# 2011 State Teacher Policy Yearbook

**Indiana** 

OVERALL GRADA



### Acknowledgments

#### **STATES**

State education agencies remain our most important partners in this effort, and their gracious cooperation has helped to ensure the factual accuracy of the final product. Every state formally received a draft of the *Yearbook* in July 2011 for comment and correction; states also received a final draft of their reports a month prior to release. All but one state responded to our inquiries. While states do not always agree with the recommendations, their willingness to acknowledge the imperfections of their teacher policies is an important first step toward reform.

We also thank the many state pension boards that reviewed our drafts and responded to our inquiries.

### **FUNDERS**

The primary funders for the 2011 Yearbook were:

- Bill and Melinda Gates Foundation
- Carnegie Corporation of New York
- George Gund Foundation
- Gleason Family Foundation
- The Joyce Foundation

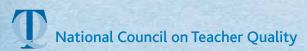
The National Council on Teacher Quality does not accept any direct funding from the federal government.

### **STAFF**

Sandi Jacobs, *Project Director*Sarah Brody, *Project Assistant*Kathryn M. Doherty, *Special Contributor*Kelli Michele, *Lead Researcher* 

Meagan Staffiere Comb, Trisha M. Madden and Stephanie T. Maltz, Researchers

Thank you to the team at CPS Gumpert for their design of the 2011 *Yearbook*. Thanks also to Colleen Hale and Jeff Hale at EFA Solutions for the original *Yearbook* design and ongoing technical support.



### **Executive Summary**

For five years running, the National Council on Teacher Quality (NCTQ) has tracked states' teacher policies, preparing a detailed and thorough compendium of teacher policy in the United States on topics related to teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The 2011 State Teacher Policy Yearbook includes NCTQ's biennial, full review of the state laws, rules and regulations that govern the teaching profession. This year's report measures state progress against a set of 36 policy goals focused on helping states put in place a comprehensive framework in support of preparing, retaining and rewarding effective teachers. For the first time, the Yearbook includes a progress rating for states on goals that have been measured over time. An overall progress ranking is also included, showing how states compare to each other in moving forward on their teacher policies.

# Indiana at a Glance

Overall 2011 Yearbook Grade:



Overall 2009 Yearbook Grade: D

Area Grades	2011	2009
Area 1 Delivering Well Prepared Teachers	C+	D
Area 2 Expanding the Teaching Pool	D+	D+
Area 3 Identifying Effective Teachers	С	D
Area 4 Retaining Effective Teachers	C-	D+
Area 5 Exiting Ineffective Teachers	В	F

### **Overall Progress**



### Highlights from recent progress in Indiana include:

- Annual evaluations for all teachers
- Consequences for unsatisfactory evaluations
- Pay scales connected to teacher performance
- Dismissal of teachers for classroom ineffectiveness
- State data system with the capacity to provide evidence of teacher effectiveness
- Elementary teacher preparation

### How is Indiana Faring?

### **Area 1** Delivering Well Prepared Teachers



### **Policy Strengths**

- Teacher candidates are required to pass a basic skills test as a criterion for admission to teacher preparation programs.
- Elementary teacher candidates will now be required to pass a subject-matter test with four separate subtests, and the state has recently adopted new elementary teacher standards that include a comprehensive list of topics.
- Middle school teachers may not teach on a K-8 generalist license, and they must appropriately pass a single-subject content test.
- All secondary teachers must pass a subject-matter test.

### **Policy Weaknesses**

- Although preparation programs are required to address the science of reading, candidates are not required to pass a test to ensure knowledge.
- The state offers a K-12 special education certification.
- Not all new teachers are required to pass a pedagogy test.
- Requirements for teacher preparation do not ensure a high-quality student teaching experience.
- The teacher preparation program approval process does not hold programs accountable for the quality of the teachers they produce.

### **Area 2** Expanding the Pool of Teachers



### **Policy Strengths**

### **Policy Weaknesses**

- Admission requirements for the alternate route to certification lack flexibility for nontraditional candidates
- Alternate route preparation is not streamlined or geared toward the immediate needs of new teachers.
- Although there are no limits on the usage of alternate routes, there are restrictions on providers.
- The state does not offer a license with minimal requirements that would allow content experts to teach part time.
- Out-of-state teachers are not required to meet the state's testing requirements, and there may be additional obstacles that do not support licensure reciprocity.

### **Area 3** Identifying Effective Teachers



### **Policy Strengths**

- The state data system has the capacity to provide evidence of teacher effectiveness.
- All teachers must be evaluated annually.

### **Policy Weaknesses**

- Although objective evidence of student learning is a significant criterion of teacher evaluations, it is not the preponderant criterion.
- Tenure decisions are connected to evidence of teacher effectiveness, but it is not the preponderant criterion.
- Licensure advancement and renewal are not based on teacher effectiveness.
- Little school-level data are reported that can help support the equitable distribution of teacher talent.

### How is Indiana Faring?

### **Area 4** Retaining Effective Teachers



### **Policy Strengths**

- Teachers can receive performance pay.
- Districts have the authority to develop salary scales on a variety of factors, but years of experience and advanced degrees may account for no more than 33 percent of the calculation.
- The current pension plan is well funded.

### **Policy Weaknesses**

- All new teachers do not receive mentoring or other induction support.
- The state could do more to ensure that professional development for all teachers is aligned with findings from teachers' evaluations.
- The state does not support additional compensation for relevant prior work experience, working in highneed schools or teaching in shortage subject areas.
- Teachers are only offered a hybrid-style pension plan, which is formatted much like a defined benefit system, and pension policies are not portable, flexible or fair to all teachers.
- The complete pension system is significantly underfunded.
- Retirement benefits are determined by a formula that is not neutral, meaning that pension wealth does not accumulate uniformly for each year a teacher works.

### **Area 5** Exiting Ineffective Teachers



### **Policy Strengths**

- Teachers who receive unsatisfactory evaluations are required to go on improvement plans and, if they do not improve, are eligible for dismissal.
- Ineffective classroom performance is grounds for dismissal, and the state has a streamlined appeal
- Performance is the top criterion for districts to consider when determining which teachers to lay off during reductions in force, and a last hired, first fired layoff policy is prohibited.

### **Policy Weaknesses**

 Teachers can teach for up to three years before having to pass required subject-matter tests.

# **Indiana** Goal Summary

Goal Breakdown		
Best Practice	5	Area 3: Identifying Effective Teachers
Fully Meets	4	3-A: State Data Systems
Nearly Meets	5	3-B: Evaluation of Effectiveness
Partially Meets	8	3-C: Frequency of Evaluations
Only Meets a Small Part	6	
O Does Not Meet	8	3-D: Tenure
Progress on Goals Since 2009  13 U 1 © 15 CONT 7		3-E: Licensure Advancement
15 V 1 V 15 GOAL /		3-F: Equitable Distribution
Area 1: Delivering Well Prepared Teachers		Area 4: Retaining Effective Teachers
1-A: Admission into Preparation Programs	•	4-A: Induction
1-B: Elementary Teacher Preparation	•	4-B: Professional Development
1-C: Teacher Preparation in Reading Instruction		4-C: Pay Scales
1-D: Teacher Preparation in Mathematics	•	4-D: Compensation for Prior Work Experience
1-E: Middle School Teacher Preparation	•	4-E: Differential Pay
1-F: Secondary Teacher Preparation	*	4-F: Performance Pay
1-G: Secondary Teacher Preparation in Science		4-G: Pension Flexibility
1-H: Secondary Teacher Preparation in Social Studies	*	4-H: Pension Sustainability
1-I: Special Education Teacher Preparation	0	4-I: Pension Neutrality
1-J: Assessing Professional Knowledge	•	Area 5: Exiting Ineffective Teachers
1-K: Student Teaching		5-A: Licensure Loopholes
1-L: Teacher Preparation Program Accountability	0	5-B: Unsatisfactory Evaluations
Area 2: Expanding the Pool of Teachers		5-C: Dismissal for Poor Performance
2-A: Alternate Route Eligibility	•	5-D: Reductions in Force
2-B: Alternate Route Preparation	0	
2-C: Alternate Route Usage and Providers	0	
2-D: Part Time Teaching Licenses	0	
2-E: Licensure Reciprocity	0	

### About the Yearbook

The National Council on Teacher Quality (NCTQ) has long argued that no educational improvement strategies states take on are likely to have a greater impact than policies that seek to maximize teacher effectiveness. In this fifth edition of the State Teacher Policy Yearbook, NCTQ provides a detailed examination of state laws, rules and regulations that govern the teaching profession, covering the full breadth of policies including teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The Yearbook is a 52-volume compendium of customized state reports for the 50 states and the District of Columbia, as well as a national summary overview, measuring state progress against a set of 36 specific policy goals. All of the reports are available from NCTQ's website at www.nctq.org/stpy.

The 36 Yearbook goals are focused on helping states put in place a comprehensive policy framework in support of preparing, retaining and rewarding effective teachers. The goals were developed based on input and ongoing feedback from state officials, practitioners, policy groups and other education organizations, as well as from NCTQ's own nationally respected advisory board. These goals meet five criteria for an effective reform framework:

- 1. They are supported by a strong rationale, grounded in the best research available. The rationale and research citations supporting each goal can be found at www.nctq.org/stpy.
- 2. They offer practical rather than pie-in-the-sky solutions for improving teacher quality.
- 3. They take on the teaching profession's most pressing needs, including making the profession more responsive to the current labor market.
- 4. They are, for the most part, relatively cost neutral.
- 5. They respect the legitimate constraints that some states face so that the goals can work in all 50 states.

The need to ensure that all children have effective teachers has captured the attention of the public and policymakers across the country like never before. The Yearbook offers state school chiefs, school boards, legislatures and the many advocates who press hard for reform a concrete set of recommendations as they work to maximize teacher quality for their students.

### How to Read the Yearbook

NCTQ rates state teacher policy in several ways.

For each of the 36 individual teacher policy goals, states receive two ratings. The first rating indicates whether, or to what extent, a state has met the goal. NCTQ uses these familiar graphics to indicate the extent to which each goal has been met:









A new feature of this year's *Yearbook* is a progress rating for each goal NCTQ has measured over time. These ratings are intended to give states a meaningful sense of the changes in teacher policy since the 2009 *Yearbook* was published. Using the symbols below, NCTQ determines whether each state has advanced on the goal, if the state policy has remained unchanged, or if the state has actually lost ground on that topic.





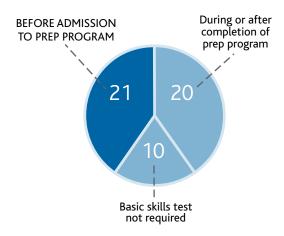


Some goals are marked with this symbol , which indicates that the bar has been raised for this goal since the 2009 *Yearbook*. With many states making considerable progress in advancing teacher effectiveness policy, NCTQ raised the standards for some goals where the bar had been quite low. As this may have a negative impact on some states' scores, those goals are always marked with the above symbol.

States receive grades in the five goal areas under which the 36 goals are organized: 1) delivering well prepared teachers; 2) expanding the pool of teachers; 3) identifying effective teachers; 4) retaining effective teachers and 5) exiting ineffective teachers. States also receive an overall grade that summarizes state performance across the five goal areas, giving an overall perspective on how states measure up against NCTQ benchmarks. New this year, states also receive an overall progress ranking, indicating how much progress each state has made compared to other states.

As always, the *Yearbook* provides a detailed narrative accounting of the policy strengths and weaknesses in each policy area for each state and for the nation as a whole. Best practices are highlighted. The reports are also chock full of reader-friendly charts and tables that provide a national perspective on each goal and serve as a quick reference on how states perform relative to one another, goal by goal.

Another new feature this year makes it easier to distinguish strong policies from weaker ones on our charts and tables. The policies NCTQ considers strong practices or the ideal policy positions for states are capitalized. This provides a quick thumbnail for readers to size up state policies against the policy option that aligns with NCTQ benchmarks for meeting each policy goal. For example, on the chart below, "BEFORE ADMISSION TO PREP PROGRAM" is capitalized, as that is the optimal timing for testing teacher candidates' academic proficiency.



