A research study of the implementation of "good ideas" in 14 schools came to the conclusion that differences in school organization and climate cause differences in the success of school improvement. Specific aspects of organization and climate in these schools, called factors of school context, are the following: resources, incentives, linkages, priorities, factions, turnover, current practices, and prior projects. During this 3-year study, the research team found that when these 8 factors were favorable, implementation of "good ideas" seemed to go smoothly and the intended improvements took hold. This publication is intended to help school staff concerned about improvement apply the results of this research. Organized into three sections, the report (1) presents a process for analyzing how a particular school stands with respect to the eight factors; (2) illustrates how schools can vary on the eight factors by providing specific examples of practices and conditions; and (3) describes how school leaders used the analysis of a school's context to guide their introduction of an improvement. The report concludes with general recommendations regarding how each factor can be addressed. (MLF)
How to Develop your School's Readiness for Improvement: An Analysis Process and Recommendations

by Joseph J. D'Amico and H. Dickson Corbett
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INTRODUCTION

Good ideas don't work everywhere. As revolutionary as this statement sounds, we in education should know it is true. Time and again we look to identify promising practices or exemplary models that can be disseminated—taken from one place and put into another. We do this a lot in education, because our common sense seems to say this replication of success approach should work. But if we stopped to think about it, our experiences would tell us that such an approach to school improvement is not terribly effective. Schools are unique. No two are the same, and any promising practice must be adapted to fit the individual school. When promising practices are not adapted, they usually fail.

Recently, staff from Research for Better Schools conducted a research study of the implementation of "good ideas" in fourteen schools (Corbett, Dawson, & Firestone, 1984). The study came to the conclusion that differences in school organization and climate cause differences in the success of school improvement. In itself this was an interesting finding; but beyond this, the authors identified specific aspects of organization and climate in these schools that influenced success negatively or positively. They called them factors of school context and described eight: resources, incentives, linkages, priorities, factions, turnover, current practices, and prior projects. During this three-year study of these fourteen schools, the RBS research team found that when these eight factors were favorable, implementation of "good ideas" seemed to go smoothly and the intended improvements took hold.
To help school staff concerned about improvement apply the results of this research, RBS has prepared this publication. It is organized into three sections:

- The first presents a process for analyzing how a particular school stands with respect to the eight factors.

- The second illustrates how schools can vary on the eight factors. It provides specific examples of practices and conditions—examples that make each of the factors "real."

- The third describes how school leaders used the analysis of a school's context to guide their introduction of an improvement. Based on that description, this section presents general recommendations regarding how each factor can be addressed.

One approach to using this publication is the following:

- Skim the first section to get a sense of the analysis process.

- Read carefully the second section to develop understanding of the factors.

- Skim the third section to get an overview of how data about the factors can be used in an improvement effort.

- When embarking on an improvement, reread the directions in the first section on how to use the analysis form. Then, if appropriate for your effort, implement the analysis process.

- When data have been collected, reread the third section for suggestions on how to interpret and use the data as a guide for introducing the improvement effort.
SCHOOL CONTEXT ANALYSIS PROCESS

RRS staff have used this knowledge of school context when working with schools undertaking improvement efforts. Now, this knowledge about school context has been incorporated into a process for analyzing a school to determine its contextual condition. This process enables anyone about to undertake an improvement effort to judge a school's readiness for change. At the heart of this analysis process is a rating system, the School Context Analysis Form (on following pages), based on the eight factors of school context listed in the introduction.

The left-hand column of the School Context Analysis Form contains the eight school context factors, from resources through prior projects. The next column to the right lists two or three operational indicators for each factor. These indicators describe conditions which can be observed in the school in quantitative terms. The next three columns represent a rudimentary quantification scheme, from high to moderate to low. A final column provides space for comments. The analysis task is to rate each operational indicator from low to high based on prevalent conditions in your school context. The next section of this publication contains the information which is necessary for understanding the factors—a prerequisite to undertaking any analysis.

Two methods of conducting the analysis are recommended. In both cases, defining the persons to be surveyed is the first task. Identify all staff whose support is essential to the improvement effort: for example, the superintendent, principal, department heads, grade level leaders. Invite them to participate in the analysis and ask them to recommend others who might be helpful.
<table>
<thead>
<tr>
<th>Factor of Context</th>
<th>Operational Indicator</th>
<th>High</th>
<th>Moderate</th>
<th>Low</th>
<th>Comments</th>
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<tr>
<td>1. Resources</td>
<td>Amount of time principal can devote</td>
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<td></td>
<td>Amount of available teacher time</td>
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<td></td>
<td>Level of financial/material resources available</td>
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<td>2. Incentives</td>
<td>Protection of teacher's in-class time</td>
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<td></td>
<td>Opportunities for formal recognition</td>
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<td>Opportunities for informal recognition</td>
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<td>3. Linkages</td>
<td>Level of formal staff interaction</td>
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<td>Level of informal staff interaction</td>
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<td>4. Priorities</td>
<td>Level of priority among school goals</td>
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<td>Level of priority among district goals</td>
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<td>5. Factions</td>
<td>Level of tension between teachers &amp; administrators</td>
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<td></td>
<td>Level of tension among departments or grade levels</td>
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<td>Level of tension within departments or grade levels</td>
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<tr>
<td>6. Turnover</td>
<td>Level of teacher turnover in last five years</td>
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<td></td>
<td>Level of administrator turnover in last five years</td>
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<td>Level of central office turnover in last five years</td>
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<td>Factor of Context</td>
<td>Operational Indicator</td>
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<td>7. Current Practices</td>
<td>Level of &quot;customary&quot; behavior change required</td>
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<td>Discrepancy between existing skills and needed skills</td>
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<td>Amount of encouragement habitually present in school</td>
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<td>8. Prior Projects</td>
<td>Number of new projects undertaken in last five years</td>
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<td></td>
<td>Number of these same projects currently in operation</td>
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Having identified who will be surveyed, select one of two survey methods:

1. Hand out a copy of the School Context Analysis Form, along with this publication, to each person. Ask them to read the publication and fill out the form as they judge the operational indicators. Then, convene the group to discuss the ratings and produce consensus ratings on the indicators.

