This primer is designed to provide an introduction to evaluation and its potential value in assisting staffs and parents to provide high quality child development programs for young children. A discussion of two types of evaluation (summative and formative) is followed by a step-by-step program for formative evaluation which includes (1) making a commitment to program improvement, (2) deciding on the focus of evaluation, (3) stating goals and objectives, (4) collecting relevant information, and (5) using the information for program improvement. Useful references are cited for each topic. A bibliography of evaluations of child development programs, and a listing of selected sources for measurement techniques and instruments are also included. Appendixed are the Spodek framework for analyzing programs and a formative evaluation summary worksheet. (MS)
Evaluation for Program Development

A Primer for Staff and Parents in Child Development Programs

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The word evaluation immediately raises the suspicions of individuals involved in developing and providing programs of young children. Evaluation is viewed as something which is "done to the program from the outside" without much communication between the evaluators and the program participants. Unfortunately, evaluations have also become associated with the possibility of assigning blame for "program failures" or with the threat of withdrawing funds. Quite clearly and justifiably, the word evaluation has acquired a "bad name."

This primer is not designed to make evaluation experts out of staff and parents in child development programs. Its purpose is modest and limited: to provide an introduction to evaluation and its potential value in assisting staffs and parents to provide "the very best programs" for young children. Thus the primer is based on the assumption that all individuals involved with young children desire programs which promote the full development of children, parents, and staff. The facilitation of child development is seen as inseparable from an effort to improve programs through continuous evaluation.

WHAT IS EVALUATION?

Evaluation can be defined as a human judgmental process which attempts to determine the value of a thing (Anderson, Ball, & Murphy, 1974). There are several key words in this definition. Evaluation involves a HUMAN JUDGMENTAL PROCESS. Thus evaluators are people who differ widely in the questions they ask, the procedures they use, the kinds of statistical analyses they make, and their judgement of the usefulness of the findings to the program or decision makers. Thus, every evaluation—no matter how sophisticated—is roundly criticized when it appears. The methodologists attack the study's sampling, design, procedures, and analyses. The administrators and staff claim the study did not evaluate what they were trying to accomplish. While all of these claims have legitimacy, they are related to disagreements in how to determine the value of a thing.

There are many models for guiding the conduct of evaluation. Each individual selects the mode which is best suited to her setting, goals, and resources. The two most general types of evaluation include the summative and formative modes (Scriven, 1967).

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1 This primer is being developed on an experimental basis, and will be revised based on feedback from individuals using it. If you have any suggestions for its improvement, please write or call the author.
Summative evaluation. This mode of evaluation is most typically used to determine the overall effectiveness of large-scale federal or state programs, and often has legislative or policy implications. Teams of evaluation specialists who are not connected with the programs conduct the evaluation. Summative evaluation aims to determine the worth of programs independent of specific programs in local communities. Since there is a need to know whether "the entire program" is working, standardized tests and procedures are typically used.

There are many difficulties involved in summative type evaluations. Local variations and emphases are often overlooked. Children's performances on standardized tests are often not good indicators of the children's capacities and of the program's impact. However, the needs of funding agencies and legislators to have information on program effectiveness appears to assure summative evaluation a secure future.

One bright spot in the area of summative evaluation is the trend not to conduct this kind of evaluation during the first two to three years of a program's initial implementation. When a program is just beginning, a formative mode of evaluation (see below) is highly desirable.

Formative evaluation. This mode of evaluation aims at determining how well a program is meeting its stated goals in its own setting. Formative evaluation is typically used to revise and improve programs so that the goals are more adequately attained or are changed. Ideally, this kind of evaluation should be built in as an ongoing part of a quality child development program.

Formative evaluation can be conducted by parents and staff. There are two widely available and highly interesting accounts of parent and staff involvement in formative evaluation (Greenberg, 1969; McSpadden, undated). While the assistance of an outside evaluation specialist is desirable and can greatly facilitate the evaluation process, 2 individuals in the program can conduct formative evaluations as outlined in the following sections.

