

Profile of State Data Capacity in 2018: Statewide Longitudinal Data Systems (SLDS) Survey Descriptive Statistics

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readers who are interested in more complex questions and in-depth analysis to explore other National Center for Education Statistics (NCES) resources, including publications, online data tools, and public- and restricted-use datasets. See nces.ed.gov and references noted in the body of this document for more information.

STATS IN BRIEF

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For the past 15 years, the U.S. Department of Education has awarded funding to states and territories to support the design and development of statewide longitudinal data systems (SLDSs). SLDSs collect, analyze, and use data that span individuals' education experiences from preschool to the workforce. SLDSs are designed to help states, districts, schools, educators, and other stakeholders make data-informed decisions to improve student learning and outcomes.

Since the SLDS Grant Program began in 2006, robust P-20W+¹ SLDSs have allowed researchers, policymakers, and practitioners alike to understand important data relationships that help to determine immediate and long-term impacts of education. For example, does

tracking preschool attendance help to predict student kindergarten readiness? Can assessment results predict which students will enroll and persist in college? Is teacher certification an important factor in students' academic proficiency and success in the workforce? With a fully operational SLDS, state and territory governments can establish more informed education policies, agency leaders can develop more relevant education strategies, and educators can make more datadriven decisions for their students.

Nationwide, states' and territories' data capacity and ability to answer these kinds of important questions remain varied. States' and territories' SLDSs differ along multiple factors, including legislative directives and regulations, funding levels, technical capacity, and organizational design. The SLDS Survey provides standard measures for data capacity, a first step toward understanding the links between conditions in states and territories and their capacity levels.

Understanding impacts of education policy and practice requires datasets and data systems to change and evolve. These needs underscore the importance of having SLDSs that can quickly provide data that will help empower education decisionmakers. Teachers, administrators, policymakers, and researchers continually need to respond to new questions about such things as the education and workforce trajectories of students, the availability of qualified teachers, and education program outcomes. Access to education data and the capacity that states and territories have to answer complicated questions is more important than ever.

The SLDS Survey was first administered in the summer of 2017. This annual survey was created to help inventory the current data capacity of states' and territories' SLDSs. It not only focuses on whether a given data type or use is in place, but also explores the development of these data systems and their varying degrees of implementation.

¹ P-20W+ refers to data from prekindergarten (early childhood), K-12, and postsecondary through postgraduate education, along with workforce and other outcomes data (e.g., public assistance and corrections data). The specific agencies and other organizations that participate in the P-20W+ initiative vary from state to state.

The SLDS Survey asks all states and territories to provide information about the types of data that are included in their SLDSs; how they use SLDS data to inform policy; and the capacity of their SLDSs for automated linking of K-12 student data to other data, including teacher, postsecondary, workforce, Perkins career and technical education (CTE), and early childhood data. To provide a snapshot of current data system capabilities, the survey collects information about states' and territories' goals and intentions regarding their data systems by asking respondents to indicate whether a data system's capacity is in place and operational. in progress toward becoming operational, planned, or not planned.

This Statistics in Brief provides aggregate information about states and territories that connect data from different sources in their SLDSs. In order to explore the types of data they collect, how the data are defined, how the data systems are structured, and how the data are ultimately used, this brief explores the following four study questions that represent a portion of the results collected from the survey.

- 1. What types of K-12 data are included in the statewide longitudinal data systems (SLDSs)?
- 2. What is the capacity for linking K-12 student data in the SLDS to other data? How are the data linked?
- 3. Are there data dictionaries published to the state website? Are data aligned to the Common Education Data Standards (CEDS)?
- 4. How do states and territories use data for reporting and decisionmaking?

Data, Measures, and Methods

Data. This brief presents findings from the 2018 SLDS Survey, the second year of the annual survey. The response rate increased from 82 percent (46 states and territories) in 2017 to 91 percent (51 of 56 states and territories) in 2018. All state education agencies (SEAs) eligible to receive SLDS grants received the SLDS Survey, including SEAs from all 50 states, the District of Columbia, the U.S. Virgin Islands, Puerto Rico, American Samoa, Guam, and the Northern Mariana Islands.

NCES sent letters to the SLDS project director in each SEA asking them to participate in the survey. Respondents completed the 48-question survey via a fillable PDF sent electronically to each SEA. Survey respondents were not necessarily the SLDS project directors; in some cases, other SEA staff members responded or assisted in the response. In rare instances, staff members from partner organizations responded because some states and territories host SLDSs outside of the SEA. The survey collected information both on the respondents, including their titles and additional stakeholders consulted, and on the capacity of the SLDS.

