A conceptual and mathematical model was developed to study the preparation of persons holding Bachelor's, Master's and Doctoral degrees and to discover the proportion of them who assume academic positions. Data were collected on the number of US and foreign engineering students enrolled, degrees granted, and their employment in academic, industrial, governmental and other sectors of the national economy. The model, consisting of over 200 non-linear difference equations, was programmed for computer simulation and validation against data collected. It broke down the academic sector into undergraduate, master's, doctoral and post-doctoral programs; each of the other sectors were broken down according to the highest degree held by their employees. Project objectives included a study of the dynamics of employment in higher education, interrelationships of academically trained manpower with the rest of the economy, the usefulness of available data, the impact of inflow and outflow of foreign nationals on US economy, and the impact of development in educational technology upon the manpower redistributions in the economy. The overall aim was to determine future manpower needs and set national standards for higher education in order to meet those needs. Software problems which developed with the Burroughs B500 computer installation were not resolved and the simulation results were inconclusive. A supplementary final report will be submitted upon completion of current work. (WM)
"FLOW OF DOCTORATE HOLDERS INTO COLLEGE AND UNIVERSITY STAFFS: A COMPUTERIZED STUDY"

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SUMMARY

The dynamic effects of alternative manpower policies and programs can be "pretested" in a computer based simulation. It is generally recognized that the production of Doctorates depends to a large degree on the Doctorate-holding faculty. Because the doctorate holders are in great demand by the other sectors of the economy, a circular or a "feedback" situation exists. The problem is further complicated by the availability of developed student talent and by various socioeconomic conditions existing at different periods of time within two or three decades to the time a study is made.

This study developed a conceptual and a mathematical model to study the production of Doctorates, Masters and Baccalaureate degrees and their feedback into higher education. The model consisting of over 200 non-linear difference equations was programmed for computer simulation and validation against historical data. Simulation attempts to describe what has happened in the past have thus far proven inconclusive. However, these failures are attributable to software problems encountered with the University of Wisconsin Burroughs B5500 computer installation. Currently efforts are under way to resolve these problems and the study is continuing albeit unsupported. Once the above phase is accomplished, the model can be used to prescribe what will happen in the future with a fair level of confidence.
INTRODUCTION

The output of higher education in general, and of the professional schools in particular, has been a subject of concern to various agencies of government, of foundations; both public and private, and to the professional associations involved for over half a century. Data regarding the number of students enrolled and degrees granted have been diligently collected, compiled, classified and reported. On the other hand, data regarding the utilization of the graduates in academic positions, industry, government, etc., are much more sparse, although available. Data regarding the retention within our economy of foreign nationals who have successfully completed their schooling here is more sparse yet, or more precisely unavailable, even though foreign nationals comprise a large segment of the student body in our professional and/or postgraduate programs of study. It is in this setting that planners are attempting to set national policy concerning higher and/or professional education in order to satisfy anticipated or perceived needs for trained talent in the years ahead.

Objectives of this Study

The objectives of this study are:

(1) To develop a simulation vehicle to pretest alternative manpower policies and programs.

(2) To study the dynamics of the higher education sector within our society.

(3) To study the dynamic interrelationship of the various subsectors of higher education in the rest of the economy.

(4) To study the usefulness of currently available data and to indicate what additional data would be useful in determining long-range policy and planning.

(5) To determine the impact on our economy in general (and the higher education sector in particular), of the inflow and outflow of people from other nations.
(6) To study the possible impact of development in the technology of education upon manpower redistributions in the economy and the timing of same (i.e., increases in student-faculty ratios).

During the last two decades attention has been turned to the production and retention, within the higher educational sector of the economy, of people holding the doctorate degree. It is generally recognized that the production of doctorates depends to a large degree on the doctorate-holding faculty. Because the doctorate holders, especially in the sciences, are in great demand by the other sectors of the economy, a circular or a "feed-back" situation exists. The problem is further complicated by the availability of developed student talent and by various socio-economic conditions existing at different periods of time within two or three decades prior to the time a study is made. Various studies of these problems have been initiated by agencies of government and by privately financed foundations.

In order to attempt to rectify an existing situation or provide for future needs, initiators of programs are in need of a rational methodology to evaluate the effects of their programs. Historical data and judgment have not always yielded satisfying bases for decisions. Both the military and industry are recognizing, to an increasing degree, the need for quick and economical ways of evaluating the various effects of their decisions. For this they have turned to analytical methods. Computer-based simulation is one method successfully used to study the dynamics of complex and non-linear problems.

Bolt, Koltun and Levine (1) made the first attempt, in 1965, to model and to study the dynamics of the problem at hand. Their work was indeed landmark in nature, for it showed the advantages of dynamic over statistical analysis of manpower problems while recognizing that the two are not mutually exclusive. The above model conceptually split the economy into two sectors, one sector containing the entire educational establishment (Higher Education) and the other the rest of the economy. The flows of graduates between these two sectors were established recognizing the fact that Ph.D. holders do return to the academic sector to take up faculty or administrative positions while others leave for industry, government, etc. All of the flows including the so-called "feedback" flows were described by two linear difference equations which were simultaneously solved at various values of the equations' parameters.

