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ABSIRACT

The purpose of this paper is to present two studies, one which questions some previously reported data on phonemic recoding and another which suggests an alternative interpretation for the evidence that Rubenstein and Lewis claimed in support of phonemic recoding. In one experiment three subsets of nonsense words were presented to 35 paid graduate students who were instructed to rate each item for pronunciability on a one to five (easy-hard) scale. Subjects were told that all words were nonsense words but that some would strike them as more difficult to pronounce than others. The subjects recorded whether or not each word was an English word. Significant results were found between the legal and illegal types. In the second experiment 35 subjects rated sets of words for pronounceability and no significant differences were found. When the same subsets of words were presented as a one-word free-association task to 35 different subjects, the results strongly supported the subjects' search for meaning rather than phonemic recoding. (WR)



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Alternative Interpretations of Evidence for Phonemic Recoding in Visual Word Recognition

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Phonemic recoding is one of a few prominent theories put forth to describe what happens when we are asked to recognize words in print. Proposals for such recoding from the visual to a phonological representation are set off sharply from the views of those who would argue for a more direct visual recognition (Bower, 1970: Kolers, 1970). And both theories are accommodated to a degree in still other proposed theories, as for example, the work of LaBerge and Samuels (1974). Heyer, Schvaneveldt and Ruddy (1974) recently have provided an insightful review of the functions of graphemic and phonemic codes in visual word-recognition as well as an explanatory model of their own. The purpose of this paper, however, is to present two studies, one which questions some previously reported data, and, another, which, as the title of this paper has it, suggests an alternative interpretation for the evidence that Rubenstein, Lewis, and Rubenstein (1971) claimed in support of phonemic recoding.

In one experiment, Rubenstein et al (1971) presented three types of nonsense words to 45 paid adult subjects. The nonsense words had been classified as (a) orthographically and phonologically illegal and unpronounceable (likj, sagm); (b) orthographically and phonologically illegal but pronounceable (gratf, lamg); (c) orthographically and phonologically legal (barp, plind). Subjects were seated before the cathode ray tube of an IBH 1800 computer, where, when the item appeared, they were to press a yes-key if they decided what they saw was an English word and a no-key if it was not. Accuracy and latency data were recorded. In part, Rubenstein et al reasoned as follows:



If phonemic recoding does in fact occur, it seemed reasonable to expect a difference in latency between the two illegal types since the difference in pronunciability would cause some difference in the time for recoding or detection of phonological illegality (1971:647).

Rubenstein et al also hypothesized that if the latency was greater for the legal nonsense types than it was for the illegal nonsense, this difference "would indicate that phonological illegality is detected without an exhaustive search of the internal lexicon (1971:647)." They reported significant results as they expected: mean latencies in msec were 859, 874, and 966 for illegal/unpronounceable, illegal/pronounceable, and legal, respectively. In their words:

The evidence for phonemic recoding is quite clear in that the difference between the two illegal types is statistically significant. ... As for the roughly 100 msec difference between the legal and illegal types, it is large enough to support the hypothesis that deciding that a word is nonsense when it is orthographically and phonologically illegal does not require the exhaustive search of the internal lexicon required by legal nonsense (Rubenstein et al, 1971:647).

Not fully persuaded by this evidence, particularly when the criterion for distinguishing between the two illegal types of non-sense words--pronunciability--was based solely on evaluation "by agreement among the authors," a direct test for this claim was made to assess the authors' evaluation agreement.

Experiment 1. Three subsets of the Rubenstein et al (1971) nonsense words were presented to 35 paid graduate students who were instructed to rate each item for pronunciability on a 1 - 5 (easy-hard) scale. Subjects were told that all words were nonsense words but that some would nonetheless strike them as more difficult than others to pronounce. Rubenstein et al used 23 illegal/pronounceable items and these were all included; the first 23 items from each of



3.

the other two types (illegal/unpronounceable and legal) also were chosen, making 69 items in all which were presented. The 23 legal items (barp, plind) were expected to be rated largely as easily pronounceable. But, because there didn't intuitively seem to be too much difference in pronunciation between, for example, likej lamg (items which Rubenstein et al had classified respectively as unpronounceable and pronounceable), not much difference was expected in the rating of these two subsets.