2. Convene a meeting of the group. Describe the factors, indicators, and rating process. Lead a discussion of the indicators and produce consensus ratings.

The first method permits more exploration and understanding of the factors, but the second may be more practical given time constraints. Whichever method is chosen, the result is a consensus on the operational indicators. This information shows strengths and weaknesses related to improvement efforts. The improvement team must address the latter to create optimum conditions for success. The final section on recommendations provides relevant examples.
ANALYSIS OF TWO SCHOOLS: HOW SCHOOL CONTEXT CAN DIFFER

Despite the difference in the grade levels covered by Pine Lane Elementary School (D'Amico & Wilson, 1987) and Jackson High School (D'Amico & Miller, 1985), they were organizationally and culturally quite similar when RBS worked with them. For example, both were located in communities that had once been prosperous, but were now somewhat depressed. Likewise, both were experiencing changes in the character of their student bodies from a largely white, middle SES student body to a largely minority, low SES one. Both were experiencing a decline in student achievement and a conflict of cultures between the norms and values of the largely white, middle SES faculties and those of the students. Lastly, both school faculties had been teaching for a long time—long enough to have lived through all these changes and to begin to see the need to address the effects that these changes were having on the schools.

In response to growing concern about these effects, both schools embarked on the same kind of improvement effort. The administrations of both schools introduced local site management teams made up of teachers who would serve as an executive cabinet. The team's task was to work together and with other staff to identify critical school-wide problems, formulate potential solutions, and present policy recommendations to the building administration. RBS staff were to help develop these teams and provide them with technical assistance, as they undertook their problem identification and policy recommendation tasks.

However, as similar as these improvement efforts appeared at the outset, their implementation and effects differed greatly. The effort worked like a well-oiled clock at Pine Lane, and the management team became a
potent advisory body. At Jackson, the effort floundered. The team never jelled, nor did it have much of an impact on school policy. Eventually, it disappeared. These differences can be analyzed and understood in terms of the eight factors of school context, as described below.

**Resources**

Most educators equate resources with staff time and money. Even though the principals of both Jackson High and Pia' Lane Elementary expressed interest in being a part of the development and operation of their respective management teams, at Jackson the principal indicated that schedule demands would allow only sporadic attendance at their meetings. At Pine Lane, on the other hand, the principal adjusted commitments to insure uninterrupted presence at every single management team activity. So the first question one should ask about context is: How much time can the principal devote to the improvement effort? One should think of the principal's time as being symbolic as well as substantive. Often just his or her presence is enough to convince others that the effort is important. Even though the Pine Lane principal did very little at meetings, the team members found the principal's presence reassuring. At Jackson, the team often wondered aloud whether the principal's absence was a message.

Likewise, one should consider how much time teachers can devote to a change effort. When analyzing a school's context, it is important to think of staff time as unobligated time--time when staff are not log-jammed with classroom, preparation, lunchroom, or other operational responsibilities. Moreover, this time should be quality time--not necessarily time at the end of the day when staff may be too tired or at the beginning of the day when they may be just barely awake.
Although the management teams at both Pine Lane and Jackson met at the end of the day, there was a difference. The Pine Lane meetings occurred during bus dismissal, a half-period devoted to organizing students to board their school buses. The chaos that typically accompanied this half-period prevented it from being productive time, and all teachers considered this half-period as time wasted. Most meetings at Jackson, on the other hand, occurred after the school day during teachers' free time—a fact most participants resented.

Finally, one needs to analyze the availability of money, or more specifically, the support and materials that money buys. Everyone appreciates extra pay for extra work. But practically speaking, few schools or school districts can offer much in the way of overtime pay. The teachers at Pine Lane and Jackson understood and could live with this. Nonetheless, in both schools they expected clerical support and class coverage when necessary. The building principal at Pine Lane was aware of these expectations and made sure these resources were provided. At Jackson, the principal also was aware of the teachers' expectations for support, but adopted a "hands-off" posture and made it clear that the management team itself was responsible for arranging support systems. So, the last questions to ask about school resources focus on providing support are: Is there money for substitutes to take charge of classes? Is there staff development money? Is there clerical support? Can special books or training materials be bought?

Incentives

The next factor of context to evaluate when embarking on an improvement effort is incentives for participants. As with resources, money represents a powerful incentive, but time and recognition seem to count more.
As an incentive, teachers look at time as protection of class time, rather than availability of unobligated time (which was more important when viewed as a resource). For the most part, teachers hate to be away from their students during school time. They are not confident that anyone else can teach their students as well as they can. At both Pine Lane and Jackson, teachers saw time away from students as a negative incentive. The more that participation in the management team required them to be away from their students, the less they wanted to join in activities related to it. In fact, the Pine Lane management team said, "no," when the principal offered to provide class coverage for them to start their meetings earlier. They did not feel comfortable being away from their students even for one period a month. And most carried out their individual management team tasks on their own time rather than absent themselves from their classes. This suggests that an improvement plan should be assessed in terms of not requiring teachers to spend lots of time away from their students or said another way, protecting their in-class time.

Recognition is also an important incentive, and in an analysis of context, it is important to determine how many opportunities are provided for formal recognition of those involved. The principal at Pine Lane sent thank-you letters and also acknowledged the participants at a Board of Education meeting, at faculty meetings, and in the school newspaper. Overall, this formal recognition went a long way. The same can be said for informal recognition. The smallest gesture—a "How's the new effort going?" from the Pine Lane principal—got a great deal of mileage.
In contrast, participants at Jackson received almost no positive recognition. The principal rarely spoke about their efforts with them or anyone else. When sporadically attending their meetings, the principal dominated the proceedings with his own agenda, leaving staff with the impression that he did not value their agenda or efforts. The principal also stood by when other administrators and teachers belittled the team's effectiveness and capabilities. This lack of recognition led the majority of teachers at Jackson High School to feel underpaid and under-appreciated. So, when the principal asked them to take on extra responsibilities, every possible opportunity for recognition of the teachers should have been considered. Yet, this was not done.