References


Sources for technical assistance include the department of research and evaluation of the school district, state department of education, local colleges and universities, and research institutes. In selecting a consultant for the evaluation of child development programs, it is important that s/he have a sensitivity to children, parents, staff, and community. Human relations skills are as important as technical ones.
The following steps comprise a beginning attempt to carry out formative evaluation: (1) making a commitment to program improvement, (2) deciding on the focus of your evaluation, (3) stating your goals and objectives, (4) collecting relevant information, and (5) using the information for program improvement. Each of these steps will be described below.

STEP 1. MAKING A COMMITMENT TO PROGRAM IMPROVEMENT. A well-known, very honest evaluator asked the question, "Who likes to be evaluated?" He answered his own question, "No one!" Beginning an evaluation can be threatening to individuals who fear their competencies will be questioned. This is a natural way of feeling, one which must be dealt with BEFORE the evaluation begins.

Thus it must be clearly understood by the participants that the evaluation is aimed at improving the program for children, not to single out any individual for blame. Everyone must make a commitment to program improvement which involves self-examination and open communication.

Step 1 is never completely accomplished. It will be necessary to return several times to the re-establishment of this commitment during the next five steps. Participants may find it useful to read the following stimulating articles as a means for beginning an evaluation effort in your program.

Useful References for Step 1


STEP 2. DECIDING ON THE FOCUS OF YOUR EVALUATION. Once there is a commitment on the part of staff and parents to improving the program, a decision must be made regarding the focus of the evaluation.

A note on needs assessment. Everyone has probably heard the term "needs assessment." Briefly, needs assessment refers to the process by which needs (a condition in which there is a gap between what exists and what

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3 Robert E. Stake. The countenance of educational evaluation. Teachers College Record, April 1967, 68(4)
is desired) are identified and priorities for meeting these needs are established. Deciding upon a focus for evaluation is a form of needs assessment. In programs serving children, the evaluation could focus on any one of the following areas. The focus should originate in staff and parent concerns regarding any aspect of the program:

1. Physical facilities, spatial arrangements, and the use of time. Concerns: How can we provide private places for children? How can the room be arranged to balance quiet and active activities? Is the design of physical space congruent with our goals?

2. Curriculum areas including sensorimotor skills, language, mathematics, science, social studies, the arts (music, dance, painting), and dramatic play. Concerns: Is the curriculum based on the developmental needs of the children? Are the activities we provide consistent (or inconsistent) with the goals for children?

3. Parent involvement and participation. Concerns: How can we involve more parents in the program? How do parents participate now? How can we meet parents' needs in our program?

4. Use of community resources, including social services. Concerns: What are community resources which are needed in our program? What resources do we need to bring into our program?

5. Staff training/development. Concerns: What are staff needs for inservice training? How can we identify and utilize special talents of the staff?

6. Materials, including books, curriculum kits and packages, games, workbooks, films. Concerns: What materials are being used (or not used)? Do our present materials support our program goals? How can we evaluate materials before committing ourselves to purchasing them? (See Appendix I)

7. Children, including the effects of the program on different areas of development.

Several meetings may be necessary to obtain a list of foci for an evaluation. It is critical that all groups of individuals concerned with the programs be involved in generating this list. These groups will vary depending upon the community, but would at least include parents, staff, and administrators at different levels and community organizations.

Once areas for evaluation are identified, a survey should be made regarding their relative importance. This information can be collected by listing each area on a form with two scales of five points: (1) importance of area (1=Not important at all to 5=Very important), (2) extent of achievement (1=Very low to 5=Very high achievement).
The survey should then be distributed to a random sample of members of different groups involved in the above process. If the groups are small in number, the survey can be sent to all potential respondents. The use of a consultant on survey research may be important at this stage depending on the size of your potential sample.

Mail or personally distribute the survey depending on your situation. If the response is less than 50%, a follow up--by phone or face-to-face--should be conducted.

