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AUTHOR Hou, John Y.  
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## ABSTRACT

In the surface structure of Chinese nominal modifiers (quantifiers, determiners, adjectives, measure phrase, relative clause, etc.) may occur either before or after a modified noun. In most of the transformational studies of Chinese syntax (e.g. Cheng 1966; Hashimoto 1966; Mei 1972; Tai 1973; Teng 1974), it has been assumed that such NP's have the underlying order of modifier + noun (M-N, henceforth) with the variant surface order of noun + modifier (N-M, henceforth) being derived. This paper, however, first argues that Chinese has the underlying order of N-M and that the variant M-N order is due to an optional transformation that reorders the N-M sequence. It is shown that the M-N hypothesis requires a set of complex constraints on the rule postposing modifiers in order to account for various surface patterns exhibited by NP's containing more than one modifier. It will be argued that in the N-M hypothesis proposed here various surface patterns can best be accounted for by constraining the N-M inversion rule to the following effect; namely, it can apply to any NP, either a higher one or a lower one, but only once within the domain of a possible complex NP. (Author)

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NOMINAL MODIFIERS IN MANDARIN CHINESE

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John Y. Hou

Department of Linguistics

University of Southern California

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## 0. Introduction

In the surface structure of Chinese nominal modifiers (quantifiers, determiners, adjectives, measure phrase, relative clause, etc.) may occur either before or after a modified noun. In most of the transformational studies of Chinese syntax (e.g. Cheng 1966; Hashimoto 1966; Mei 1972; Tai 1973; Teng 1974), it has been assumed that such NP's have the underlying order of modifier + noun (M-N, henceforth) with the variant surface order of noun + modifier (N-M, henceforth) being derived.

This paper, however, first argues that Chinese has the N-M order underlyingly, and that the variant M-N order is due to an optional transformation that reorders the N-M sequence. It is shown that the M-N hypothesis requires a set of complex constraints on the rule postposing modifiers in order to account for various surface patterns exhibited by NP's containing more than one modifier. It will be argued that in the N-M hypothesis proposed here various surface patterns can best be accounted for by constraining the N-M inversion rule to the following effect; namely, it can apply to any NP, either a higher one or a lower one, but only once within the domain of a possible complex NP.

Nominal modifiers in Chinese may also occur either before or after a verb. As opposed to the recent proposal in which such modifiers are to be analyzed as part of the VP (e.g. Li and Thompson 1974; Tai 1973; Teng 1974), this paper also provides and motivates a transformation proposed here as modifier postposing. It is shown that the recent proposal is inadequate because it provides no syntactic and semantic evidence in support of such a hypothesis. It will be argued that such modifiers can be best derived by undergoing a rule of modifier postposing, moving a modifier from a prenominal position to a postverbal position.

# 1. Nominal Modifiers and Noun Phrases

## 1.1. M-N Hypothesis vs M-N Hypothesis

In Chinese nominal modifiers (determiners, quantifiers, adjectives, relative clauses, etc.) can occur either before or after a modified noun. This is exemplified in the following sets of sentences.

### A. Quantifiers

- |        |         |           |         |                              |
|--------|---------|-----------|---------|------------------------------|
| (1) a. | Qiúanbù | xiuéshēng | láile.  | 'All of the students came.'  |
|        | all     | student   | came    |                              |
|        | b.      | xiuéshēng | qiúanbù | láile.                       |
|        |         | student   | all     | came                         |
| (2) a. | Měiyígè | xiuéshēng | láile.  | 'Each of the students came.' |
|        | each    | student   | came    |                              |
|        | b.      | xiuéshēng | měiyígè | láile.                       |
|        |         | student   | each    | came                         |
| (3) a. | Móxiē   | xiuéshēng | láile.  | 'Some students came.'        |
|        | some    | student   | came    |                              |
|        | b.      | xiuéshēng | móxiē   | láile.                       |
|        |         | student   | some    | came                         |
| (4) a. | Hěnduō  | xiuéshēng | láile.  | 'Many students came.'        |
|        | many    | student   | came    |                              |
|        | b.      | xiuéshēng | hěnduō  | láile.                       |
|        |         | student   | many    | came                         |
| (5) a. | Yìbǎigè | xiuéshēng | láile.  | 'One hundred students came.' |
|        | 100     | student   | came    |                              |
|        | b.      | xiuéshēng | yìbǎigè | láile.                       |
|        |         | student   | 100     | came                         |

### B. Determiners

- |        |        |           |        |                        |
|--------|--------|-----------|--------|------------------------|
| (6) a. | Nèixiē | xiuéshēng | láile. | 'Those students came.' |
|        | those  | student   | came   |                        |
|        | b.     | xiuéshēng | nèixiē | láile.                 |
|        |        | student   | those  | came                   |

### C. Adjective

- |        |             |           |        |                                  |
|--------|-------------|-----------|--------|----------------------------------|
| (7) a. | Tsūngmíngde | xiuéshēng | láile. | 'The intelligent students came.' |
|        | intelligent | student   | came   |                                  |

b. Xiúeshēng tsūngmíngde láile.  
student intelligent came

#### D. Measure Phrase

- (8) a. Èrshísuèidàde xiúeshēng láile. 'The twenty-year-old students came.'  
20-year-old student came
- b. Xiúeshēng èrshísuèidàde láile.  
student 20-year-old came

#### E. Relative Clause

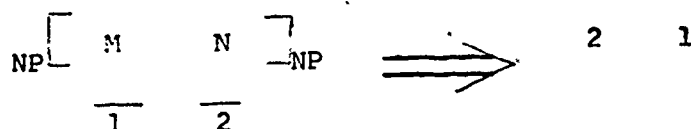
- (9) a. Dài yǎnjìng de xiúeshēng láile. 'The students who wear glasses came.'  
wear glasses rel. student came
- b. Xiúeshēng dài yǎnjìng de láile.  
student wear glasses rel. came

There are in general two ways in which sentences (1)-(9) may be analyzed. One way is to claim that nominal modifiers such as quantifiers, determiners, adjectives, measure phrases, and relative clauses in prenominal positions as shown in (1a)-(9a) are underlying forms, and those in postnominal positions as shown in sentences (1b)-(9b) are derived forms. Within this type of analysis, on the one hand, in order to generate sentences (1a)-(9a), a phrase structure rule such as follows is needed.

$$(10) \quad NP \longrightarrow (M) + N$$

where M = quantifiers,  
determiners,  
adjectives,  
measure phrase,  
relative clause, etc.

On the other hand, to derive sentences (1b)-(9b) from (1a)-(9a) respectively, an optional transformation that moves nominal modifier to a postnominal position is also needed. The proposed M-N inversion transformation can be schematized as shown in (11).



where M = quantifiers,  
determiners,  
adjectives,  
measure phrase,  
relative clause, etc.

The other possibility is that we can claim that nominal modifiers in postnominal positions as shown in (1b)-(9b) are underlying forms, and those in prenominal positions as shown in (1a)-(9a) are derived forms. Within this type of analysis, on the one hand, in order to generate sentences (1b)-(9b), a phrase structure rule such as follows is needed:

$$(12) \quad \text{NP} \longrightarrow \text{N} + (\text{M})$$

where M = quantifiers,  
determiners  
adjectives,  
measure phrase,  
relative clause, etc.

To derive sentences (1a)-(9a) from (1b)-(9b) respectively, on the other hand, a transformation that moves modifiers to a prenominal position such as (13) is needed.

$$(13) \quad \text{N-M Inversion:} \quad \text{NP} \left[ \begin{array}{cc} \text{N} & \text{M} \\ \hline 1 & 2 \end{array} \right] \Rightarrow \begin{array}{cc} 2 & 1 \end{array}$$

In the case of sentences containing one modifier such as (1a)-(9a), these two analyses seem to be equally adequate, since both make correct predictions about surface forms of modified NP in Chinese. Below I would like to show how the proposed hypothesis that Chinese NP has N-M as the underlying order, with M-N being derived, is preferable to the one that it has M-N as the underlying order with N-M being derived. The proposed analysis is superior because it does not need a set of complex constraints on the rule postposing modifiers in accounting for various surface patterns exhibited by NP's containing more than one modifier.

#### 1.2. Noun Phrases Containing Two Modifiers

This section provides a discussion on how the above two analyses can handle cases where NP's containing two nominal modifiers. For the sake of discussion, let us consider the following sets of sentences:

##### A. Quantifiers and Determiners

- (14) a. Qiúanbù xiúeshēng nèixiē lái. 'All of those students came.'  
 all student those came  
 b. Nèixiē xiúeshēng qiúanbù lái.  
 those student all came  
 c. Xiúeshēng nèixiē qiúanbù lái.  
 student those all came  
 d.\* Qiúanbù nèixiē xiúeshēng lái.  
 all those student came
- (15) a. Méiyige xiúeshēng nèixiē lái. 'Each of those students  
 each student those came  
 came.'

- b. Nèixie xiuéshēng měiyīgè lái.   
 those student each came
- c. Xiuéshēng nèixie měiyīgè lái.   
 student those each came
- d. \*Měiyīgè nèixie xiuéshēng lái.   
 each those student came
- (16) a. Mòxie xiuéshēng nèixie lái. 'Some of those students came.'   
 some student those came
- b. Nèixie xiuéshēng mòxie lái.   
 those student some came
- c. Xiuéshēng nèixie mòxie lái.   
 student those some came
- d. \*Mòxie nèixie xiuéshēng lái.   
 some those student came
- (17) a. Hěnduō xiuéshēng nèixie lái. 'Many of those students came.'   
 many student those came
- b. Nèixie xiuéshēng hěnduō lái.   
 those student many came
- c. Xiuéshēng nèixie hěnduō lái.   
 student those many came
- d. \*Hěnduō nèixie xiuéshēng lái.   
 many those student came
- (18) a. Yībǎigè xiuéshēng nèixie lái. 'One hundred of those students came.'   
 100 student those came
- b. Nèixie xiuéshēng yībǎigè lái.   
 those student 100 came
- c. Xiuéshēng nèixie yībǎigè lái.   
 student those 100 came
- d. \*Yībǎigè nèixie xiuéshēng lái.   
 100 those student came

#### B. Quantifiers and Adjectives

- (19) a. Qiúanbù xiuéshēng tsūngmíngde lái. 'All of the intelligent students came.'   
 all student intelligent came
- b. Tsūngmíngde xiuéshēng qiúanbù lái.   
 intelligent student all came



- c. Xiúeshēng tsūngmíngde qiúanbù láiile.  
student intelligent all came
- d. \*Qiúanbù tsūngmíngde xiúeshēng láiile.  
all intelligent student came
- (20) a. Méiyīgè xiúeshēng tsūngmíngde láiile. 'Each of the intelligent  
each student intelligent came students came.'
- b. Tsūngmíngde xiúeshēng méiyīgè láiile.  
intelligent student each came
- c. Xiúeshēng tsūngmíngde méiyīgè láiile.  
student intelligent each came
- d. \*Méiyīgè tsūngmíngde xiúeshēng láiile.  
each intelligent student came
- (21) a. Mòxiē xiúeshēng tsūngmíngde láiile. 'Some of the intelligent  
some student intelligent came
- b. Tsūngmíngde xiúeshēng mòxiē láiile.  
intelligent student some came
- c. Xiúeshēng tsūngmíngde mòxiē láiile.  
student intelligent some came
- d. \*Mòxiē tsūngmíngde xiúeshēng láiile.  
some intelligent student came
- (22) a. Hěnduō xiúeshēng tsūngmíngde láiile. 'Many of the intelligent  
many student intelligent came
- b. Tsūngmíngde xiúeshēng hěnduō láiile.  
intelligent student many came
- c. Xiúeshēng tsūngmíngde hěnduō láiile.  
student intelligent many came
- d. \*Hěnduō tsūngmíngde xiúeshēng láiile.  
many intelligent student came
- (23) a. Yībǎigè xiúeshēng tsūngmíngde láiile. 'One hundred of the intelligent  
100 student intelligent came students came.'
- b. Tsūngmíngde xiúeshēng yībǎigè láiile.  
intelligent student 100 came
- c. Xiúeshēng tsūngmíngde yībǎigè láiile.  
student intelligent 100 came
- d. \*Yībǎigè tsūngmíngde xiúeshēng láiile.  
100 intelligent student came