Linkages

Linkage refers to the communication and interaction among staff. It is an especially important factor of context when it comes to spreading and institutionalizing change efforts. In any analysis of context one needs to consider two kinds of linkage: formal and informal.

Formal linkage is formal staff interaction and communication. It can include faculty meetings, departmental meetings, staff development sessions, in-service days, and the like. Formal interaction involves activities devoted specifically to staff working together and exchanging ideas on ways to upgrade curriculum or instruction or on practices that may lead to improved academic performance. Formal linkage also can mean tight adherence to curriculum guides or programs. When all teachers follow the same curriculum closely, there is a lot of formal linkage. Informal linkage, on the other hand, refers to the more self-initiated, or social interactions.
In both schools there were ample opportunities for formal and informal interaction. For example, there were two faculty and departmental meetings at Jackson High School every month. Unfortunately, for the most part, the faculty meetings dealt principally with "administrivia"—things that could be covered in memos, such as state and federal aid guidelines, standardized testing schedules, report card procedures, and so forth. The departmental meetings sometimes focused on instructional issues, but usually they were devoted to presentations about new textbooks or instructional techniques, with little exchange of ideas. Few Jackson teachers were dissatisfied with this arrangement, so there was no push to use these opportunities for formal discussion of tasks associated with management team activities.

Likewise, there was virtually no discussion of management team activities during informal interactions. In fact at Jackson, informal interaction was an exception rather than a norm. Staff at Jackson rarely socialized with each other and, when informal interactions did occur, they seemed strained.

In contrast, there was a great deal of informal staff interaction at Pine Lane. There also were regular faculty meetings which usually turned out to be informally formal. That is, although there was a set agenda for each one, it was covered through discussion rather than as a series of announcements and presentations. The principal and management team used these faculty meetings to discuss their activities and to obtain faculty input about potential policy decisions and plans. And in many instances, the substance of the discussions that took place during these formal opportunities carried over into the Pine Lane staff's informal interactions—over
lunch, driving in the car pool, during prep periods, or at unofficial meetings before or after school.

Priorities

Educators know that school, district, and classroom priorities and goals are constantly changing. Yet, our experience tells us that improvement efforts stand a much better chance of succeeding if they are among the school's and district's top priorities and stay that way for a reasonable amount of time.

The establishment of an effective, productive management team was the number one priority of Pine Lane Elementary's principal, who believed that such a team would be the cornerstone of any subsequent school improvement activity. The principal was willing to devote a lot of time and energy to creating and developing it. Moreover, he made it clear to everyone that a viable management team was Pine Lane's top priority and would stay that way until it was firmly established.

At Jackson, on the other hand, the management team was not a high priority. The principal said it was one of the top ten, but building maintenance and repair, employee relations, student discipline, and the state monitoring program tended to be put ahead of it. Further, in contrast to Pine Lane, Jackson's principal did not see the establishment of such a team as a way to deal with other priorities. So it did not become a top priority at Jackson High School. This was common knowledge and, in fact, even those participating did not give the management team top priority.
Factions

RBS has yet to study a school where tension among staff factions was totally absent. It is important to examine the nature and degree of tension when analyzing school context. Usually tension exists among some portion of the staff--tension between teachers and administrators, tension among departments or grade levels, even tension within departments or grade levels. However, tension is not necessarily a negative thing. Moderate levels, properly channelled, may even be productive. Tension can motivate and feed change and improvement. Teachers and administrators discussing different--perhaps conflicting--approaches to educational excellence can often come up with a better curriculum or better instructional strategies. Tension can mean communication. It also can mean staff are thinking and interacting; interaction like this can often lead to improvement. So, as long as it is focused on instructional issues and is not quibbling or carping, tension is a neutral term in an analysis of school context.

At Pine Lane, this neutral kind of tension was observed. The staff there were a mixture of young and old. Some had been at Pine Lane fifteen or more years, and some had been there fewer than five years. The age and tenure differences occasionally created differences of opinion about how things should be done at Pine Lane. The staff there also had a variety of educational philosophies and approached instruction from many directions. This variety coupled with the existence of many avenues for formal and informal staff interaction made Pine Lane a fairly tense school, when it came to agreeing on educational goals and strategies. But, it was tense in a productive way.
Jackson was also a tense school. Teachers and administrators—most of whom had been at the school ten years or more—mistrusted each other and were suspicious of each others' motives. They criticized each other continually in a half-joking, but serious way. Professional differences over instructional approaches or educational philosophies were rarely the subjects of these criticisms. Rather, they focused on who was shirking their responsibilities, who lacked competence, who was politically allied with whom, and other, similarly non-educational issues. Things had been this way for many years; tension at Jackson was counterproductive.

Turnover

By and large, it seems that staff turnover has been a minor problem in education in the last ten years or so. Experiences at Jackson and Pine Lane confirmed this. The average teacher tenure at Jackson was thirteen years. The average at Pine Lane was more than ten. This can be seen as both good and bad. On the one hand, low turnover means stability and continuity. On the other hand, it can mean resistance to change and routine thinking. The level of staff turnover is a two-edged sword for improvement efforts.

Turnover is an important consideration in any analysis of context. A certain amount of stability of staff is desirable when carrying out school improvements. Improvement efforts usually need the time and attention of the same group of people for a sustained period of time. Turnover among the improvement project supporters can seriously hamper—even kill—the effort. Not only that, a major turnover in central office or school building administration can push an improvement effort way down on the list of priorities, or eliminate it entirely. Yet, turning over staff who cannot or will not adopt and use improvements might facilitate the improvement project. On
balance, significant turnover is often disruptive, and this usually out-
weighs its possible beneficial effects.