This highly aggregated approach was considered unjustifiable by this investigator, in view of the inherent complexity of the problem studied and, in light of modern computer capability to solve large sets of differential or difference equations. Consequently, a less aggregated model was proposed (2).
This model broke the educational sector up into four segments; undergraduate programs, master's programs, doctoral programs, and post-doctoral programs. It broke the other sectors of society employing college or university-trained people into segments according to the highest degree earned by those within the segment. Furthermore, it showed the retirement and other attrition sectors more or less as a sink outside of either of the above two sectors. The model delineated the various possible paths for population shifts between the segments.

In a manner similar to (1), (3) and (4) and to many works in the physical sciences, it accounted for all the net flows to a segment and the rate of accumulation of people within the segment.

The equations recognized the fact that degrees, especially at the doctorate level, are not earned at a given time of the year throughout society. Some schools operate on the semester basis, others operate on a trimester, and yet others on the quarter system. When aggregated, an assumption of continuity in flows seemed a little more realistic than an assumption of discreteness. Thus, the equations offered were differential equations. Depending on the postulates regarding lead-lag relationships in the production of the various degrees these equations were allowed to be non-linear.

The basic advantages of that model over (1) were described in outline as follows:

1) It recognized the input of students into the higher education sector.

2) In the educational sector, it distinguished between persons who have recently become engaged in the educational function and persons who have worked in education for many years.

3) It recognized that the relationships between the variables involved were not necessarily linear in nature.

4) It distinguished between the use of doctorate holders in education at the doctorate, master's and undergraduate levels.

5) It considered the effect of the rates of production of high school, bachelor and master's graduates in successively preceding years.

6) It considered post-doctoral university programs and the interrelated flows from them to teaching at the various levels and to the other sectors employing doctorates.
7) In as much as the rate of doctorate production has changed drastically during the last three decades, because of the depression of the 1930's, World War II, and the post-war and the cold-war periods, it emphasized that the age mix is not a uniform one. Thus, the number of degrees granted some years prior (say 30 or 35 years) is the independent variable used in calculating attrition in that model.

8) Concern for economic, social, and physical influences in doctorate production and shifts in employment was accommodated.

A tangible result of this study was the rearrangement, extension and modification of the above model. This latter version of the model described in the following section and spelled out in detail in the Appendix to this report was discussed and reviewed with colleagues in various settings. The first presentation took place at the 14th International Meeting of the Institute of Management Sciences which was held in Mexico City, August 22-25, 1967. (6) The next presentation took place at the "Operations Analysis in Education" Symposium sponsored by the Office of Education and held in Washington, D.C., November 19-22, 1967. The Fall issue of the Journal, Socio Economic Planning Sciences, which is devoted to the Proceedings of that conference, will carry the paper "On the Generation of Doctorates and Their Feedback into Higher Education". A presentation of this model was also made at the "Engineering Manpower Planning Institute", which was held in Madison, Wisconsin, January 8, 9, 1968. At all of these meetings this model generated quite a bit of active discussion resulting in several additional changes of the model.

Unlike its predecessor, this model separates out the student and the faculty subsectors within the academic sector and does this at all levels of educational attainment. It provides for inflows as well as outflows of foreign nationals at various levels of attainment. This model explicitly provides for the psychological, sociological and economic factors which influence the movement of personnel from one sector to another within our economy. It furthermore is expressed in terms of "difference" types of equations as opposed to differential equations.

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1 This extension was suggested by Dr. Lindsey Harmon, Director of Research, Office of Scientific Personnel, National Academy of Sciences National Research Council.

2 The change from differential to difference equations was necessitated by the availability of the DYNAMO compiler for the B 5500 computer. Although difference equations are used, the time increment considered is relatively short, specifically it is 1 calendar month.
A detailed description of this model is given in Appendix I to this report.

RESULTS

Because of the software problems with the Burroughs B 5500 computer which was the only computer, large enough for the purpose available to us at the University of Wisconsin, this investigator regrets to report that no useful simulation runs are as yet available. Although the project grant has run its course, work is still continuing on the project, albeit at the principal investigator's new location, the Department of Operations Research, Case Western Reserve University, Cleveland, Ohio. Two graduate students are working with the principal investigator on this project. Current work involves the simultaneous programming of this model for solution on either the Univac 1108 computer or the IBM 360-40 machines. It is the investigator's intent to submit a supplementary final report on this work as soon as the simulation has been completed resulting in substantive or new insights to the problem.