Broadly speaking, K-12 data include data about students, educators, and schools. The SLDS Survey asks respondents whether their SLDSs contain specific types of K-12 data, such as student enrollment and assessment results. Postsecondary data include information related to institutions of higher education, from institutional data like tuition and fees to student data such as admission and completion. Workforce data include wages and employment statistics and can come from a variety of sources at the state and local levels. Perkins CTE data focus on programs offered and student participation, completion,

transitions, and outcomes. Finally, early childhood education data include data about providers of and participation in early childhood education services.

Measures. Respondents are asked to indicate whether a data type or capability is operational, in progress, planned, or not planned. The survey defines "operational" as fully functional and available for its intended users. "In progress" is defined as currently being built or implemented as part of the SLDS but not yet fully operational. "Planned" data types and capabilities are those that the state or territory intends to include in its SLDS and for which it has a documented plan and funding source, but has not yet begun to implement. "Not planned" indicates that the state or territory currently has not planned or included this data or capability in its SLDS. "Not planned" also indicates items that are not applicable to a state or territory's SLDS. In response to feedback and questions received about the 2017 survey, additional definitions were provided at the end of the 2018 survey.

Methods. This report presents aggregate summary statistics of states' and territories' SLDS capacity based only on the responses received. Skip logic implemented in the survey automatically populated the response "not planned" for some questions. For example, where respondents indicated that connections between certain data types were not planned, all questions about those connections were automatically given a response of "not planned." A response was considered "not answered" if it was missing. Because the questions included a "not answered" category, all percentages are derived from the 51 state and territory respondents for the 2018 survey.

One limitation of the survey is that the skills, resources, and expertise of the respondents could vary across the states and territories, affecting their responses. In the data use section in particular, knowledge of how other stakeholders use SLDS data could vary. Additionally, changes in the respondent from a state or territory from year to year may limit the ability to understand trends over time. For more information about the data, measures, and methods used in this brief, please see the **Methodology and Technical Notes** section at the end of the report.

STUDY QUESTIONS

What types of K-12 data are included in the statewide longitudinal data systems (SLDSs)?

What is the capacity for linking K-12 student data in the SLDS to other data? How are the data linked?

Are there data dictionaries published to the state website?
Are data aligned to the Common Education Data Standards (CEDS)?

How do states and territories use data for reporting and decisionmaking?

Key Findings

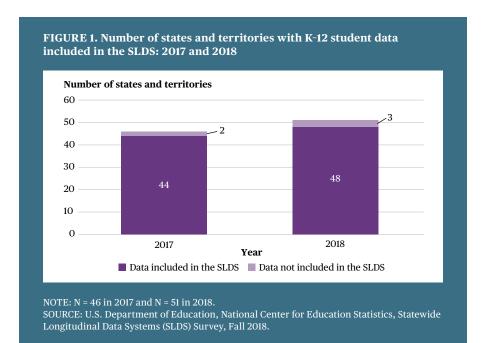
- K-12 student data were included in 94 percent of SLDSs (48 of 51 of the participating states and territories) in 2018 (figure 1).
- The K-12 student data types that were most commonly reported as operational by states and territories are student demographics, grade level, school enrollment and completion, transfer status, homelessness status, dropout history, attendance, and statewide summative assessment scores (figure 2).
- More than three-quarters of states and territories (79 percent) reported that they collect data across multiple agencies in a P-20W+ environment (figure 3).
- At least 70 percent of states and territories reported planned, in progress, or operational automated linkages between K-12 student and K-12 teacher (71 percent), postsecondary (79 percent), Perkins CTE (83 percent), and early childhood (80 percent) data (figure 4).
- K-12 student data are linked with data from other sectors using a variety of strategies (figure 5). The most common operational strategies include course assignments for K-12 teacher data (71 percent), an element match process for postsecondary data (65 percent), and assigned unique identifiers for Perkins CTE (73 percent) and early childhood data (61 percent).
- States and territories use linked data to enable several replicable, automated processes (figure 6). About one-third of states and territories reported operational capabilities to move student data from K-12 to in-state postsecondary institutions through e-transcripts (39 percent), from local education agencies (LEAs) to the SEA through Student Records Exchange (SRE or SREx) (35 percent), and from K-12 to other states' postsecondary entities via e-transcripts (31 percent).
- Nearly three-quarters of states and territories (73 percent) reported having operational comprehensive data dictionaries for K-12 student data (figure 7).
- For states and territories with comprehensive data dictionaries, one-third (33 percent) reported that their K-12 student data elements are aligned to CEDS (figure 8).
- The survey asks about several common data uses, including for instructional support, resources for stakeholders, and decisionmaking (figure 9). The most commonly reported data use for almost all sectors of data was in resources like scorecards or dashboards for the public, parents, and community members.
- In addition to the uses discussed above, states and territories indicated that they use data for additional federal and state reports not specific to a sector (figure 10). Forty-three percent of states and territories reported operational use of data for data quality reports, and 37 percent of states and territories reported operational use of data for reports to the governor or legislature.