Current Practices

Analyzing a school in terms of the next contextual factor, current
practices, involves determining what is the school's normative and customary
behavior and how this compares with the behavior called for by the improve-
ment project. That is, to what degree are staff expected to change their
customary behavior when they start working on the improvement effort. How
much discrepancy is there between the skills they currently have and the
skills they will need to make these behavioral changes?

The planning activities of the Jackson and Pine Lane management teams
provide an illustration. Teachers are typically good planners, but the
majority of their planning is done by themselves, for themselves. They plan
their lessons, activities, and the use of materials and resources. Not many
teachers plan as members of a team, and not many make plans that will have a
school-wide impact. Yet, in both schools the major charge to the management
teams was to work cooperatively to identify school problems and to create
alternative school-wide improvement plans.

For reasons that remain somewhat unclear, the Pine Lane teachers were
able to plan well. The teachers at Jackson experienced great frustration
with this planning task, because they believed it required them to change
their customary behavior too dramatically. Also, they may have been
frustrated with this planning task, because they did not necessarily have
the skills or trusting attitudes they needed for team planning. Moreover,
the discrepancy between the skills and attitudes they had and the skills and
attitudes they needed may have been too great.
Another contextual consideration related to current practices, and also to incentives, may have contributed to the Jackson teachers' frustration with their task. This was the amount of encouragement they felt they were receiving for trying something new. At Jackson there was no tradition or practice of encouraging teachers. Administrators believed that teacher salaries should be sufficient encouragement for them to take additional responsibilities. Teachers disagreed, countering that their additional responsibilities typically were administrative tasks for which administrators were paid.

Pay was not the only issue, either. Teachers at Jackson never felt they got any other kind of payoff for extra efforts. They did not see student achievement increasing, improvements in the quality of their work life, or lightening of their non-teaching duties. In short, they did not see any encouragement for doing extra work.

Pine Lane teachers, on the other hand, saw participation itself as a payoff. They were encouraged in their extra efforts, because they believed they were contributing to school improvement. The principal's long-standing policy of soliciting staff input reinforced this belief, as did the willingness to adopt many of their recommendations. In addition, the principal knew that district money could not be used as an encouragement, as it simply was not available. So instead, special duty assignments, extra prep periods, formal and informal recognition, and even simple words of personal acknowledgement were used very effectively to encourage the staff to keep up their extra efforts.
As is the case with factions and turnover, a balanced situation here seems to be best. An improvement effort should not require too many changes in customary behavior, or staff may begin to see it as a frustrating burden. Likewise, there should not be too large a discrepancy between existing skills and those needed for the improvement effort. On the other hand, there should be some substantial and visible behavior changes required, or staff may wonder whether the improvement is really occurring.

Prior Projects

The last factor of context to investigate when undertaking a school improvement effort has to do with the degree of commitment and persistence which can be drawn upon by an improvement effort. Successful improvement projects typically run through a life cycle from introduction through widespread adoption to institutionalization that can take five years or more. Yet, some schools have initiated a number of improvement projects and other innovations within just a few years, indicating low commitment and persistence of effort for any one of them.

Jackson staff could remember more than five new improvement efforts that had been started within the past three years. They could not remember, however, any that had been completed. In fact, within two months of introducing the management team effort, the principal introduced a new program of curriculum development. The two improvement efforts ran simultaneously and, in the opinion of Jackson's staff, competitively.

This behavior was fairly typical of Jackson's administrators. They seemed to be introducing new projects all the time. As they brought in new ones, they sloughed off old ones, and nothing seemed to get enough attention for long enough to take hold. Because of this, Jackson's administration had
a reputation among the staff for never following through. As a consequence, staff at Jackson were inclined to remain uninvolved, because they did not see any long term commitment. They saw Jackson's improvement efforts as asking too much in terms of time and energy, and they had seen too many come and go, but not stay and make things better.

On the other hand, staff may shy away if they have had no experience at all with innovative projects. The balanced position, between no experience and too much, was illustrated by Pine Lane staff, who had undertaken a moderate number of school improvement projects in the past five years. Because they had experienced improvement efforts with all the excitement and satisfaction that they brought, they looked forward to the management team effort as something new and exciting, not as an intrusion.
RECOMMENDATIONS: HOW TO MODIFY CONTEXT TO SUPPORT IMPROVEMENT

There is no ideal context, no school in the perfect state of readiness for change and improvement. Likewise, there is no context where school improvement is impossible. Schools that succeed in their improvement efforts are ones that take advantage of their contextual strengths and attend to their contextual weaknesses. This is why the context analysis process outlined here can be a valuable first step toward school improvement. It enables school staff to create a profile of their school's context and use it to identify potential strengths and weaknesses. Armed with this information, they can lay organizational foundations to insure that their school improvement efforts will succeed and thrive. RBS' experiences in a third school, Yorkshire Junior High School (D'Amico & Presseisen, 1985), show how this can happen.

Yorkshire, like both Jackson High and Pine Lane Elementary, was experiencing a number of demographic shifts that were contributing to lowered student achievement. A period of economic depression in the once-thriving local industries brought about changes in the surrounding community and the type of residents in it. They were younger, less affluent, and more likely to be on public assistance. Several families often shared what were once single family homes. The children from these families, the Yorkshire students, were characterized by the school staff as being less academically motivated. They also were seen to be more prone to cut school and get into trouble while in school. Overall, the staff saw them as poor students. And because of this perception, the faculty developed lowered expectations for these children. In fact, the students were not doing well in school. To make matters worse, the Yorkshire teachers felt that the parents were
unconcerned about this situation. Indeed, there was little parent
involvement at Yorkshire.

The school historically had a good reputation, and the superintendent
wanted to preserve it in the face of mounting challenges. The superinten-
dent recognized that Yorkshire was on a decline, and so convinced the
principal to create the same kind of site management team that had been in-
troduced at Pine Lane Elementary and Jackson High, as a first step to pre-
venting further decline. It was a kind of experiment in the superinten-
dent's mind. If the management team succeeded in bringing about school
improvement at Yorkshire—currently not the neediest secondary school, it
would be introduced into the district's other junior highs, and eventually,
into the high schools.