The results are found in the group data displayed in Tables A,

B, and C in the Appendix. As Table C shows, the legal words tended

to cluster in the 1-2-3 range (very-to moderately-easy to pronounce),

and these results clearly distinguished them from the ther two

subsets of words for which the group data on Tables A and B show

little difference. That is, both types of nonsense words, distinguished

by Rubenstein et al as illegal/unpronounceable and illegal/pronounceable,

tended to cluster in the 4-5 range (difficult -to very difficult).

Tables 1 and 2 about here

Tables 1 and 2 present a summary of a one-way analysis of variance with repeated measures. As can be seen, only the difference between either of the illegal types and the legal types was significant, and while this difference was substantial, the difference between the two illegal types did not reach significance.

(F = 272.25; df =2,68; p <.001) Related mean differences which appear in Table 2 were tested for significance with Scheffe tests for multiple comparisons; an alpha level of .01 was adopted. From these results, it would seem, in the least, that the evidence for phonemic recoding cannot be seen to be as "quite that clear,"



given these counter results. As a related effort, a second study was conducted to determine the adequacy of a notion other than phonemic recoding as a source of explanation for the different latencies reported by Rubenstein et al (1971).

Experiment 2. The same three subsets of nonsense items were presented in the same manner as in experiment 1, but to 35 different paid graduate students who also were assigned a different task. Subjects were told that these nonsense words represented meaningful words which had been slightly distorted; their task was to guess what the word was prior to the distortion. The expectation here was that the greater latencies obtained by Rubenstein et al for their legal items (barp, plind) could as adequately be explained by Ss having to sort through more meaningful possibilities as an alternate to their posited phonemic recoding. Moreover, it was believed that the smaller latencies obtained for the two illegal types also could be traceable in both instances to the fewer, that is most likely, available meaningful responses.

With very few exceptions, the group data displayed in Tables D and E in the Appendix illustrate well the lean nature of the typetoken relations that we found. For example, the 35 S protocols yielded only two different items in response to the illegal/unpronounceable item, Likj: (like, 34; link). In contrast, Table F in the Appendix shows a much broader spread of responses. In response to the legal nonsense word, delm, for example (#4), 35 Ss gave eleven different words. Not only are there more words which differ, the distribution of the responses generally is unlike the distributions found in Tables D and E. Tables 3 and 4 present a summary



of a one-way analysis of variance with repeated measures and while there is virtually no difference in the responses to the two illegal types, the differences between either of these two illegal types and the legal types again is highly significant. (F = 14.41; df = 2.44, p <.01) Again, Scheffe tests were used to test for significance.

These results would seem to accord more with the findings of Baron (1973) whose conclusions would more favor a graphemic-encoding hypothesis. As Meyer, Schvaneveldt and Ruddy (1974) note in their assessment of Baron's work, "perhaps reading involves a 'pre-processing' stage that is influenced by graphemic structure, e.g., bigram and trigram frequencies ..."(Neyer et al, 1974:310). Perhaps some notion of frequency could be extended to support a case for a meaningful visual search which can end quickly when a nonsense item is judged to be a non-word on the basis of its being one or possibly two, real, quite frequent words that just happens to be recognized intact but for one gross--i.e., easily distinguished--orthographic violation. In reacting to Rubenstein et al's interpretation of their data, Meyer et al noted that:

...graphemic properties of the letter strings may have been confounded with phonemic properties. For example, it is possible that the unpronounceable nonwords looked least like English ... (Meyer et al, 1974:311).

