services for Independent Living: Secondary
This formula grants program supports the provision of independent living services for individuals with severe mental, physical, or other handicaps that limit their ability to function independently. Training in basic skills for employment is an allowable activity under the program.

Postsecondary Education Programs for Handicapped Persons: Secondary
This program supports the development, operation, and dissemination of model programs in vocational, technical, postsecondary, or adult education that are designed to serve the deaf or other handicapped persons.

U.S. Department of Health and Human Services

Administration for Children and Families (formerly the Family Support Administration)

Community Services Block Grant: Indirect
This program provides services that impact the causes of poverty and assist low-income persons in the areas of employment, education, health, housing, emergency assistance, and related services. Basic skills training is an allowable activity related to employment assistance.

Community Services Block Grant Discretionary Awards - Demonstration Partnerships: Indirect
This program funds eligible entities to undertake strategies that promote greater self-sufficiency among low-income persons. Job training and education are allowable activities related to attainment of self-sufficiency.

Job Opportunities and Basic Skills Training (JOBS) Program: Primary
The JOBS program provides Aid to Families with Dependent Children (AFDC) recipients with education, job training, work activities, and support services to help them attain self-sufficiency.
Refugee and Entrant Assistance - State Administered Programs: Secondary

The State Administered Program subsidizes State assistance for refugee resettlement. English language training is an allowable activity under this program.

Refugee Assistance - Voluntary Agency Programs: Secondary

This program provides grant awards to voluntary resettlement agencies to assist refugees in becoming self-supporting American citizens. English language training and job training are allowable activities under the program.

State Legalization Impact Assistance Grants (SLIAG): Primary

Through the SLIAG program, States receive formula grants for costs incurred in providing services to eligible legalized aliens. Allowable services include public assistance, public health assistance, and education. Adult education activities supported by the program include basic skills instruction, high school equivalency degree preparation, citizenship training, and instruction for adults of limited English proficiency.

Work Incentive Program/WIN Demonstration Program (WIN/WIN Demo): Secondary

Jointly sponsored by the U.S. Departments of Labor and Health and Human Services, this formula grants program promoted self-sufficiency among Aid to Families with Dependent Children (AFDC) recipients. The programs supported adult education, job training and placement, and support services. With the authorization of the JOBS program in 1988, the WIN/WIN Demo program began phasing out and the authority for the program was repealed in October, 1990.

Alcohol, Drug Abuse and Mental Health Administration

Drug and Alcohol Abuse Prevention - High-Risk Youth Demonstration Grants: Indirect

The Office for Substance Abuse Prevention (OSAP) funds program grants to test or assess field-based strategies for the prevention of alcohol and other drug abuse among the high-risk youth population. Some projects provide skill-building services, such as basic education for young adults.

Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse): Indirect

This program supports model projects for substance-using (alcohol and other drugs) pregnant and postpartum women and their infants. The projects are to develop effective models for the prevention (including education), early intervention, or treatment of alcohol and other drug abuse among pregnant and postpartum women and their infants.
Office of Human Development Services

Administration for Children, Youth and Families - Head Start: Indirect

The Head Start program provides health, educational, nutritional, social, and other services to economically disadvantaged children in order to break the cycle of poverty. Adult education and family literacy activities are approved activities directed at attainment of the this goal.

Administration on Developmental Disabilities - Basic Support and Advocacy Grants: Secondary

This program provides formula grants that support services which allow developmentally disabled persons to reach their full potential. Literacy training is an allowable activity under the Basic Support component of the program.

Special Programs for the Aging - Title III, Part B - Grants for Support Services and Senior Citizens: Indirect

This program supports State agencies on aging in the development of community-based systems of service for older persons. Activities include provision of support services and the acquisition, alteration, renovation, or construction of facilities to serve as multipurpose senior centers. Literacy training is an authorized use of these funds.

Special Programs for the Aging - Title IV - Training, Research and Discretionary Project and Programs: Indirect

This program provides training of workers in the field of aging and supports the development of knowledge that will improve the lives of older persons. Literacy training is an allowable activity in the program.

U.S. Department of Housing and Urban Development

Community Planning and Development

Community Development Block Grants/Entitlement Grants: Indirect

This program provides formula grants to entitled cities and urban counties to undertake activities that improve living environments and economic conditions in urban communities. Approximately 15 percent of funds are allocated to the public service component, which allows adult literacy activities.