## C. Quantifiers and Relative Clauses

- (24) a. Qiúanbù xiuéshēng dài yǎnjìng de lái. 'All of the students  
all student wear glasses rel. came  
came.'  
b. Dài yǎnjìng de xiuéshēng qiúanbù lái.  
wear glasses rel. student all came  
c. Xiuéshēng dài yǎnjìng de qiúanbù lái.  
student wear glasses rel. all came  
d. \*Qiúanbù dài yǎnjìng de xiuéshēng lái.  
all wear glasses rel. student came
- (25) a. Měiyīgè xiuéshēng dài yǎnjìng de lái. 'Each of the students  
each student wear glasses rel. came  
came.'  
b. Dài yǎnjìng de xiuéshēng měiyīgè lái.  
wear glasses rel. student each came  
c. Xiuéshēng dài yǎnjìng de měiyīgè lái.  
student wear glasses rel. each came  
d. \*Měiyīgè dài yǎnjìng de xiuéshēng lái.  
each wear glasses rel. student came
- (26) a. Mòxiē xiuéshēng dài yǎnjìng de lái. 'Some of the students  
some student wear glasses rel. came  
came.'  
b. Dài yǎnjìng de xiuéshēng mòxiē lái.  
wear glasses rel. student some came  
c. Xiuéshēng dài yǎnjìng de mòxiē lái.  
student wear glasses rel. some came  
d. \*Mòxiē dài yǎnjìng de xiuéshēng lái.  
some wear glasses rel. student came
- (27) a. Hěnduō xiuéshēng dài yǎnjìng de lái. 'Many of the students  
many student wear glasses rel. came  
came.'  
b. Dài yǎnjìng de xiuéshēng hěnduō lái.  
wear glasses rel. student many came  
c. Xiuéshēng dài yǎnjìng de hěnduō lái.  
student wear glasses rel. many came  
d. \*Hěnduō dài yǎnjìng de xiuéshēng lái.  
many wear glasses rel. student came
- (28) a. Yībǎigè xiuéshēng dài yǎnjìng de lái. 'One hundred of the  
100 student wear glasses rel. came  
students who wear  
glasses came.'  
b. Dài yǎnjìng de xiuéshēng yībǎigè lái.  
wear glasses rel. student 100 came

- c. Xiúshēng dài yǎnjìng de yībǎige láiile.  
student wear glasses rel. 100 came
- d. \*Yībǎige dài yǎnjìng de xiúshēng láiile.  
100 wear glasses rel. student came

D. Quantifiers and Measure Phrases:

- (29) a. Qiúanbù xiúshēng èrshísuìdàde láiile. 'All of the 20-year-old  
all student 20-year-old students came.'
- b. Èrshísuìdàde xiúshēng qiúanbù láiile.  
20-year-old student all came
- c. Xiúshēng èrshísuìdàde qiúanbù láiile.  
student 20-year-old all came
- d. \*Qiúanbù èrshísuìdàde xiúshēng láiile.  
all 20-year-old student came
- (30) a. Měiyīgè xiúshēng èrshísuìdàde láiile. 'Each of the 20-year-old  
each student 20-year-old students came.'
- b. Èrshísuìdàde xiúshēng měiyīgè láiile.  
20-year-old student each came
- c. Xiúshēng èrshísuìdàde měiyīgè láiile.  
student 20-year-old each came
- d. \*Měiyīgè èrshísuìdàde xiúshēng láiile.  
each 20-year-old student came
- (31) a. Mòxiē xiúshēng èrshísuìdàde láiile. 'Some of the 20-year-old  
some student 20-year-old students came.'
- b. Èrshísuìdàde xiúshēng mòxiē láiile.  
20-year-old student some came
- c. Xiúshēng èrshísuìdàde mòxiē láiile.  
student 20-year-old some came
- d. \*Mòxiē èrshísuìdàde xiúshēng láiile.  
some 20-year-old student came
- (32) a. Hěnduō xiúshēng èrshísuìdàde láiile. 'Many of the 20-year-old  
many student 20-year-old students came.'
- b. Èrshísuìdàde xiúshēng hěnduō láiile.  
20-year-old student many came
- c. Xiúshēng èrshísuìdàde hěnduō láiile.  
student 20-year-old many came
- d. \*Hěnduō èrshísuìdàde xiúshēng láiile.  
many 20-year-old student came

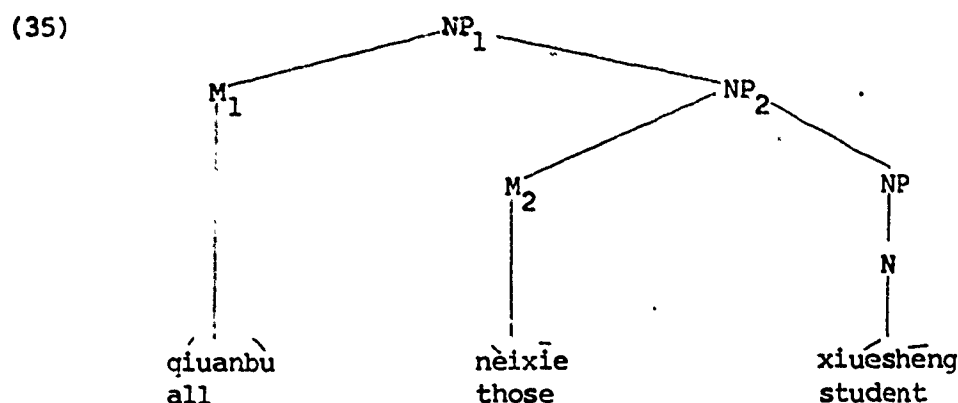
- (33) a.  $\dot{y}i\dot{b}\dot{a}i\dot{g}\dot{e}$   $\dot{x}i\dot{u}\dot{e}sh\dot{e}ng$   $\dot{e}rsh\dot{i}s\dot{u}i\dot{d}\dot{a}de$   $\dot{l}\dot{a}i\dot{l}\dot{e}$ . 'One hundred of the 20-year-old students came.'  
 100 student 20-year-old came  
 b.  $\dot{E}rsh\dot{i}sh\dot{i}d\dot{a}de$   $\dot{x}i\dot{u}\dot{e}sh\dot{e}ng$   $\dot{y}i\dot{b}\dot{a}i\dot{g}\dot{e}$   $\dot{l}\dot{a}i\dot{l}\dot{e}$ .  
 20-year-old student 100 came  
 c.  $\dot{x}i\dot{u}\dot{e}sh\dot{e}ng$   $\dot{e}rsh\dot{i}s\dot{u}i\dot{d}\dot{a}de$   $\dot{y}i\dot{b}\dot{a}i\dot{g}\dot{e}$   $\dot{l}\dot{a}i\dot{l}\dot{e}$ .  
 student 20-year-old 100 came  
 d.  $\dot{y}i\dot{b}\dot{a}i\dot{g}\dot{e}$   $\dot{e}rsh\dot{i}s\dot{u}i\dot{d}\dot{a}de$   $\dot{x}i\dot{u}\dot{e}sh\dot{e}ng$   $\dot{l}\dot{a}i\dot{l}\dot{e}$ .  
 100 20-year-old student came

A close examination of sentences (14)-(33) shows that the acceptable and unacceptable sentences fall into the following patterns:

- (34) a.  $[M_1 [N M_2 ]_{NP_2} ]_{NP_1}$  e.g. (14a) - (33a)  
 b.  $[ [M_2 N ]_{NP_2} M_1 ]_{NP_1}$  (14b) - (33b)  
 c.  $[ [N M_2 ]_{NP_2} M_1 ]_{NP_1}$  (14c) - (33c)  
 d.  $[M_1 [M_2 N ]_{NP_2} ]_{NP_1}$  (14d) - (33d)

### 1.2.1. M-N Hypothesis

Let us first see how the M-N hypothesis can account for the above patterns. Taking the position that the underlying order for Chinese NP is M-N, with N-M being derived by the M-N inversion transformation, then the modified NP in (14), for example, will have the following underlying structure:



Given a structure like (35), in order to derive (14a, b, c)-(33a, b, c), but to block (14d)-(33d), a set of complex constraints on the rule of M-N inversion are needed. First, in order to yield the form  $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} N \\ M_2 \end{array} \right]_{NP_2} \end{array} \right]_{NP_1}$  (e.g.

(14a)-(33a)), it is necessary to constrain the rule of M-N inversion so that only the lower NP ( $NP_2$ ) can be affected. Second, in order to yield the form  $\left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} N \\ M_1 \end{array} \right]_{NP_1} \end{array} \right]_{NP_2}$  (e.g. (14b)-(33b)), it is necessary to constrain

the rule so that only the higher NP ( $NP_1$ ) can be affected. Third, to yield the form  $\left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_2 \\ M_1 \end{array} \right]_{NP_1} \end{array} \right]_{NP_2}$  (e.g. (14c)-(33c)) but to block  $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_2 \\ N \end{array} \right]_{NP_2} \end{array} \right]_{NP_1}$

(e.g. (14d)-(33d)) it is necessary to constrain the rule so that both the higher and lower NP's ( $NP_1$  and  $NP_2$ ) can be affected. The first constraint, according to which only the lower NP can be affected by the rule, suggests that the M-N inversion in Chinese is an 'upward bound' rule (Ross 1967:146). However, such a proposal is not valid because it contradicts the second constraint, according to which only the higher NP can be affected by the rule. In other words, the second constraint suggests that the M-N inversion obeys the 'A-over-A' principle (Chomsky 1973:235). According to this principle, the rule of M-N inversion would allow only the maximum NP to be chosen to account for cases such as (14b)-(33b). Again, this proposal is not valid either because if the rule of M-N inversion in Chinese were to obey the 'A-over-A' principle, then it would fail to explain cases such as (14a)-(33a) where the lower NPs are affected by the rule. The third constraint, according to which both higher and lower NPs ( $NP_1$  and  $NP_2$ ) can be affected by the rule, suggests that the proposed M-N inversion obligatorily applies to every representation that satisfies its structural description. However, such a proposal is not valid either because it does not hold for cases like (14a, b)-(33a, b), according to which only one of the NP's can be affected by the rule.

The major difficulty in this analysis is the problem of how the rule of M-N inversion can be adequately constrained so that only the well-formed sentences can be generated. A close examination of (34) demonstrated that there is a significant relationship between the well-formedness of sentences and the selection of the NP's to which the rule of M-N inversion can apply. On the one hand, a sentence is well-formed if either one<sup>1</sup> or both NP's is affected by the rule. On the other hand, a sentence is ill-formed if none of the NP's is affected by the rule. This clearly suggests that the rule of M-N inversion cannot be interpreted as an optional rule to account for sentences whose NP's containing two modifiers such as (14)-(33). Rather, it must be obligatory in one case, and it must be optional in the other. In other words, to account for cases (14c)-(33c) but to block (14d)-(33d), the rule of M-N inversion applies to all the NP's ( $NP_1$  and  $NP_2$ ) that satisfies its structural description. On the contrary, to account for cases such as (14a, b)-(33a, b), the same rule obligatorily applies to one of the NP's leaving the other NP unaffected. This means that after the rule of M-N inversion has operated on one of the NP's, the same rule is optionally applied to the other. For example, in order to derive (14a) from (35) we can make the rule of M-N inversion obligatorily apply to  $NP_2$  but leaving  $NP_1$  unaffected by saying that after the rule has applied to  $NP_2$  the same rule is optionally applied to  $NP_1$ . To sum up, in order to account for the well-formedness on cases like (14)-(33), the proposed M-N inversion rule as in (11) must be somehow reformulated in the following fashion:

(36)	X	Y	M	Z	N	NP	W		1	2	Ø	4	5+3	6
	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>		6	$\Rightarrow$						

- Conditions: (i) obligatory if 2 or 4 contains M  
 (ii) optional otherwise  
 (iii) W does not contain an NP

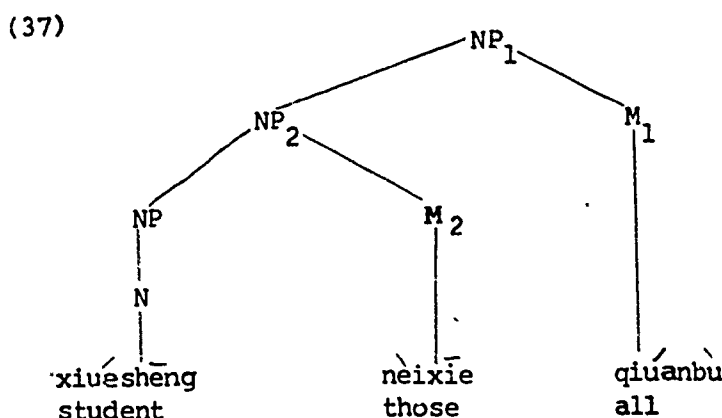
M = quantifiers,  
 determiners,  
 adjectives,  
 measure phrase,  
 relative clause,  
 etc.