The alternative interpretation offered in this paper is that of more consequence than the possibility that the unpronounceable non-words looked <u>least</u> like English is the possibility, rather, that these unpronounceable nonwords <u>most</u> resembled a <u>limited</u> number of very common English words, but for what very simply could be judged to be a minor graphic error—in the nature of a typographic error—a simple orthographic violation. Looking again to Tables D and



E in the Appendix, for all 35 Ss, ghosj was ghost, glazb was glaze, grovt was grove, and bravq was brave. With the exception of items #20 (drilt) and 22 (nosk) and possibly #14 (drifs), Table F provides a very different picture for the responses to the legal, easily pronounced nonsense words.

While not replicating latency experiments, and allowing for the different modes of presentation, it would appear that an explanation of adults' dealing with words in isolation is as traceable to a more dirictly, visual, semantic-based strategy as it might be to a phonological-based strategy. As Meyer et al (1974) note, however, Baron reminds us of the importance of the nature of task demands: "...the codes which help in recognizing printed words may depend on the type of task involved ... (319)." With reference to comprehension in remembering sentences, Barclay (1973) says much the same: "... task demands play a critical role in determining what sort of representations Ss construct (1973:252)." And so, while Meyer et al talk of presenting words "without biasing context" and acknowledge that different tasks make available to Ss different amounts of contexts (1974, 318-319), this writer would still press for an accommodation in any theory which purports to describe or evaluin recognition of printed words more than a little of what I take Bransford, Barclay and Franks (1972) to mean when they claim that "sentences (don't) carry meaning." People carry meanings, ... (1972, 207)." The implication seems clear that if one accepts that sentences don't carry meaning, words in isolation--particulary nonsense items--could be in even greater need of meaning, which, in turn presumably could be supplied by individuals who are asked to make lexical decisions.



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TABLE 1
Summary of Analysis of Variance for Pronunciability Ratings

Source	88	đf	MS	F
Among Lists	62565.08	2	31282.54	272.25*
Among Subject	18239.89	34	536.46	
Subj X Lists	7813.25	68	114.90	
Total	88618.22	104		
		104		

^{*}p<.001

TABLE 2
Heans and Standard Deviations for Pronunciability Ratings

	^X 1 95	\overline{x}_2 86.17	\overline{x}_3 39.37	SD
\overline{x}_1	-	8.83	55.63*	16.69
\overline{x}_2		-	46.80*	16.59
\overline{x}_3				14.55

^{*}p <.01

TABLE 3
Summary of Analysis of Variance for One-Mord Responses

Source	SS	df	MS	F
Among Lists	178.81	2	89.40	14.41*
Subjects	165.74	22	7.53	
Subj X Lists	273.21	44	6.20	
Total	617.76	68		

^{*}p <.01

TABLE 4
Means and Standard Deviations for One-Word Responses

	\overline{x}_1	\overline{x}_2	\overline{x}_3	SD	
	3.52	3.65	7.00		
\overline{x}_1	-	.13	3.48*	1.80	
\overline{x}_2		**	3.35*	1.66	
x 3	-	-	-	3.72	

^{*}p<.01

Pronunciability Ratings for Nonsense Items

(Orthographically and phonologically illegal, unpronounceable)*

-	-	Easy 1	2	3	4	Hard 5
1.	t rit v]	2	, 2	9	22
2.	tubw	1	1	3	12	18
3.	spitj	2		7	9	17
4.	stuml	1	6	7	14	7
5.	likj	1		5	12	17
6.	flipb	2		8	5	20
7.	rakv	2	1	8	8	16
8.	latv	2	4	11	8	10
9.	codg	3	2	12	10	8
10.	stagv	1	2	2	8	22
11.	safv		2	5	11	17
12.	crazj	1		7	5	22
13.	sagm		2	6	14	13
14.	barkv	1	2	7	10	15
15.	ghosj	1		4	9	21
16.	crafj	1		6	11	17
17.	stakç	1		5	7	21
18.	stagb	1	2	5	11	16
19.	crepw		2	3	9	21
20.	grunw			6	4	25
21.	scolr	2	3	5	11	14
22.	damr		2	8	9	16
23.	spitk	4	4	7	9	11

^{*}As defined by Rubenstein, Lewis and Rubenstein (1971).