METHOD: MODEL DEVELOPMENT

Figure 1 describes all of the sectors and subsectors of our economy and the paths through which population shifts may take place. In moving upward along the diagram, we find that the lowest layer in Figure 1 symbolically houses all personnel who are either studying for the Bachelors Degree (subsector S1) or who have dropped out after some university or college training and are in industry (Subsector P1). The non-degree holders layer allows for three types of personnel inflows. Thus, high school students can enter the student subsector via the H1S1 path. Similarly, high school graduates from other nations can enter the same subsector via the flow path labeled E1S1. The model allows for foreign nationals who have had some college or university training but who have not attained the equivalent of a Bachelors Degree to enter the model via the path E1P1. It was a matter of convenience to allow these people to enter only into the professional (P1) subsector. It is fully recognized that many of these people may, in fact, enter the country with the intention of studying further toward a Bachelors Degree and this may, in fact, be the predominant majority of such entrants. It was felt that in due time these people will, in fact, enter the student sector within the model. The availability of data, or better, the lack thereof, justifies this simplifying assumption in the construction of the model. Using similar reasoning, it was felt that all non-achievers who leave the country prior to obtaining their Bachelors Degree will leave via the professional subsector rather than leaving directly from the student subsector. The flow P1E1 allows for all foreign nationals with some
undergraduate education to leave the country. The two remaining flows out of layer number 1 allow for the students who have, in fact, obtained the Bachelors Degree to move out and go on to anyone of the three subsectors in layer 2 which contains all personnel holding a Bachelors Degree.

Before moving into layer 2, however, we must account for all those people who leave layer 1 as the result of retirement or attrition. These are basically people who have completed their useful economic lives either as technicians or supporting personnel and are leaving the productive economy into permanent and complete retirement. The flow which allows for this to take place is P1R1. Layer 1 allows for two intra-layer flows, specifically flow S1P1 which channels all of the students who have dropped out into the professional or productive subsector P1. Similarly, flow P1S1 allows for students who have at one time dropped out to reenter the academic or student subsector S1 in order to continue their studies for the Bachelors Degree. It should be noticed that subsector P1 represents that part of the economy, be it government, industry, commerce, or whatever, which employs people having some college training but no degree.

The interlayer flows can only come, in this model, from the student subsector of the layer below. Thus, all those who have successfully completed their Baccalaureate studies leave subsector S1 in layer 1 and move on to either of the three subsectors in layer 2. Layer 2, representing all those who do hold a Bachelors Degree but no more, differs from layer 1 in that within this layer there are three subsectors. In addition to subsector S2, which represents all students studying for a Masters Degree, and subsector P2 which represents all those holding a Bachelors Degree but not more who are productively employed by our society in all areas other than university or college-level teaching, subsector F2 houses all Bachelors holders who hold no higher degree and who are employed in an academic capacity within higher education.

Layer number 2 allows for only two inflows. Recent graduates with a Bachelors Degree from the layer below and foreign nationals who enter the country holding a Bachelors Degree (E2P2). Again, in this case as in layer 1, the assumption was made that all foreign nationals enter through the professional subsector. Similarly, all foreign nationals who leave this country holding a Bachelors Degree, but no more, leave through the professional subsector of layer 2 (P2). In this case, retirement is allowed both from the professional subsector, namely P2R2 and also from the faculty or academic subsector, namely F2R2. It was felt that the retirement age of academics was generally somewhat different than the retirement age of people from industry or from government. Hence, the two flows were separated.
Figure 1
The Locations & Flow Paths of People with an Engineering Education: A Systems Schematic
In layer 2 there are not two but six possible intralayer flows. In addition to the population shifts between the students subsector S2 and the professional subsector F2, there are now similar paths for population shifts between either of these subsectors and the faculty subsector F2. All those who have successfully completed their studies toward a Masters Degree can leave layer 2 and move on to layer 3 via the channel labeled S23. Layer 3 is conceptually similar to layer 2 although within it reside all those who hold a Masters Degree but no higher degrees. From layer 3 individuals may move up to layer 4 upon satisfactory completion of the Doctorate Degree. Layer 4 is different from layers 2 or 3 in that within it reside all Doctorate holders and this layer does not contain a student subsector. Although it is recognized that there are post-doctoral students, it was felt that most of these people do hold an academic rating, and so for the sake of simplicity, the student subsector was eliminated.

It should be noted that except for the exogenous inputs, all of the flows either originate and/or terminate at one of the subsectors. Therefore, at each subsector it is possible to write a "personnel" conservation equation in a manner analogous to the conservation equations of either mass or energy or momentum in the physical sciences. These equations basically take into account, at any instant of time, all of the inflows, outflows and rates of accumulation within a subsector. The equation states that the total rate of flow into a subsector minus the rate of outflow is equal to the rate of accumulation or growth of population within a given subsector. Equation 1 states this in descriptive terms. It should be noted that the rate of generation term, quite often used in the physical sciences, is meaningless in this case and therefore forced to equal zero. What we are basically saying here is that within a given subsector there can be no people generated. Any generation which does take place in our population takes place some time prior to the individual's entrance into either the academic or professional subsectors. Equation 1 is described in mathematical symbols by equation 2.

\[
\begin{align*}
\sum_{i} \left( \text{Input} \right) - \sum_{o} \left( \text{Output} \right) + \sum_{g} \left( \text{Rate of Generation} \right) &= \sum_{a} \left( \text{Rate of Accumulation} \right) \\
\sum M_{i} - \sum M_{o} &= \frac{d}{dt} M (M)
\end{align*}
\]

Equation 2 may be rearranged from its differential formulation to an integral formulation which is done in equation 3.
If we now force the infinitesimal time increment \( dt \) to be equal to \( \Delta t \) a finite increment of time, and similarly, we allow the infinitesimal increment of accumulation \( dM \) to be equal to \( \Delta M \), then we may transform equation 3 to its difference format with but a few apologies to those who are inclined toward the purity of mathematics.