The problem in (36) is that the constraints on the rule of M-N inversion is so complex that it would greatly complicate the grammar. Another factor argues against the M-N hypothesis is that starting out with a sequence such as  $[M_1 [M_2 N]_{NP}]$  in the underlying structure is syntactically unmotivated since a structure as such never occurs in the surface.

It has been argued in this section that Chinese sentences whose NP's containing two modifiers cannot be explained with an M-N sequence underlyingly unless certain highly complex constraints are implemented into the grammar. Below I will argue that various surface patterns can be nicely accounted for with an N-M sequence without positing complex constraints on the rule.

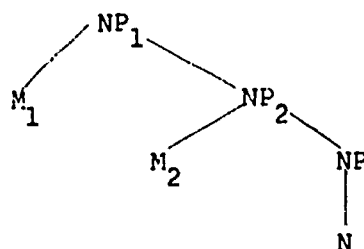
### 1.2.2. N-M Hypothesis

Starting out with a N-M sequence as the underlying order, the modified NP in (14) will have the following underlying structure:

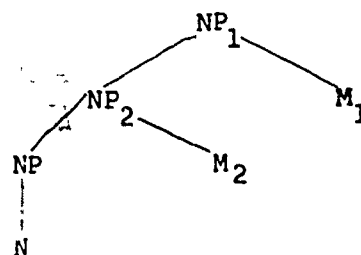


Three facts should be noticed here. First, a structure like (37) is a mirror image of (35). Consider:

(38) a.



b.



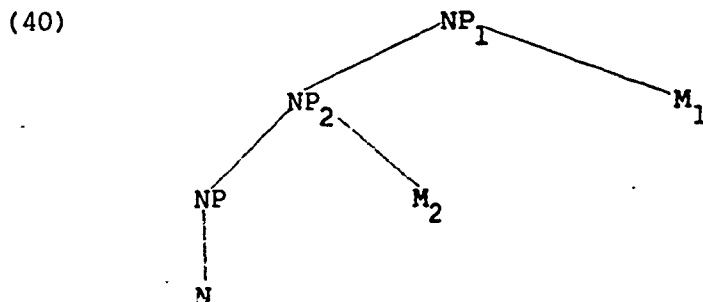
Second, the selection of the NP's to which the rule inverting modifiers and nouns of these two structures are in complementary distribution. For example, to derive (14a) within the M-N hypothesis, it is necessary to apply the rule of M-N inversion to NP<sub>2</sub> but not to NP<sub>1</sub>, whereas in this analysis the rule of N-M inversion must affect NP<sub>1</sub> but not NP<sub>2</sub>. To obtain (14b) within the M-N hypothesis, it is necessary to apply the rule of M-N inversion to NP<sub>1</sub> but not to NP<sub>2</sub>, whereas in this analysis it is necessary to apply the rule of N-M inversion to NP<sub>2</sub> but not to NP<sub>1</sub>. To obtain (14c) within the M-N hypothesis, it is necessary to apply the rule of M-N inversion to both NP<sub>1</sub> and NP<sub>2</sub>, whereas in this analysis none of the NP's is affected by the rule of N-M inversion. Third, the acceptability of (14a, b) - (33a, b) and the unacceptability of (14d) - (33d) are related to the selection of the NP's to be affected by the rule of N-M inversion; namely a sentence is well-formed if only one NP is affected by the rule within the domain of a complex NP. Otherwise a sentence is ill-formed. Consider the following table:

(39)

<u>surface form</u>	<u>sentence</u>	<u>NP's are affected by the N-M inversion</u>	<u>well-formedness</u>
$[M_1 [N M_2 ]_{NP_2} ]_{NP_1}$	(14a-33a)	NP <sub>1</sub>	well-formed
$[ [M_2 N ]_{NP_2} M_1 ]_{NP_1}$	(14b-33b)	NP <sub>2</sub>	well-formed
$[ [N M_2 ]_{NP_2} M_1 ]_{NP_1}$	(14c-33c)	∅ (generated by phrase structure rules in the base)	well-formed
$*[M_1 [M_2 N ]_{NP_2} ]_{NP_1}$	(14d-33d)	NP <sub>1</sub> , NP <sub>2</sub>	ill-formed



The above demonstrates that we can account for the well-formedness of (14a, b, c, d)-(33a, b, c, d) by constraining the rule of N-M inversion in such a way it can apply to any NP, either a higher one or a lower one, but only once within the domain of a possible complex NP.<sup>1</sup> In other words, in the following underlying structure:



The rule of N-M inversion can apply to either  $NP_1$  or  $NP_2$ , but only one of them can be affected. For example, if  $NP_1$  is chosen, then  $NP_2$  cannot be chosen; and if  $NP_2$  is chosen, then  $NP_1$  cannot be chosen. The proposed constraint on the rule of N-M inversion can be summarized as follows:

- (41) N-M inversion can apply to any NP that satisfies its structural description but only once within the domain of a possible complex NP.

Comparing this analysis based on our N-M hypothesis with the one based on the M-N hypothesis, we conclude that the former is more desirable than the latter because it provides a nice account of various surface patterns without positing highly complex constraints on the rule.

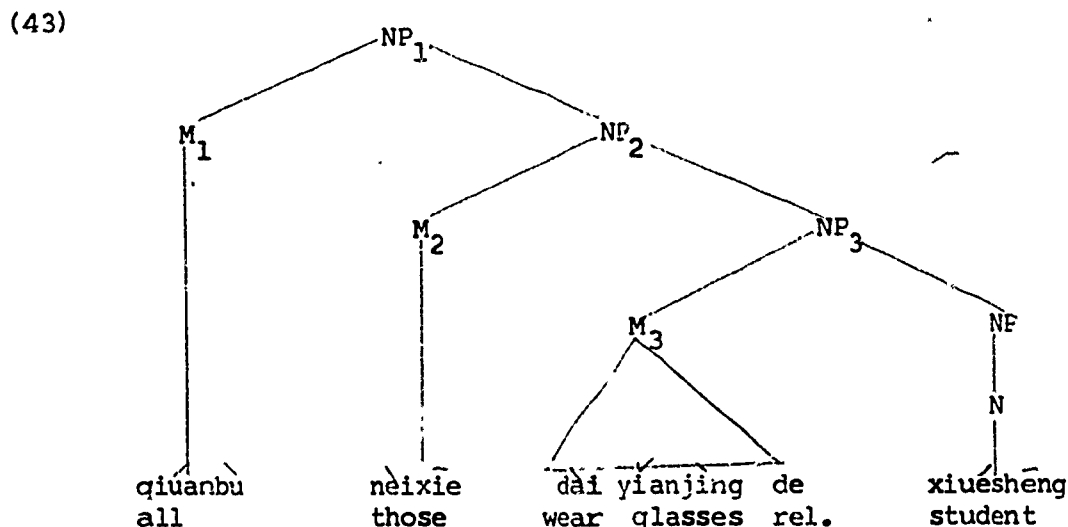
### 1.3. NP's Containing Three Modifiers

Another set of sentences that provide support for the proposed N-M hypothesis is to be found in the following:

- (42)a. Qiúanbù xiuéshēng nèixiē dài yǎnjìng de lái. 'All of those  
all student those wear glasses rel. came students who  
wear glasses  
came.'
- b. Dài yǎnjìng de xiuéshēng nèixiē qiúanbù lái.  
wear glasses rel. student those all came
- c. Nèixiē xiuéshēng dài yǎnjìng de qiúanbù lái.  
those student wear glasses rel. all came
- d. Xiuéshēng nèixiē dài yǎnjìng de qiúanbù lái.  
student those wear glasses rel. all came
- e. \*Dài yǎnjìng de nèixiē xiuéshēng qiúanbù lái.  
wear glasses rel. those student all came
- f. \*Qiúanbù nèixiē xiuéshēng dài yǎnjìng de lái.  
all those student wear glasses rel. came
- g. \*Qiúanbù dài yǎnjìng de xiuéshēng nèixiē lái.  
all wear glasses rel. student those came
- h. \*Qiúanbù dài yǎnjìng de nèixiē xiuéshēng lái.  
all wear glasses rel. those student came

### 1.3.1. M-N Hypothesis

Let us first take a look at how the proposed M-N hypothesis can handle sentences above. Starting out with a M-N sequence underlyingly, NP in (42) will have the following underlying structure:



For the sake of convenience, consider the patterns as exhibited in (42):

(44)a.  $\left[ \left[ M_1 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} M_2 \right]_{NP_1}$  e.g. (42a)

b.  $\left[ \left[ M_2 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} M_1 \right]_{NP_1}$  (42b)

c.  $\left[ \left[ \left[ M_3 \ N \right]_{NP_3} M_2 \right]_{NP_2} M_1 \right]_{NP_1}$  (42c)

d.  $\left[ \left[ \left[ N \ M_3 \right]_{NP_3} M_2 \right]_{NP_2} M_1 \right]_{NP_1}$  (42d)

e.  $\bullet \left[ \left[ M_2 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} M_1 \right]_{NP_1}$  (42e)

f.  $\bullet \left[ \left[ M_1 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} M_2 \right]_{NP_1}$  (42f)

g.  $\bullet \left[ M_1 \left[ M_2 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} \right]_{NP_1}$  (42g)

h.  $\bullet \left[ M_1 \left[ M_2 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} \right]_{NP_1}$  (42h)

and the table indicating the relationships between the well-formedness of the sentences and the selection of the NP's to which the rule of M-N inversion can apply.

(45)		NP's are affected by the M-N inversion rule	NP's are not affected by the M-N inversion rule	well-formedness
surface form	sentence			
$\left[ \left[ M_1 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} M_2 \right]_{NP_1}$	e.g. (42a)	NP <sub>2</sub> , NP <sub>3</sub>	NP <sub>1</sub>	well-formed
$\left[ \left[ M_2 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} M_1 \right]_{NP_1}$	(42b)	NP <sub>1</sub> , NP <sub>3</sub>	NP <sub>2</sub>	well-formed
$\left[ \left[ \left[ M_3 \ N \right]_{NP_3} M_2 \right]_{NP_2} M_1 \right]_{NP_1}$	(42c)	NP <sub>1</sub> , NP <sub>2</sub>	NP <sub>3</sub>	well-formed
$\left[ \left[ \left[ N \ M_3 \right]_{NP_3} M_2 \right]_{NP_2} M_1 \right]_{NP_1}$	(42d)	NP <sub>1</sub> , NP <sub>2</sub> , NP <sub>3</sub>	∅	well-formed
$\bullet \left[ \left[ M_2 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} M_1 \right]_{NP_1}$	(42e)	NP <sub>1</sub>	NP <sub>2</sub> , NP <sub>3</sub>	ill-formed
$\bullet \left[ \left[ M_1 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} M_2 \right]_{NP_1}$	(42f)	NP <sub>2</sub>	NP <sub>1</sub> , NP <sub>3</sub>	ill-formed

• $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$	(42g)	$NP_3$	$NP_1, NP_2$	ill-formed
• $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$	(42h)	(generated by phrase structure rules in the base)	$\emptyset$	ill-formed

The above table demonstrate that in order to derive well-formed sentences (e.g. 42 a, b, c, d), but to block ill-formed ones (e.g. 42 e, f, g, h), a number of complex constraints on the rule of M-N inversions are needed.

First, to derive the form  $\left[ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42d) but to

block the form •  $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42h) it is necessary

to constrain the rule of M-N inversion so that it must apply to every NP, moving  $M_1$ ,  $M_2$ , and  $M_3$  to a postnominal position. Second, to obtain the form

$\left[ \left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42a),  $\left[ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$

(e.g. 42b),  $\left[ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42c), but to block the forms

•  $\left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42e), •  $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$

(e.g. 42f), and •  $\left[ \begin{array}{c} M_1 \\ \left[ \begin{array}{c} M_2 \\ \left[ \begin{array}{c} N \\ \left[ \begin{array}{c} M_3 \\ \left[ \begin{array}{c} NP_3 \\ \left[ \begin{array}{c} NP_2 \\ \left[ \begin{array}{c} NP_1 \end{array} \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right] \end{array} \right]$  (e.g. 42g), it is necessary

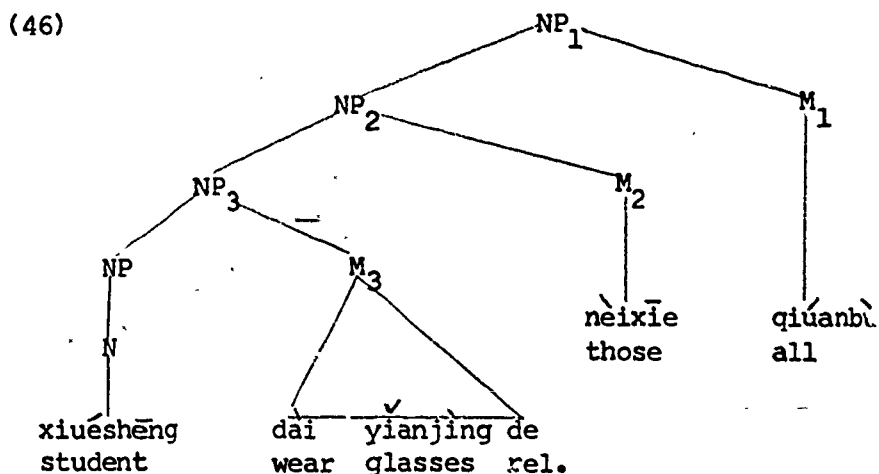
to constrain the rule so that it must apply to every NP that satisfies its structural description but at least one of those NP's must not be affected by the rule.