Community Development Block Grants/Small Cities Program: Indirect

This program benefits low and moderate income persons by improving housing and economic conditions in urban communities. The public service component allows adult literacy activities.
Community Development Block Grants/State's Program: Indirect
This program benefits low and moderate income persons by conducting activities that improve housing and economic conditions and promote the elimination of slums in urban communities. The public service funding component allows adult literacy activities.

Indian Community Development Block Grant Program: Indirect
This program provides Indian Tribes and Alaska Native Villages with housing and infrastructure improvements and provides job opportunity expansion and economic development assistance. Adult literacy activities are allowable under the public service component of the program.

U.S. Department of the Interior

Bureau of Indian Affairs

Adult Education Program: Primary
The Adult Education Program serves adults who are at least one-fourth Indian blood descendent of an Indian tribal government recognized by the Department of the Interior. The program provides instruction in workplace literacy, job preparation, and GED preparation.

U.S. Department of Justice

Community Relations Service

Cuban and Haitian Entrant Resettlement Program: Indirect
This program supports primary and secondary resettlement and prison outplacement services to Cuban and Haitian nationals meeting specific requirements. Basic skills training and English as a second language instruction are allowable activities under this program.

Federal Bureau of Prisons

Adult Basic Education Program: Primary
This program provides mandatory basic skills and literacy training for inmates in Federal prisons who lack a high school diploma. Inmates meeting this criterion are required to attend adult basic education instruction for a minimum of 120 days.
National Institute of Corrections

**Corrections--Research and Evaluation and Policy Formulation:** Indirect

This program sponsors action research concerning the causes and prevention of crime. Activities also include the evaluation of the effectiveness of programs and strategies designed to improve the corrections system, including basic skills education.

**Corrections--Technical Assistance/Clearinghouse:** Indirect

The technical assistance program provides support for various government and private agencies in their efforts to improve the corrections system. The program has sponsored literacy training for inmates and training for correctional educators.

**Corrections--Training and Staff Development:** Indirect

This program sponsors training for law enforcement officials and various persons connected with the corrections system and develops training seminars, workshops, and programs within state and local agencies that work with criminal offenders. The development of basic education and vocational programs for inmates is one topic addresses in the training.

Immigration and Naturalization Service

**Citizenship Education and Training:** Primary

This program sponsors distribution of the Federal Textbook on Citizenship, written at various reading levels, to persons who are interested in becoming naturalized citizens of the United States. The Textbook provides instruction in English, U.S. history and government, and is used in conjunction with citizenship instruction provided through the public school system.

U.S. Department of Labor

Employment and Training Administration

**Employment and Training Assistance-Dislocated Workers, JTPA Title III:** Secondary

Formula grants and discretionary funds are used to provide readjustment services to workers who have been displaced from their jobs or who are about to be laid off with little likelihood of being rehired, or who are long-term unemployed. Services authorized under this program include classroom training, on-the-job training, retraining, supportive services, and relocation assistance.
Employment and Training Research and Development Projects, JTPA Title IV: Indirect

This program supports employment and training studies that provide information useful in strengthening the nation's employment and training system and in assessing the employment impact of social and economic trends. Research in the area of workplace literacy has become a priority in recent years.

Employment Services and Job Training - Pilot and Demonstration Programs, JTPA Title IV: Indirect

This program is designed to promote new or improve existing linkages between Federal, State, and local employment training, and human resource agencies and components of the private sector. Grants and contracts support demonstration projects. Literacy and basic skills training are supported through these projects.

Job Corps, JTPA Title IV-A: Primary

The Job Corps assists economically disadvantaged youth, ages 16 though 22 years of age, in improving their educational proficiencies and job-related skills. Services include basic skills and General Educational Development instruction, vocational education, and counseling.

Migrant and Seasonal Farmworkers (Migrant and Other Seasonally Employed Farmworker Programs), JTPA Title IV: Secondary

This program provides job training, job search assistance, literacy, basic skills, English-as-a-second-language instruction, and other support services to persons in the agricultural industry who suffer from chronic unemployment or underemployment.