As was the case in the other two schools, the Yorkshire site management
team was to be made up of a group of teachers. Their charge was to work
together and with other staff at the school to identify critical school-wide
problems, research potential solutions, and help the building administration
develop policies and programs for addressing problems. The ultimate goal,
of course, was to reverse the downward slide of student achievement. As
with Pine Lane and Jackson, RBS staff were to help develop the team and work
with them in problem identification and policy formulation.

Prior to the creation of the management team at Yorkshire, RBS staff
collected data about the schools' context and culture. These data suggested
that the Yorkshire context would not be a fertile one for initiating a
school improvement effort. (Refer to the School Context Analysis Form on
next two pages.)
## School Context Analysis Form

<table>
<thead>
<tr>
<th>Factor of Context</th>
<th>Operational Indicator</th>
<th>High</th>
<th>Moderate</th>
<th>Low</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Resources</td>
<td>Amount of time principal can devote</td>
<td>X</td>
<td></td>
<td></td>
<td>None apparently need mechanisms</td>
</tr>
<tr>
<td></td>
<td>Amount of available teacher time</td>
<td>X</td>
<td></td>
<td></td>
<td>If committed — otherwise, low</td>
</tr>
<tr>
<td></td>
<td>Level of financial/material resources available</td>
<td>X</td>
<td></td>
<td></td>
<td>If committed — otherwise, low</td>
</tr>
<tr>
<td>2. Incentives</td>
<td>Protection of teacher's in-class time</td>
<td>X</td>
<td></td>
<td></td>
<td>Currently duties interfere</td>
</tr>
<tr>
<td></td>
<td>Opportunities for formal recognition</td>
<td>X</td>
<td></td>
<td></td>
<td>Staff meetings?</td>
</tr>
<tr>
<td></td>
<td>Opportunities for informal recognition</td>
<td>X</td>
<td></td>
<td></td>
<td>Not much informal interaction</td>
</tr>
<tr>
<td>3. Linkages</td>
<td>Level of formal staff interaction</td>
<td>X</td>
<td></td>
<td></td>
<td>Department and faculty meetings</td>
</tr>
<tr>
<td></td>
<td>Level of informal staff interaction</td>
<td>X</td>
<td></td>
<td></td>
<td>Terrible quibbling</td>
</tr>
<tr>
<td>4. Priorities</td>
<td>Level of priority among school goals</td>
<td>X</td>
<td></td>
<td></td>
<td>Unknown</td>
</tr>
<tr>
<td></td>
<td>Level of priority among district goals</td>
<td></td>
<td></td>
<td></td>
<td>Seems to be #1</td>
</tr>
<tr>
<td>5. Factions</td>
<td>Level of tension between teachers &amp; administrators</td>
<td>X</td>
<td></td>
<td></td>
<td>Very tense atmosphere here</td>
</tr>
<tr>
<td></td>
<td>Level of tension among departments or grade levels</td>
<td>X</td>
<td></td>
<td></td>
<td>Very tense atmosphere here</td>
</tr>
<tr>
<td></td>
<td>Level of tension within departments or grade levels</td>
<td>X</td>
<td></td>
<td></td>
<td>Very tense atmosphere here</td>
</tr>
<tr>
<td>6. Turnover</td>
<td>Level of teacher turnover in last five years</td>
<td>X</td>
<td></td>
<td></td>
<td>Transfers</td>
</tr>
<tr>
<td></td>
<td>Level of administrator turnover in last five years</td>
<td>X</td>
<td></td>
<td></td>
<td>Fairly new supt.</td>
</tr>
<tr>
<td></td>
<td>Level of central office turnover in last five years</td>
<td>X</td>
<td></td>
<td></td>
<td>Fairly new supt.</td>
</tr>
</tbody>
</table>
### School Context Analysis Form (continued)

<table>
<thead>
<tr>
<th>Factor of Context</th>
<th>Operational Indicator</th>
<th>High</th>
<th>Moderate</th>
<th>Low</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>7. Current Practices</strong></td>
<td>Level of &quot;customary&quot; behavior change required</td>
<td>X</td>
<td>X</td>
<td></td>
<td>Considering lack of cooperation and current style</td>
</tr>
<tr>
<td></td>
<td>Discrepancy between existing skills and needed skills</td>
<td>X</td>
<td>?</td>
<td></td>
<td>Unknown, really</td>
</tr>
<tr>
<td></td>
<td>Amount of encouragement habitually present in school</td>
<td>X</td>
<td>-X</td>
<td></td>
<td>See incentives</td>
</tr>
<tr>
<td><strong>8. Prior Projects</strong></td>
<td>Number of new projects undertaken in last five years</td>
<td>X</td>
<td>-X</td>
<td></td>
<td>State mandated LRP</td>
</tr>
<tr>
<td></td>
<td>Number of these same projects currently in operation</td>
<td>X</td>
<td>-X</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Teachers showed a great deal of hostility toward school administrators, and they felt they had virtually no influence over school policies or procedures. There seemed to be hardly any communication between teachers and administrators and not much communication among the teachers themselves. Also, there was a great deal of conflict; teachers were at odds with each other, as well as with administration.

The focal point of most of the hostility and disgruntlement was the building principal. The kindest of the staff viewed this principal as a "directive" manager. Others were often more blunt. However they characterized it, this management style did not sit well with teachers. They thought it created a "blockage," which was why they were not getting anywhere in their efforts to crack down on students and improve the school. It was hard to know what the principal thought of the teachers' opinions of him and his style. He seemed to be aware of some negative views, as every now and then he made oblique references, for example, "They know the final decisions are mine, even if they don't always like them."