The above demonstrates not only that our analysis based on the M-N hypothesis is not desirable because of the complication involved with the derivation, but also that, if sentences like (42a-42d) are assumed to have an underlying structure like (43), then the only way to derive these sentences but to block (42e-42h), is to implement a set of complex constraints as in (36) on the rule of M-N inversion, thereby complicating the grammar. For example,

to derive (42a), the rule of M-N inversion obligatorily applies to  $NP_2$  and  $NP_3$  but optionally to  $NP_1$ . On the other hand, to derive (42d), the same rule obligatorily applies to  $NP_1$ ,  $NP_2$ , and  $NP_3$ . Constraints as such, as I have argued earlier, is not desirable because it would greatly complicate the grammar.

### 1.3.2. N-M Hypothesis

Following the N-M hypothesis proposed earlier, starting out with a N-M sequence the modified NP in (42) will have the following underlying structure:



It is relatively easy to show that previously proposed constraint as in (41) on the rule of N-M inversion holds here. In order to demonstrate that we have to show that the significant relationship between the well-formedness of sentences of (42) and the selection of the NP's to which the rule of N-M inversion can apply hold cases like (42). Consider the following table:

(47)

surface form	sentence	NP's are affected by the N-M inversion rule	NP's are not affected by the N-M inversion rule	well-formedness
$[[[M_1[N M_3]NP_3]M_2]NP_2]NP_1$	e.g. (42a)	$NP_1$	$NP_2, NP_3$	well-formed
$[[[M_2[N M_3]NP_3]NP_2]M_1]NP_1$	(42b)	$NP_2$	$NP_1, NP_3$	well-formed

$\left[ \left[ \left[ M_3 \right] N \right]_{NP_3} \left[ M_2 \right]_{NP_2} \left[ M_1 \right]_{NP_1} \right]$	(42c)	$NP_3$	$NP_1, NP_2$	well-formed
$\left[ \left[ \left[ N \right] M_3 \right]_{NP_3} \left[ M_2 \right]_{NP_2} \left[ M_1 \right]_{NP_1} \right]$	(42d)	$\emptyset$	(generated by phrase structure rules in the base)	well-formed
$\bullet \left[ \left[ \left[ M_2 \right] M_3 \right] N \right]_{NP_3} \left[ M_1 \right]_{NP_1}$	(42e)	$NP_2, NP_3$	$NP_1$	ill-formed
$\bullet \left[ \left[ \left[ M_1 \right] M_3 \right] N \right]_{NP_3} \left[ M_2 \right]_{NP_2} \right]_{NP_1}$	(42f)	$NP_1, NP_3$	$NP_2$	ill-formed
$\bullet \left[ \left[ M_1 \right] \left[ M_2 \right] N \right] M_3 \left[ M_1 \right]_{NP_1}$	(42g)	$NP_1, NP_2$	$NP_3$	ill-formed
$\bullet \left[ \left[ M_1 \right] \left[ M_2 \right] M_3 \right] N \left[ M_1 \right]_{NP_1}$	(42h)	$NP_1, NP_2, NP_3$	$\emptyset$	ill-formed

The above table demonstrates that there is a significant relationship between the well-formedness of sentences and the selection of the NP's to which the rule of N-M inversion can apply. The fact is that the acceptability of (42a, b, c, d) and the unacceptability of (42e, f, g, h) are related to the selection of the NP's to which the rule of N-M inversion can apply. A sentence is well-formed if one or no NP is affected by the rule. Otherwise a sentence is ill-formed. This clearly shows that the constraint on N-M inversion proposed earlier as in (41) not only holds for cases whose NP's containing two modifiers, but also holds for cases whose NP's containing three modifiers such as (42).

The argument is that we can account for the well-formedness of sentences (42a-d) by constraining the rule of N-M inversion as in (41). For example, there is

only one NP chosen to which the rule of N-M inversion applies in the forms

$\left[ \left[ \left[ M_1 \right] N \right] M_3 \right]_{NP_3} \left[ M_2 \right]_{NP_2} \right]_{NP_1}$  (e.g. 42a),  $\left[ \left[ \left[ M_2 \right] N \right] M_3 \right]_{NP_3} \left[ M_1 \right]_{NP_1}$

(e.g. 42b), and  $\left[ \left[ \left[ M_3 \right] N \right] M_2 \right]_{NP_2} \left[ M_1 \right]_{NP_1}$  (e.g. 42c). Thus they are well-

formed. On the other hand, there are two NP's chosen to which the rule applies

in the forms  $\bullet \left[ \left[ \left[ M_2 \right] M_3 \right] N \right]_{NP_3} \left[ M_1 \right]_{NP_1}$  (e.g. 42e),

•  $\left[ \left[ M_1 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} \right]_{NP_1}$  (e.g. 42f) and •  $\left[ M_1 \left[ M_2 \left[ N \ M_3 \right]_{NP_3} \right]_{NP_2} \right]_{NP_1}$

(e.g. 42g). Thus they are ill-formed. Similarly, there are three NP's chosen to which the rule applies in the form •  $\left[ M_1 \left[ M_2 \left[ M_3 \ N \right]_{NP_3} \right]_{NP_2} \right]_{NP_1}$

(e.g. 42h). Thus it is ill-formed.

Comparing this analysis based on our N-M hypothesis with the one based on the M-N hypothesis, we can conclude again, that the former is more desirable because it provides a nice account of various surface patterns without positing complex constraints on the rule.

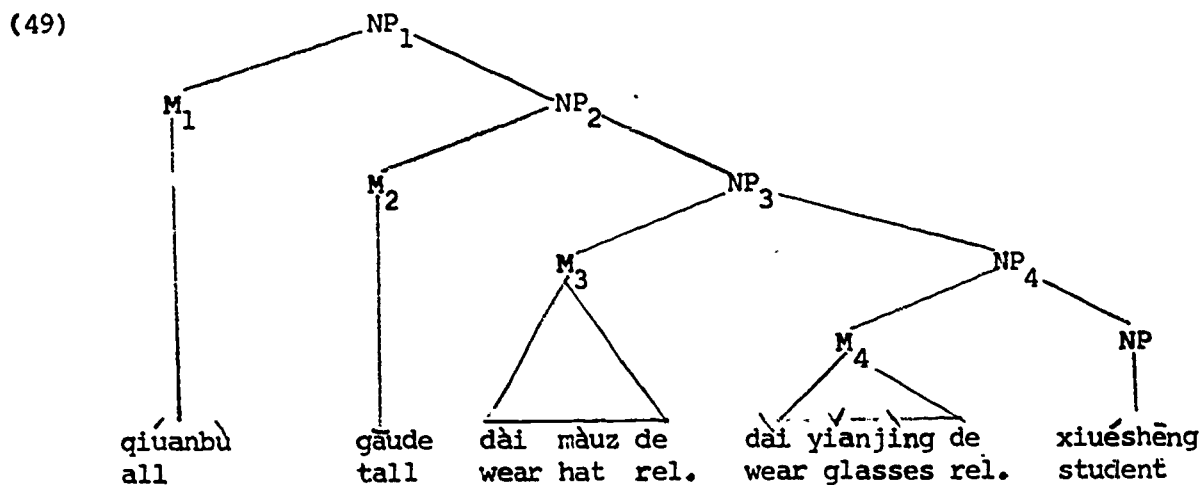
#### 1.4. NPs Containing Four Modifiers

Another set of sentences that provide further support for the proposed N-M hypothesis is to be found in the following:

- (48)a. Qiúanbù xiuéshēng dài mǎuz de dài yǎnjìng de gāu de lái.   
 all student wear hat re. wear glasses rel. tall rel. came   
 'All of the tall students who wear hats who wear glasses came.'
- b. Gāude xiuéshēng dài mǎuz de dài yǎnjìng de qiúanbù lái.   
 tall student wear hat rel. wear glasses rel. all came
- c. Dài yǎnjìng de xiuéshēng dài mǎuz de gāude qiúanbù lái.   
 wear glasses rel. students wear hat rel. tall all came
- d. Dài mǎuz de xiuéshēng dài yǎnjìng de gāude qiúanbù lái.   
 wear hat rel. student wear glasses rel. tall all came
- e. Xiuéshēng dài mǎuz de dài yǎnjìng de gāude qiúanbù lái.   
 student wear hat rel. wear glasses rel. tall all came
- f. • Gāude dài yǎnjìng de . dài mǎuz de xiuéshēng qiúanbù lái.   
 tall wear glasses rel. wear hat rel. student all came
- g. • Qiúanbù dài yǎnjìng de dài mǎuz de xiuéshēng gāude lái.   
 all wear glasses rel. wear hat rel. student tall came
- h. • Qiúanbù gāude dài mǎuz de xiuéshēng dài yǎnjìng de lái.   
 all tall wear hat rel. student wear glasses rel. came
- i. • Qiúanbù gāude dài yǎnjìng de xiuéshēng dài mǎuz de lái.   
 all tall wear glasses rel. student wear hat rel. came
- j. • Qiúanbù gāude dài yǎnjìng de dài mǎuz de xiuéshēng lái.   
 all tall wear glasses rel. wear hat rel. student came.

## 1.4.1. M-N Hypothesis

Starting out with a M-N sequence the underlying structure for the NP in (48) will be something like the following:



Consider the table indicating the sentence patterns as exhibited by sentence (48) as in (50)

- (50) a.  $\left[ \left[ \left[ M_1 \right] N \right] M_4 \right] NP_4 \left[ M_3 \right] NP_3 \left[ M_2 \right] NP_2 \left[ NP_1 \right]$  (48a)
- b.  $\left[ \left[ \left[ M_2 \right] N \right] M_4 \right] NP_4 \left[ M_3 \right] NP_3 \left[ NP_2 \right] M_1 \left[ NP_1 \right]$  (48b)
- c.  $\left[ \left[ \left[ \left[ M_3 \right] N \right] \right] M_4 \right] NP_4 \left[ M_2 \right] NP_2 \left[ M_1 \right] NP_1$  (48c)
- d.  $\left[ \left[ \left[ \left[ M_4 \right] N \right] \right] M_3 \right] NP_3 \left[ M_2 \right] NP_2 \left[ M_1 \right] NP_1$  (48d)
- e.  $\left[ \left[ \left[ \left[ N \right] M_4 \right] \right] M_3 \right] NP_3 \left[ M_2 \right] NP_2 \left[ M_1 \right] NP_1$  (48e)
- f.  $\bullet \left[ \left[ M_2 \right] M_3 \right] M_4 \left[ N \right] NP_4 \left[ NP_3 \right] NP_2 \left[ M_1 \right] NP_1$  (48f)
- g.  $\bullet \left[ \left[ M_1 \right] M_3 \right] M_4 \left[ N \right] NP_4 \left[ NP_3 \right] M_2 \left[ NP_2 \right] NP_1$  (48g)
- h.  $\bullet \left[ \left[ M_1 \right] M_2 \right] M_4 \left[ N \right] NP_4 \left[ M_3 \right] NP_3 \left[ NP_2 \right] NP_1$  (48h)
- i.  $\bullet \left[ M_1 \right] M_2 \left[ M_3 \right] N \left[ M_4 \right] NP_4 \left[ NP_3 \right] NP_2 \left[ NP_1 \right]$  (48i)
- j.  $\bullet \left[ M_1 \right] M_2 \left[ M_3 \right] M_4 \left[ N \right] NP_4 \left[ NP_3 \right] NP_2 \left[ NP_1 \right]$  (48j)



and the table indicating the relationships between the well-formedness of sentence and the selection of NP's to which the rule of M-N inversion can apply as in (51).