Let

\[
dt = \Delta t, \quad dM = \Delta M
\]

\[
[\int M_1 - \int M_o] \Delta t = M_{t+\Delta t} - M_t
\]  

(4)

Allowing for some additional transformations such as those described by equation 5

\[
\text{If } t = j, \quad t + \Delta t = k, \quad \text{And } \Delta t = DT
\]  

(5)

we can move on to equation 6

\[
M_K = M_j + [\int M_1 - \int M_o] \Delta t
\]  

(6)

and then on to equation 7

\[
M.K = M.J + (DT) [\int M_1 - \int M_o]
\]  

(7)

which is in a format easily comprehended by the DYNAMO compiler developed in connection with a social-systems simulation methodology generally known as industrial dynamics which was developed by Professor J. W. Forrester at the Massachusetts Institute of Technology.

Although the level equations are determined by a straightforward application of the law of conservation of mass, the rate equations are determined largely by a group of relationships between many diverse factors. A brief summary of the "flowrates and the major influential factors" is given in Table 1. The table shows that the flowrates from one sector to another are determined by such gross influential factors as societal needs, group psychology,
student capabilities, national needs for faculty, student-faculty ratios, inputs, world technological gaps, and so forth. The approach that is used in combining these and determining the flow rate can perhaps be best explained by means of a number of specific examples.

In Figure 2, we see an expanded version of the non-degree holders level where the student sector S1 and the professional sector P1 are shown in the square boxes. Let us consider the flow of professionals from P1 to S1 and call that flow rate P1S1. In order to understand the dynamics of the flow rate P1S1 we resort to a psychological submodel which is given in Figure 3.

There are a number of students studying for a Bachelors Degree in subsector S1. After some length of time which we call a delay (say six months) we observe or count the number of students that exist in that sector. This delay is due to the fact that we do not usually count large number of students instantaneously, but it takes some time before our bookkeeping system presents the data to decision makers. Furthermore, even after the figures regarding head count are available, it takes some additional time for the decision makers to become really aware of what the figures mean. At the same time that there is an observation of the number of students, there exists in society a need for people to obtain a Bachelor's Degree namely, a need for students in the Bachelors program. This need is generated by the discrepancy between the number of students who have a Bachelors Degree and those that we think we need with such a degree. It is reasonable to expect that if there is no difference between the need for students and the number of students that we observe there will be no dissatisfaction with the conditions that prevail. However, if we need more students than we have, there is some dissatisfaction generated. As the dissatisfaction grows there is a pressure to change the number of students that we observe, namely to open the valve P1S1 and let more students into school.

The relationship between dissatisfaction and pressure to change the number of students is not a linear one. It can be seen from Figure 3 that the relationship may be represented by an S-shaped curve. Thus, if there is very little dissatisfaction there is no pressure. But as the dissatisfaction grows, the pressure builds up rapidly. After the dissatisfaction has reached some large value, the pressure does not continue to increase linearly, but levels off and thereafter no matter how great the dissatisfaction, the pressure no longer increases. This kind of a relationship is representative of many real situations which we find in socio-economic situations.
Table 1
FLOWRATES AND THE MAJOR INFLUENTIAL FACTORS

<table>
<thead>
<tr>
<th>Flowrate</th>
<th>Influential Factors</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professional → Student</td>
<td>Societal Needs</td>
</tr>
<tr>
<td>Student → Professional</td>
<td>Group Psychology</td>
</tr>
<tr>
<td>{Student and Professional} → Faculty</td>
<td>Societal Needs</td>
</tr>
<tr>
<td>{Student Faculty Professional} → Structure of Educational System</td>
<td></td>
</tr>
<tr>
<td>(Lower Level) (Higher Level)</td>
<td>(Student-Faculty Ratio)</td>
</tr>
<tr>
<td>Foreign</td>
<td>National Needs for Faculty</td>
</tr>
<tr>
<td>Professional Personnel</td>
<td>Higher Sector</td>
</tr>
<tr>
<td>Professional Faculty</td>
<td>Past Inflows and Outflows of Lower Student Sector</td>
</tr>
<tr>
<td>{Professional Faculty} → Retirement</td>
<td>World Politics</td>
</tr>
<tr>
<td>Need for \rightarrow Professional Doctorates</td>
<td>(Foreign Relations)</td>
</tr>
<tr>
<td>High School \rightarrow Student</td>
<td>Economics</td>
</tr>
<tr>
<td></td>
<td>World Technological Gap</td>
</tr>
<tr>
<td></td>
<td>Previous Graduation Rates</td>
</tr>
<tr>
<td></td>
<td>Current Faculty and Professional Levels</td>
</tr>
<tr>
<td></td>
<td>Exogenous Input</td>
</tr>
<tr>
<td></td>
<td>(Long Range Planning)</td>
</tr>
<tr>
<td></td>
<td>Historical Data</td>
</tr>
<tr>
<td></td>
<td>(Extrapolated)</td>
</tr>
</tbody>
</table>
Figure 2
The Location & Flow Paths of People within the Undergraduate Subsector and the Interrelation of Socio-economic Factors which "control" the Population Flows