The principal also seemed concerned that Yorkshire maintain its reputation as a good school and, indeed, improve. Ostensibly, this concern for school improvement is what drew the principal to participate in the effort to form a management team. Of course, the principal also had the clear message from the central office to create such a team and to use it for school improvement, along with the promise of resources and other support. Finally, there was the strong support, perhaps even pressure, for some sort of expansion of the decision making role of teachers. This pressure came not only from the Yorkshire teachers themselves, but also from the district teachers' association. Within this context of pressure, support, and
incentive, the principal at Yorkshire seemed to have little choice but to establish a management team in the school.

The rest of the school staff, in contrast, did not feel the same pressures for this particular endeavor as the principal. Many were skeptical, citing other similar attempts at administrator-teacher cooperation and school improvement projects that had not been able to overcome the "blockage" (i.e., the principal). Some questioned the principal's and the district's sincerity and their promises of support. Apparently, teachers at Yorkshire were not easily influenced by central office pressure (perhaps cognizant of the role that a recent strike had played in the removal of the last superintendent). But, individually, they did seem to be genuinely eager to improve the situation at their school. So, although concerned about who was in control, who was accountable, and the sincerity of the district's and principal's commitment, they were willing to give it a chance.

The end of this story was a happy one. Three years after the idea of a site management team was introduced to Yorkshire Junior High, it had become institutionalized. Tension among staff was lower and the level of communication was higher. Staff proudly produced documents detailing the many improvement projects underway at their school. Nearly all credited the management team for this state of affairs. Even better, most everyone in the school and many at the district office considered the team an integral part of school operations.

Many things contributed to this success, including the energy and commitment of those involved and the fact that, at their early stages, the projects initiated by the management team had produced a positive impact on school. But, it was the way the superintendent, principal, and to some
degree, the teachers manipulated their context that really paved the way for the success of this effort. This kind of manipulation of context can be accomplished in most situations. Below are observations about how it was carried out at Yorkshire—observations that suggest lessons for others attempting to improve the context for their improvement efforts.

There is no doubt that the Yorkshire staff, led by the superintendent and principal, took a hard look at the school's context, and pinpointed barriers to change in it. Once they identified these barriers, they worked to remove or overcome them, thereby making their improvement project easier to conduct and more likely to take hold.

Resources

The Yorkshire superintendent recognized that teacher skepticism might very well kill the management team idea without his symbolic and forceful participation. So he made time in his schedule to attend enough meetings at the school to convince them he was serious. He also convinced the principal that he was serious; so much so, that the principal became forcefully and symbolically involved, too.

RECOMMENDATION: CONVINCE STAFF THAT YOU ARE SERIOUS ABOUT THEIR IMPROVEMENT EFFORT. BECOME FORCEFULLY INVOLVED BOTH INFORMALLY AND FORMALLY: ATTEND MEETINGS, TALK TO THEM ABOUT THEIR EFFORTS, ENCOURAGE THEM TO INVOLVE THEMSELVES. REMEMBER THAT THE SYMBOLISM OF YOUR INVOLVEMENT MAY BE AS IMPORTANT AS ANY SUBSTANTIVE CONTRIBUTIONS.

Once symbolically involved, the principal sought to create conditions that would make staff involvement easier. Specifically, found ways to provide staff with unobligated time during their school day that would not end up being a disincentive; that is, time that would not keep them away from their students.
Another solution was novel and served many goals. The principal approved a management team plan to allow students to earn "reward days," and he convinced the superintendent to approve it. Reward days were half-day vacations that came once every month. Students could earn a half-day off by exhibiting academic excellence, good citizenship, or perfect attendance. These days became in-service half-days, during which the management team met to plan improvement projects, and the rest of the staff met to plan how to carry out these projects. The students who did not qualify for reward days attended a study hall supervised by an assistant principal.

**RECOMMENDATION:** FIND UNOBLIGATED TIME FOR YOUR STAFF. BUT MAKE SURE IT IS NOT A DISINCENTIVE—SIGNIFICANT TIME AWAY FROM THEIR STUDENTS. THIS OFTEN REQUIRES CREATIVITY AND NON-CONVENTIONAL THINKING. IT USUALLY REQUIRES A LOT OF IN-FRONT-OF AND BEHIND-THE-SCENES WORK.

Another resource adjustment was to ensure support services for the management team. In school, the principal made management team business a regular part of one secretary's responsibilities. This secretary provided typing, photocopying, telephoning, and other services. At the district level, the superintendent took pains to accommodate management team requests for additional materials, books, and the like that were related to Yorkshire school improvement projects. This included staff development time and, occasionally, substitutes for class coverage.

**RECOMMENDATION:** PROVIDE THE MOST FINANCIAL, MATERIAL, AND SUPPORT SERVICES FOR THE IMPROVEMENT EFFORT POSSIBLE. SUPPORT SERVICES ARE PROBABLY THE MOST IMPORTANT OF THESE.

**Incentives**

The concept of reward days for students provided teachers with unobligated time without the disincentive of being away from their students. Here though, another aspect of the reward days concept should be emphasized.
Adjusting his management style, the principal publicized reward days as the management team's idea and gave the management team and other staff all the credit for making it a reality. He did this with parents, the press, other principals in the district, and even with the superintendent, despite the fact that, if he had not put his own time and energy into it, it never would have happened. The principal seized on a good management team suggestion, worked with them and the superintendent to make it operational, and gave them all the credit, both formally and informally. As noted, the reward days concept worked well as a way to provide more teacher time without short-changing students. It had another pay-off, too—it gave students a concrete reason to excel academically, behave themselves, maintain their attendance, etc. In giving the management team and faculty lots of recognition and credit for instituting reward days, the principal got even more mileage out of this one school improvement initiative—as an incentive for continued participation.

RECOMMENDATION: WORK WITH YOUR STAFF TO HELP THEM SUCCEED AND CREATE AS MANY FORUMS AS POSSIBLE FOR RECOGNIZING SUCCESS IN THEIR IMPROVEMENT EFFORTS. THEN STEP BACK AND LET THEM TAKE THE CREDIT.