(51)

surface forms	sentences	NP's are affected by M-N Inversion	NP's are not affected by M-N Inversion	well-formedness
$[[[M_1 N M_4]_{NP_4} M_3]_{NP_3} M_2]_{NP_2} NP_1$	(48a)	$NP_2, NP_3, NP_4$	$NP_1$	well-formed
$[[[M_2 N M_4]_{NP_4} M_3]_{NP_3} NP_2 M_1]_{NP_1}$	(48b)	$NP_1, NP_3, NP_4$	$NP_2$	well-formed
$[[[M_3 N]_{NP_3} M_4]_{NP_4} M_2]_{NP_2} M_1]_{NP_1}$	(48c)	$NP_1, NP_2, NP_4$	$NP_3$	well-formed
$[[[M_4 N]_{NP_4} M_3]_{NP_3} M_2]_{NP_2} M_1]_{NP_1}$	(48d)	$NP_1, NP_2, NP_3$	$NP_4$	well-formed
$[[[N M_4]_{NP_4} M_3]_{NP_3} M_2]_{NP_2} M_1]_{NP_1}$	(48e)	$NP_1, NP_2, NP_3, NP_4$	$\emptyset$	well-formed
$\cdot [[M_2 M_3 M_4 N]_{NP_4} NP_3]_{NP_4} M_1]_{NP_1}$	(48f)	$NP_1$	$NP_2, NP_3, NP_4$	ill-formed
$\cdot [[M_1 M_3 M_4 N]_{NP_4} NP_3]_{NP_4} M_2]_{NP_2} NP_1$	(48g)	$NP_2$	$NP_1, NP_3, NP_4$	ill-formed
$\cdot [[M_1 M_2 M_4 N]_{NP_4} M_3]_{NP_3} NP_2]_{NP_1}$	(48h)	$NP_3$	$NP_1, NP_2, NP_4$	ill-formed
$\cdot [M_1 M_2 M_3 N M_4]_{NP_4} NP_3]_{NP_2} NP_1$	(48i)	$NP_4$	$NP_1, NP_2, NP_3$	ill-formed
$\cdot [M_1 M_2 M_3 M_4 N]_{NP_4} NP_3]_{NP_2} NP_1$	(48j)	(generated by phrase structure rules in the base)	$\emptyset$	ill-formed

What the above table demonstrates are the following. First, in order to derive the form  $[[[N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48e) from (49) but to block the form  $\cdot [M_1 \ ]_{NP_4} \ M_2 \ ]_{NP_3} \ M_3 \ ]_{NP_2} \ M_4 \ N \ ]_{NP_1}$  (e.g. 48j), it is necessary to constraint the rule of M-N inversion so that it must apply to every NP, moving  $M_1, M_2, M_3, M_4$  to the postnominal position. Second, in order to derive the form  $[[[M_1 \ ]_{NP_4} \ N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ ]_{NP_1}$  (e.g. 48a),  $M_2 \ ]_{NP_4} \ N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48b),  $[[[M_3 \ ]_{NP_3} \ N \ ]_{NP_3} \ M_4 \ ]_{NP_4} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48c),  $[[[M_4 \ ]_{NP_4} \ N \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48d),  $[[[N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48e), but to block the forms  $\cdot [M_2 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ M_1 \ ]_{NP_1}$  (e.g. 48f),  $[[[M_1 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ ]_{NP_1}$  (e.g. 48g),  $[[[M_1 \ ]_{NP_4} \ M_2 \ ]_{NP_3} \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ ]_{NP_1}$  (e.g. 48h), and  $[M_1 \ ]_{NP_4} \ M_2 \ ]_{NP_3} \ M_3 \ ]_{NP_2} \ N \ M_4 \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ ]_{NP_1}$  (e.g. 48i), it is necessary to constrain the rule so that it must apply to every NP that satisfies its structural description, but leaving at least one NP unaffected by the rule.

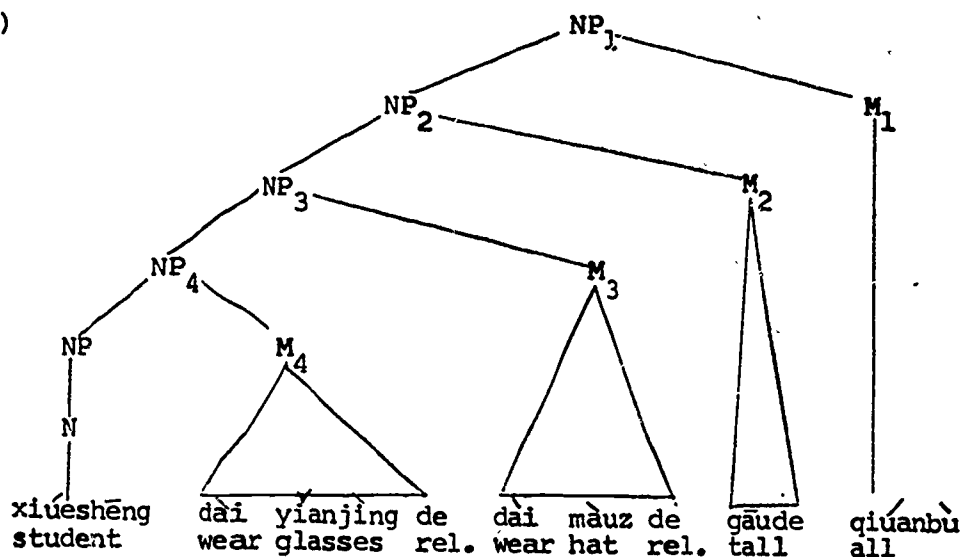
Again, what the above demonstrates is that sentences whose NP's containing four modifiers cannot be nicely accounted for under the M-N hypothesis unless certain highly complex constraints on the rule of M-N inversion are implemented into the grammar as in (36). Below I will show cases like (48), however, can be nicely accounted for under the N-M hypothesis.

#### 1.4.2. N-M Hypothesis

Let us take a look at how the sentences containing four modifiers as in (48) can be accounted for within the N-M hypothesis. Starting out

with a N-M sequence, the modified NP in (48a) will have the following structure:

(52)



Again, it is relatively easy to show that the proposed constraint as in (41) also holds here. In order to show that (41) is capable of accounting for cases such as (48), it is necessary to demonstrate the relationship between the well-formedness of sentences and the selection of NP's to which the rule of N-M inversion can apply. Consider the following table:

(53)

surface form	sentence	NP's are affected by N-M Inversion	NP's are not affected by N-M Inversion	well- formedness
$[[[M_1 [N M_4] NP_4] M_3] NP_3] M_2] NP_2] NP_1]$	(48a)	NP <sub>1</sub>	NP <sub>2</sub> , NP <sub>3</sub> , NP <sub>4</sub>	well- formed
$[[[M_2 [N M_4] NP_4] M_3] NP_3] NP_2] M_1] NP_1]$	(48b)	NP <sub>2</sub>	NP <sub>1</sub> , NP <sub>3</sub> , NP <sub>4</sub>	well- formed
$[[[[M_3 N] NP_3] M_4] NP_4] M_2] NP_2] M_1] NP_1]$	(48c)	NP <sub>3</sub>	NP <sub>1</sub> , NP <sub>2</sub> , NP <sub>4</sub>	well- formed
$[[[[[M_4 N] NP_4] M_3] NP_3] M_2] NP_2] M_1] NP_1]$	(48d)	NP <sub>4</sub>	NP <sub>1</sub> , NP <sub>2</sub> , NP <sub>3</sub>	well- formed

$[[[ [N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$	(48e)	generated by the base rule	$\emptyset$	well-formed
$\bullet [ [M_2 \ M_3 \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ M_1 \ ]_{NP_1}$	(48f)	$NP_2, NP_3, NP_4$	$NP_1$	ill-formed
$\bullet [ [M_1 \ M_3 \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ ]_{NP_1}$	(48g)	$NP_1, NP_3, NP_4$	$NP_2$	ill-formed
$\bullet [ [M_1 \ M_2 \ M_4 \ N \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ ]_{NP_2} \ ]_{NP_1}$	(48h)	$NP_1, NP_2, NP_4$	$NP_3$	ill-formed
$\bullet [ [M_1 \ M_2 \ M_3 \ N \ M_4 \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ ]_{NP_1}$	(48i)	$NP_1, NP_2, NP_3$	$NP_4$	ill-formed
$\bullet [ [M_1 \ M_2 \ M_3 \ M_4 \ N \ ]_{NP_4} \ ]_{NP_3} \ ]_{NP_2} \ ]_{NP_1}$	(48j)	$NP_1, NP_2, NP_3, NP_4$	$\emptyset$	ill-formed

The above shows that there is a significant relationship between the well-formedness of sentences and the selection of the NP's to which the rule of N-M inversion can apply. The acceptability of (48a, b, c, d, e) and the unacceptability of (48f, g, h, i, j) clearly show that the well-formedness of sentences are related to the selection of the NP's to which the rule of N-M inversion can apply. A sentence is well-formed if either one or no NP chosen for the rule of N-M inversion to apply. Otherwise a sentence is ungrammatical. This clearly shows that the proposed constraint as in (41) not only holds for sentence whose NP's containing two and three modifiers, but also holds for sentences whose NP's containing four modifiers such as (48). The argument is that we can account for the well-formedness of (48) in the following way. For example, there is either one or no NP chosen to which the rule applies in the forms

$$M_1 [ [N \ M_4 \ ]_{NP_4} \ M_3 \ ]_{NP_3} \ M_2 \ ]_{NP_2} \ ]_{NP_1} \quad (\text{e.g. 48a}), \quad [[ [M_2 \ N \ M_4 \ ]_{NP_4}$$

$$M_3 \ ]_{NP_3} \ ]_{NP_2} \ M_1 \ ]_{NP_1} \quad (\text{e.g. 48b}), \quad [ [ [M_3 \ N \ ]_{NP_3} \ M_4 \ ]_{NP_4} \ M_2 \ ]_{NP_2} \ M_1 \ ]_{NP_1}$$

(e.g. 48c),  $\left[ \left[ \left[ \left[ M_4 \right] N \right] NP_4 \right] M_3 \right] NP_3 \right] M_2 \right] NP_2 \right] M_1 \right] NP_1$  (e.g. 48d),  
 $\left[ \left[ \left[ \left[ N \right] M_4 \right] NP_4 \right] M_3 \right] NP_3 \right] M_2 \right] NP_2 \right] M_1 \right] NP_1$  (e.g. 48e). Thus they are well-  
 formed. On the contrary, there are more than one NP chosen to which the rule  
 applies in the forms  $\bullet \left[ \left[ M_2 \right] M_3 \right] M_4 \right] N \right] NP_4 \right] NP_3 \right] NP_2 \right] M_1 \right] NP_1$  (e.g. 48f)  
 $\bullet \left[ \left[ M_1 \right] M_3 \right] M_4 \right] N \right] NP_4 \right] NP_3 \right] M_2 \right] NP_2 \right] NP_1$  (e.g. 48g),  $\bullet \left[ \left[ M_1 \right] M_2 \right] M_4 \right] N \right] NP_4$   
 $M_3 \right] NP_3 \right] NP_2 \right] NP_1$  (e.g. 48h),  $\bullet \left[ \left[ M_1 \right] M_2 \right] M_3 \right] N \right] M_4 \right] NP_4 \right] NP_3 \right] NP_2 \right] NP_1$   
 (e.g. 48i),  $\bullet \left[ \left[ M_1 \right] M_2 \right] M_3 \right] M_4 \right] N \right] NP_4 \right] NP_3 \right] NP_2 \right] NP_1$  (e.g. 48j).

This section together with section 1.1., 1.2., and 1.3. has been an attempt to argue against a M-N sequence, but for a N-M sequence as the underlying order for a modified NP in Chinese. The argument has been twofold: on the one hand, it has been demonstrated that various surface patterns of NP's containing more than one modifier cannot be adequately accounted for within the M-N hypothesis, since it complicates the grammatical description by requiring a set of complex constraints on the rule of M-N inversion; on the other hand, it has been shown that such patterns can best be accounted for within the N-M hypothesis, since it simplifies the grammatical description by constraining the rule of N-M inversion in such a way that it can apply to any NP, either a higher one, or a lower one, but only once within the domain of a possible complex NP.