### Goals

### AREA 1: DELIVERING WELL PREPARED TEACHERS

PAGE 9

#### 1-A: Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

### 1-B: Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

#### 1-C: Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

### 1-D: Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

### 1-E: Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

### 1-F: Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

### 1-G: Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

### 1-H: Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

### 1-I: Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

#### 1-I: Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

#### 1-K: Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

### 1-L: Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

### AREA 2: EXPANDING THE POOL OF TEACHERS

**PAGE 55** 

#### 2-A: Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

### 2-B: Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

#### 2-C: Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

### 2-D: Part Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

### 2-E: Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

### Goals

#### AREA 3: IDENTIFYING EFFECTIVE TEACHERS

**PAGE 77** 

#### 3-A: State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

### 3-B: Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

### 3-C: Frequency of Evaluations

The state should require annual evaluations of all teachers.

#### 3-D: Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

### 3-E: Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

#### 3-F: Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

### **AREA 4: RETAINING EFFECTIVE TEACHERS**

**PAGE 103** 

#### 4-A: Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-need schools.

### 4-B: Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

### 4-C: Pay Scales

The state should give local districts authority over pay scales.

### 4-D: Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

### 4-E: Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

#### 4-F: Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

### 4-G: Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

### 4-H: Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

#### 4-1: Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

#### AREA 5: EXITING INEFFECTIVE TEACHERS

**PAGE 147** 

### 5-A: Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

### 5-B: Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

### 5-C: Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

#### 5-D: Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

### Goal A – Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require teacher candidates to pass a test of academic proficiency that assesses reading, writing and mathematics skills as a criterion for admission to teacher preparation programs.
- 2. All preparation programs in a state should use a common admissions test to facilitate program comparison, and the test should allow comparison of applicants to the general college-going population and selection of applicants in the top half of that population.
- 3. Programs should have the option of exempting candidates from this test who submit comparable SAT or ACT scores at a level set by the state.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal A **Indiana** Analysis



State Nearly Meets Goal



Bar Raised for this Goal Progress Since 2009



#### **ANALYSIS**

Indiana now requires that approved undergraduate teacher preparation programs only accept teacher candidates who have passed a basic skills test, the Praxis I. Although the state sets the minimum score for this test, it is normed just to the prospective teacher population.

Indiana also allows candidates to qualify by means of equivalent scores on the SAT, ACT and GRE.

### Supporting Research

Indiana Administrative Code 515 IAC 3-1-1

**Board Approved Licensing Assessment Changes** 

http://www.doe.in.gov/educatorlicensing/pdf/boardapprovedassessmentchanges3.7.11.pdf

#### **RECOMMENDATION**

Require preparation programs to use a common test normed to the general college-bound population.

The basic skills tests in use in most states largely assess middle school-level skills. To improve the selectivity of teacher candidates—a common characteristic in countries whose students consistently outperform ours in international comparisons—Indiana should require an assessment that demonstrates that candidates are academically competitive with all peers, regardless of their intended profession. Requiring a common test normed to the general college population would allow for the selection of applicants in the top half of their class, as well as facilitate program comparison.

### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that it is developing a new basic skills test with Pearson. It should be ready for implementation in one year and will be aligned to college and career college-readiness standards. Indiana wondered if NCTQ could offer suggestions for norming the test against the general college population when there is no common assessment that all college students must take.

### **LAST WORD**

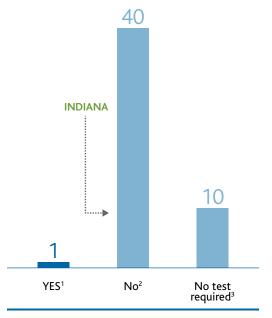
There are several examples of academic proficiency exams normed to the general population. The Collegiate Assessment of Academic Proficiency (CAAP) is a standardized, nationally normed assessment program from ACT designed to be administered after a student's sophomore year. It enables postsecondary institutions to assess and evaluate the outcomes of their general education programs. In addition, Texas requires that its education preparation programs only admit candidates that first pass the Texas Higher Education Assessment (THEA), a basic skills test that is designed for the general college population, not just for teacher candidates. Further, Texas has set minimum scores for admission at levels that appear to be relatively selective when compared to the academic qualifications of applicants to education programs nationwide. Indiana may want to consider how its new test could be useful beyond as a teacher preparation program admission exam, which would also facilitate norming to a broader population.

### **EXAMPLES OF BEST PRACTICE**

Although there are a number of states that require teacher candidates to pass a basic skills test as a criterion for admission to a preparation program, **Texas** is the only state that requires a test of academic proficiency normed to the general college bound population rather than just to prospective teachers. In addition, the state's minimum scores for admission appear to be relatively selective when compared to other tests used across the country.

Figure 2

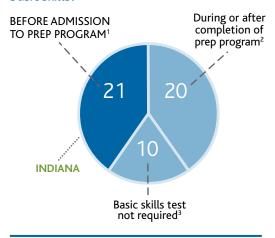
Do states require a test of academic proficiency that is normed to the general college-going population?



### 1. Strong Practice: Texas

- 2. Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming

Figure 3
When do states test teacher candidates' basic skills?

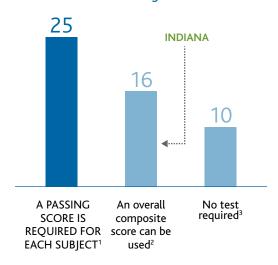


- Strong Practice: Arkansas, Connecticut, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Rhode Island, South Carolina, Tennessee, Texas, Virginia, Washington, West Virginia, Wisconsin
- Alabama, Alaska, California, Delaware, District of Columbia, Maine, Maryland, Massachussets, Michigan, Minnesota, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Oklahoma, Oregon, Pennsylvania, Vermont
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming



Figure 5

Do states measure performance in reading, mathematics and writing?



- Strong Practice: Alabama, Alaska, Arkansas, Connecticut, Delaware, Florida, Georgia, Illinois, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Jersey, Oklahoma, Oregon, South Carolina, Tennessee, Texas, Washington, West Virginia, Wisconsin
- California<sup>4</sup>, District of Columbia<sup>4</sup>, Hawaii<sup>4</sup>, Indiana, Iowa, Maine<sup>4</sup>, Maryland, New Hampshire<sup>4</sup>, New Mexico, New York, North Carolina, North Dakota<sup>5</sup>, Pennsylvania<sup>4</sup>, Rhode Island<sup>4</sup>, Vermont, Virginia
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming
- 4. Minimum score must be met in each section.
- Composite score can only be used if passing score is met on two of three subtests.

### Goal B – Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that its approved teacher preparation programs deliver a comprehensive program of study in broad liberal arts coursework. An adequate curriculum is likely to require approximately 36 credit hours to ensure appropriate depth in the core subject areas of English, science, social studies and fine arts. (Mathematics preparation for elementary teachers is discussed in Goal 1-D.)
- 2. The state should require elementary teacher candidates to pass a subject-matter test designed to ensure sufficient content knowledge of all subjects.
- 3. The state should require elementary teacher candidates to complete a content specialization in an academic subject area. In addition to enhancing content knowledge, this requirement also ensures that prospective teachers have taken higher level academic coursework.
- 4. Arts and sciences faculty, rather than education faculty, should in most cases teach liberal arts coursework to teacher candidates.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal B **Indiana** Analysis



State Nearly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Indiana has adopted the Common Core Standards, and the state is on the right track in ensuring that its elementary teacher candidates are adequately prepared to teach the rigorous content associated with these standards.

Indiana has also recently adopted the new Praxis II "Elementary Education: Multiple Subjects" content test with the following four subtests: reading and language arts, mathematics, social studies and science. Beginning in December 2011, candidates will have the option of taking either the "Multiple Subjects" test or taking both "Elementary Education: Curriculum, Instruction and Assessment" and "Reading Specialist." As of September 1, 2012, only the "Multiple Subjects" test will be accepted.

Commendably, Indiana has recently adopted new elementary teacher standards that include a comprehensive list of topics, such as American, world and children's literature; fine arts; and Indiana and U.S. history. The standards for science include fundamental concepts of physical science, Earth and space science, and life science.

Indiana now also requires that elementary teacher candidates earn either a content major or minor. Although this is an important requirement in that it ensures that teacher candidates have taken higher-level academic coursework, and it provides an option for teacher candidates unable to fulfill student teaching or other professional requirements to still earn a degree, it does not guarantee that the courses chosen by elementary teacher candidates will prepare them in the topics relevant to the PK-6 classroom. Further, there is no assurance that arts and sciences faculty will teach liberal arts classes to elementary teacher candidates.

### **Supporting Research**

Indiana Administrative Code 515 IAC 8-1-1.4 Elementary Generalist Content Standards

http://www.doe.in.gov/educatorlicensing/pdf/ElementaryGeneralist.pdf

Praxis II

www.ets.org

### **RECOMMENDATION**

### ■ Require a content test that ensures sufficient knowledge in all subjects.

Indiana should ensure that its new subject-matter test for elementary teacher candidates is well aligned with the Common Core Standards, which represent an effort to significantly raise the standards for the knowledge and skills American students will need for college readiness and global competitiveness. Further, to be meaningful, Indiana should also ensure that the passing scores on each subtest reflect high levels of performance.

### **Ensure arts and sciences faculty teach liberal arts coursework.**

Although an education professor is best suited to teach effective methodologies in subject instruction, faculty from the university's college of arts and sciences should provide subject-matter foundation.

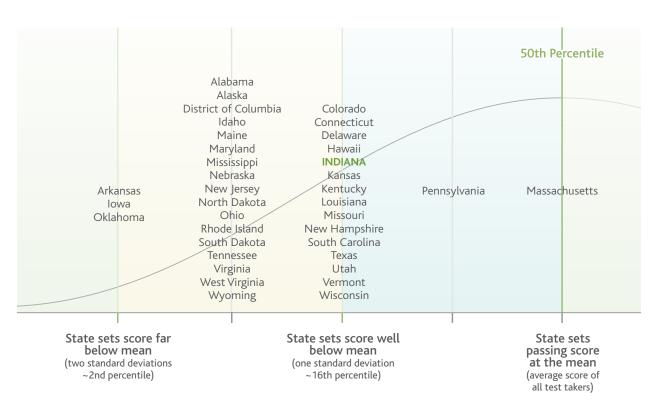
#### INDIANA RESPONSE TO ANALYSIS

Indiana was helpful in providing NCTQ with the facts necessary for this analysis. The state added that it has contracted with Pearson to develop all new teacher licensing exams that are based on the new teacher standards, which are based on the Common Core Standards and the state's Academic Standards.

### **EXAMPLES OF BEST PRACTICE**

Although no state meets this goal, three states have noteworthy policies. **Massachusetts's** testing requirements, which are based on the state's curriculum, ensure that elementary teachers are provided with a broad liberal arts education. **Indiana** and **Utah** are the first two states to adopt the new Praxis II "Elementary Education: Multiple Subjects" content test, which requires candidates to pass separately scored subtests in reading/language arts, mathematics, social studies and science.

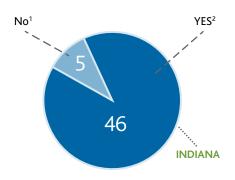
Figure 7
Where do states set the passing score on elementary content licensure tests<sup>1</sup>?



<sup>1</sup> Based on the most recent technical data that could be obtained; data not available for Arizona, California, Florida, Georgia, Illinois, Michigan, Minnesota, New Mexico, New York, North Carolina, Oregon and Washington. Montana and Nebraska do not require a content test. Colorado score is for Praxis II, not PLACE. Indiana, Maryland, Nevada, South Carolina and Utah now require new Praxis tests for which the technical data are not yet available; analysis is based on previously required test.

Figure 8

Have states adopted the K-12 Common Core State Standards?



- 1. Alaska, Minnesota, Nebraska, Texas, Virginia
- 2. Strong Practice: Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming

Figure 9
What subjects does **Indiana** expect elementary teachers to know?