Linkages

The principal recognized that there was quite a bit of conflict among his teachers and not much informal linkage. Also, he recognized that it would be nearly impossible to create informal linkage, as it has to develop on its own. The principal used formal structures to encourage interaction among staff. One was the management team itself. Although widely dispersed in different departments and sections of the school most of the time, the team members came together formally at the monthly meetings to discuss school problems and needs. As time went on, they began interacting informally, between their
regular meetings. And they eventually used both the informal and formal linkages to enhance their school improvement efforts.

Another formal structure, the school improvement task groups, followed the same pattern, moving teachers from formal to informal interaction. The task groups were started by the management team as a way to involve other faculty in school improvement projects. Each management team member had the responsibility for researching solutions to a particular school problem and suggesting ways to address the problem. Part of this responsibility was to recruit other faculty members to help do the research, make the recommendations, and, if necessary, carry out the recommendations. By the third year, there were about eight school improvement task groups at Yorkshire Junior High. At first, the groups interacted--researching, recommending, and carrying out--in a formal way at monthly meetings. Later, as had happened with the management team, these groups began working informally as well.

RECOMMENDATION: IF THERE ARE FEW INFORMAL LINKAGES, CREATE STRUCTURES THAT ENCOURAGE FORMAL LINKAGE. THE FORMAL INTERACTIONS MAY EVOLVE INTO INFORMAL ONES.

Priorities

School improvement, and particularly school improvement initiated and carried out by school site management teams, was the Yorkshire superintendent's top priority. This was said often and with great sincerity. In fact, the superintendent believed that convictions about the importance of locally-controlled school improvement and commitment to make it happen in Yorkshire helped him get tenure. The convictions and commitment to this approach to school improvement certainly helped gain the superintendent a great deal of school board and teacher association support and cooperation. In a sense, he equated his success as Yorkshire's superintendent with the
success of the school site management approach to improvement, and so was
determined to make it succeed.

More importantly, the superintendent was able to convince the Yorkshire
Junior High School administration and staff to make it the school's top
priority. This approach simultaneously addressed two immediate challenges
facing the district—first, developing some kind of school improvement effort
that would halt and reverse declining achievement and second, increasing
teacher involvement in school decision making. This fact was not lost on the
principal; the superintendent made sure of that. The superintendent also made
sure that it was not lost on Yorkshire's teachers or the leaders of their
professional association. The clear message was: I'm throwing myself behind
this effort. I think it will result in improvements in the school and stu-
dents, and I'm willing to do anything I can to make it work. I expect you to
do likewise.

This was very compelling. It energized a staff who were concerned about
their school and students and who were dedicated professionals. They were
looking for leadership and a concrete approach to school improvement, before
jumping in with both feet.

RECOMMENDATION: PUT THE IMPROVEMENT EFFORT VERY HIGH ON EVERYONE'S LIST
OF PRIORITIES. THIS MAY INVOLVE SELLING IT TO SOMEONE—TEACHERS, SCHOOL
BOARD MEMBERS, SUPERINTENDENT, PRINCIPAL. SO BE PREPARED TO SELL IT, AND
SELL IT HARD.

Factions

There were high levels of tension at Yorkshire Junior High. That is,
there were many factions at odds with each other. Much of this tension came
from the frustration of seeing a high achieving, effective, well-regarded
school begin to go the other way. But the frustration and tension were in
their early stages. Because school effectiveness had not deteriorated completely in the eyes of the staff, they had not reached the burned-out, counter-productive stage of tension. Moreover, the staff recognized that the challenges were not unsurmountable and, theoretically, they were willing to exert themselves to meet them. They just wanted the support, commitment, and guidance to carry out school improvement.

The superintendent saw it that way, too. He saw the management team approach as a way to reduce counter-productive tension, while initiating school improvements. In the beginning, the superintendent even emphasized the former goal rather than the latter. This was part of the sales pitch to Yorkshire's principal: the management team would reduce tension. It worked, too. As the management team met and planned with the principal and Yorkshire's other staff, the tension was channeled productively toward professional discussions and disagreements that contributed to the school improvement effort. Beyond that, as the various school improvement programs introduced began succeeding, the level of tension began to diminish.

**RECOMMENDATION:** IF TENSION AMONG SCHOOL FACTIONS IS AT AN UNPRODUCTIVE LEVEL, TAKE STEPS TO REDUCE IT BEFORE INITIATING A SCHOOL IMPROVEMENT PROJECT. WHEN IT REACHES A PRODUCTIVE LEVEL, IT CAN BE CHANNELED TO CONTRIBUTE TO EFFECTIVE IMPLEMENTATION OF SCHOOL IMPROVEMENT.

**Turnover**

Turnover in Yorkshire, as in many school districts, was not a severe problem. Most teachers were tenured, and there had been few recent reductions in staffing. Reassignments occurred with some regularity, however. Teachers from one school would be sent to other schools as enrollments rose and fell. Although not job threatening, this practice was a sore point with teachers who resented having no control over where they might be from year to year.
This resentment ran particularly high at Yorkshire Junior High, because historically, junior high school teachers were reassigned most frequently. This resentment may have also played a part in creating tension at Yorkshire. In any event, the principal was very aware of the reassignment situation, the resentment it caused, and the importance to morale of giving staff a sense of permanence. With this in mind, the principal asked the superintendent to try to see to it that the members of the Yorkshire management team would be placed in a low spot on the reassignment list. The superintendent agreed and even went a step further, assuring the principal that before reassigning any Yorkshire Junior High teacher, they would confer to see how vital that teacher was to the management team and to any other school improvement effort at Yorkshire.

Armed with this assurance, the principal had little trouble recruiting participants. The interest ran high among all teachers, and the principal was able to pick a management team comprised of the most motivated, capable staff. Their first charge, by the way, was to develop a team renewal procedure to enable all Yorkshire teachers, who wanted to sit on the management team, to do so sooner or later.