## 2. Preverbal Nominal Modifier

### 2.1. Preverbal Nominal Modifiers

In Chinese nominal modifiers can occur in preverbal position. Consider:

#### A. Quantifiers

(54)a. Xiúeshēng quánbù láiile. 'All of the students came.'  
 student all came

- b. (Gūanyú) xiuéshēng, qiánbù lái. 'As for the students, all of them came.'  
As for student all came
- (55) a. Xiuéshēng měiyīgè lái. 'Each of the students came.'  
student each came
- b. (Gūanyú) xiuéshēng, měiyīgè lái. 'As for the students, each of them came.'  
As for student each came
- (56) a. Xiuéshēng móxiē lái. 'Some of the students came.'  
student some came
- b. (Gūanyú) xiuéshēng, móxiē lái. 'As for the students, some of them came.'  
As for student some came
- (57) a. Xiuéshēng hěnduō lái. 'Many of the students came.'  
student many came
- b. (Gūanyú) xiuéshēng, hěnduō lái. 'As for the students, many of them came.'  
as for student many came
- (58) a. Xiuéshēng yìbǎige lái. 'One hundred of the students came.'  
student 100 came
- b. (Gūanyú) xiuéshēng, yìbǎige lái. 'As for the students, one hundred of them came.'  
as for student 100 came

#### B. Determiners

- (59) a. Xiuéshēng zhèxiē lái. 'These students came.'  
student these came
- b. (Gūanyú) xiuéshēng, Zhèxiē lái. 'As for the students, these came.'  
as for student these came

#### C. Adjective

- (60) a. Xiuéshēng tsūngmíngde lái. 'The intelligent students came.'  
student intelligent came
- b. (Gūanyú) xiuéshēng, tsūngmíngde lái. 'As for the students, the intelligent ones came.'  
as for student intelligent came

#### D. Measure Phrase

- (61) a. Xiuéshēng èrshísuìdàde lái. 'The twenty-year-old students came.'  
student 20-year-old came
- b. (Gūanyú) xiuéshēng, èrshísuìdàde lái. 'As for the students, the 20-year-old ones came.'  
as for student 20-year-old came

#### E. Relative Clause

- (62) a. Xiuéshēng, dài yǎnjìng de lái. 'The students who wear glasses came.'  
student wear glasses rel. came

- b. (Gūanyú) xiuéshēng, dài yǎnjìng de lái. 'As for the students,  
as for student wear glasses rel. came the ones who wear  
glasses came.'

## 2.2. Preverbal Nominal Modifiers and Topicalization

In Hou (1974a) I propose that in Chinese there is a topicalization transformation which moves NP's to the front of a sentence as schematized in (63).

$$(63) \quad \begin{array}{ccc} X & NP & Y \\ \hline 1 & 2 & 3 \end{array} \Rightarrow \begin{array}{ccccccc} 2 & \# & S[ & 1 & \emptyset & 3 \end{array}$$

This transformation relates (a), (b) and (c) in the following sentences:

- (64)a. Xiuéshēng lái. 'The students came.'  
student came
- b. (Gūanyú) xiuéshēng, lái. 'As for the students, they came.'  
as for student came
- (65)a. Xiuéshēng mǎi shū. 'The students bought the books.'  
student bought book
- b. (Gūanyú) xiuéshēng, mǎi shū. 'As for the students, they bought  
as for student bought book the books.'
- c. (Gūanyú) shū, xiuéshēng mǎi. 'As for the books, the students  
as for book student bought bought.'

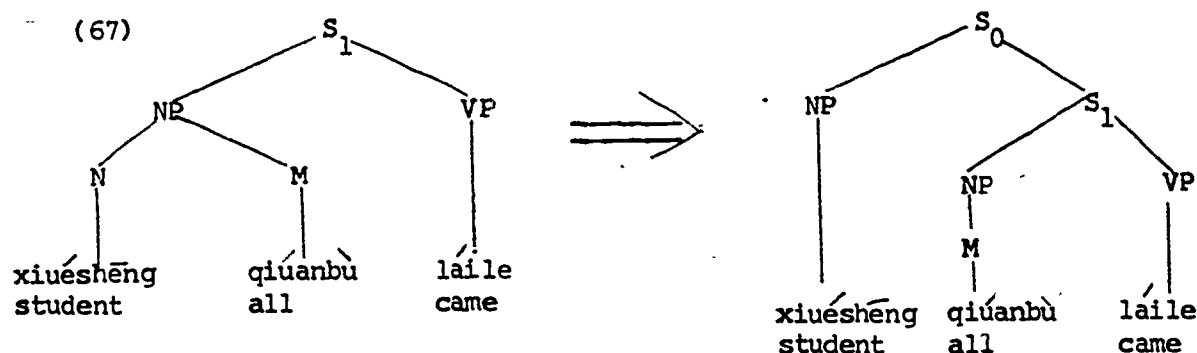
We can account for (54b)-(62b) by reformulating the proposed rule of topicalization so that it can either move the whole noun phrase to the front of a sentence or move only the noun, leaving the modifier behind. The reformulation of topicalization can be schematized as in (66).

(66) Topicalization:

$$\begin{array}{l} \text{SD:} \quad \begin{array}{ccc} X & N & M \\ \hline 1 & 2 & 3 \end{array} \begin{array}{c} \boxed{\phantom{NP}} \\ \text{NP} \end{array} \begin{array}{c} Y \\ \hline 4 \end{array} \Rightarrow \\ \text{SC:} \quad \begin{array}{ccccccc} (a) & 2 & + & 3 & \# & S[ & 1 & \emptyset & \emptyset & 4 \\ (b) & 2 & \# & S[ & 1 & \emptyset & 3 & 4 \end{array} \end{array}$$

M = Quantifiers,  
Determiners,  
Adjectives,  
Measure Phrase,  
Relative Clause, etc..

Thus, we can derive (5 b) in the following fashion:



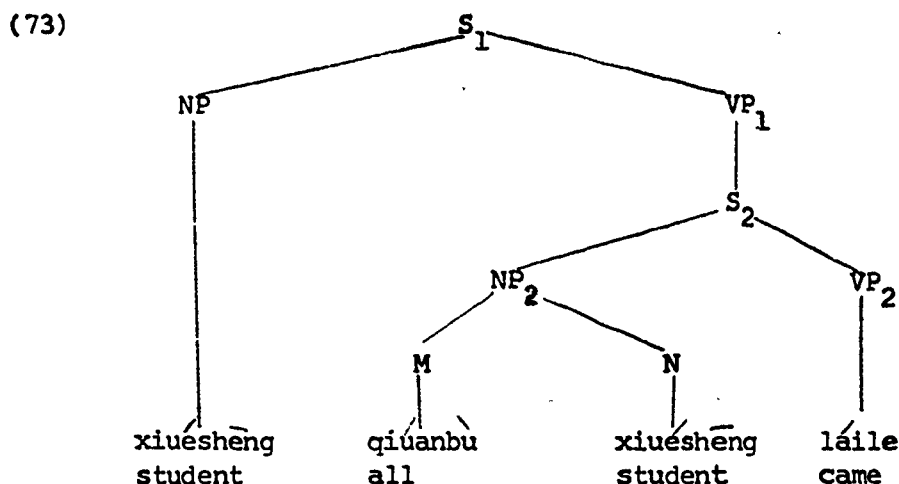
However, this analysis is inadequate because there are topicalized sentences in Chinese whose structure cannot be derived from the application of movement transformation. For example, the following topicalized sentences have no non-topicalized counterparts.

- |      |             |               |           |                 |  |  |
|------|-------------|---------------|-----------|-----------------|--|--|
| (68) | Huā         | méiguēi       | zuì       | hǎukàn.         | 'As for flowers, roses are most beautiful.'      |  |
|      | flower      | rose          | most      | beautiful       |  |  |
| (69) | Jiàoyù      | Max           | bù        | tóngyì Russell. | 'As for education, Max does agree with Russell.' |  |
|      | education   |               | not agree |                 |  |  |
| (70) | yǔánxiúe    | yúfǎ          | zuì       | líoxíng.        | 'As for linguistics, syntax is most popular.'    |  |
|      | linguistics | syntax        | most      | popular         |  |  |
| (71) | Hǎi         | Tàipíngyáng   | zuì       | dà.             | 'As for ocean, the Pacific is the largest.'      |  |
|      | ocean       | Pacific Ocean | most      | large           |  |  |
| (72) | Jūngguó     | tsài          | Max       | xīhwān          | kāoyā.   | 'As for Chinese food, Max likes roast duck.' |
|      | Chinese     | food          | like      | roast duck      |  |  |

### 2.3. Teng's Analysis

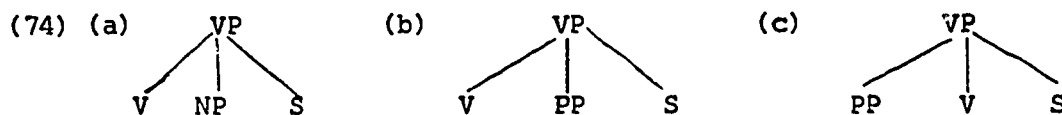
Teng (1974) suggests that sentences such as (54b)-(62b) are double nominative constructions and are to be generated directly by phrase structure rules in the base. That is, the noun in the initial position and the noun and nominal modifier in preverbal position are both nominatives in the underlying structure. In other words, both are interpreted as topics. For example, (54b) will have the following underlying structure in Teng's framework:





In order to derive (54b) from a structure like (73) Teng claims that the only transformation needed is a rule deleting the repeated element--xiuésēng 'student' in NP<sub>2</sub> of S<sub>2</sub> yielding the correct surface form.

There are two factors that argue against Teng's proposal. The first concerns the validity of the structure (73). In general an S can be dominated by a VP as a complement as shown in (74).



(a), (b), and (c) are very common and can be found in many languages. For example, (a) and (b) are found in English, whereas (a), (b), and (c) are found in Chinese. However, in (73) we find that an S is immediately dominated by a VP. What Teng suggests is that in the base there is a rule which says a VP can be expanded as an S. Such a proposal is unjustified because there are no evidence which indicate such a relation does exist in natural language. Another factor against Teng's proposal concerns the placement of the negative adverb bù 'not'. There is a general rule in Chinese which states that negative adverb bù 'not' occurs before the verb except in the case of double nominative construction, where bù 'not' can occur either before a verb or before a noun which is followed by a verb. For example, bù 'not' is placed before the verb in a non-double nominative sentence such as (75).

- (75) a. Max xǐhuān měiguó fàn. 'Max likes American food.'  
           like American food
- b. Max bù xǐhuān měiguó fàn. 'Max does not like American food.'  
           not like American food

However, bù 'not' is placed either before the verb or before the noun in a double nominative sentence such as (76).<sup>2</sup>

- (76) a. Max tóu téng. 'Max has a headache.'  
           head painful
- b. Max tóu bù téng. 'Max does not have a headache.'  
           head not painful
- c. Max bù tóu téng. 'Max does not have a headache.'  
           not head painful

As an illustration, consider sentences (54b) and (62b). If these sentences were double nominatives, then their negative counterparts should be the following:

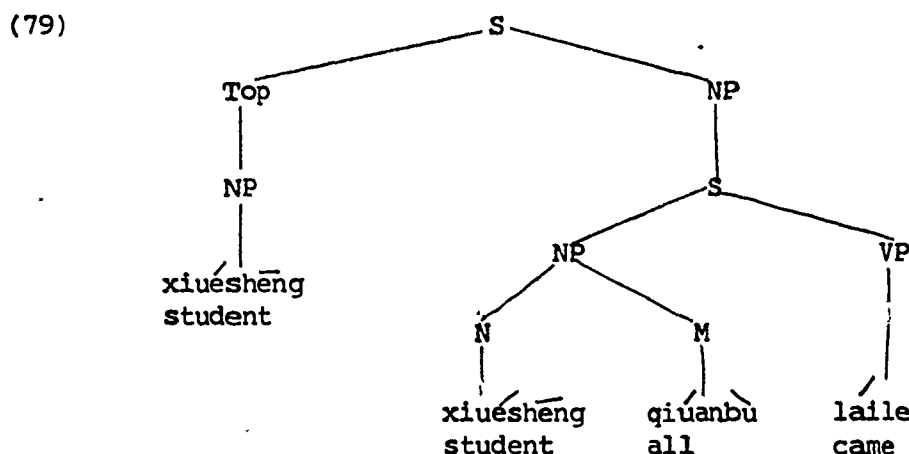
- (77) a. Xiúeshēng quánbù bù lái. 'All of the students didn't come.'  
           student all not came
- b. Xiúeshēng bù quánbù lái. 'Not all of the students came.'  
           student not all came
- (78) a. Xiúeshēng dài yǎnjìng de bù lái. 'The students who wear glasses didn't come.'  
           student wear glasses rel. not came
- b. Xiúeshēng bù dài yǎnjìng de lái. 'Students who didn't wear glasses came.'  
           student not wear glasses rel. came

Since the negative counterparts of (54b) and (62b) are (77a) and (78a), but not (77b) and (78b) respectively and since (77a) and (78a) and (77b) and (78b) are not synonymous with each other, we must conclude the following: sentences such as (54)-(62) are not double nominative constructions and they should be derived from different logical structure.