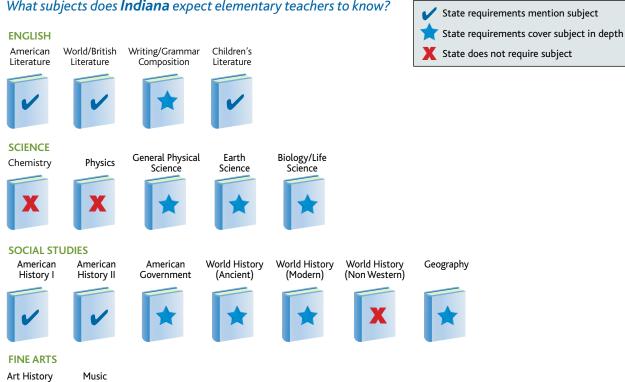
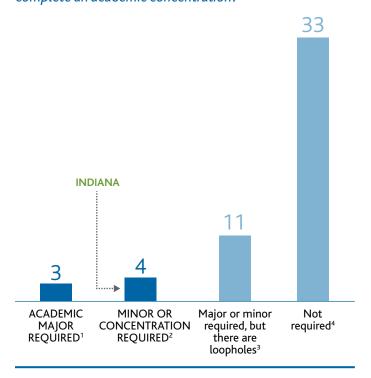


Figure 10				IGLISH		/			NCE						UDIE:				INE RTS
Do states expect			Writing/C	/ /			/	Earth S.	3//	/		/	World W.	World H.	World His	-/	/ /	/ /	/
elementary teachers		World/n	eratu	Children's Liter		/		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Biology/Life Science	્યું /	America:	America.	ייוייפ	Ancij	Mod	/	/ / /		
to have in-depth		ite <sub>ra</sub>	145	ite.		/	/	15/5	ري / ي		Histo /	listo /	ું /	, E./	\ <del>\</del> \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	0 10 V	. / /	. /	/
knowledge of	. 6	7 LB	oritis	5.4.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5	//,	\$/,	. / ;	<u> </u>		/ ;	<del>-</del>	- / d	i / i	<i>is / i</i>	13th	Kest / Kest		Î /	/
core content?	ner:	" / Þ			Chemics	Physics Sisyly	ener.	Earth Co.		neri,	nerii	ner <sub>ić</sub>	Pio	<i>P</i> 140,		Geograci	Art Histor	Music	
	<b>∀</b>	/ <del>Z</del>	/ - 0	-	/ 6	7 4	/ G	/ ij	/ 8 /	4	/ <del>v</del>	/ <del>v</del>		/ <b>z</b>	/		/ <del>▼</del> .	/ ž / —	
Alabama Alaska	Ц		*													-			
							_												
Arizona	님																	_	
Arkansas California																		<b>■</b>	
Colorado																			
Connecticut				П		П				<u> </u>									
Delaware				Н		П													
District of Columbia				Н		П													
Florida			-		*	П	-	-	<u>-</u>			7				-			
Georgia			+				+	+	<b>1</b>	*	+	*				4			
Hawaii				П															
Idaho				П		П								П					
Illinois			*			П	*	*	*			*				*			
INDIANA			+				+	+	*			+	<u>+</u>	*		*		*	
Iowa																			
Kansas			*	*			*	*	*			*				<u>+</u>			
Kentucky																			
Louisiana																			
Maine																			
Maryland																			
Massachusetts																			
Michigan			*	*			*	*	*			*				*			
Minnesota			*	*			*	*	*			*							
Mississippi																			
Missouri																			
Montana																			
Nebraska			*																
Nevada	Ц		Ш				Ш	Ш		Ш	Ш	Ш	Ш	Ш		Ш			
New Hampshire																			
New Jersey																			
New Mexico			*															*	
New York North Carolina																			
North Dakota							_												
Ohio																			
Oklahoma								H			Н								
Oregon			*				*	•	*	*	<b>*</b>	<b>*</b>	<b>→</b>	•	<b>→</b>	*		*	
Pennsylvania																			
Rhode Island																			
South Carolina																			
South Dakota																			
Tennessee			*				*	*	*			*				*			
Texas			*				*	*	*	*	*	*				*	*	*	
Utah																			
Vermont																			
Virginia			*		*		*	*	*	*	*	*	*	*	*	*			
Washington			*				*	*	*			*				*		*	
West Virginia																			
Wisconsin																			
Wyoming																			

Figure 11

Do states expect elementary teachers to complete an academic concentration?



- 1. Strong Practice: Colorado, Massachusetts, New Mexico
- 2. Strong Practice: Indiana, Mississippi, New Hampshire, Oklahoma
- California, Connecticut, Iowa, Michigan, Missouri,
   New Jersey, New York, Tennessee, Texas, Vermont, Virginia
   These states require a major, minor or concentration but
   there is no assurance it will be in an academic subject area.
- 4. Alabama, Alaska, Arizona, Arkansas, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Minnesota, Montana, Nebraska, Nevada, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Utah, Washington, West Virginia, Wisconsin, Wyoming

### Goal C – Elementary Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. To ensure that teacher preparation programs adequately prepare candidates in the science of reading instruction, the state should require that these programs train teachers in the five instructional components shown by scientifically based reading research to be essential to teaching children to read.
- 2. The state should require that new elementary teachers pass a rigorous test of reading instruction in order to attain licensure. The design of the test should ensure that prospective teachers cannot pass without knowing the science of reading instruction.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal C **Indiana** Analysis



State Partly Meets Goal



**Progress Since 2009** 

#### **ANALYSIS**

In December 2010, Indiana approved new and detailed teacher content standards that require its teacher preparation programs to provide teacher candidates with training in the foundations of scientifically based reading instruction.

Indiana requires early childhood and elementary teacher candidates to pass the Praxis II "Reading Specialist" test prior to licensure. However, two studies of Praxis reading tests have deemed most tests in this series inadequate for assessing knowledge of scientifically based reading instruction.

### **Supporting Research**

http://www.doe.in.gov/educatorlicensing/pdf/ElementaryGeneralist.pdf

http://www.doe.in.gov/educatorlicensing/pdf/EnglishLanguageArts.pdf

Stotsky, S. (2006). Why American Students Do Not Learn to Read Very Well: The Unintended Consequences of Title II and Teacher Testing. Third Education Group Review 2, No. 2; Rigden, D.W. (2006). Report on Licensure Alignment with the Essential Components of Effective Reading Instruction. Washington, DC: Reading First Teacher Education Network.

#### RECOMMENDATION

■ Ensure that the state's reading assessment adequately measures skills related to the science of reading instruction.

Indiana is commended for requiring teacher preparation programs to address the science of reading but could do more by ensuring that the reading assessment it uses with elementary teacher candidates is rigorous. The state's assessment needs to adequately test knowledge and skills related to the science of reading.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that it has contracted with Pearson to develop all new teacher licensure exams. "The SBRR-based reading is the most important exam they are developing."

### **LAST WORD**

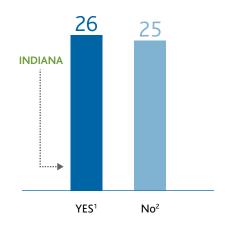
NCTQ commends the state for its plans to require a test in this area and looks forward to reviewing the state's progress in future editions of the *Yearbook*.



### **T** EXAMPLES OF BEST PRACTICE

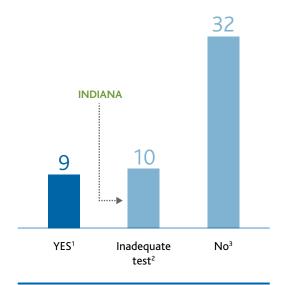
Eight states meet this goal by requiring that preparation programs for elementary teacher candidates address the science of reading and requiring that candidates pass comprehensive assessments that specifically test the five elements of instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension. Independent reviews of the assessments used by Connecticut, Massachusetts and Virginia confirm that these tests are rigorous measures of teacher candidates' knowledge of scientifically based reading instruction.

Figure 13 Do states require preparation for elementary teachers in the science of reading?



- 1. Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Florida, Georgia, Idaho, Indiana, Louisiana, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Ohio, Oklahoma, Oregon, Pennsylvania, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia
- 2. Alaska, Arizona, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Maine, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Rhode Island, South Carolina, South Dakota, Utah, Wisconsin, Wyoming

Figure 14 Do states measure new teachers' knowledge of the science of reading?



- 1. Strong Practice: Alabama, Connecticut, Massachusetts, Minnesota<sup>4</sup>, New Mexico<sup>5</sup>, Oklahoma, Pennsylvania<sup>5</sup>, Tennessee,
- 2. Arkansas, California, Florida, Georgia, Idaho, Indiana, Missouri, New York, Oregon, Texas
- 3. Alaska, Arizona, Colorado, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Rhode Island, South Carolina, South Dakota, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming
- 4. Based on the limited information available about the test on the state's website.
- 5. Test is under development and not yet available for review.

Figure 15	DE	REPARATIO		/	STING IREMENTS
Do states ensure that	FULLY ADDRESS	QUIREMEN	115	,	
elementary teachers	Š	2 / Sa	/	TES]	* / *
know the science of	ď		/	MIE	e tes
reading?	7 ₹ \$	2 / 5 / 5 / 5 / 5 / 5 / 5 / 5 / 5 / 5 /			temb.
· ·	72	Do,	4000	y /	, \ \stack{\delta}{\delta}
Alabama		Do not address		MOPRIATE TEST	No reading test
Alaska					
Arizona					
Arkansas					
California					
Colorado					
Connecticut					
Delaware					
District of Columbia					
Florida					
Georgia					
Hawaii					
Idaho					
Illinois					
INDIANA					
lowa					
Kansas Kentucky					
Louisiana					
Maine					
Maryland					
Massachusetts					
Michigan					
Minnesota					
Mississippi		n		Ī	
Missouri					
Montana					
Nebraska					
Nevada					
New Hampshire					
New Jersey					
New Mexico				2	
New York					
North Carolina					
North Dakota					
Ohio					
Oklahoma					
Oregon				2 🗆	
Pennsylvania				2	
Rhode Island					
South Carolina					
South Dakota					
Tennessee Texas	Н				
Utah					
Vermont					
Virginia					
Washington					
West Virginia					
Wisconsin					
Wyoming					
, 0	_				

<sup>1.</sup> Based on the limited information available about the test on the state's website.

<sup>2.</sup> Test is under development and not yet available for review.

### Goal D – Elementary Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require teacher preparation programs to deliver mathematics content of appropriate breadth and depth to elementary teacher candidates. This content should be specific to the needs of the elementary teacher (i.e., foundations, algebra and geometry with some statistics).
- 2. The state should require elementary teacher candidates to pass a rigorous test of mathematics content in order to attain licensure.
- 3. Such test can also be used to test out of course requirements and should be designed to ensure that prospective teachers cannot pass without sufficient knowledge of mathematics.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal D **Indiana** Analysis



State Nearly Meets Goal



**Progress Since 2009** 

#### **ANALYSIS**

Indiana is commended for its new teacher content standards that require its teacher preparation programs to ensure that "elementary teachers have fundamental computation skills and a broad and comprehensive understanding of fundamental concepts and processes of mathematics and demonstrate the ability to provide content-specific instruction" in algebra, geometry and other key areas of mathematics.

Indiana has recently adopted the new Praxis II "Elementary Education: Multiple Subjects" content test, which will report a specific subscore for mathematics.

### **Supporting Research**

http://www.doe.in.gov/educator licensing/pdf/Elementary Generalist.pdf

www.ets.org/praxis

"No Common Denominator: The Preparation of Elementary Teachers in Mathematics by America's Education Schools," NCTQ, June 2008 http://www.nctq.org/p/publications/docs/nctq\_ttmath\_fullreport.pdf

### **RECOMMENDATION**

### ■ Ensure that new test is a rigorous mathematics assessment.

Indiana should make certain it is assessing mathematics content with a rigorous assessment tool, such as the test required in Massachusetts, that evaluates mathematics knowledge beyond an elementary school level and challenges candidates' understanding of underlying mathematics concepts.

### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that it has contracted with Pearson to provide all the new teacher licensure exams, and one of the first ones they are developing is a more rigorous math exam. The test will be customized based on the state's new standards, and the plan for implementation is September 1, 2013. It will be a multi-subject test but will have a separate subscore for math. Further, all sections must be passed prior to licensure.

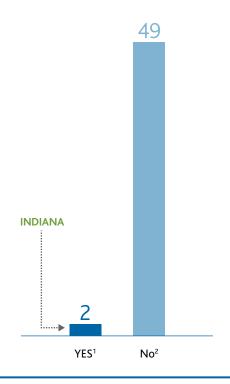
### **LAST WORD**

NCTQ looks forward to reviewing the state's progress in future editions of the *Yearbook*.



Massachusetts is the only state that ensures that its elementary teachers have sufficient knowledge of mathematics content. As part of its general curriculum test, the state utilizes a separately scored mathematics subtest that covers topics specifically geared to the needs of elementary teachers.

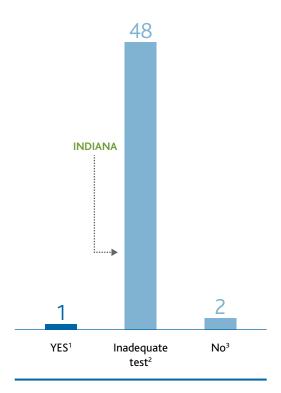
Figure 17 Do states articulate appropriate mathematics preparation for elementary teachers?



#### 1. Strong Practice: Indiana, Massachusetts

2. Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

Figure 18 Do states measure new elementary teachers' knowledge of math?



### 1. Strong Practice: Massachusetts

- 2. Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Montana, Nebraska

### Goal E - Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should encourage middle school candidates who intend to teach multiple subjects to earn minors in two core academic areas rather than earn a single major. Middle school candidates intending to teach a single subject area should earn a major in that area.
- The state should not permit middle school teachers to teach on a generalist license that does not differentiate between the preparation of middle school teachers and that of elementary teachers.
- 3. The state should require that new middle school teachers pass a licensing test in every core academic area they intend to teach.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



# Area 1: Goal E **Indiana** Analysis



State Nearly Meets Goal



Progress Since 2009

### **ANALYSIS**

Indiana requires an "early adolescent generalist" certificate (grades 5-9) for all middle school teachers. Candidates must earn a concentration in two content core subjects from the four core subjects: language arts, science, social studies and mathematics.

All new middle school teachers in Indiana are also required to pass a single-subject Praxis II content test to attain licensure; a general content knowledge test is not an option.

### **Supporting Research**

Indiana Administrative Code 515 IAC 8-1-1.6 www.ets.org/praxis

### **RECOMMENDATION**

■ Differentiate between single and multiple subject middle school teachers.

Although Indiana is commended for not allowing middle school teachers to teach on a K-8 generalist license, it should allow middle school candidates who intend to teach a single subject to earn a major in that area.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that forthcoming licensing regulations will address this issue.



### **T** EXAMPLES OF BEST PRACTICE

Arkansas, Georgia and Pennsylvania ensure that all middle school teachers are sufficiently prepared to teach middle school-level content. Teachers are required to earn at least two content-area minors. Georgia and Pennsylvania also require passing scores on single-subject content tests, and Arkansas requires a subject-matter assessment with separate passing scores for each academic area.

<sup>\*</sup> K-8 LICENSE NOT OFFERED Aself conso offered for self contained dassrooms Figure 20 Do states distinguish middle ▲ □ K-8 license offered grade preparation from elementary preparation? Alabama Alaska П П Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida П Georgia Hawaii Idaho П Illinois П 2 INDIANA Iowa Kansas Kentucky Louisiana Maine Maryland Massachusetts Michigan Minnesota П Mississippi Missouri Montana Nebraska П Nevada New Hampshire New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma 4 Oregon Pennsylvania Rhode Island South Carolina South Dakota П Tennessee Texas Utah Vermont П Virginia Washington West Virginia 5 Wisconsin Wyoming 29 6 16

<sup>1.</sup> California offers a K-12 generalist license for self-contained classrooms.

<sup>2.</sup> Illinois offers K-9 license.

<sup>3.</sup> With the exception of mathematics.

<sup>4.</sup> Oregon offers 3-8 license.

<sup>5.</sup> Wisconsin offers 1-8 license.

Figure 21		MAJOR OR TWO.	<i>S</i> /	Less than a major or	No requirement of content
Vhat academic prepara	tion	/	Ø /	/ 4	
lo states require for a	nent %0%0/kW	ي / رَ	Š / .	19/0/	
niddle school endorsen	nent 🕺	/ 2	, \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	, \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
or license?	o` *	0 %	\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	that	
	14/C	/ \$\frac{\pi}{2}\cdot	TWO MINORS	Less than a maj	\
Alabama	< /	< /			
Alaska					
Arizona					
Arkansas					
California					
Colorado	П		П	$\overline{\Box}$	
Connecticut					
Delaware					
District of Columbia					
Florida					
Georgia					
Hawaii					
Idaho					
Illinois					
INDIANA					
Iowa					
Kansas					
Kentucky		1 1 m			
Louisiana					
Maine					
Maryland					
Massachusetts			1		
Michigan					
Minnesota					
Mississippi Missouri					
Montana					
Nebraska			1		
Nevada					
New Hampshire					
New Jersey					
New Mexico	ī				
New York			Ē	$\overline{\Box}$	
North Carolina					
North Dakota					
Ohio			1		
Oklahoma					
Oregon					
Pennsylvania		2			
Rhode Island					
South Carolina					
South Dakota					
Tennessee					
Texas					
Utah					
Vermont					
Virginia					
Washington					
West Virginia					
Wisconsin					
Wyoming					_
	13	3	9	12	14

<sup>1.</sup> State does not explicitly require two minors, but it has equivalent requirements.

Pennsylvania has two options. One option requires a 30 credit concentration in one subject and nearly a minor (12 credits) in three additional subjects; the second option is 21 credits in two subject-area concentrations with 12 credits in two additional subjects.

### Goal F – Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that secondary teachers pass a licensing test in every subject they intend to teach.
- 2. The state should require that secondary teachers pass a content test when adding subject-area endorsements to an existing license.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

### Figure 22 How States are Faring in Secondary Teacher Preparation **Best Practice States INDIANA**, Tennessee 29 States Meet Goal Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin States Nearly Meet Goal States Partly Meet Goal District of Columbia, Hawaii, Louisiana, Maryland, Mississippi, Missouri, Nevada, New Mexico States Meet a Small Part of Goal 12 States Do Not Meet Goal Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming Progress on this Goal Since 2009: **New Goal**

# Area 1: Goal F **Indiana** Analysis



\*\* Best Practice State



Progress Since 2009

### **ANALYSIS**

Commendably, Indiana requires that its secondary teacher candidates pass a Praxis II content test to teach any core secondary subjects.

Further, to add an additional field to a secondary license, teachers must also pass a Praxis II content test.

### **Supporting Research**

Indiana Administrative Code 515 IAC 8-2-1

### **INDIANA RESPONSE TO ANALYSIS**

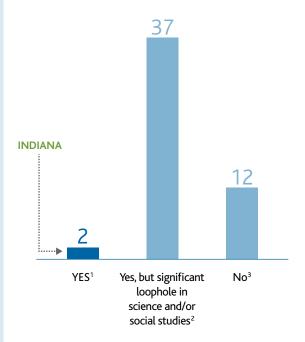
Indiana recognized the factual accuracy of this analysis.



### **T** EXAMPLES OF BEST PRACTICE

Not only do Indiana and Tennessee require that secondary teacher candidates pass a content test to teach any core secondary subjects, but these states also do not permit any significant loopholes to this important policy by allowing secondary general science or social studies licenses (see Goals 1-G and 1-H).

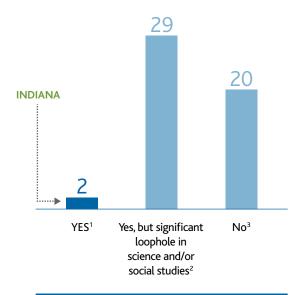
Figure 23 Do all secondary teachers have to pass a content test in every subject area for licensure?



### 1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming

Figure 24 Do all secondary teachers have to pass a content test in every subject area to add an endorsement?



#### 1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, District of Columbia, Hawaii, Iowa, Louisiana, Maryland, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Wyoming

## Goal G - Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary science teachers to pass a subject-matter test of each science discipline they intend to teach.
- 2. The state should require middle school science teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of science.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



# Area 1: Goal G **Indiana** Analysis



State Meets Goal



Progress Since 2009

### **ANALYSIS**

Indiana does not allow general science certification for secondary teachers. Teachers must be certified in a specific discipline within the subject area of science.

Middle school science teachers in Indiana must pass the Praxis II "Middle School Science" test.

### **Supporting Research**

515 Indiana Administrative Code 8-1-36

**Praxis Testing Requirements** 

www.ets.org

### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

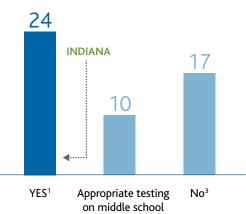
Figure 26		TENG TETING	, Ses /	Will Sieg
Do states ensure that	, See	Sain Sain	ting TSING	FNSES Ble-su 2ut
secondary science teachers	E S			With Sign
have adequate subject-	Feb.	## \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		FST ersor erses sting
matter knowledge?	STATE OFFERS GENERAL	State of fers Beneal Science  without 2 an Science Science	SIATE OFFISE ONLY SINGE	State offers only single subject
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado				
Connecticut				
Delaware				
District of Columbia				
Florida				
Georgia				
Hawaii				
Idaho Illinois				
INDIANA				
lowa				
Kansas				
Kentucky				
Louisiana				
Maine				
Maryland				
Massachusetts				
Michigan				
Minnesota				
Mississippi				
Missouri				
Montana				
Nebraska				
Nevada				
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina				
North Dakota				
Ohio				
Oklahoma				
Oregon				
Pennsylvania				
Rhode Island				
South Carolina				
South Dakota				
Tennessee				
Texas				
Utah Vermont				
Virginia				
Washington West Virginia				
Wisconsin				
Wyoming				
vvy on ming				
	1	39	10	1



## **T** EXAMPLES OF BEST PRACTICE

New Jersey does not offer certification in general science for secondary teachers. Although the state allows a combination physical science certificate, it ensure adequate content knowledge in both chemistry and physics by requiring teacher candidates to pass individual content tests in chemistry, physics and general science. Further, middle school science teachers must pass a science-specific content test.

Figure 27 Do states ensure that middle school teachers have adequate preparation to teach science?



level license but not on K-8 generalist license<sup>2</sup>

- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Massachusetts, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia
- 2. Maine, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Utah, Washington, Wisconsin
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Michigan, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Wyoming

# **Area 1: Delivering Well-Prepared Teachers**

## Goal H – Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary social studies teachers to pass a subject-matter test of each social studies discipline they intend to teach.
- The state should require middle school social studies teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of social studies.

## **Background**



# Area 1: Goal H **Indiana** Analysis



Best Practice State



Progress Since 2009

### **ANALYSIS**

Indiana articulates that secondary social studies teachers must qualify for a concentration in at least one of the following content areas: economics, geographical perspectives, government and citizenship, historical perspectives, psychology or sociology. The state also stipulates that teachers may only teach in the social studies areas of concentration. Further, as of September 1, 2012, candidates must pass the subject-specific Praxis II content test; the general social studies test will no longer be available.

Commendably, the state requires middle school social studies teachers to pass the Praxis II "Middle School Social Studies" test.

### **Supporting Research**

515 Indiana Administrative Code 8-1-37 **Praxis Testing Requirements** www.ets.org

### **INDIANA RESPONSE TO ANALYSIS**

Indiana was helpful in providing NCTQ with the facts necessary for this analysis.