13
Figure 3
A Typical Socio-economic Loop
In a similar manner, as the pressure increases we tend to make some percentage change in the flow that we allow into the student sector. This means that we might open the valve 2% or 3% greater than it was at the previous instant in time. The relationship between pressure and the percentage of change in the flowrate is similar to the one given for dissatisfaction and pressure. From a mathematical standpoint we could combine the two functions into one composite one, but this would obscure the physical significance of the variables and make it more difficult to make intelligent modifications in the curve. We can see from Figure 3 that the percentage change in flow to the student sector is the variable which will control the flowrate \( P_{1S1} \). Thus we have a dynamic loop in which the feedback, the delays, the need for students and some of the functional relationships that are postulated all combine to regulate the valve.

The actual variables used in this model are shown in Figure 2. \( O_{S1} \) represents the observation of the number of students that exist in the student sector \( S_1 \) at the present instant of time. This information together with the societal need for students in \( S_1 \), \( N_{S1} \), yield the variable \( D_{S1} \), which is the dissatisfaction with the number of students in \( S_1 \). \( D_{S1} \) provides information for generating pressure to change the number of students in \( S_1 \), namely \( P_{C_{S1}} \), and this in turn provides the information to generate \( C_{P{S1}} \), which is the percentage change in the flowrate. The change in the flowrate \( C_{P{S1}} \) controls the valve \( P_{1S1} \).

The mathematical relationships which represent the foregoing dynamic loop are represented by equations (8) through (15). With the exception of a number of symbols peculiar to the dynamo program and our own nomenclature, the equations are self-explanatory. It will be noted that in equation 10, \( S_{1D}^n \) (11.J) represents a special computer routine which stores the number of students in \( S_1 \) at a given instant in time and makes that number available sometime later (say 6 months later) in the analysis. In equations (13) and (14), the symbols \( T_{P{C_{S1}}} \) and \( T_{CPS} \) represent tables of values that give the relationship between \( D_{S1} \) and \( P_{C{S1}} \), as well as \( P_{C{S1}} \) and \( C_{P{S1}} \). The tables are shown graphically beside the equations. Finally, the flow rate \( P_{1S1,KL} \) which represents the flow rate during the time interval between time \( K \) and time \( L \) is given by the flow rate between time interval \( J \) and \( K \) plus some percentage of change in that flowrate. This relationship is shown in equation (15).

\[
S_{1,K} = S_{1,J} + (DT)(H_{S1,JK} + E_{1S1,JK} + P_{1S1,JK}) - S_{1P1,JK} - S_{1P2,JK} \tag{8}
\]
\[ P_{1.K} = P_{1.J} + (DT)(E_{1P_1.JK} + S_{1P_1.JK} - P_{1S_1.JK} - P_{1E_1.JK} - P_{1R_1.JK}) \]  

(9)

\[ O_{S_1.K} = S_{1D}(11.J) \]  

(10)

\[ D_{S_1.K} = \frac{C_{O_{S_1}}}{O_{S_1}/N_{S_1}} \]  

(11)

\[ N_{S_1.K} = N_{S_2.J} + N_{F_2.J} + N_{P_2.J} - (F_{2.J} + P_{2.J} + S_{2.J}) \]  

(12)

\[ P_{C_1S.K} = T_{P_{C_1S}} (D_{S_1.K}) \]  

(13)

\[ C_{P_1S.K} = T_{C_{P_1S}} (P_{C_1S.K}) \]  

(14)

\[ P_{1S_1.KL} = P_{1S_1.JK} + C_{P_1S.K} (P_{1S_1.JK}) \]  

(15)

On the other hand, the flowrate from the student sector \( S_1 \) to the professional sector \( P_1 \) is controlled by the valve \( S_{1P_1} \). The flowrate is determined by some internal as well as external factors. The external factors are similar to those given for the flowrate \( P_{1S_1} \) and are controlled by the external needs of our society for professional people \( N_{P_1} \). The number of students who dropped out of school and do not achieve a Bachelors Degree is determined not only by the need for professional personnel, but also by the mental ability of the students who enter the Bachelors program and the educational standards of the school. The combination of student abilities and school standards cause some
percentage of the entering students to drop out. The percentage of students that drop out, PD1S1, can be assumed to be a constant or it may vary with time. For the purposes of the initial simulation we will assume that this dropout rate is a constant. The fraction of students that will drop out due to the aforementioned causes, FR1S1, is equal to the percentage of students who entered school from high school from foreign countries and from the professional sector. The students do not drop out all at one time. Most of them drop out during the first year of college and less and less students drop out as the years go by. This phenomenon is taken into account in the model by introducing a special routine known as a delay. The delay routine takes the flowrate and distributes it in an appropriate manner over the time period involved. The flowrate SIPL is thus the sum of the internal flowrate ES1PL. Some of the definitions of terms and typical equations that are used in the internal loop are given below.