1

What types of K-12 data are included in the statewide longitudinal data systems (SLDSs)?

Not surprisingly given that most SLDSs are initially built in SEAs, 2017 and 2018 survey respondents indicated that K-12 student data play a key role in their SLDS projects. K-12 student data were included in 94 percent of SLDSs (48 of 51 states and territories that responded to the survey) in 2018 (figure 1). That percentage is almost unchanged from 96 percent (44 of 46 states and territories) in the 2017 survey.

States and territories reported the current operational status of 24 types of K-12 student data in their SLDSs (figure 2). Respondents indicated whether each data type was "operational," "in progress," "planned," or "not planned." Figure 2 and subsequent figures show the percentage of respondents giving each of those four responses, along with the percentage who did not answer. The bars in the figures are centered on 0 for easier comparisons. Responses of "not planned" and "not answered" are shown in purple on the left side of the figure, and "operational," "in progress," and "planned" responses are shown in green on the right. "Operational" and "not planned" responses are shown closest to

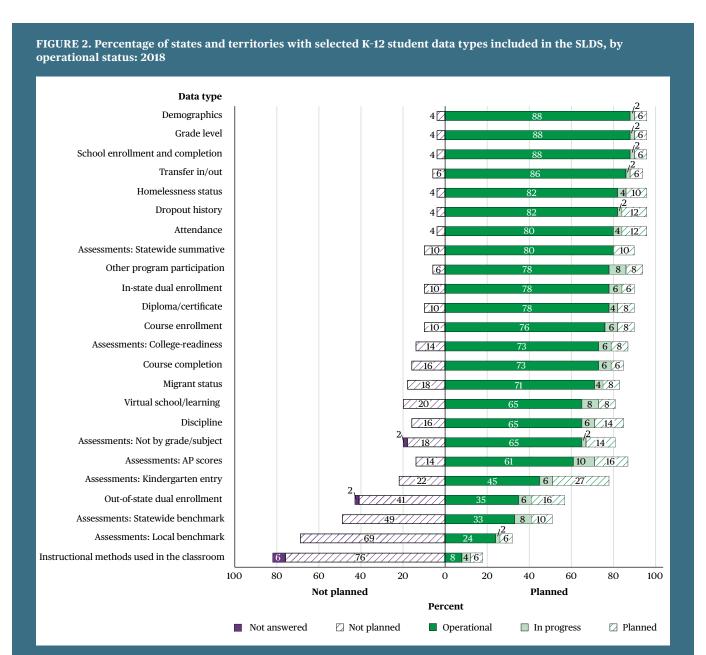


the 0 point at center so that they can be easily compared. Because an "operational" response indicates that a data type or capability is fully functional and available for its intended users, most discussion focuses on those responses.

The K-12 student data types that were most commonly reported as operational by states and territories are student demographics (88 percent), grade level (88 percent), school enrollment and completion (88 percent), transfer status
(86 percent), homelessness status
(82 percent), dropout history
(82 percent), attendance (80 percent),
and statewide summative assessment
scores (80 percent). Notably, all of the
data types most frequently cited as
operational are required for federal
reporting. There was no significant
change from the 2017 survey to the
2018 survey in the percentage of
states and territories reporting each
type of K-12 student data as
operational. Other operational

student-level data types reported by more than three-quarters of states and territories are other program participation (78 percent), in-state dual enrollment (78 percent), diploma/certificate information (78 percent), and course enrollment (76 percent). The 2018 survey revealed that the K-12 data types least commonly reported as operational are kindergarten entry assessment scores (45 percent), out-of-state dual enrollment (35 percent), statewide benchmark assessment scores (33 percent), local benchmark assessment scores (24 percent), and

instructional methods used in the classroom (8 percent). These were also the least commonly operational data types in the 2017 survey. A significant number of states and territories (22 percent to 76 percent) indicated that they do not plan to include these data types in their SLDSs.