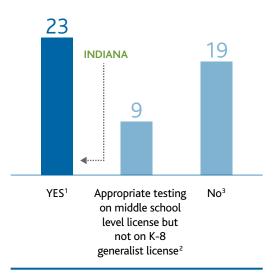
F' . 20			offes seneral social studies testing without adequate
Figure 29	747	OFFERS ONLY SINGLE LICENSES SOCIAL STUDIES	tudje te
Do states ensure that	OFFERS GENERAL SOC, ADEQUATE LICENSE WITH		cial s
secondary social studies	S78 578 578	17.73	al so
teachers have adequate	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	188	rener ithou
subject-matter	FERS OVES VZA1	FERS SESS V	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
knowledge?	959	688	
Alabama			
Alaska	П	П	
Arizona			
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas			
Kentucky			
Louisiana			
Maine			
Maryland			
Massachusetts			1
Michigan			
Minnesota			
Mississippi			
Missouri			
Montana			
Nebraska			
Nevada			
New Hampshire			
New Jersey			
New Mexico			
New York			
North Carolina			
North Dakota			
Ohio			
Oklahoma			
Oregon			
Pennsylvania			
Rhode Island			
South Carolina			
South Dakota			
Tennessee			
Texas			
Utah			
Vermont			
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	1	3	47
	•	_	.,



## **TEXAMPLES OF BEST PRACTICE**

Not only does Indiana ensure that its secondary social studies teachers possess adequate content knowledge of all subjects they intend to teach through both coursework and content testingbut the state's policy also does not make it overly burdensome for social studies teachers to teach multiple subjects. Other notable states include Georgia and South Dakota, which also do not offer secondary general social studies certifications.

Figure 30 Do states ensure that middle school teachers have adequate preparation to teach social studies?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia
- 2. Maine, Michigan, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Washington
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Massachusetts, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Wisconsin, Wyoming

Figure 29

1. Massachusetts does not offer a general social studies license, but offers combination licenses.

## **Area 1: Delivering Well-Prepared Teachers**

## Goal I – Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not permit special education teachers to teach on a K-12 license that does not differentiate between the preparation of elementary teachers and that of secondary teachers.
- 2. All elementary special education candidates should have a broad liberal arts program of study that includes study in mathematics, science, English, social studies and fine arts and should be required to pass a subjectmatter test for licensure that is no less rigorous than what is required of general education candidates.
- 3. The state should require that teacher preparation programs graduate secondary special education teacher candidates who are highly qualified in at least two subjects. The state should also customize a "HOUSSE" route for new secondary special education teachers to help them achieve highly qualified status in all the subjects they teach.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### **Background**



## Area 1: Goal I **Indiana** Analysis



State Does Not Meet Goal



Bar Raised for this Goal



**Progress Since 2009** 

#### **ANALYSIS**

Regrettably, Indiana offers a K-12 special education certification, in addition to grade-specific options.

Further, Indiana does not ensure that its elementary special education teacher candidates are provided with a broad liberal arts program of study relevant to the elementary classroom. It also does not require that they pass the same subject-matter test as general education candidates.

Indiana also fails to require that secondary special education teacher candidates are highly qualified in at least two subject areas, and it does not customize a HOUSSE route for new secondary special education teachers to help them achieve highly qualified status in all subjects they teach.

### **Supporting Research**

Indiana Administrative Code 515 IAC 8-1-21 Praxis Test Requirements www.ets.org

### **RECOMMENDATION**

■ End licensure practices that fail to distinguish between the skills and knowledge needed to teach elementary grades and secondary grades.

It is virtually impossible and certainly impractical for Indiana to ensure that a K-12 special education teacher knows all the subject matter he or she is expected to be able to teach, especially considering state and federal expectations that special education students should meet the same high standards as other students. While the broad K-12 umbrella may be appropriate for teachers of low-incidence special education students, such as those with severe cognitive disabilities, it is deeply problematic for the overwhelming majority of high-incidence special education students, who are expected to learn grade-level content.

- Provide a broad liberal arts program of study to elementary special education candidates.
  - Indiana should ensure that special education teacher candidates who will teach elementary grades possess knowledge of the subject matter at hand. Not only should the state require core-subject coursework relevant to the elementary classroom, but it should also require that these candidates pass the same subject-matter test required of all elementary teachers. Failure to ensure that teachers possess requisite content knowledge deprives special education students of the opportunity to reach their academic potential.
- Ensure that secondary special education teacher candidates graduate with highly qualified status in at least two subjects, and customize a HOUSSE route so that they can achieve highly qualified status in all subjects they plan to teach.

To make secondary special education teacher candidates more flexible and better able to serve schools and students, Indiana should use a combination of coursework and testing to ensure that they graduate with highly qualified status in two core academic areas. A customized HOUSSE route can also help new secondary special education teacher candidates to become highly qualified in multiple subjects by offering efficient means by which they could gain broad overviews of specific areas of content knowledge, such as content-driven university courses. Such a route is specifically permitted in the 2004 reauthorization of the Individuals with Disabilities Education Act (IDEA).

### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis. The state added that it plans to address these issues in upcoming teacher licensing regulations.

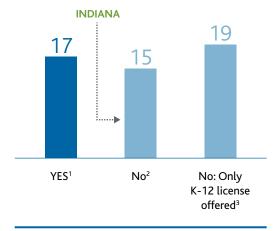
Figure 32		/ iji	/
Do states distinguish		/ %	/
between elementary	# \ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	Srade	/ ~
and secondary special	53	Puess	J & K
education teachers?	NO. 17.77	K-72 tion(	only fon
education teachers:	DOSSNOTOFERA K-12 CRTIFICATION	Offics K-12 and Brade-specific	Offersonly a K-72
Alabama			
Alaska			
Arizona			
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			_
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas			
Kentucky			
Louisiana			
Maine			
Maryland			
Massachusetts			
Michigan			
Minnesota			
Mississippi			
Missouri			
Montana			
Nebraska			
Nevada			
New Hampshire			
New Jersey			<u> </u>
New Mexico			
New York			
North Carolina North Dakota			
Ohio			
Onio Oklahoma			
Oregon  Poppsylvania <sup>1</sup>			
Pennsylvania <sup>1</sup> Rhode Island			
South Carolina			
South Carolina South Dakota			
Tennessee			
Texas			
Utah			
Vermont			
Virginia			
Washington West Virginia			
West Virginia			
Wisconsin			
Wyoming	4.5		4 -
	16	16	19



## **TEXAMPLES OF BEST PRACTICE**

Unfortunately, NCTQ cannot highlight any state's policy in this area. Preparation of special education teachers remains a topic in critical need of states' attention. However, it is worth noting that three states—Louisiana, Pennsylvania and Texas—will no longer issue K-12 special education certifications. Only grade-level specific options will be available to new teachers.

Figure 33 Do states require subject-matter testing for elementary special education licenses?



- 1. Strong Practice: Alabama, Arkansas, Iowa, Kansas, Louisiana, Massachusetts, Michigan, Nebraska, New Jersey, New York, North Dakota, Oregon<sup>4</sup>, Pennsylvania<sup>5</sup>, Rhode Island, Texas, West Virginia, Wisconsin
- 2. Alaska, Georgia, Hawaii, Indiana, Maine, Maryland, Minnesota, Mississippi, Missouri, New Hampshire, South Dakota, Tennessee, Vermont, Washington, Wyoming
- 3. Arizona, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Kentucky, Montana, Nevada, New Mexico, North Carolina, Ohio, Oklahoma, South Carolina, Utah, Virginia
- 4. Although Oregon requires testing, the state allows an "alternative assessment" option for candidates who fail the tests twice to still be considered for a license.
- 5. In Pennsylvania, a candidate who opts for dual certification in elementary special education and as a reading specialist does not have to take a content test.

Figure 32

1. Beginning January 1, 2013

# **Area 1: Delivering Well-Prepared Teachers**

# Goal J – Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

 The state should assess new teachers' knowledge of teaching and learning by means of a pedagogy test aligned to the state's professional standards.

### **Background**



# Area 1: Goal J **Indiana** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Indiana only requires all new elementary teachers to pass a popular content test from the Praxis series that combines both subject-matter knowledge and pedagogy in order to attain licensure.

### **Supporting Research**

www.ets.org/praxis

#### **RECOMMENDATION**

- Require that all new teachers pass a pedagogy test.
  Indiana should verify that all new teachers meet professional standards through a test of professional knowledge for secondary as well as elementary school teachers.
- Verify that commercially available tests of pedagogy actually align with state standards.

  Indiana should ensure that its selected test of professional knowledge measures the knowledge and skills the state expects new teachers to have.

#### INDIANA RESPONSE TO ANALYSIS

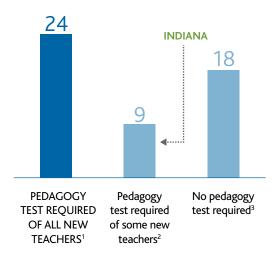
Indiana recognized the factual accuracy of this analysis. The state added that it has contracted with Pearson to develop all new licensing exams, including a pedagogy exam. Pearson is already in the process of developing a customized pedagogy test to align with Indiana's new developmental/pedagogy standards. The projected implementation date is September 1, 2013.



## **T** EXAMPLES OF BEST PRACTICE

Twenty-three states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it additionally commends the nine states (Arizona, California, Florida, Illinois, Minnesota, New Mexico, New York, Oklahoma, Texas) that utilize their own assessments to measure pedagogical knowledge and skills.

Figure 35 Do states measure new teachers' knowledge of teaching and learning?



- 1. Strong Practice: Arizona, Arkansas, California, District of Columbia, Florida, Illinois, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Nevada, New Mexico, New York, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, West Virginia
- 2. Connecticut, Idaho, Indiana, Maryland, Missouri, North Carolina, Pennsylvania, Utah<sup>4</sup>, Wyoming
- 3. Alabama, Alaska, Colorado, Delaware, Georgia, Hawaii, Iowa, Massachusetts, Michigan, Montana, Nebraska, New Hampshire, New Jersey, Oregon, Vermont, Virginia, Washington, Wisconsin
- 4. Not required until teacher advances from a Level One to a Level Two license.

## **Area 1: Delivering Well-Prepared Teachers**

## Goal K - Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require that student teachers only be placed with cooperating teachers for whom there is evidence of their effectiveness as measured by consistent gains in student learning.
- 2. The state should require that teacher candidates spend at least 10 weeks student teaching.

### **Background**



## Area 1: Goal K **Indiana** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Indiana requires candidates to complete at least nine weeks of full-time student teaching with an "experienced" teacher during the later stages of the preparation program.

### **Supporting Research**

515 Indiana Administrative Code 8-1-1.4, -1.6

#### **RECOMMENDATION**

■ Require teacher candidates to spend at least 10 weeks student teaching.

Although Indiana does require a student teaching experience of nearly 10 weeks, the state should consider extending the minimum duration. Alignment with a school calendar for at least 10 weeks ensures both adequate classroom experience and exposure to a variety of ancillary professional activities. Student teaching should also be a full-time commitment, as requiring coursework and student teaching simultaneously does a disservice to both.

■ Ensure that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

In addition to the ability to mentor an adult, cooperating teachers should also be carefully screened for their capacity to further student achievement. Research indicates that the only aspect of a student teaching arrangement that has been shown to have an impact on student achievement is the positive effect of selection of the cooperating teacher by the preparation program, rather than the student teacher or school district staff.

 Explicitly require that student teaching be completed locally, thus prohibiting candidates from completing this requirement abroad.

Unless preparation programs can establish true satellite campuses to closely supervise student teaching arrangements, placement in foreign or otherwise novel locales should be supplementary to a standard student teaching arrangement. Outsourcing the arrangements for student teaching makes it impossible to ensure the selection of the best cooperating teacher and adequate supervision of the student teacher and may prevent training of the teacher on relevant state instructional frameworks.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that new licensing regulations will address the 10-weeks issue as well as placing student teachers with effective teachers.