#### 2.4. The Underlying Structure of Topicalized Sentences: A Proposal

Based on the fact that all types of topicalized sentences such as (54b)-(62b) and cases like (68)-(72) should be derived from the same kind of

logical structure, I propose that sentences like (54b) has the following underlying structure:<sup>3</sup>



To derive (54b) from (79), the only transformation we need is a rule that deletes the repeated element--xiuéshēng 'student'. There are three factors arguing for this analysis. First, it provides a nice common source for all types of the topicalized sentences by generating them directly in the base by phrase structure rules. Second, it captures the semantic relation between the notions such as topic and comment in an explicit manner. Third, it provides an explanation for the relationship between a modified noun and its modifier. That is, the close relationship between modifiers and nouns with which they are associated is nicely explicated by treating them as clause mates in the same constituent in the underlying structure.

### 3. Postverbal Nominal Modifiers

#### 3.1. Postverbal Modifiers

Nominal modifiers in Chinese can also occur in postverbal position. This is exemplified in (80)-(83).

##### A. Quantifier

- (80)a. Xiuéshēng qiúanbù laile. 'All of the students came.'  
           student all came
- b. Xiuéshēng (lǐtōu), laile qiúanbù. 'As for the students, all of  
           student among came all  
   of them came.'

- (81)a. Xiúeshēng měiyíge lái. 'Each of the students came.'  
 student each came
- b. Xiúeshēng (lǐtōu), lái měiyíge. 'As for the students, each of  
 student among came each them came.'
- (82)a. Xiúeshēng mǒxiē lái. 'Some of the students came.'  
 student some came
- b. Xiúeshēng (lǐtōu), lái mǒxiē. 'As for the students, some of  
 student among came some them came.'
- (83)a. Xiúeshēng hěnduō lái. 'Many of the students came.'  
 student many came
- b. Xiúeshēng (lǐtōu), lái hěnduō. 'As for the students, many of  
 student among came many them came.'
- (84)a. Xiúeshēng yībǎi lái. 'One hundred of the students  
 student 100 came came.'
- b. Xiúeshēng (lǐtōu), lái yībǎi. 'As for the students, one  
 student among came 100 hundred of them came.'

#### B. Determiners

- (85)a. Xiúeshēng nèixiē lái. 'Those students came.'  
 student those came
- b. Xiúeshēng (lǐtōu), lái nèixiē. 'As for the students, these  
 student among came those came.'

#### C. Adjectives

- (86)a. Xiúeshēng tsūngmíngde lái. 'The intelligent students came.'  
 student intelligent came
- b. Xiúeshēng (lǐtōu), lái tsūngmíngde. 'As for the students, the  
 student among came intelligent intelligent ones came.'

#### D. Measure Phrases

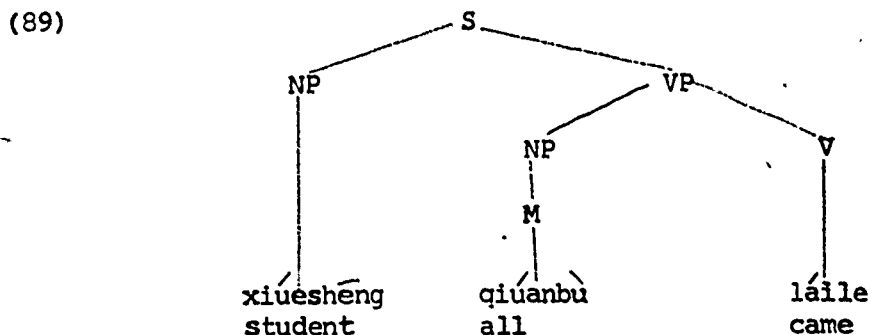
- (87)a. Xiúeshēng èrshísuìdàde lái. 'The 20-year-old students  
 student 20-year-old came came.'
- b. Xiúeshēng (lǐtōu), lái èrshísuìdàde. 'As for the students, the  
 student among came 20-year-old 20-year-old ones came.'

#### E. Relative Clauses

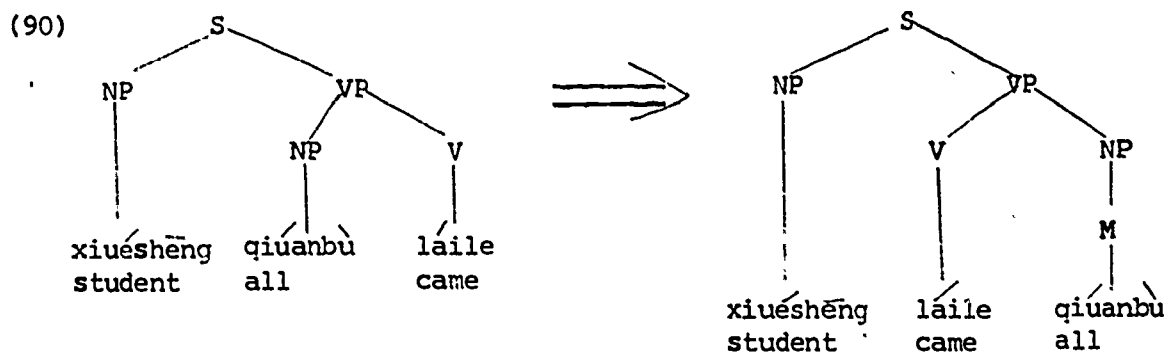
- (88)a. Xiúeshēng dài yǎnjìng de lái. 'The students who wear  
 student wear glasses rel. came glasses came.'
- b. Xiúeshēng (lǐtōu), lái dài yǎnjìng de. 'As for the students,  
 student among came wear glasses rel. the one who wear glasses  
 came.'

### 3.2. Tai's Analysis

In Tai (1973) it is proposed that sentences like (80b)-(88b) can be related to (80a)-(88a) by what he called NP-V inversion transformation. He proposes that sentence (80b) has the following structure:



Then (80b) can be derived from (89) by undergoing the NP-V inversion transformation which is schematized as in the following derivation:

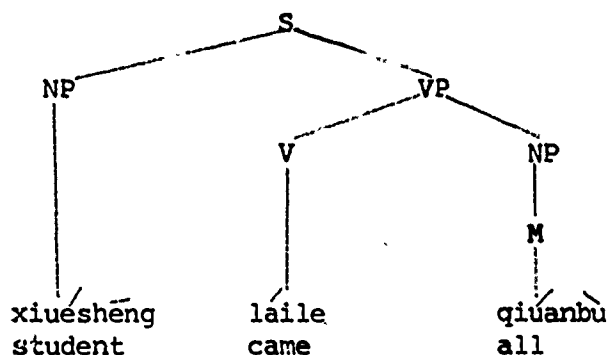


Tai's analysis is unjustified, because, as I have argued earlier, it treats nominal modifiers as part of the VP although they are closely related to the nouns they modify. The result of this is that the modifiers are associated with the VP in the underlying structure, causing problems in explaining the semantic relation between the NP in subject position and the modifier in VP.

### 3.3. Li and Thompson's Analysis

Li and Thompson (1974) suggests that sentences such as (80b) is derived directly by phrase structure rule in the base component with a structure as shown in (91):

(91)



The problem in this analysis, again, is that it would complicate the grammar if the nominal modifiers were to be analyzed as part of the VP constituent in the underlying structure. Below is one argument against such an analysis.

In general the negative adverb bù 'not' is placed before a verb which is followed by a noun. For example:

(92)a. Max xǐhuan píngguǒ. 'Max likes apple.'  
like apple

b. Max bù xǐhuan píngguǒ. 'Max does not like apple.'  
not like apple

Consider sentences (80b)-(88b). If nominal modifiers in these sentences were to be analyzed as part of the VP constituent, then their negative counterparts should be exactly the same as (92). However, the situation in these sentences is different. For example, the negative counterparts of sentences (80b) and (88b) are not (93a) and (94a), but rather (93b) and (94b) respectively.

(93)a. \*Xiúeshēng bù lái le quánbù. 'All of the students did not  
student not came all come.'

b. Xiúeshēng bù quánbù lái le.  
student not all came

(94)a. \*Xiúeshēng bù lái le dài yǎnjìng de. 'The students who did not  
student not came wear glasses rel. wear glasses came.'

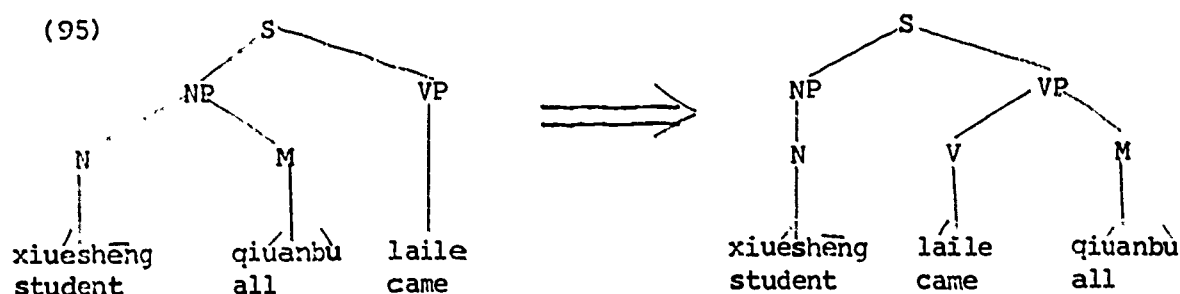
b. Xiúeshēng bù dài yǎnjìng de lái le.  
student not wear glasses rel. came

On the one hand, the acceptability of (93b) and (94b) clearly indicates that the nominal modifiers in these sentences are closely related to nouns with

which they are associated. On the other hand, the unacceptability of (93a) and (94a) indicates that nominal modifiers in these sentences cannot be analyzed as part of the VP constituent. The argument is that, if the modifiers in these sentences were to be analyzed in the VP constituent in underlying structure, then the complication involved with the placement of the adverb bù 'not' will result, namely the modifier must be preposed next to the noun with which they are associated before the bù is placed before the verb in order to derive (93b)-(94b). To accomplish that a rule that moves the modifiers to a preverbal position is eventually needed just in case bù occurs before the verb. Li and Thompson's treatment is not desirable because it would complicate the grammar by introducing new rules.

### 3.4. Modifier Postposing Transformation

Another way to account for (80b)-(88b) is by assuming that there is a modifier postposing rule in Chinese that moves modifiers out of the NP's with which they are associated to a postverbal position. For example, sentence (80b) will have the following derivation:



The problem in this analysis concerns the placement of the modifiers. Consider the rule of modifier postposing which moves modifiers to a post verbal position. If such a rule is built into the grammar of Chinese and if we allow it to apply freely, then the difficulty confronting us is that there is no way to prevent nominal modifiers, and quantifiers in particular, from moving to an improper position, thereby conveying different semantic interpretations.

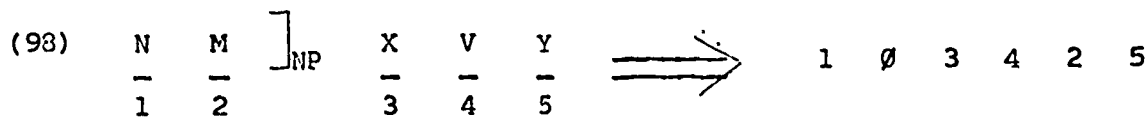
Consider the following sentences:

- (96)a. Xiúeshēng qiúanbù mǎile shū. 'All of the students  
 student all bought book bought books.'
- b. Xiúeshēng, mǎile qiúanbù shū. 'The students bought all  
 student bought all book of the books.'

Sentence (96b) is a perfectly good Chinese sentence and it could be derived by the application of the rule of modifier postposing. That is, if we allow modifier postposing to apply freely, then this rule could convert (96a) into (96b). The fact is that (96a) is not synonymous with (96b) as we can see in the English translation. They differ in meaning because in (96a) modifier modifies the subject, and in (96b) modifier modifies the object. For example, (96a) has the reading: All of the students bought the books; while (96b) has the reading: The students bought all of the books. Another set of sentences which could be generated by the modifier postposing transformation are found in the following:

- (97)a. Aǐ haiz hē tāng. 'The short boy eats the soup.'  
 short boy drink soup
- b. \*Haiz hē aǐ tāng.  
 boy drink short soup

There are two alternatives that can be used as a blocking device to constrain the proposed modifier postposing transformation so that only well-formed sentences can be generated. On the one hand, we can prevent cases like (96b) and (97b) from being generated by constraining the modifier postposing rule in the following fashion:



Condition: Y ≠ NP

The solution above is not a desirable one because it would rule out perfectly acceptable sentences such as (96b). The other possibility is that we can account for cases such as (96b) and (97b) by positing a perceptual constraint as in (99):



(99) Associate a nominal modifier with the nearest possible NP.