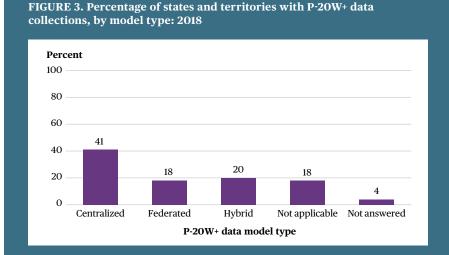


NOTE: N = 51. Detail may not sum to total due to rounding. "Other program participation" includes participation in free and reduced-price lunch, Title I, English language learners, and special education programs. AP refers to Advanced Placement. SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

2

What is the capacity for linking K-12 student data in the SLDS to other data? How are the data linked?

More than three-quarters of states and territories responding to the survey (79 percent) reported that they collect data across multiple agencies in a P-20W+ environment (figure 3). The type of data system model used for P-20W+ SLDSs varies, with 41 percent of states and territories reporting using a centralized data system model,2 18 percent reporting using a federated model,3 and 20 percent reporting using a hybrid model.4 Eighteen percent of states and territories reported that the question was not applicable, and 4 percent did not respond to the question.



NOTE: N = 51. Detail may not sum to total due to rounding. P-20W+ refers to data from prekindergarten (early childhood), K-12, and postsecondary through postgraduate education, along with workforce and other outcomes data (e.g., public assistance and corrections data). The specific agencies and other organizations that participate in the P-20W+ initiative vary from state to state.

SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

² In a centralized data model, all participating source data systems periodically copy their data to a single, centrally located data repository that organizes, integrates, and stores them using a common data standard. Users can query the system to access the data that they have been authorized to view and use.

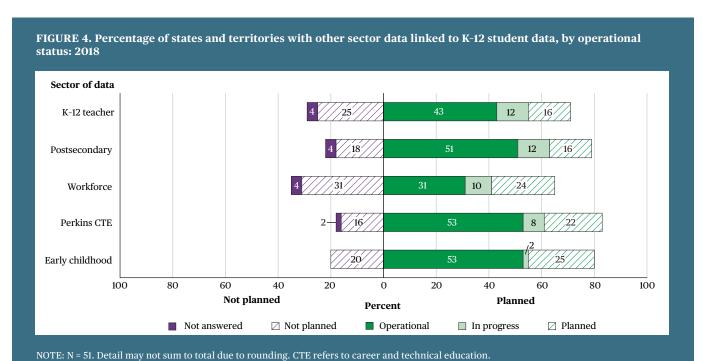
³ In a federated data model, individual source data systems maintain control over their own data but agree to share some or all of their data with other participating systems upon request. Users submit queries via a shared intermediary interface that then searches the independent source systems. Data from source systems are located and matched to fulfill a specific data request. The linked data are not stored but rather are removed once cached and delivered.

⁴ A hybrid data model combines features of the centralized and federated models. For example, hybrid models may establish and maintain data linkages through common identifiers such as Social Security number, name, date of birth, and student identifier, while data such as enrollment, attainment, and assessment information are kept separate until requested by researchers or other users.

A little more than half of states and territories reported having operational automated infrastructure to link K-12 student data to postsecondary data (51 percent), to Perkins CTE data (53 percent), and to early childhood data (53 percent) (figure 4).

A smaller percentage of states and territories have operational automated links between K-12 student data and K-12 teacher data (43 percent) and workforce data (31 percent). Less than one-third of states and territories do not plan to link K-12 student data to data from

all the other sectors. Almost threequarters of states and territories reported planned, in progress, or operational automated linkages between K-12 student and K-12 teacher, postsecondary, Perkins CTE, and early childhood data.