Figure 37		» / %
Do states require	ź	£ / 3
the elements of a	7	Q \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
•	₹ 5 2 5	
high-quality student	\$ G	N 12 1
teaching experience?	SELECT FFEE	STUDENT TEACHING LAST
Alabama		
Alaska		
Arizona		
Arkansas		
California		
Colorado		
Connecticut		
Delaware		
District of Columbia		
Florida		
Georgia		
Hawaii		
Idaho		
Illinois		
INDIANA		
lowa		
Kansas		_
		-
Kentucky		
Louisiana		
Maine		
Maryland		
Massachusetts		
Michigan		
Minnesota		
Mississippi		
Missouri		
Montana		
Nebraska		
Nevada		
New Hampshire		
New Jersey		
New Mexico		
New York		
North Carolina		
North Dakota		
Ohio		
Oklahoma		
Oregon		
Pennsylvania		
Rhode Island		
South Carolina		
South Dakota		
Tennessee		
Texas		
Utah		
Vermont		
Virginia		
Washington		
West Virginia		1
\\/::-		
Wisconsin		
Wyoming		



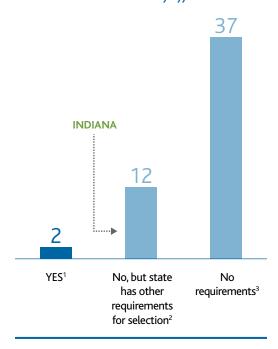
## **EXAMPLES OF BEST PRACTICE**

Although no state has been singled out for "best practice" honors, Florida and Tennessee require teacher candidates to complete at least 10 weeks of full-time student teaching, and they have taken steps toward ensuring that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

<sup>1.</sup> Candidates can student teach for less than 12 weeks if determined to be proficient.

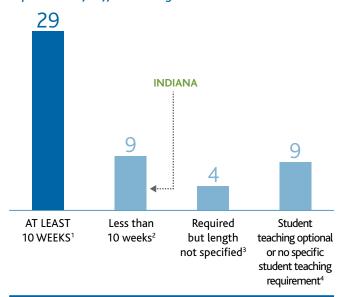
Figure 38

Is the selection of the cooperating teacher based on some measure of effectiveness?



- 1. Strong Practice: Florida, Tennessee
- 2. Alabama, Connecticut, Indiana, Iowa, Kentucky, Nebraska, New Hampshire, New Jersey, North Dakota, Pennsylvania, Washington, Wisconsin
- 3. Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Kansas, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wyoming

Figure 39
Is the summative student teaching experience of sufficient length?



- Strong Practice: Alabama, Arkansas, Connecticut, Florida, Hawaii, Iowa, Kansas, Kentucky, Michigan, Minnesota, Mississippi, Nebraska, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Vermont, Washington, West Virginia<sup>5</sup>, Wisconsin
- $2.\ Idaho, Indiana, Louisiana, Massachusetts, Missouri, Nevada, New York, Virginia, Wyoming\\$
- 3. Illinois, Maine, New Mexico, Utah
- 4. Alaska, Arizona, California, Colorado, Delaware, District of Columbia, Georgia, Maryland, Montana
- 5. Candidates can student teach for less than 12 weeks if determined to be proficient.

## **Area 1: Delivering Well-Prepared Teachers**

## Goal L – Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should collect value-added data that connects student achievement gains to teacher preparation programs.
- 2. The state should collect other meaningful data that reflects program performance, including some or all of the following:
  - a. Average raw scores of teacher candidates on licensing tests, including basic skills, subject matter and professional knowledge tests;
  - b. Number of times, on average, it takes teacher candidates to pass licensing tests;
  - c. Satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison;
  - d. Evaluation results from the first and/or second year of teaching;
  - e. Five-year retention rates of graduates in the teaching profession.
- 3. The state should establish the minimum standard of performance for each category of data. Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval.
- 4. The state should produce and publish on its website an annual report card that shows all the data the state collects on individual teacher preparation programs.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

## Background



## Area 1: Goal L **Indiana** Analysis



State Does Not Meet Goal



Raised for this Goal



**Progress Since 2009** 

#### **ANALYSIS**

Indiana's approval process for its traditional and alternate route teacher preparation programs does not hold programs accountable for the quality of the teachers they produce.

Most importantly, Indiana does not collect value-added data that connect student achievement gains to teacher preparation programs.

The state also fails to collect other objective, meaningful data to measure the performance of teacher preparation programs, and it does not apply any transparent, measurable criteria for conferring program approval. Indiana collects programs' annual summary licensure test pass rates (80 percent of program completers must pass their licensure exams). However, the 80 percent pass-rate standard, while common among many states, sets the bar quite low and is not a meaningful measure of program performance. Indiana also requires that 90 percent of beginning teachers who complete the required induction program are successful, but there appears to be no guarantee that the feedback given by schools is either comprehensive or provided using a standardized form to permit easy program comparison.

Finally, Indiana's website does not include a report card that allows the public to review and compare program performance.

#### Supporting Research

Indiana Administrative Code 515 IAC 3-1-3 Title II State Reports

https://title2.ed.gov

### **RECOMMENDATION**

Collect data that connect student achievement gains to teacher preparation programs.

To ensure that programs are producing effective classroom teachers, Indiana should consider academic achievement gains of students taught by the programs' graduates, averaged over the first three years of teaching.

■ Gather other meaningful data that reflect program performance.

In addition to knowing whether programs are producing effective teachers, other objective, meaningful data can also indicate whether programs are appropriately screening applicants and whether they are delivering essential academic and professional knowledge. Indiana should gather data such as the following: average raw scores of graduates on licensing tests, including basic skills, subject matter and professional knowledge tests; satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison; evaluation results from the first and/or second year of teaching; and five-year retention rates of graduates in the teaching profession.

Ensure that criteria for program approval result in greater accountability.

Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval after appropriate due process.

Publish an annual report card on the state's website.

To inform the public with meaningful, readily understandable indicators of how well programs are doing, Indiana should present all the data it collects on individual teacher preparation programs.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis.

Figure 41			ADITIONAL EPARATION	ALTERNATIVE PREPARATION		
Do states hold teach	ms OBJECTIVE PROGRAM	0 /	DATA PUBLICLY AVAILABLE ON WEBSCO			
preparation progra	ms 🕺		, / 5	OBJECTIVE PROCESS.	MINIMON STANDARDS FOR	. / 8
accountable?	Ş.			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		£
	DAT	\$ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	PUBL PLE	ME		PCBL/
		MINIMUM STANDARDS F	Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z		A VAN S	Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z
Alabama	S,	MINIMUM STANDARDS FOR	\ \ \delta \frac{4}{5}	/ SA	MINIMUM  STANDARDS FOR	<b>■</b> 1
Alabama Alaska						
Arizona						
Arkansas						
California						
Colorado						
Connecticut	$\overline{\Box}$	- i	Ī		- i	$\overline{\Box}$
Delaware						2
District of Columbia						
Florida						1
Georgia						
Hawaii						
Idaho						
Illinois						
INDIANA						
Iowa						
Kansas						
Kentucky						1
Louisiana						
Maine						
Maryland						
Massachusetts						
Michigan						
Minnesota						
Mississippi Missouri						
Montana						
Nebraska	_					
Nevada						
New Hampshire	ī	ī	П			ī
New Jersey						
New Mexico						
New York						
North Carolina						
North Dakota						
Ohio						
Oklahoma						
Oregon						
Pennsylvania						
Rhode Island						
South Carolina						
South Dakota						
Tennessee						
Texas						
Utah						
Vermont						
Virginia Washington						
West Virginia						
Wisconsin						
Wyoming						
<i>y</i>		_		_		_
	25	5	14	17	2	10

Reported institutional data do not distinguish between candidates in the traditional and alternate route programs.

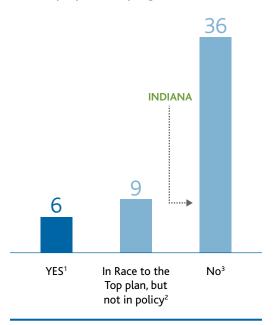
The posted data do not allow the public to review and compare program performance because data are not disaggregated by program provider.



## **T** EXAMPLES OF BEST PRACTICE

Florida connects student achievement gains to teacher preparation programs. The state also relies on other objective, meaningful data to measure the performance of teacher preparation programs, and it applies transparent, measurable criteria for conferring program approval. Florida also posts an annual report on its website.

Figure 42 Do states use student achievement data to hold teacher preparation programs accountable?



- 1. Strong Practice: Colorado, Florida, Georgia, Louisiana, Tennessee, Texas
- 2. Delaware, District of Columbia, Hawaii, Maryland, Massachusetts, New York, North Carolina, Ohio, Rhode Island
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

Figure 43

## Which states collect meaningful data?

#### **AVERAGE RAW SCORES ON LICENSING TESTS**

Alabama, Louisiana, Michigan, New Jersey, Tennessee, West Virginia

#### SATISFACTION RATINGS FROM SCHOOLS

Alabama, Arizona, Florida, Iowa, Kentucky, Maryland<sup>1</sup>, Michigan, Mississippi, Missouri, Nevada, New Jersey, Tennessee, Virginia, Washington<sup>1</sup>, West Virginia

#### **EVALUATION RESULTS FOR PROGRAM GRADUATES**

Alabama, Arizona, Delaware<sup>1</sup>, Florida, Illiniois, Iowa, Pennsylvania, Rhode Island, South Carolina, Tennessee, Texas, Vermont

#### STUDENT LEARNING GAINS

Colorado, Florida, Georgia, Louisiana, Tennessee, Texas

#### **TEACHER RETENTION RATES**

Arizona, Colorado, Delaware<sup>1</sup>, Missouri, New Jersey

1. For alternate route only

What is the relationship		ج / ي	si iii	'Gh', Pova, Povii:	
· · · · · · · · · · · · · · · · · · ·	Ž	DARC Matic	appropri		sss. macc tap
between state program	15 1				le fro
approval and national	圣圣	onal (	nal ac	t tou	or t
accreditation?	STATE HAS ITS OWN	National acceptation	National accreditation	While not technically require	While not technically required
Alabama					
Alaska					
Arizona <sup>1</sup>					
Arkansas					
California					
Colorado					
Connecticut					
Delaware District of Columbia					
Florida					
Georgia Hawaii <sup>1</sup>					
Idaho					
Illinois <sup>1</sup>	Н				
INDIANA					
lowa					
Kansas				П	
Kentucky					
Louisiana					
Maine					
Maryland					
Massachusetts					
Michigan					
Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska					
Nevada					
New Hampshire					
New Jersey					
New Mexico					
New York					
North Carolina					
North Dakota					
Ohio <sup>1</sup>					
Oklahoma					
Oregon					
Pennsylvania Rhode Island					
South Carolina	-				
South Dakota					
Tennessee					
Texas <sup>1</sup>					
Utah					
Vermont	-				
Virginia					
Washington					
West Virginia					
Wisconsin					
Wyoming					

According to information posted on NCATE's website.

## **Area 2: Expanding the Pool of Teachers**

## Goal A – Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. With some accommodation for work experience, alternate route programs should screen candidates for academic ability, such as requiring a minimum 2.75 overall college GPA
- 2. All alternate route candidates, including elementary candidates and those having a major in their intended subject area, should be required to pass the state's subject-matter licensing test.
- 3. Alternate route candidates lacking a major in the intended subject area should be able to demonstrate subject-matter knowledge by passing a test of sufficient rigor.

### Background



## Area 2: Goal A **Indiana** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Indiana offers two alternate routes: the Transition to Teaching (T2T) program and an Advanced Degree License program. Candidates in the T2T program must have a minimum 3.0 GPA. For applicants with at least five years of professional experience the minimum GPA requirement is 2.5.

Indiana's Advanced Degree licensure candidates must have a postgraduate degree in the designated teacher shortage area they plan to teach. There is no minimum GPA requirement for this alternate route.

Secondary applicants to the T2T program must have a major in the subject area they plan to teach or five years' experience in a related field. Elementary candidates are not required to have a specific major for admission to T2T. The state does not allow applicants to test out of the coursework requirements.