The rate at which students graduate from S1 and who proceed to level 2 is called S12. This flowrate is determined by the rate of students entering as freshman approximately 4 years earlier than the present time minus the rate at which students dropped out over the 4 year period. All of these rates are delayed in an appropriate manner by a delay routine.

\[ PD1S1 = \text{Constant or Variable with Time} \]
\[ = \text{Percent Dropout of Students Entering S1} \]
\[ \text{Due to Mental Abilities, i.e., Internal Reasons} \]

\[ FR1S1.KL = PD1S1 (H1S1.JK + E1S1.JK + P1S1.JK) \quad (16) \]

\[ IS1PL.KL = \text{Delay 1 } (FR1S1.KL, DRDS1) \quad (17) \]

\[ DRDS1 = \text{Constant} \]
\[ = \text{Dropout Delay at S1 in Time Units} \]

\[ S1PL.KL = IS1PL.KL = ES1PL.KL \quad (18) \]

The rate at which people retire from the professional sector P1 is called P1R1. As might be expected, that flowrate is determined by the number of people that entered this system 30 or 40 years earlier from high school, the number of people who retire from the Masters and Ph.D. sectors and some appropriate distribution functions regarding the age at which people retire.
Finally, the rate of inflow and outflow of foreigners from the professional sector, namely E1P1 and P1E1 are recognized explicitly in the model and their respective values are assumed to be reasonably constant. An extensive search of available information regarding foreign personnel entering and leaving the United States has revealed that very little good data is now being kept on this subject. If we were to assume relationships which are other than constant values for these flowrates, we would have to greatly expand the model to include the influence of foreign economics, politics, world affairs, cultural patterns, and so forth. For the present time we will make reasonable assumptions regarding these flowrates and turn our attention to more realistic assumptions at a future date.

In a manner substantially similar to the one presented for level 1, the model has been developed for the second, third and fourth level. The flow diagrams are shown in Figures 4, 5 and 6.

SUGGESTED FUTURE EXTENSIONS OF THE MODEL

At the present time the model and the simulation are concerned strictly with the profession of Engineering (not distinguishing between mechanical, electrical, etc. engineers). However, in the future we are looking forward to doing the following:

a. ND4 in the model represents the need for doctorates which is felt in the general population at a given time. This variable must be functionally related to the projected long-range needs of the nation. An extension of the present model is required.

b. Applications to other professions and disciplines each treated individually.

c. Generalization of the model to include several inter-related professions and/or disciplines. (Physical Sciences, Mathematics, and Engineering; The Life Sciences, Behavioral Sciences, and Medicine; The Social Sciences, Law, and Business Administration.)

d. Extension of the generalized model to interrelate all areas of higher learning.

e. To further delineate within all of foregoing model the impact of economic variables (i.e., scholarships, industrial, government and academic salaries, availability of money for educational plant...)

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Figure 4
The Location & Flow Paths of People within the Post Baccalaureate Subsector and the Interrelation of Socio-economic Factors which "control" the Population Flows
Figure 5
The Location & Flow Paths of People within the Post Masters Subsector and the Interrelation of Socio-economic Factors which "control" the Population Flows
Figure 6
The Location & Flow Paths of People within the Post Doctorate Subsector and the Interrelation of Socio-economic Factors which "control" the Population Flows
References


APPENDIX

NOTE PRINT CARDS START AT 40000
NOTE DEC. 27, 1967
SPEC DT=1/LENGTH=010/PRTPER=2/PLTPER=2
NOTE LAYER 1

2L .S1,K=S1,J+(DT)(H1S1.JK+E1S1.JK+P1S1.JK-S1P1.JK-S1J2.JK+O)

7N .S1=180000/12

2L P1,K=P1,J+(DT)(E1P1.JK+S1P1.JK-P1E1.JK-P1R1.JK-P1S1.JK+O)

6N .H1S1=62000/12

14R P1S1,KL=P1S1.JK+(CPS1.S,K)(P1S1.JK) P1 TO S1

6N P1S1=0

37B .S1D=BOXLIN(7,1)

6N .D1=S1D=7,K

S1D=BOXLOAD(S1,1)

6N .D1=S1


6N .NS1=0

.1S1,K=NS1,K/OS1.K

6N .OS1=1

.PCS1.K=CLIP(PCS1POS,K,PCS1NEG,K;OS1,K,1)

.PCS1POS,K=1-EXP((-4)(OS1,K-1)(OS1,K-1)(OS1,K-1))

.PCS1NEG,K=-EXP((-4)OS1,K)(OS1,K)(OS1,K)

.CPS1,S,K=(PCS1,K/PCS1ABS,K)(1-EXP((-4)(PCS1ABS,K)-PCS1ABS)

.K)(PCS1ABS,K)

.X .K=(PCS1ABS,K)

.PCS1ABS,K=MAX(PCS1.K,-PCS1.K)