What (99) claims is that there is a general tendency that in Chinese a nominal modifier tends to modify the nearest possible NP. The function of this constraint is to provide an account for the improper placement of nominal modifier in cases like (96b) and semantic anomaly in cases like (97b). Now let us take a closer look at how cases such as (96b) and (97b) can be accounted for by the proposed constraint as in (99). One way to explain why (96b) should not be derived from (96a) through a rule of modifier posposing as in (98) is to say that if it so derived it would convey a different semantic interpretation according to (99). This means that (96b) must be interpreted as: The students bought all of the books but not All of the students bought the books according to (99). What this demonstrates is that (96b) cannot be derived from (96a) through a rule of modifier postposing. Rather, it would be derived from different structure. Similarly we can explain the semantic anomaly of (97b) by saying if it is so derived it would violate the constraint as in (99). The fact that (97b) is semantic anomalous is because there is no semantic compatibility between the nominal modifier ǎi 'short' and the noun tāng 'soup'. Therefore, ǎi 'short' cannot be interpreted as the modifier modifying tāng 'soup' although the tāng 'soup' is the nearest NP. This alternative is more desirable over the first one because the proposed perceptual constraint as in (99) provides a nice functional explanation for sentences such as (96b) and (97b).

#### 4. Discontinuous Nominal Modifiers

Consider the following sentences:

- (100) [ sānbǎige xiuéshēng (lǐtōu) ]<sub>NP</sub> [ láiile [ wúshíge. ]<sub>NP</sub>  
 300 student among came 50  
 'Out of the three hundred students, fifty came.'
- (101) [ Nèixiē xiuéshēng (lǐtōu) ]<sub>NP</sub> láiile [ dài yǎnjìng de. ]<sub>NP</sub>  
 those student among came wear glasses rel.  
 'Out of those students, the ones who wear glasses came.'
- (102) [ Měiguó wúshí zhōu (lǐtōu) ]<sub>NP</sub> Max dàoguò [ bāi zhōu. ]<sub>NP</sub>  
 U.S. 50 state among have been 8 state  
 'Out of the fifty states of U.S., Max has been to eight of them.'
- (103) [ Shíqīgè xiuéshēng (lǐtōu) ]<sub>NP</sub> Max rènshī [ liǎnggè. ]<sub>NP</sub>  
 17 student among know 2  
 'Out of the seventeen students, Max knows two of them.'

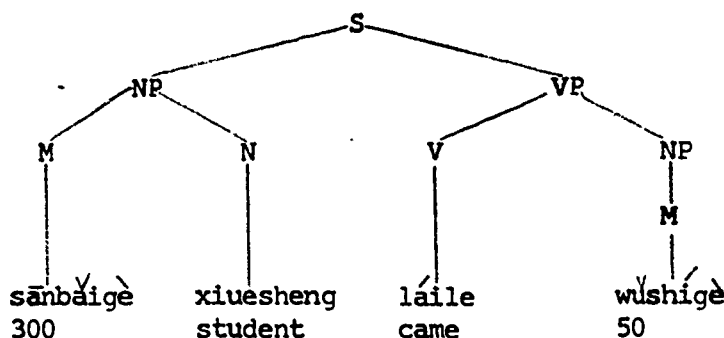
One property that characterizes the above sentences is that in these sentences the two nominal expressions separated by the verb denotes a set versus subset relation. The first bracketed NP denotes a total set that is greater than that of the second bracketed NP. Another property exhibited by these sentences is that the NP denoting the universe set or the whole cannot be interchanged with the NP denoting the subset or the part. That is, interchanging the two bracketed NPs would make the sentences unacceptable. For example, the following sentences are unacceptable:

- (104) • wúshígè xiuéshēng (lǐtōu) láiile sānbǎigè.  
 50 student among came 300
- (105) • Bā zhōu (lǐtōu) Max dàoguò Měiguó wúshí zhōu.  
 8 state among have been U.S. 50 state.
- (106) • Liǎnggè xiuéshēng (lǐtōu) Max rènshī shíqīgè.  
 2 student among know 17

##### 4.1. Li and Thompson's Analysis

Li and Thompson (1974) proposes that sentences such as (100) has an underlying structure as shown in (107):

(107)



What (107) claims is that sentences whose NP containing discontinuous modifiers such as (100)-(103) are directly derived by phrase structure rules in the base component.

One major problem in this analysis is that it fails to provide an account for the systematic relationship among transformationally related sentences. That is, this analysis provides no explanation for how sentences (103)-(106) can be related to their synonymous counterparts as shown below:

- (108) sānbǎigè xiúeshēṅ (lǐtōu), wúshígè lái. 'Out of the three  
300 student among 50 came students, fifty came.'
- (109) Nèixiē xiúeshēṅ (lǐtōu) dài yǎnjìng de lái. 'Out of those students, the ones who wear glasses came.'  
those student among wear glasses rel. came
- (110) Max dàoguò Měiguó wúshí zhōu (lǐtōu) bāi zhōu. 'Out of the fifty states of the U.S., Max has been eight of them.'  
have been U.S. 50 state among 8 state
- (111) Max rènshi shíqīgè xiúeshēṅ (lǐtōu) liǎnggè. 'Out of the seventeen students Max knows two of them.'  
know 17 student among two

Here, sentences (100)-(103) are synonymous with (108)-(111) respectively and these sentences should be derived from a common source. This analysis is inadequate because it fails to explicate such relationship among these systematic related sentences. Another factor arguing against this analysis is that there is a strong evidence showing that the second modifier must be associated with the first modifier, but not with the VP in the underlying structure.

Consider the following sentences:

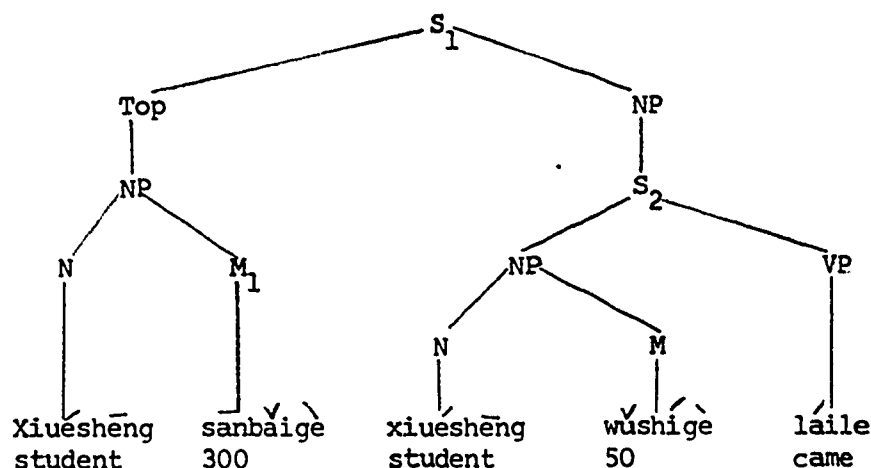
- (112)a. \*Nèixie xiuéshēng (lǐtōu) bù lái dai yǎnjìng de.  
 those student among not came wear glasses rel.  
 'Those students who wear glasses did not come.'
- b. Nèixie xiuéshēng (lǐtōu) dai yǎnjìng de bù lái.  
 those student among wear glasses rel. not came
- (113)a. \*Shíqīgè xiuéshēng (lǐtōu) Mǎx bù rènshi liǎnggè.  
 17 student among not know two  
 'Out of the seventeen students Max does not know two.'
- b. Shíqīgè xiuéshēng (lǐtōu) liǎnggè Mǎx bù rènshi.  
 17 student among two not know

Note that the negative counterparts of (101) and (103) are 11 b) and (113b) respectively, but not (112a) and (113a). This means that the second modifiers in these sentences are closely related to the first modifier of the first bracketed NP, but not to the VP constituent. This demonstrates that the second nominal modifiers in sentences such as (100)-(103) should not be analyzed as part of the VP in underlying structure. The argument is that, if the second modifiers in these sentences were to be introduced as part of the VP, then the complication involved with the placement of adverbs such as bù 'not' For example, in order to derive (112b)-(113b), but to block (112a)-(113a), the second modifiers must be preposed next to the nouns with which they are associated before the adverbs are placed before the verb, thereby complicating the grammar.

#### 4.3. Discontinuous Modifiers and Topicalization

Based on the fact that sentences whose NPs containing discontinuous nominal modifiers are closely related to the nouns, the fact that sentences (100)-(103) are transformationally related to sentences such as (108)-(111), and the fact that (100)-(103) are topicalized sentences, I propose that sentences like (100) has the following underlying structure:

(114)



To derive (100) from (114), two transformations are needed. First, a rule deletes the repeated element - xiùeshēng 'student' in  $S_2$ . Second, the modifier postposing moves the M of  $S_2$  to a postverbal position yielding the correct surface form of (100).

There are two factors arguing for this analysis. First, it provides an explicit account of the semantic relationship between the topic and comment as exhibited by sentences such as (100)-(103). Second, it provides a nice common source for all the transformationally related sentences. For example, we can derive (108) from (114) simply by applying the rule that deletes the repeated element to NP of  $S_2$ , namely the rule deletes xiùeshēng of  $S_2$  yielding the correct surface form of (108).

## 5. Conclusion

This paper has been an attempt to argue against a M-N sequence, but for a N-M sequence as the underlying order for a modified NP in Chinese. The argument has been twofold: on the one hand, it has been demonstrated that various surface patterns of NP's containing more than one modifier cannot be adequately accounted for within the M-N hypothesis, since it complicates the grammatical description by requiring a set of complex constraints on the rule of M-N inversion; on the other hand, it has been shown that such patterns can best be accounted for within the N-M hypothesis, since it simplifies the grammatical

description by constraining the rule of N-M inversion in such a way that it can apply to any NP, either a higher one, or a lower one, but only once within the domain of a possible complex NP.

It has also been demonstrated in this study that nominal modifiers in preverbal or postverbal position are not part of the VP constituent, but rather as part of the NP with which they are associated. It has been first shown that such modifiers cannot be adequately accounted for by way of phrase structure rules, since there is no significant syntactic and semantic evidence in support of such a hypothesis. It has also been shown in this section that such modifiers cannot be adequately accounted for by the application of the proposed modifier postposing transformation alone. Rather, a perceptual constraint in Chinese which states that associate a modifier to the nearest possible NP must be implemented into the grammar so that semantic anomaly and the improper placement of nominal modifiers can be nicely explained.

# FOOTNOTES

I am grateful to Professors Gilles Fauconnier, James Heringer, and Masayoshi Shibatani for their comments on an earlier version of this paper.

<sup>1</sup>The term 'complex NP' here is defined as it refers to cases where a noun is modified by more than one nominal modifiers (e.g. quantifiers, determiners, adjectives, measure phrase, relative clause, etc.)

<sup>2</sup>Notice that one property characterizes the Chinese double nominative construction is that the second nominative is always referring to inalienable possessions or body parts. Some examples:

- |        |     |       |               |                                  |
|--------|-----|-------|---------------|----------------------------------|
| (1) a. | Max | yá    | téngle.       | 'Max has a toothache.'           |
|        |     | tooth | painful       |                                  |
| b.     | Max | yá    | bù téngle.    | 'Max does not have a toothache.' |
|        |     | tooth | not painful   |                                  |
| c.     | Max | bù    | yá téngle.    |                                  |
|        |     | not   | tooth painful |                                  |
| (2) a. | Max | jiǎo  | sūanle.       | 'Max's feet become sore.'        |
|        |     | foot  | sore          |                                  |
| b.     | Max | jiǎo  | bù sūanle.    | 'Max's feet do not become sore.' |
|        |     | foot  | not sore      |                                  |
| c.     | Max | bù    | jiǎo suanle.  |                                  |
|        |     | not   | foot sore     |                                  |
| (3) a. | Max | tuǐ   | mǎle.         | 'Max's legs become numb.'        |
|        |     | leg   | numb          |                                  |
| b.     | Max | tuǐ   | bù mǎle.      | 'Max's legs do not become numb.' |
|        |     | leg   | not numb      |                                  |
| c.     | Max | bù    | tuǐ mǎle.     |                                  |

The sentences above seem to constitute further evidence against analyzing sentences such as (54)-(62) as double nominatives.

<sup>3</sup>The structure (79) is interpreted as the underlying structure for all the topicalized sentences in Chinese. That is, in the base component, we have the following expansions:

$$\begin{array}{ll}
 (1) & S \longrightarrow \left\{ \begin{array}{l} NP + VP \\ Top + NP \end{array} \right\} \\
 (2) & NP \longrightarrow \left\{ \begin{array}{l} N + (M) \\ S \end{array} \right\}
 \end{array}$$

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