The state also requires applicants to the T2T program to pass a test of basic skills for admission. Subject-matter testing requirements for admission to T2T are left to the discretion of individual programs. The state will accept a master's degree or equivalent scores on the SAT, ACT, and GRE in lieu of the basic skills requirement.

Advanced Degree applicants must demonstrate content knowledge on a subject-matter test for the area in which they seek certification. The Advanced Degree license program also requires that individuals have at least one year of experience teaching students in a middle, high school or college setting.

### **Supporting Research**

Indiana Code 20-28-4-5 and 20-28-5-15

#### **RECOMMENDATION**

## Require all applicants to pass a subject-matter test for admission.

While Indiana is commended for requiring Advanced Degree program applicants to demonstrate content knowledge on a subject-matter test, it is strongly recommended that the state extend this requirement to T2T candidates. Although the state is commended for requiring these candidates to show evidence of above-average academic performance with a minimum GPA requirement, the state is also responsible for setting policy that ensures that nontraditional candidates have the subject-matter knowledge required to teach. Individual T2T programs should feel encouraged to exceed these minimums, but without state guidelines there is no assurance that T2T candidates will have demonstrated the necessary aptitude prior to entering the classroom.

### Offer flexibility in fulfilling coursework requirements.

Indiana should allow any candidate who already has the requisite knowledge and skills to demonstrate such by passing a rigorous test. Rigid coursework requirements could dissuade talented individuals who lack precisely the right courses from pursuing a career in teaching.

### Consider flexibility in fulfilling teaching experience requirement.

Indiana should consider whether the minimum teaching experience requirement might unnecessarily disqualify talented individuals from pursuing a career in teaching.

### ■ Eliminate basic skills test requirement.

The state's requirement that alternate route candidates pass a basic skills test is impractical and ineffectual, although Indiana is recognized for allowing candidates to use equivalent scores to fulfill this admission criterion. Basic skills tests measure minimum competency—essentially those skills that a person should have acquired in middle school—and are inappropriate for candidates who have already earned a bachelor's degree. Passage of a basic skills test provides no assurance that the candidate has the appropriate subject-matter knowledge needed for the classroom.

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis but noted, without elaboration, that new legislation related to this topic is pending.

Further the state added that T2T candidates are required to complete a content test prior to licensure and that a majority of programs do require it for admission. Advanced degree candidates can use graduate teaching assistanceships and adjunct university work to meet the teaching requirement. The state also reiterated that a basic skills test is required for admission to T2T programs. It is not used as an end-of-program assessment.

Finally, Indiana contended that all alternate route programs were required to have in place alternate ways to meet standards other than coursework (use of prior experience, testing, etc.) and that programs had to submit documentation of such measures to the state.

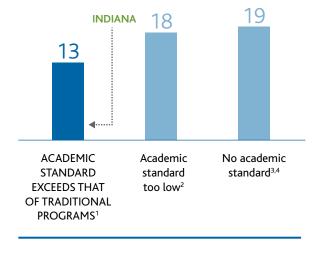
#### **LAST WORD**

NCTQ encourages Indiana to establish policy that clearly articulates the guidelines outlined in the state's response, particularly if a test-out option can be used in place of the major requirement. Rather than relying on informal understandings about policy expectations, formal policy would leave no doubt about admission standards for alternate route programs.



Figure 47

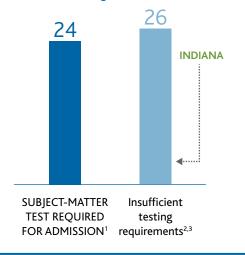
Do states require alternate routes to be selective?



- Strong Practice: Connecticut, District of Columbia, Illinois, Indiana, Iowa, Maryland, Michigan, Minnesota, New Jersey, New York, Pennsylvania, Rhode Island. Tennessee
- Alabama, Alaska, Arkansas, Florida, Kansas, Kentucky, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Ohio, Oklahoma, South Carolina, South Dakota, Texas, West Virginia, Wyoming
- 3. Arizona, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Maine, Massachusetts, Montana, Nevada, New Hampshire, New Mexico, Oregon, Utah, Vermont, Virginia, Washington, Wisconsin
- 4. North Dakota does not have an alternate route to certification.

Figure 48

Do states ensure that alternate route teachers have subject-matter knowledge?



- Strong Practice: Alabama, Arizona, Arkansas, Connecticut<sup>4</sup>, District of Columbia, Florida, Illinois<sup>4</sup>, Kansas, Kentucky, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, New Jersey, New York, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Virginia, Washington, West Virginia
- 2. State does not require test at all, exempts some candidates or does not require passage until program completion. Alaska, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Indiana, Iowa, Maine, Maryland, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Vermont, Wisconsin, Wyoming
- 3. North Dakota does not have an alternate route to certification.
- 4. Required prior to entering the classroom.

Figure 46

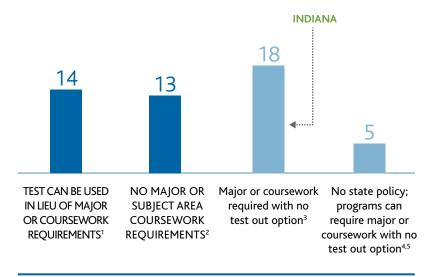
1. North Dakota does not have an alternate route to certification.



The **District of Columbia** and **Michigan** require candidates to demonstrate above-average academic performance as conditions of admission to an alternate route program, with both requiring applicants to have a minimum 3.0 GPA. In addition, neither state requires a content-specific major; subject-area knowledge is demonstrated by passing a test, making their alternate routes flexible to the needs of nontraditional candidates.

Figure 49

Do states accommodate the nontraditional background of alternate route candidates?



Strong Practice: Alabama, California, Colorado, Connecticut<sup>6</sup>, Florida, Georgia, Maine, Maryland, North Carolina, Oklahoma, Oregon, Rhode Island, Tennessee, Texas

- 4. Hawaii, Idaho, Nevada, New Mexico, Wisconsin
- 5. North Dakota does not have an alternate route to certification.
- 6. Test out option available to candidates in shortage areas only.

<sup>2.</sup> Strong Practice: Arizona, Arkansas, District of Columbia, Illinois, Iowa, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Ohio, Virginia, Washington

<sup>3.</sup> Alaska, Delaware, Indiana, Kansas, Kentucky, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New York, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, West Virginia, Wyoming

## **Area 2: Expanding the Pool of Teachers**

## Goal B – Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that the amount of coursework it either requires or allows is manageable for a novice teacher. Anything exceeding 12 credit hours of coursework in the first year may be counterproductive, placing too great a burden on the teacher. This calculation is premised on no more than six credit hours in the summer, three in the fall and three in the spring.
- 2. The state should ensure that alternate route programs offer accelerated study not to exceed six (three credit) courses for secondary teachers and eight (three credit) courses for elementary teachers (exclusive of any credit for practice teaching or mentoring) over the duration of the program. Programs should be limited to two years, at which time the new teacher should be eligible for a standard certificate.
- All coursework requirements should target the immediate needs of the new teacher (e.g., seminars with other grade-level teachers, training in a particular curriculum, reading instruction and classroom management techniques).
- 4. The state should ensure that candidates have an opportunity to practice teach in a summer training program. Alternatively, the state can require an intensive mentoring experience, beginning with a trained mentor assigned full time to the new teacher for the first critical weeks of school and then gradually reduced. The state should support only induction strategies that can be effective even in a poorly managed school: intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

## Figure 50 How States are Faring in Alternate Route Preparation **Best Practice State** Connecticut States Meet Goal Arkansas, Delaware 1, Georgia, New Jersey States Nearly Meet Goal Alabama, Florida, Maryland 1, Mississippi, Rhode Island 1, South Carolina, Virginia 11 States Partly Meet Goal Alaska, California, Kentucky, Louisiana, Massachusetts, Nevada 1, New Mexico, New York, Ohio 1, South Dakota, West Virginia 18 States Meet a Small Part of Goal Arizona, Colorado, District of Columbia, Idaho, Illinois, INDIANA, Iowa↓, Kansas↑, Michigan 1, Minnesota 1, Missouri, Oklahoma, Pennsylvania, Tennessee, Texas, Utah, Washington, Wyoming 10 States Do Not Meet Goal Hawaii, Maine, Montana, Nebraska, New Hampshire, North Carolina, North Dakota, Oregon, Vermont, Wisconsin Progress on this Goal Since 2009: **1**:8 **+** : 42 **↓**:1

## Area 2: Goal B **Indiana** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Indiana could do more to ensure that its alternate routes provide streamlined preparation that meets the immediate needs of new teachers.

Indiana's Transition to Teaching (T2T) program requires elementary candidates to complete 24 hours of coursework, six of which must be in reading. Secondary candidates must complete 18 hours of coursework.

Candidates are required to participate in field and classroom experiences, although the state has not provided additional guidelines for this requirement. New teachers may be assigned a mentor if the employing district has one in place.

Each approved institution sets the length of its program. Upon program completion, new teachers are granted a standard license.

There are no additional program requirements for the Advanced Degree License. Applicants teach under this license and pursue standard licensure just as any new teacher would.

**Supporting Research** 

515 IAC 1-6-6

### **RECOMMENDATION**

## **Establish coursework guidelines for all alternate route preparation programs.**

Indiana is commended for requiring elementary candidates to take a course in the teaching of reading. However, there are no guidelines for other required coursework. Simply mandating coursework without specifying the purpose can inadvertently send the wrong message to program providers—that "anything goes" as long as credits are granted. However constructive, any course that is not fundamentally practical and immediately necessary should be eliminated as a requirement.

### Ensure that new teachers are supported in the first year of teaching.

Indiana should ensure that all teachers receive induction support, not just those in districts that have an established mentoring program. The state should establish guidelines to ensure that the mentoring program is structured for new teacher success. Effective strategies include practice teaching prior to teaching in the classroom, intensive mentoring with full classroom support in the first few weeks or months of school, a reduced teaching load and release time to allow new teachers to observe experienced teachers during each school day.

### **INDIANA RESPONSE TO ANALYSIS**

Indiana contended that it has recently reviewed all T2T programs and shut down many of them, so it cannot be said that anything goes. The state asserted that all programs have to meet state-outlined standards now in order to remain in operation.





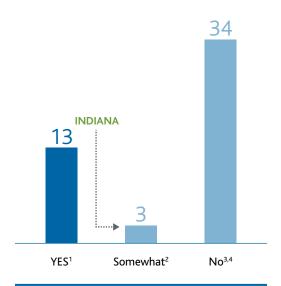
## **TEXAMPLES OF BEST PRACTICE**

Connecticut ensures that its alternate route provides streamlined preparation that meets the immediate needs of new teachers. The state requires a manageable number of credit hours, relevant coursework, a field placement and intensive mentoring. Other notable states include Arkansas, Delaware, Georgia and New Jersey. These states provide streamlined, relevant coursework with intensive mentoring.

<sup>1.</sup> Florida requires practice teaching or intensive mentoring.

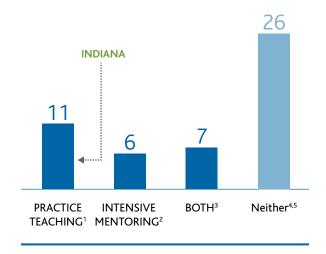
<sup>2</sup> North Dakota does not have an alternate route to certification.

Figure 52 Do states curb excessive coursework requirements?



- 1. Strong Practice: Alabama, Arkansas, Colorado, Connecticut, Delaware, Florida, Georgia, Maryland, Mississippi, New Jersey, Rhode Island, South Carolina, Virginia
- 2. Indiana, Nevada, Wyoming
- 3. Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Massachusetts, Michigan,Minnesota, Missouri, Montana, Nebraska, New Hampshire, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Utah, Vermont,  $Washington, West \, Virginia, Wisconsin$
- 4. North Dakota does not have an alternate route to certification.

Figure 53 Do states require practice teaching or intensive mentoring?