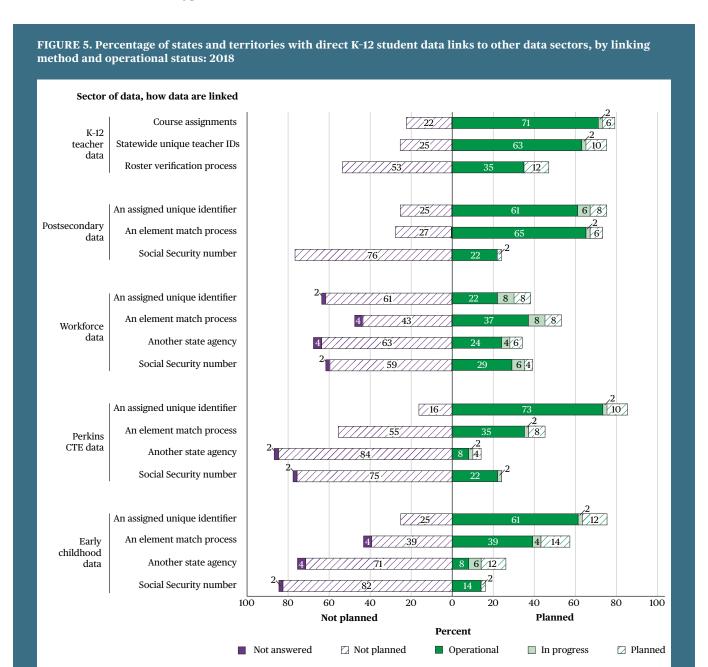
SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

How Are Data Directly Linked to K-12 Student Data?

It is important to be able to connect information about students to nonstudent entities like teachers or to long-term outcomes like workforce participation. These connections are possible only with direct linkages between K-12 student data and other data types.

K-12 student data are linked with data from other sectors using a variety of strategies (figure 5).

For K-12 teacher data, 71 percent of states and territories reported having operational linkages to K-12 student data through course assignments. Sixty-three percent of states and territories reported using statewide unique teacher identification numbers (IDs) as part of their strategy to link K-12 student data to K-12 teacher data. Data linking methods are not mutually exclusive; for example, many of the states and territories that reported linking K-12 teacher and student data through course assignments also use statewide unique IDs.



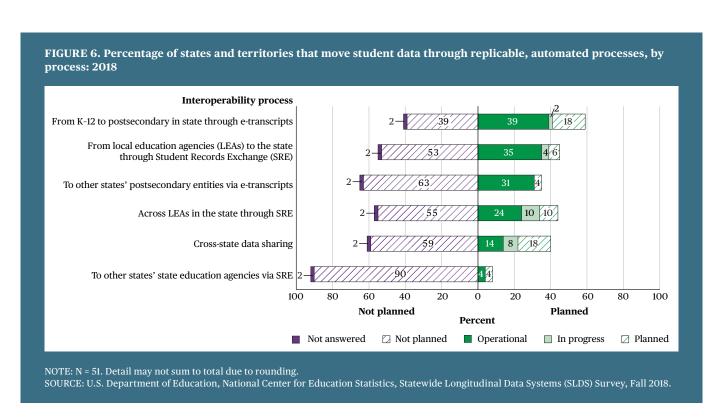
NOTE: N = 51. Detail may not sum to total due to rounding. CTE refers to career and technical education. ID refers to unique identifier. SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

States and territories most commonly reported using an element match process⁵ to connect K-12 student data to postsecondary data (operational in 65 percent of responding states and territories) and workforce data (operational in 37 percent of responding states and territories). Assigned unique identifiers were the method most commonly reported as operational for connecting K-12 student data to Perkins CTE data (73 percent) and to early childhood data (61 percent).

Overall, respondents reported few significant changes to how they link data between the 2017 and 2018 surveys.

States and territories use linked data to enable several replicable, automated processes (figure 6). The processes most commonly reported as operational by states and territories included moving student data from K-12 to in-state postsecondary institutions through e-transcripts (39 percent), moving student data from LEAs to the SEA through Student Records Exchange⁶ (SRE or SREx) (35 percent), and

moving student data to other states' postsecondary entities via e-transcripts (31 percent). Less commonly reported as operational were moving student data across LEAs in the state through Student Records Exchange (24 percent); cross-state data sharing with the Southeast Education Data Exchange, the Midwest Education Information Consortium, the Wage Record Interchange System (WRIS) or WRIS 2 (14 percent); and moving student data to other states' SEAs via Student Records Exchange (4 percent).



⁵An element match process uses one or more data elements to link or connect records or datasets. For example, a state or territory may use student characteristics such as date of birth, last name, and grade level to connect records between postsecondary and K-12 data systems.