For a clear description of compiling the survey data, see Morgan (1975).

Useful Reference for Step 2


STEP 3. STATING YOUR GOALS AND OBJECTIVES. Once the evaluation's focus has been identified, the goals and objectives of the program component must be stated. Depending on the stage of a program's development, a statement of goals may or may not be well articulated. For those programs which do not yet have stated goals, Step 3 may take a considerable amount of time.

Goals are defined as desired outcomes or end results and are usually stated in global terms, e.g., "to support the optimal development of the child;" "to create an environment to develop the whole child." Goals are typically difficult to evaluate without a specification of objectives.

Objectives are concrete, specific, and observable behaviors or activities which lead to the achievement of the program's goals. Typically, a set of objectives is identified for each program goal. The achievement of some or all of the objectives for each goal is an indication of goal attainment.

Programs have recently been encouraged to state all goals in terms of objectives. There are some questions regarding the usefulness of this approach (Eisner, 1967). The focus on specific, observable behaviors may limit the outcomes of a program to a narrow range. Objectives which cannot be easily stated may be considered unimportant. There is also the danger that objectives focus concern on end-products without sufficient attention to the processes which led to their achievement.

Given these cautions, it is important to try to specify as clearly as possible what can be accepted as evidence that program goals are being attained. In many cases, the availability of stated objectives can facilitate the collection of information in an evaluation.

Useful References for Step 3

STEP 4. COLLECTING RELEVANT INFORMATION. Once your objectives are clearly stated, you are now in a position to collect some information on them. There are many ways to collect relevant information. The method you use will depend on your objectives and the skills and resources you have available for the evaluation. The following table shows different measurement approaches and useful references.

Table 1
Measurement Approaches

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STEP 5. USING INFORMATION FOR PROGRAM IMPROVEMENT. Engaging in an evaluation is worthless if it is not used for improving the program. Once the information has been summarized, plan a meeting for staff and parents to present the findings. A worksheet (Appendix II) which summarizes the activities of Stages 3-5 may be useful.

In sharing your findings, the following outline may be helpful:

1. Describe why the evaluation was undertaken. Why was there a focus on specific goals? Who helped to focus evaluation and identify goals?
2. Describe what procedures you used to collect the information.

3. Share your findings. Give the participants a chance to respond to what you have done. If the group is large (more than eight people), this might be a time to break up into small discussion groups. After the discussion period these groups share their reactions with the total group.

4. End the meeting by either:
   a. Discussing what improvement can be made in light of the evaluation, identifying who will be responsible for implementing or changes, and when the results will be reported to the group.
   b. Organizing a group whose task is to make specific suggestions for improvement which will be presented at a subsequent meeting. A time interval should be specified for the report. Group members who are not on this special task group should be encouraged to submit their ideas to the task group.

Number 5 above is critical to a formative evaluation effort, which depends on the use of information collected for program development. If the information is not incorporated into the program, the formative evaluation has not been successful.

Useful Reference for Step 5


HOW TO CONTINUE--EVALUATION AS A PROGRAM GOAL

Perhaps the time will come when formative evaluation for program improvement will be as important a goal of our programs as a child's development. It is somewhat paradoxical that our programs center on the continuing, ongoing development of the child, but we seldom make provisions that our programs and adults develop also.

There is potential for evaluation to ensue whenever anyone asks the questions: "Are we meeting the needs of the children in our program? How can we improve what we are doing?" Formative evaluation should be an ongoing process in any program serving children and their families. Once the findings of one evaluation study are implemented for program improvement, another evaluation study should begin to determine the adequacy of the improvements. Thus, evaluation becomes central to a continuous, program-renewing process.
RESOURCES

Bibliography—Evaluations of Child Development Programs

Reviews of Research and Evaluation Studies

The following items are extensive reviews of research and evaluation studies conducted on programs for infants and children.