As for administrative turnover, there was virtually no risk of either the building principal or the superintendent leaving the district in the near future. The latter had just received a vote of confidence from the Board of Education and his contract had been renewed for five years. Moreover, he had promised the Board and the community to remain Yorkshire's superintendent until the improvement goals were met. The principal also was secure in his job and was satisfied to stay there until retirement. The principal talked about his status as a respected, settled member of the Yorkshire community,
reflecting that he would be hard pressed to accept even an extremely generous offer to move to another position. The superintendent was well aware of the principal's attitude, and factored it into his planning when selecting Yorkshire Junior High as the first site of this management team approach to school improvement.

RECOMMENDATION: MINIMIZE TURNOVER OR THREAT OF TURNOVER WHEREVER POSSIBLE. IF IT CANNOT BE MINIMIZED, PLAN WITH TURNOVER IN MIND; DO NOT INVOLVE STAFF WHO ARE LIKELY TO MOVE.

Current Practices

One of Yorkshire's most striking characteristics prior to the introduction of this site management team effort was the absence of cooperative planning. It was rarely done within departments and almost never occurred across departments. So the establishment of a site management team made up of teachers working together to identify and solve problems required, to say the least, a great change in the customary behavior of Yorkshire's staff. It also required skills that most of them had not used in a long time—if at all. Yet, this seemingly insurmountable obstacle became only a minor difficulty because the principal used a great deal of subtlety with the staff.

Rather than introduce the management team concept dramatically to the staff as a great new effort, the principal took a low-key, informal approach. He simply began asking for opinions and input about what was needed to improve the school. The principal told them he wanted to use these opinions for Yorkshire's long range school improvement plan. Resisting surveys and special meetings, instead the principal spoke casually with staff in the faculty room, the hallways, or the parking lot. This went on for about a month. Then the principal asked selected staff members if they would like to get together with him as a group. Those who agreed were invited for lunch or breakfast from
time to time. This went on for another couple of months, then at one of these meetings, the group, not the principal, suggested regularizing the sessions and focusing the discussion by creating meeting schedules and agendas. At this point the principal's role became symbolic; the site management team had been formed.

**RECOMMENDATION:** SOFT-PEDDLE ANY DRAMATIC CHANGES AN IMPROVEMENT EFFORT REQUIRES. EASE STAFF INTO NEW BEHAVIORS OR SKILLS. IF POSSIBLE, SET THE STAGE SO THAT THE CHANGES COME FROM THEM WHEN THEY ARE READY.

As noted earlier, there was not much in the way of formal mechanisms for encouraging Yorkshire's staff. Neither were there any informal mechanisms. However, the attention the members of the nascent management team got from the principal served this function when the effort was getting off the ground. The novelty of having the principal ask for and listen to their opinions encouraged them to put more of their time and energy into offering these opinions. The more the principal listened, the more encouraged they were to offer suggested solutions as well as opinions. When the principal adopted some of their suggestions, they were even more encouraged. In time, their suggestions were producing results with students, and this encouraged them to make long-term commitments to the effort and to recruit others to join.

**RECOMMENDATION:** SUCCESS MAY VERY WELL BE THE BEST ENCOURAGEMENT. BUILD SUCCESS AT SOME LEVEL INTO EVERY PHASE OF THE IMPROVEMENT EFFORT. SUCCESS WILL COME WHEN THE EFFORT AND THE STAFF CARRYING IT OUT ARE SUPPORTED.

**Prior Projects**

One of the first things the superintendent mentioned when he was trying to convince Yorkshire's principal to establish a school site management team was how such a team could contribute to the district's long range planning.
project. Every five years, the state department of education called on each district to formulate five-year plans. The formulation process was always a major undertaking involving district administrators at all levels. In addition, the process itself was quite specific with a long list of required advisory panels, procedures, and public meetings. The Yorkshire management team, reasoned the superintendent, could help formulate the school’s plan thereby freeing the principal, to some degree, from a time-consuming task. In doing this, Yorkshire also would be meeting a state requirement that called for teacher input. The principal saw the value of using the management team in this way and, in fact, used similar logic to gain his staff’s involvement.

As a result, the management team became linked to a project already underway—the long range planning effort—both in the Yorkshire staff’s mind and in reality. The two efforts reinforced and contributed to each other and never seemed to be in conflict. More importantly, staff did not consider themselves to be doing double duty: carrying out two separate efforts.

This strategy pattern at Yorkshire Junior High. Subsequent projects always were linked to the site management team effort. None was allowed to compete with the management team. In fact, staff saw most of these projects as short-term, whereas they came to see the management team as ongoing.

RECOMMENDATION: TO MAXIMIZE LONGEVITY, COMMITMENT, AND MOTIVATION, LINK NEW IMPROVEMENT PROJECTS TO CURRENT ONES. MAKE THEM SEEM LIKE ONE CONTINUOUS IMPROVEMENT EFFORT.
CONCLUSIONS

The experiences of the staffs of the Jackson High, Pine Lane Elementary, and Yorkshire Junior High schools illustrate how school context plays an extremely vital role in school improvement. As was the case with Jackson, context can undermine an improvement effort and render it useless. Or, as was the case with Pine Lane, context can help an improvement effort take hold and flourish. But most importantly, as was the case with Yorkshire, context can be modified to become supportive, once it has been analyzed and addressed.
REFERENCES


Research for Better Schools (RBS), a private, non-profit, educational research and development firm, was founded in 1966. Its sponsors include many clients from the public and private sector who support R&D projects that meet their needs. RBS is funded by the U.S. Department of Education to serve as the educational laboratory for the Mid-Atlantic region.

Using the expertise of some 50 staff members, RBS conducts research and policy studies on key education issues, develops improvement approaches and services for schools, provides consultant services to state leaders, develops products for special populations, and participates in national networking activities with other regional laboratories to enhance the use of R&D products and knowledge.

During the past 20 years, RBS has developed extensive capabilities which are available to all education professionals in the form of practical, research-based products and services. This publication is one of the products of RBS' R&D work. Related training and technical assistance services also are available. Your interest in RBS is appreciated and your suggestions or requests for information always are welcome.