6N .S12=20200/12

.DMS1=45 DELAY IN ACT. MATR. FOR BACH. STUDENTS

18R .H1S1,KL=(SUMS,KJ)(1-ADO1.K)

.S0D1.S,K=SUM(52,BAVS1)

44A .ADD1.S,K=S0D1.S,K/52 AV DROP OUT OF S1

.BAVS1=SUM(52,1)

SUMS,KL=BSM1,S,K/52,1

8R .BSM1,S,K=H1S1.JK+E1S1.JK+P1S1.JK

.BSM1=BOXLIN(52,1)

36N BAVS1=BOXLOAD(BAVS1,S,K)

6N .S1P1=8200

.IS1P1,KL=DELAY3(FRIS1,JK+24)

NOTE PRECEDING SHOULD BE DELAY 1, NOT 3

6N .S1P1=0

19R .FRIS1.KL=(POIS1,H1S1.JK+E1S1.JK+P1S1.JK+0)

.POIS1=209/12 (.5-S1)/12

14R .ES1P1,KL=ES1P1.JK+(CISP,K)(ES1P1.JK)

.SOCIAL EXTERNAL

6N .ES1P1=0

.PCP1.K=CLIP(PCP1POS,K,PCP1NEG,K,DP1,K,1)

.PCP1POS,K=1-EXP((-4)(DP1,K-1)(DP1,K-1)(DP1,K-1))

.PCP1NEG,K=-EXP((-4)DP1.K-1)(DP1,K-1)(DP1,K)

.PCP1ABS,K=MAX(PCP1,K,-PCP1.K)

.CS1P,K=(PCP1,K/PCP1ABS,K)(1-EXP((-4)-PCP1ABS,K)

.PCP1ABS,K=PCP1ABS,K-PCP1ABS,K-PCP1ABS,K

23

DP1 = 0


DMIX1.K = CC1 + ATB1.K

ATB1.K = (AA1)(EXP(BLT1.K))

BLT1.K = (BB1)(LOGM(TIME.K))

C

AA1 = 0

BB1 = 0

CC1 = 17

C

NP1 = NN1 - NS1


P1D*1.K = P1.K

P1D = BOXLIN(711)

P1D = BOXLOAD(P111)

OP1 = P1

P1R1.KL = RR1.JK

P1R1 = 0


TOTR.KL = TOTR.JK

TOTR = (.15)(P1 + P2 + P3 + P4)

E1P1.KL = E1P1.JK

E1P1 = 0

PlE1KL = PlEl.JK

PlE1 = 0


S2 = 12400 / DTPY

AP2.K = E2P2.JK + F2P2.JK + S1P2.JK


F2 = 660 / DTPY

AS1S2.KL = (S12.JK)(S2.K) / AS1S2.K

AS1S2.KL = (S12.JK)(P2.K) / AS1S2.K

AS1S2.KL = S12.TKIS1S2.JK - S1P2.JK

S1F2 = 1000 / DTPY

F2S2.KL = F2S2.JK + (CF2S.K)(F2S2.JK)

F2S2 = 0

PCS2.K = CLIP(PCS2POS.K, PCS2NEG.K, OS2.K, 1)


PCS2NEG.K = MAX(PCS2.K - PCS2.K)

CF2S.K = (PCS2.K)(PCS2ABS.K)(1 - EXP((-4)(PCS2PW.K))

PCS2PW.K = PCS2ABS.K - PCS2ABS.K - PCS2ABS.K


S2D = BOXLOAD(S2, 1)

S2D = 50

S2D = BOXLIN(7, 1)

S2D = 80

S2D = 0
C DO2=0
C EE2=0
C FF2=.1
6A QFZ.K=BOXF2*5.K
BOXF2=BOXLIN(516,1)
6N QFZ=2
14R P2F2, KL=P2F2.JK+(CP2F.K)(P2F2.K)
6N P2F2=0
50R CP2F.K=(PCF2.K-PCF2ABS.K)(1-EXP(-4-PCF2POW.K))
20N P2R2=2444/DTPY
RR2,KL=DELAY(BXS12*516,24)
BXS12=BOXLIN(516,1)
BXS12=BOXLOAD(S12,1)
6A BXS12=1.K=S12.JK
F2R2,KL=RR2.JK-P2R2.JK
20N BXS12=156/DTPY
S23,KL=DELAY3(MS2EX.JK,6)
NOTE SHOULD HAVE BEEN DELAY 1, NOT 3
20N S23=4444/DTPY
18R MS2EX.KL=(SUMA.JK)(1-ADO2.K)
SUMA.K=BSMS2*35.K
8R BSMS2=1.KL=F2S2.JK+P2S2.JK+S1S2.JK
37B BSMS2=BOXLIN(35,1)
53A ADO2=SUM((35, BAVS2.XK)/35
37B BAVS2=BOXLOAD(F2S2+P2S2+S1S2,1)
6R E2P2,KL=E2P2.JK
20N E2P2=3047/DTPY
P2E2,KL=P2E2.JK
P2E2=0
NOTE LAYER 3
20N S3=2521/DTPY
8A AP3,K=-P3R3.JK-P3F3.JK-P3S3.JK
20N P3=3370/DTPY
20N F3=2640/DTPY
8A AS2S3=K=S3.XK+P3.XK+F3.XK
8R S2F3,KL=S23.JK-S2S3.JK-S2P3.JK
F3S3,KL=F3S3.JK+ICF3S.KK(F3S3.XK)
CP3S.K=(PC3S.XK(PC3ABS.XK)(1-EXP(-4-PC3POWER.XK))
PC3S.K=CLIP(PC3POS.XK,PC3NEG.XK,DS3.K,1)