Native American Employment and Training Programs, JTPA Title IV-A: Indirect

This program provides job training to Native Americans facing serious barriers to employment, who are in need of such training to obtain productive employment. Services include classroom and on-the-job training, training assistance, basic skills instruction, community service employment, work experience, youth employment programs, day care, health care, job search, and relocation and transportation allowances.

Training Services for Disadvantaged Youth and Adults and Summer Youth Employment, JTPA Title II: Secondary

This program provides job training, literacy and basic skills instruction, and other services to economically disadvantaged adults and youth through State and local partnerships. The program allows assistance to ten percent of each local area's target population who are not economically disadvantaged.
Veterans Employment and Training Program, JTPA Title IV: Indirect

The Veterans Employment and Training Program provides employment and training services to service-connected disabled veterans, veterans of the Vietnam-era, and veterans who are recently separated from military services. Eighty percent of the funding is allocated to the States through formula grants. In addition to training, allowable program activities include the funding of demonstration programs in basic skills instruction.

U.S. Department of Transportation

U.S. Coast Guard

Basic Educational Enrichment Program (BEEP): Secondary

This program was discontinued in 1987. Prior to this time, the program provided Coast Guard personnel with basic skills training designed to improve work performance.

U.S. Department of Veterans Affairs

Veterans Benefits Administration

Post-Vietnam Era Veterans' Educational Assistance: Secondary

This program provides assistance to persons who entered the Armed Forces after December 31, 1976, and before July 1, 1985. The program allows these persons to obtain an education they otherwise might not be able to afford. Adult secondary education is one program option for participants.

Veterans Educational Assistance (Noncontributory GI Bill): Secondary

The objectives of this program were to attract individuals to participate in the Armed Forces by extending the benefits of a higher education to qualified persons who might not otherwise be able to afford such an education; and to restore lost educational opportunities to those whose education was interrupted by active duty after January 31, 1955 and before January 1, 1977. The program ended on December 31, 1989.

Vocational Rehabilitation and Education Services: Indirect

This program assists service-disabled veterans and hospitalized service persons pending discharge in becoming self-sufficient and obtaining employment. Pre-GED instruction is the primary adult education activity supported by this program.
Vocational Training for Certain Veterans Receiving VA Pension: Indirect

This program provides vocational training and other services that help new pension recipients resume and maintain employment. Pre-GED instruction is an education service supported through this program.
Appendix C

TABLE C-1: ALL PROGRAMS BY ADULT EDUCATION FUNDS OBLIGATED BY YEAR

TABLE C-2: PRIMARY PROGRAMS BY ADULT EDUCATION FUNDS OBLIGATED BY YEAR
Table C-1
ALL PROGRAMS BY ADULT EDUCATION FUNDS OBLIGATED BY YEAR

<table>
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* (P) = Primary Program  ** N.O. = Not Obtainable
* (S) = Secondary Program  N.A. = Not Available
* (I) = Indirect Program

§ Monies listed represent only adult education projects funded by the program.
Table C-1, (Continued)

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<tr>
<th>Agency Program</th>
<th>Program Type*</th>
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‡ Funds have never been appropriated for this program.
§ Monies listed represent only adult education projects funded by the program.
Table C-1, (Continued)

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† Funds have never been appropriated for this program.
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Table C-1, (Continued)

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§ Monies listed represent only adult education projects funded by the program.
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<td>Administration for Children and Families‡</td>
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§ Monies listed represent only adult education projects funded by the program.
‡ Formerly the Family Support Administration
Table C-1, (Continued)

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<td>Training Services for Disadvantaged Youth and Adults (JTPA Title II-A); Summer Youth Employment and Training (JTPA Title II-B)</td>
<td>(S)</td>
<td>17.250</td>
<td>N/A</td>
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<td>Employment and Training Assistance — Dislocated Workers</td>
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<td>Job Corps</td>
<td>(P)</td>
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<tr>
<td>Native American Employment and Training Programs</td>
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§ Monies listed represent only adult education projects funded by the program.
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<tr>
<td>Employment and Training Research and Development Projects; Employment Services and Job Training—Pilot and Demonstration Projects§</td>
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<td>Basic Education Enrichment Program</td>
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<tr>
<td>Post-Vietnam Era Veterans' Educational Assistance (Voluntary-Contributory Matching Program)</td>
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<td>Vocational Rehabilitation for Disabled Veterans</td>
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<td>Vocational Training for Certain Veterans Receiving VA Pension</td>
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## Table C-2
**PRIMARY PROGRAMS BY ADULT EDUCATION FUNDS OBLIGATED BY YEAR**

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<tr>
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<td>Marine Corps</td>
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<td>Marine Corps Basic Skills Education Program</td>
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<td>Appalachian Regional Education Program</td>
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‡ Funds have never been appropriated for this program.