Evaluation of Media Programs


Evaluation of Day Care Programs


Kirchner, E. An Assessment Inventory for the Day Care Child—Field Evaluation and Preliminary Findings.


Evaluation of Head Start and Follow Through

Westinghouse Report


Stanford Research Institute Reports


Evaluation of Parent Involvement Programs in Early Education


Bilingual and Bicultural Programs for Young Children


RESOURCES

Selected Sources for Measurement Techniques and Instrumentation:


RESOURCES

Addresses

Day Care and Child Development Council of America
1012 14th Street, N.W.
Washington, D.C. 20005

The Council publishes Resources for Child Care, a catalogue of publications including program evaluation.

EPIE (Education Products Information Exchange)
P.O. Box 2379
Grand Central Station
New York, NY 10017

EPIE publishes a journal, EPIE Forum, which contains evaluation of programs and educational products.

ERIC Clearinghouse on Early Childhood Education
805 West Pennsylvania Avenue
Urbana, IL 61801

ERIC publishes bibliographies on a variety of children's programs, including infant, preschool, handicapped, and bilingual education programs.

ERIC Clearinghouse on Tests, Measurement and Evaluation
Educational Testing Service
Princeton, NJ 08540

ERIC publishes a series of non-technical papers designed to address non-methodologists on issues of evaluation and measurement.
Appendix I
Spodek Framework for Analyzing Programs

1.0 Assumptions--The basic "givens" of a program

1.1 Assumptions about the client. How does the program conceive of the child and of childhood? Are parents considered clients as well?

1.2 Assumptions about the educative process. Are there specific theories of learning or of instruction underlying the program? Are they related?

1.3 Assumptions about the school. Is the school conceived as a broad social agency or narrowly concerned with limited learning?

1.4 Assumptions about the teacher. Is the teacher considered as an instrument of the program or is she a major decision maker?

2.0 Goals of the program--The purposes of the program

2.1 Long-range goals. What long-range objectives are to be achieved?

2.2 Short-term objectives. Are immediate objectives stated?

2.3 Relationship between the two. Is there consistency between long- and short-range goals?

2.4 Degree of specificity of objectives. Are objectives stated as observable behavior? Are objectives stated in other ways?

3.0 Curriculum--The content of the program

3.1 Range of content of the program. Is the program broadly conceived?

3.2 Sequence of learnings or experiences. Is a specific sequence prescribed?

4.0 Method--The teaching strategies used

4.1 Child-child transactions. What is the nature of the child and child transaction behavior?

4.2 Child-teacher transactions. What is the nature of the child and teacher transaction behavior?

4.3 Child-materials transactions. What is the nature of the child and material transaction behavior?

4.4 Explicitness of prescriptions. How explicitly are these transactions prescribed?
5.0 **Style**--The degree of personalization allowed in teaching the program.

6.0 **Organization**--The way in which elements are put together.

   6.1 **Scheduling.** How is time used?

   6.2 **Spatial organization.** How are resources deployed?

   6.3 **Grouping of children.** Are children grouped in some specific manner in the program?

   6.4 **Use of staff.** What kinds of staffing patterns are suggested?

7.0 **Effectiveness**

   7.1 **Achievement of goals.** Is there information about the degree to which the program can achieve its goals?

   7.2 **Comparisons with other programs.** How does the program compare with the available programs?

8.0 **Practicality**

   8.1 **Cost of program.** How much does the program cost to implement?

   8.2 **Staff requirements.** How many staff members are needed? What sorts of qualifications are required?

   8.3 **Space requirements.** How much space is needed?

   8.4 **Materials requirements.** What kinds of materials must be used in the program? How many?

   8.5 **Availability of supportive resources.** Are the necessary materials available? Are resource materials and persons available to support the program?

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Many of the ideas in this primer resulted from my work with the staffs of the Santa Monica Children's Centers. They always created the time and climate which enabled my students and myself to discuss evaluation issues. I would like to acknowledge the staffs of:

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