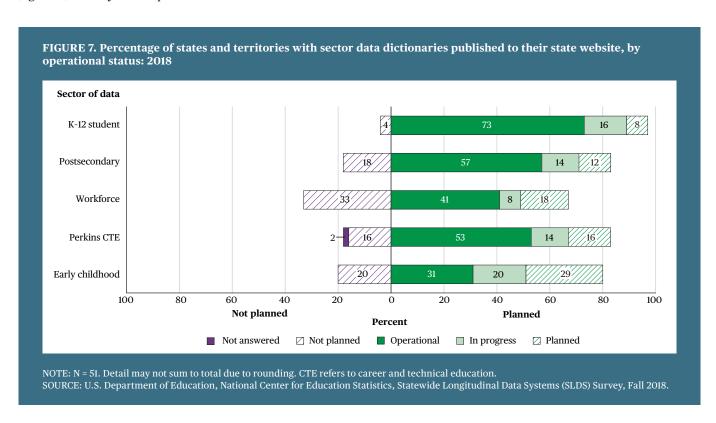
⁶A Student Record Exchange application facilitates the secure and efficient electronic exchange of student records as students move between schools.

3

Are there data dictionaries published to the state website? Are data aligned to the Common Education Data Standards (CEDS)?

States and territories reported on the status of comprehensive data dictionaries by sector that contain metadata such as definitions, option sets, type, or field length, as well as whether those dictionaries are published publicly to state websites (figure 7). Nearly three-quarters of states and territories (73 percent) reported having operational comprehensive data dictionaries for K-12 student data, and over half of respondents reported having operational dictionaries for postsecondary and Perkins

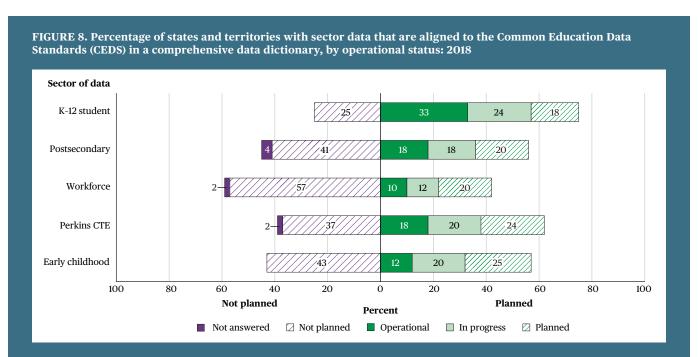
CTE data (57 and 53 percent, respectively). Comprehensive data dictionaries for workforce and early childhood data were somewhat less commonly reported as operational and published to state websites (41 and 31 percent, respectively).



For states and territories with comprehensive data dictionaries, one-third (33 percent) reported that their K-12 student data elements were aligned to CEDS (figure 8). Fewer states and territories reported that their postsecondary data elements

(18 percent) and Perkins CTE data elements (18 percent) were aligned to CEDS. Less commonly, respondents reported having CEDS-aligned early childhood data elements (12 percent) and workforce data elements (10 percent) in a comprehensive data dictionary.

A majority of states and territories reported planning or being in the process of aligning to CEDS all data apart from workforce data. Twenty-five percent of states and territories report not planning to align K-12 student data to CEDS.



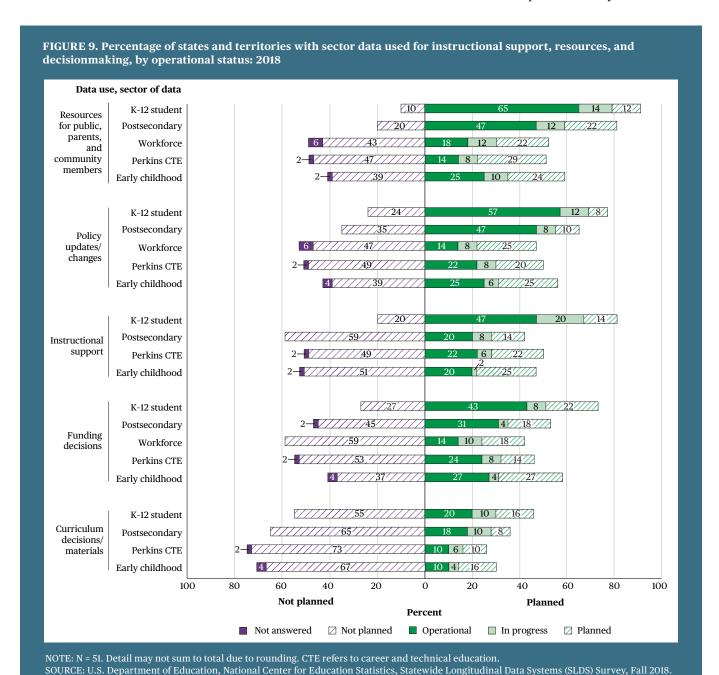
NOTE: N = 51. Detail may not sum to total due to rounding. CTE refers to career and technical education.
SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