N.O. = Not Observable
N/A = Not Available; monies from adult education component were not calculated.
### Table C-2, (Continued)

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<td>State-Administered English Literacy Program</td>
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‡ Funds have never been appropriated for this program
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<th>CFDA Number</th>
<th>Agency/Program</th>
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<td>Administration for Children and Families§</td>
<td>Job Opportunities and Basic Skills Training Program</td>
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<td>N/A</td>
<td>N/A</td>
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<td>Bureau of Indian Affairs</td>
<td>Adult Education Program</td>
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<td>13.786</td>
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<td>Federal Bureau of Prisons</td>
<td>Adult Basic Education Program</td>
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Appendix D

PROGRAM BY INTERAGENCY COORDINATION REQUIREMENT
## Table D-1

### PROGRAM BY TYPE OF INTERAGENCY COORDINATION REQUIRED OR SUGGESTED

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<th>Agency Program</th>
<th>Type of Interagency Coordination</th>
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<td>Retired Senior Volunteer Program</td>
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<tr>
<td>Volunteers in Service to America (VISTA) — Literacy Corps</td>
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<td>Appalachian Regional Commission</td>
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<tr>
<td>Appalachian State Research, Technical Assistance, and Demonstration Projects</td>
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<tr>
<td>Appalachian Vocational and Other Education Facilities and Operations</td>
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<td>U.S. Department of Agriculture</td>
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<tr>
<td>Cooperative Extension Service</td>
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<td>Food Stamps</td>
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<td>U.S. Department of Defense</td>
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<tr>
<td>Air Force</td>
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<td>Air Force Education Program</td>
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<tr>
<td>Army</td>
<td></td>
</tr>
<tr>
<td>U.S. Army Basic Skills Education Program</td>
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<tr>
<td>Marine Corps</td>
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<tr>
<td>Marine Corps Basic Skills Education Program</td>
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R = Interagency coordination required by legislation  
S = Interagency coordination suggested by legislation
Table D-1, (Continued)

<table>
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<th>Agency Program</th>
<th>Partnership Between Private and/or Public Agencies/Organizations</th>
<th>Coordination with Programs Authorized by Other Legislation or Another Federal Agency</th>
<th>Coordination with Other Relevant State Training and Education Programs</th>
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<td>Navy</td>
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<td>Naval Education and Training Program</td>
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<tr>
<td>Office of Assistant Secretary for Vocational and Adult Education</td>
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<td>Adult Education State-Administered Basic Grant Program</td>
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<td>Appalachian Regional Education Program</td>
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<td>Bilingual Vocational Training Program</td>
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<td>National Adult Education Research Program</td>
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<td>National English Literacy Demonstration Program</td>
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<td>National Vocational Education Research Program</td>
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<td>Bilingual Vocational Materials, Methods, and Techniques</td>
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Table D-1, (Continued)

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<th>Coordination with Other Relevant State Training and Education Programs</th>
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<td>Vocational Education — Consumer and Homemaking Education</td>
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<td>Vocational Education — Indian and Hawaiian Natives</td>
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<td>Demonstration Centers for the Retraining of Dislocated Workers</td>
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<td>Even Start – Local Education Agencies</td>
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<td>Migrant Education Even Start Program</td>
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<td>Office of Assistant Secretary for Postsecondary Education</td>
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<th>Coordination with Other Relevant State Training and Education Programs</th>
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* Formerly the Family Support Administration
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Appendix E

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Appendix F

TABLE F-1: FEDERAL PROGRAM BY DATA COLLECTION REQUIREMENTS
Table F-1

Federal Program by Data Collection Requirements

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* Formerly the Family Support Administration
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