TABLE B

Pronunciability Ratings for Nonsense Items

(Orthographically and phonologically illegal, pronounceable)*

	,	Easy 1	2	3	4	Hard 5
1.	fuzg	3	2	7	12	11
2.	glazb		2	7	10	16
3.	tufk		4	9	8	14
4.	cresf	1	4	8	11	11
5.	gratf	1	2	9	10	13
6.	blaef		2	8	10	15
7.	crudf		1	11	8	15
8.	spokf	2	1	5	12	15
9.	crepf	4	5	7	13	6
10.	rudk	1	2	10	11	11
11.	trucp			5	15	15
12.	cravb		3	7	8	17
13.	topk	3	3	10	11	8
14.	railg	1	3	4	13	14
15.	thonb		4	11	13	7
16.	grovt	2	1	8	13	11
17.	crabg		1	5	11	18
1.8.	ratn		4	6	12	13
19.	bravg	1	3	8	6	17
20.	ponv	2	3	13	5	12
21.	framk	9	11	9	5	1
22.	winp	6	6	9	7	8
23.	lamg	1	7	10	12	6

^{*}As defined by Rubenstein, Lewis and Rubenstein (1971).



TABLE C

Pronunciability Ratings for Nonsense Items

(Orthographically and phonologically legal)*

		Easy 1	2	3	4	Hard 5
1.	drilk	14	10	5	4	2
2.	clefe	16	9	5	3	1
3.	melp	25	7	2	1	
4.	delm	19	8	6	2	
5.	losp	18	10	5	2	
6.	disp	22	9	2	1	1
7.	drep	27	6	2		
8.	tors	26	6	3		
9.	trife	23	6	4	2	
10.	fronk	19	6	7	2	1
11.	flet	31	3	1		
12.	pronk	19	7	6	2	1
13.	blesp	8	9	7	7	4
14.	drifs	17	11	2	5	
15.	bleg	24	8	3		
16.	nump	24	5	4	2	
17.	flan	27	7		1	
18.	jund	18	11	4	2	
19.	prot	24	7	3	1	
20.	drilt	20	7	6	2	
21.	frilt	16	8	3	6	2
22.	nosk	22	7	5	1	
23.	herv	16	8	6	4	1

^{*}As defined by Rubenstein, Lewis and Rubenstein (1971).



TABLE D

One-word Responses to Nonsense Items

(Orthographically and phonologically illegal, unpronounceable)*

trite (31); trivit (2); trick; trist 1. tritv 2. tubw tube (22); tubs (9); tub (4) spits (24); spite (8); spit (2); spirit 3. spitj 4. stuml stump (21); stumble (13); stuck 5. liki like (34); link 6. flipb flips (30); flip (4); flirt 7. rakv rake (34); rank 8. latv late (34); lave 9. codq code (28); cod (5) cogs (2) 10. stage (31); stags (2); stag (2) stagy safv 11. safe (32); save (2); safty 12. crazj crazy (34); craze 13. sage (27); sag (5); sags (2); saga sagm 14. brake (31); brave (3); break brakv 15. ghosj ghost (35) 16. craft (32); crafty; crave; crazy crafj 17. stakg stake (33); stag; stage stage (28); stag (4); stags (2); stab 18. stagb 19. crept (20); creep (8); crepe (4); crew (2); crepw crews 20. grunt (24); groan (2); ground (2); grown (2); grunw grump (2); green, grub, grüne 21. scold (23); scholar (3); school (3); score (3); scolr scale, scoll, scope 22. damr dame (15); damn (10); damp (6); dam (2); dams (2)



23.

spitk

*As defined by Rubenstein, Lewis and Rubenstein (1971).