How do states and territories use data for reporting and decisionmaking?

Data Used for Instructional Support, Resources for Stakeholders, and **Decisionmaking**

The survey asked respondents to report how they use K-12 student, postsecondary, workforce, Perkins CTE, and early childhood data. Figure 9 shows how states and territories reported using data to support instruction, create resources for stakeholders, and make decisions. The most common use for almost all sectors of data was in resources like scorecards

or dashboards for the public, parents, and community members. Sixty-five percent of states and territories reported that the use of K-12 data in these resources was operational, 47 percent of states and territories reported that the use of postsecondary data in these

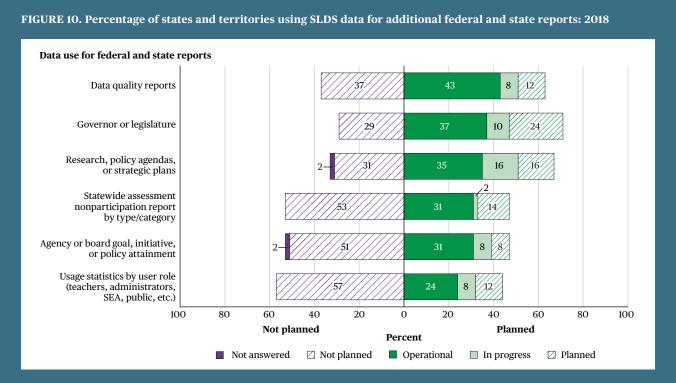


resources was operational, and 25 percent reported that the use of early childhood data in these resources was operational. Data across all sectors are less commonly used for curriculum decisions or materials, with more than half of states and territories responding that they are not planning this use of data.

Data Used for Additional Federal and State Reports

In addition to the uses discussed above, states and territories indicated that they use data for additional types of federal and state reports not specific to a sector (figure 10). Forty-three percent of states and territories reported operational use of data for data quality reports, which describe issues like error rates and the timeliness of data submissions and certifications. Thirty-seven percent of states and territories reported operational use of data for reports to the governor or legislature.

About one-third of states and territories reported operational uses of SLDS data for reports on research, policy agendas, or strategic plans (35 percent); agency or board reports on goals, initiatives, and policy attainment (31 percent); and reports about student nonparticipation in statewide assessments for reasons such as medical emergency, absence, or opting out of testing (31 percent). The use of SLDS data for reports on usage statistics by user role, like teachers, administrators, SEAs, the public, or other users, was somewhat less common, with less than a quarter of states and territories reporting this data use as operational (24 percent).



NOTE: N = 51. Detail may not sum to total due to rounding. SEA refers to state education agency. SOURCE: U.S. Department of Education, National Center for Education Statistics, Statewide Longitudinal Data Systems (SLDS) Survey, Fall 2018.

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https://nces.ed.gov/pub-search/pubsinfo.asp?pubid=2021126

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Statewide Longitudinal Data Systems (SLDS) Survey Analysis (NCES 2020-157). https://nces.ed.gov/pubsearch/pubsinfo.asp?pubid=2020157.

The Feasibility of Collecting School-Level Finance Data: An Evaluation of Data From the School-Level Finance Survey (SLFS) School Year 2013-14 (NCES 2018-305). https://nces.ed.gov/pubSearch/ pubsinfo.asp?pubid=2018305. The Forum Guide to Collecting and Using Attendance Data (NFES 2017-007). https://nces.ed.gov/pubSearch/pubsinfo.asp?pubid=NFES2017007.

Forum Guide to Facility Information Management: A Resource for State and Local Education Agencies (NFES 2018-156). https://nces.ed.gov/pubsearch/pubsinfo.asp?pubid=NFES2018156.