6N NS3=OS3

6A S3D=X.K=S1.K

37B S3D=BOXLIN(7,1)
S3D=BOXLOAD(S3,1)

OS3=S3

NOTE DELS3=48 WHATS IT FOR

14R P3S3.K=P3S3.JK+(CP3S.K)(P3S3.JK)
6N P3S3=0

14R NS3.K=NS3
14R CP3S.K=CP3S.JK

6N S3P3=0

CS3P.K=(PCP3.K/PCP3ABS.K)(1-EXP(-4-PCP3POWER.K))

PCP3POWER.K=PCP3ABS.K-PCP3ABS.K-PCP3ABS.K


BOXP3=1,1=P3.K

37B BOXP3=BOXLIN(7,1)
6N DP3=P3.K

36N BOXP3=BOXLOAD(P3,1)

6N NP3=OP3


29A BLT3.K=(BB3)*LOGN(TIME.K)

8N NM3=NP3+NF3+NS3
6N DMIX3=18.815
C AA3=1
C BB3=1
C CC3=20.0/6.0

14R CF3P.KL=CF3P.JK+(CF3P.K)(CF3P.JK)
6N CF3P3=0

CS3P.K=CS3P.K SAME FOR NOW

14R S3F3.KL=S3F3.JK+(CS3F.K)(S3F3.JK)
6N S3F3=0

CS3F.K=(PCF3.K/PCF3ABS.K)(1-EXP(-4-PCF3POWER.K))


7N PCF3=PCF3E+PSFR3

PCF3POWER.K=PCF3ABS.K-PCF3ABS.K


PCF3E.K=CLIP(PCF3EPOS.K,PCF3ENEG.K,DF3.K,1)


284


294

EIT3.W = (EE3) * LOGN(TIME.K)

6N

NF3 = OF3 + C

-103 = 1

EE3 = 0

FF3 = 0.3

6A

BOXF3*1.K = BOXF3*1.K

39R

S34.KL = DELAY3(MS3EX.JK, 12)

NOTE

SHOULD BE DELAY 1, NOT 3

20N

S34 = 600 / DTPY

1BR

MS3EX.KL = SUMB(JK) * (1 - ADDS3.K)

53A

AUXAD.K = SUMM(62, BAVS3.K)

20A

AUXAD.K = AUXAD.K / 62

22A


37B

BAVS3*1.K = BOXLIN(62, 1)

36N

BAVS3 = BOXLIN(62)

379

BAVS3 = BOXLOAD(BAVS3*1.K)

6A

SUMB = BMS3*1.K

7A

BMS3*1.K = P3S3.JK + S34.KJ

37B

BMS3 = BOXLIN(52, 1)

50R


NOTE

LAYER 4


C

P4 = P4(J) * DTPY

PCTP4 = P4(J) / DTPY

D4I = 9000 / DTPY

DOCTORATE HOLDERS INITIALLY


C

D4I = 2720 / DTPY

\[ F_{4P4, KL} = F_{4P4, JK} + (C_{4P4, K}/C_{4P4, JK})(1 - \exp(-4P_{4, K}) \) \]

\[ D_{4P4, K} = N_{4P4, K}/D_{4P4, K} \]

\[ P_{4P4, K} = \text{clip}(P_{4P4, K} ^{POS}, K, P_{4P4, K} ^{NEG}, K, D_{4P4, K}) \]

\[ P_{4P4, K} ^{POS} = 1 - \exp(-4 - (D_{4P4, K} - 0.1)(D_{4P4, K} - 0.1)(D_{4P4, K} - 0.1)) \]

\[ P_{4P4, K} ^{NEG} = -\exp(-4 - 0.1 - D_{4P4, K} - 0.1) \]

\[ P_{4P4, K} ^{ABS} = \max(P_{4P4, K} ^{POS}, K, P_{4P4, K} ^{NEG}, K) \]

\[ P_{4P4, K} ^{PWM} = P_{4P4, K} ^{ABS} - P_{4P4, K} ^{ABS} - P_{4P4, K} ^{ABS} \]

\[ P_{4R4} = \frac{(R_{4R4})}{P_{4P4, K} ^{ABS}, K} \]

\[ P_{4R4} = P_{4P4, JK} - P_{4P4, JK} \]

\[ P_{4E4} = P_{4P4, JK} \]

\[ E_{4P4} = P_{4P4, JK} \]

\[ E_{4P4} = P_{4P4, JK} \]

**NOTE**

**Above is incorrect, changed to \( R_4 \) simulataneous EO.**