spite (18); spits (9); spit (7); spike

TABLE E

One-word Responses to Nonsense Items

(Orthographically and phonologically illegal, pronounceable)*

- 1. fuzq fuzz (24); fuzzy (6); fuze (5) 2. Glazb glaze (35) 3. tuft (18); tuff (6); tusk (6); turf (3); tufk tuck (2); turk; tough 4. crest (34); cress cresf 5. gratf grate (26); graft (7); grasp; grateful 6. blaef black (30); blast (2); blade, blat, bleach 7. crudf crude (33); crud, crust 8. spoke (34); spoken spokf 9. crept (30); creep (3); crepe (2) crepf 10. rudk rude (29); ruddy (2); red, runs, rusk, rut 11. truce (21); truck (13); trust trucp 12. cravb crave (30); crab (4); crabs 13. tops (25); top (5); topic (3); tock, took topk 14. railq rails (28); rail (5); railing, raise thumb (9); thong (9); throne (6); throne (6): 15. thonb throb (2); thin, tomb, snob 16. grovt grove (35) 17. crabq crabs (26); crab (8); crabby 18. rat (24); rats (7); rat (4) ratn 19. bravg brave (35) 20. pony (25); pond (9); pong ponv 21. frame (22); frank (13) framk 22. winp wind (20); wine (8); wins (4); win (2); wing lamb (15); lame (12); lamp (8) 23. lamq
- *As defined by Rubenstein, Lewis and Rubenstein (1971).



TABLE F

One-word Responses to Nonsense Items

(Orthographically and phonologically legal) *

1.	drilk	drill (27); drink (7); drills
2.	clefe	cleft (29); cleff (3); clefs, cleve, clif
3.	melp	melt (30); help (3); kelp, meld
4.	delm	<pre>delt (6); helm (6); dell (5); delve (5); deli (4); deem (3); elm (2); delta, den, dent, desk</pre>
5.	losp	lost (24) ; lose (1_0) ; loose, wasp
6.	disp	<pre>dish (13); disk (12); disc (4); lisp (2); dip, dis, dise, disappear</pre>
7.	drep	<pre>drip (14); drop (4); dred (3); dreg (3); drape (2); dream (2); drap, dredge, drew, dress</pre>
8.	tors	<pre>tore (11); torn (9); torque (3); tort (3); ors, stories, tart, toast, tokes, toro, torso, tours, toys</pre>
9.	trife	<pre>trifle (11); trite (8); tribe (5); tripe (3); trip (3); tri, trick, trike, trist, rifle</pre>
10.	fronk	<pre>front (25); frank (5); frond (2); frock, from, frump</pre>
11.	flet	<pre>fleet (7); flit (6); flat (4); fled (4); flee (4); flew (3); flack, felt, phet, flea, flem, flip, velt</pre>
12.	pronk	<pre>prone (16); prank (7); prong (6); prod (2); pronto (2); pranks, prom</pre>
13.	blesp	bless (26); blest (8); bleep
14.	drifs	drift (32); drifts (2); drips
15.	bleg	<pre>bled (16); blew (4); beg (3); bleed (3); bless (3); blue (2); bleu, black, bleet, leg</pre>
16.	nump	numb (32); number; bump, hump
17.	flan	<pre>flap (7); flat (7); flag (6); flank (4); plan (2); fan, flab, flake, flame, flannel, flare, flip, Fran, land</pre>



18. jund junk (19); jump (9); June (4); jungle, junta, join 19. prot prod (15); prop (7); probe (3); prom (3); prat, pro, pros, prone, protect, dot, pivot 20. drilt drill (34); drift 21. frilt frill (26); frills (3); frisk (2); fruit (2); fill, frost 22. nosk nose (34); noise 23. herb (18); hers (6); herd (4); here (3); herv her (2); hero, hurt



^{*}As defined by Rubenstein, Lewis and Rubenstein (1971).