Methodology and Technical Notes

Overview of the SLDS Survey

The Statewide Longitudinal Data Systems (SLDS) Survey was created to assess states' and territories' capacity for automated linking of K-12 student, teacher, postsecondary, workforce, Perkins career and technical education (CTE), and early childhood data in their SLDSs. Although states and territories that were awarded SLDS grants provide updates on the progress of their data systems, the SLDS Survey formally and systematically collects SLDS capacity information across all states and territories. The information collected by the survey helps the National Center for Education Statistics (NCES) evaluate the SLDS Grant Program and improve the technical assistance that the program provides to states and territories in the areas of SLDS development, enhancement, and use.

The SLDS Survey was designed to inventory data systems in several ways. First, the survey asks states and territories to identify the types of data included and available for use in their SLDSs by providing a list of K-12 student data types and asking states and territories to indicate whether each type is operational, in progress, planned, or not planned for inclusion in the SLDS. The survey also asks whether there is automated infrastructure in place to link K-12 student data with data from five other sectors: K-12 teacher, postsecondary, workforce, Perkins CTE, and early childhood data. For each of these sectors, states and territories are asked how data are linked and what types of data within each sector are directly linked to K-12 student data. States and territories also are asked to report how they use data from each sector to inform policy, practices, and decisionmaking

based on a provided list of data uses. The response categories are defined at the onset of the survey as the following:

Operational—This data type or capability is fully functional and available for its intended users.

In Progress—The state is currently building or implementing this data type or capability as part of its SLDS, but it is not yet fully operational.

Planned—The state intends to include this data type or capability in its SLDS and has a documented plan and funding source to implement it, but implementation has not begun.

Not Planned—The state is currently not planning to include this data type or capability in its SLDS. "Not Planned" should also be marked for items that are not applicable to the SLDS at this time for reasons such as legislative prohibitions or "unadopted" interest.

Sample Frame/Selection

The respondent universe for this survey included state education agencies (SEAs) from each of the 50 states, the District of Columbia, the U.S. Virgin Islands, Puerto Rico, American Samoa, Guam, and the Northern Mariana Islands. This was a census of the universe of SEAs eligible to receive grants through the SLDS Grant Program, thus sampling was not used.

Data Collection

The SLDS Survey was distributed to SLDS project directors in each SEA electronically as an e-mail attachment. In addition, NCES invited SLDS contacts to participate in a presurvey informational webinar to share further information about the survey's purpose and to

answer any questions. Survey recruitment began in August 2018, and respondents were asked to complete and return the survey by September 2018. However, completed surveys were still accepted through October 2018.

In 2018, surveys sent to SEA contacts were prepopulated with responses based on the state or territory's 2017 survey responses. Given the large number of survey items, feedback from the 2017 survey indicated that prepopulated responses would allow respondents to see how questions were answered the previous year and facilitate more consistent responses over time.

Data Processing and Imputation

Survey responses were collated from the returned fillable PDFs into a data file for analysis. No imputation was performed at either the unit or item level. Data cleaning was conducted to ensure that state and territory responses were recorded correctly, taking skip logic into account.

That is, survey respondents were directed to skip certain questions if particular data types or capabilities were not planned in their states or territories. Skipped responses were populated as "not planned" rather than "not answered." This approach ensured that the "not answered" category represented true missing responses and that those responses were not comingled with "not planned" responses that were missing only because of the skip logic.

Response Rates

Fifty-one of 56 SEAs completed the SLDS Survey, for a response rate of 91 percent. No weighting or imputations were used to address missing data in this survey.

Data Validation

One limitation of this survey is that responses might vary based on who provided the survey response. To address this limitation, the SLDS State Support Team (SST) conducted data validation on survey responses. The SST is a group of data systems experts who provide direct support to states related to the development, management, and use of SLDSs.

SST support is available regardless of whether the requesting state or

territory has received an SLDS grant. Data validation was conducted in two ways during data processing and analysis. First, the SST members reviewed survey data for their assigned states and communicated potential errors to the states so that they could review the data and make any needed corrections.

The second step was to provide SST members with aggregate analyses in order to further validate state responses.

Statistical Procedures

The survey data were analyzed to produce aggregate summary data showing the proportion of states and territories reporting that aspects of their SLDSs were operational, in progress, planned, or not planned, or that failed to answer. Because no sampling or weighting was performed, simple percentages were calculated and are presented in this brief.

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