- 1. Strong Practice: Arizona, Indiana, Iowa, Louisiana, Michigan, Nebraska, New Mexico, Ohio, Rhode Island, Vermont, Virginia
- 2. Strong Practice: Arkansas, Georgia, Kentucky, New Jersey, New York,
- 3. Strong Practice: Alaska, Connecticut, Delaware, District of Columbia, Florida<sup>6</sup>, Maryland, Massachusetts
- 4. Alabama, California, Colorado, Hawaii, Idaho, Illinois, Kansas, Maine, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, North Carolina, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Washington, Wisconsin, Wyoming
- 5. North Dakota does not have an alternate route to certification.
- 6. Candidates are required to have one or the other, not both.



## **Area 2: Expanding the Pool of Teachers**

## Goal C – Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not treat the alternate route as a program of last resort or restrict the availability of alternate routes to certain subjects, grades or geographic areas.
- The state should allow districts and nonprofit organizations other than institutions of higher education to operate alternate route programs.
- 3. The state should ensure that its alternate route has no requirements that would be difficult to meet for a provider that is not an institution of higher education (e.g., an approval process based on institutional accreditation).

### **Background**



## Area 2: Goal C **Indiana** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Although it does not place restrictions on usage, Indiana limits the providers of its alternate routes.

Indiana is commended for having no restrictions on the usage of its alternate routes with regard to subject, grade or geographic areas.

Colleges and universities are the only approved providers of alternate route programs. Further, coursework requirements are set out only in credit hours, effectively precluding non-higher education providers.

### **Supporting Research**

Indiana Administrative Code 515 IAC 3.1

http://www.doe.in.gov/educatorlicensing/tot\_faq.html

#### **RECOMMENDATION**

### ■ Encourage diversity of alternate route providers.

Indiana should specifically authorize alternate route programs run by local school districts and non-profits, as well as institutions of higher education. A good diversity of providers helps all programs, both university- and non-university-based, to improve.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of NCTQ's analysis but noted that it plans to address the course hour requirements in a coming revision of teacher licensure regulations. "It was just an oversight that it [a provision for course hours vs. other ways to demonstrate subject matter proficiency] was not addressed in the current version of the regulations." Indiana added that alternate providers are permitted, but unfortunately have not yet had any seek approval.

### **LAST WORD**

Beyond just removing course hour requirements, the state should consider specifying clearly and directly that non-IHE providers are permitted.





## **T** EXAMPLES OF BEST PRACTICE

Twenty-six states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it commends all states that permit both broad usage and a diversity of providers for their alternate routes.

Figure 56 Can alternate route teachers teach any subject or grade anywhere in the state?

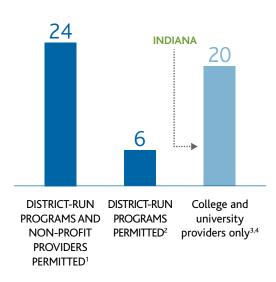


Figure 55 and 56

- 1. Alabama offers routes without restrictions for candidates with master's degrees. The route for candidates with bachelor's degrees is limited to
- 2. North Dakota does not have an alternate route to certification.

Figure 57

Do states permit providers other than colleges or universities?



- Strong Practice: Arizona, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Illinois, Kentucky, Louisiana, Maryland, Massachusetts, Michigan, Nevada, New Hampshire, New York, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Virginia, Washington, Wisconsin
- 2. Strong Practice: California, Colorado, Georgia, North Carolina, Vermont<sup>5</sup>, West Virginia
- Alabama, Alaska, Hawaii, Idaho<sup>6</sup>, Indiana, Iowa, Kansas, Maine, Minnesota, Mississippi<sup>6</sup>, Missouri<sup>6</sup>, Montana, Nebraska, New Jersey<sup>7</sup>, New Mexico, Oregon, South Carolina<sup>6</sup>, South Dakota, Utah<sup>6</sup>, Wyoming
- 4. North Dakota does not have an alternate route to certification.
- 5. Districts can run Peer Review programs only.
- 6. ABCTE is also an approved provider.
- 7. Permits school districts to provide programs without university partnerships in some circumstances.

GENUINE OR NEARLY
GENUINE ALTERNATEROUTE ∫ Offered route is disingenuous Figure 58 Alternate oute that need significant improvements Do states provide real alternative pathways to certification? Alabama Alaska Arizona П Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia П П Hawaii П Idaho П Illinois П **INDIANA** Iowa Kansas Kentucky П Louisiana Maine П Maryland П П Massachusetts Michigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico П П New York North Carolina North Dakota<sup>1</sup> П Ohio П П Oklahoma Oregon Pennsylvania Rhode Island South Carolina П South Dakota Tennessee П П Texas Utah Vermont Virginia П Washington West Virginia Wisconsin Wyoming 7 25 18

Figure 58

1. North Dakota does not have an alternate route to certification.

hat are the	PREREQUISITE OF ST.	VERIFICATION OF SUIT	AVAILABILITY OF TE	STREAMUNED CO.	] RELEVANT COURSE	Z /	/	BROAD USAGE	] DIVERSITY OF PROVIDERS
naracteristics of	5			· / ¿		<del> </del>	F. / F.		/ &
ates' alternate	SITE			S / Q	' / ĝ	BIE /	$\widetilde{A} / \widetilde{A}$	<i>≨</i> / <i>5</i>	) / JC / J
outes?	0,00	7 2 %	7.48 AB/		/ <del>\\</del> \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\	\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		' / 'S	1
Jules:	PRER	VERIFICATION OF SU	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	TREA,	ElEV	REASONABLE PROCRAM LE	PRAC VIEW	BROAD USAGE	"VERS
Alabama		/ <	, .0	/ S	/ « 			/ <b>8</b>	/ Q
Alaska									
Arizona									
Arkansas									
California									
Colorado									
Connecticut									
Delaware									
District of Columbia									
Florida									
Georgia									
Hawaii									
Idaho									
Illinois									
INDIANA									
Iowa									
Kansas									
Kentucky									
Louisiana									
Maine									
Maryland									
Massachusetts									
Michigan									
Minnesota									
Mississippi									
Missouri									
Montana									
Nebraska									
Nevada						_			
New Hampshire									
New Jersey New Mexico									
New York									
North Carolina									
North Dakota									
Ohio									
Oklahoma									
Oregon									
Pennsylvania									
Rhode Island									
South Carolina			-				-	-	
South Dakota									
Tennessee									
Texas									
Utah									
Vermont									
Virginia									
Washington									
West Virginia									
Wisconsin									
Wyoming									
-	13	24	27	13	12	29	24	32	29

## **Area 2: Expanding the Pool of Teachers**

## Goal D – Part-Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- Either through a discrete license or by waiving most licensure requirements, the state should authorize individuals with content expertise to teach as part-time instructors.
- 2. All candidates for a part-time teaching license should be required to pass a subject-matter test.
- 3. Other requirements for this license should be limited to those addressing public safety (e.g., background screening) and those of immediate use to the novice instructor (e.g., classroom management training).

### **Background**



## Area 2: Goal D **Indiana** Analysis



State Does Not Meet Goal Progress Since 2009



#### **ANALYSIS**

Indiana does not offer a license with minimal requirements that would allow content experts to teach part time.

#### RECOMMENDATION

Offer a license that allows content experts to serve as part-time instructors.

Indiana should permit individuals with deep subject-area knowledge to teach a limited number of courses without fulfilling a complete set of certification requirements. The state should verify content knowledge through a rigorous test and conduct background checks as appropriate, while waiving all other licensure requirements. Such a license would increase districts' flexibility to staff certain subjects, including many STEM areas, that are frequently hard to staff or may not have high enough enrollment to necessitate a full-time position.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of NCTQ's analysis and noted that the state will include an adjunct teacher license in new licensure regulations to be proposed this year.

### **LAST WORD**

NCTQ looks forward to reviewing the state's progress in future editions of the *Yearbook*.

70: NCTQ STATE TEACHER POLICY YEARBOOK 2011 **INDIANA** 

#### Figure 61 Do states offer a license with minimal requirements that allows content experts to teach part-time? YES No Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois **INDIANA** Iowa Kansas Kentucky Louisiana Maine Maryland Mass a chusettsMichigan Minnesota П Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico New York 2 П North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah Vermont Virginia 2 Washington West Virginia Wisconsin Wyoming 16 35



#### **TEXAMPLES OF BEST PRACTICE**

Arkansas offers a license with minimal requirements that allows content experts to teach part time. Individuals seeking this license must pass a subject-matter test and are also required to complete specially-designed pedagogy training that is not overly burdensome.

<sup>1.</sup> License has restrictions.

<sup>2.</sup> It appears that the state has a license that may be used for this purpose; guidelines are vague.

# **Area 2: Expanding the Pool of Teachers**

### Goal E – Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should offer a standard license to fully certified teachers moving from other states, without relying on transcript analysis or recency requirements as a means of judging eligibility. The state can and should require evidence of good standing in previous employment.
- 2. The state should uphold its standards for all teachers by insisting that certified teachers coming from other states meet the incoming state's testing requirements.
- The state should accord the same license to teachers from other states who completed an approved alternate route program that it accords teachers prepared in a traditional preparation program.

#### **Background**



### Area 2: Goal E **Indiana** Analysis



State Meets a Small Part of Goal



**Progress Since 2009** 

#### **ANALYSIS**

Indiana does not support licensure reciprocity for certified teachers from other states.

Regrettably, Indiana grants a waiver for its licensing tests to any out-of-state teacher with three years of experience.

Teachers with valid, comparable out-of-state certificates are eligible for Indiana's professional certificate. There is no state-mandated recency requirement for the professional certificate; however, transcripts are required for all out-of-state applicants. It is not clear whether the state analyzes these transcripts to determine whether a teacher was prepared through a traditional or alternate route or whether additional coursework will be required.

Indiana is also a participant in the NASDTEC Interstate Agreement; however, the latest iteration of this agreement no longer purports to be a reciprocity agreement among states and thus is no longer included in this analysis.

#### **Supporting Research**

Indiana Administrative Code, Title 515, Article 4, Rule 1

#### **RECOMMENDATION**

■ To uphold standards, require that teachers coming from other states meet testing requirements.

Indiana takes considerable risk by granting a waiver for its licensing tests to any out-of-state teacher who has three years of teaching experience. The state should not provide any waivers of its teacher tests unless an applicant can provide evidence of a passing score under its own standards. The negative impact on student learning stemming from a teacher's inadequate subject-matter knowledge is not mitigated by the teacher's having experience.

Accord the same license to out-of-state alternate route teachers as would be accorded to traditionally prepared teachers.

Indiana should consider discontinuing its requirement for the submission of transcripts. Transcript analysis is likely to result in additional coursework requirements, even for traditionally prepared teachers; alternate route teachers, on the other hand, may have to virtually begin anew, repeating some, most or all of a teacher preparation program in Indiana. Regardless of whether a teacher was prepared through a traditional or alternate route, all certified out-of-state teachers should receive equal treatment.

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana was helpful in providing NCTQ with facts that enhanced this analysis. The state added that it requires the submission of transcripts as documentation that applicants meet the degree requirements for the licenses they seek, and for review for adding additional content areas to licenses if requested. Indiana asserted that it does not require transcripts as a way to limit applicants, and it reciprocates applicants from both alternate route and traditional programs if they hold a valid out-of-state license.

#### LAST WORD

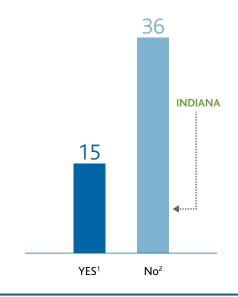
The submission of transcripts should be unnecessary for certified out-of-state teachers, unless the state has some reason to suspect that the certifying state routinely certifies teachers who do not have a degree.



#### **T** EXAMPLES OF BEST PRACTICE

Alabama and Texas appropriately support licensure reciprocity by only requiring certified teachers from other states to meet each state's own testing requirements and by not specifying any additional coursework or recency requirements to determine eligibility for either traditional or alternate route teachers.

Figure 63 Do states require all out-of-state teachers to pass their licensure tests?



- 1. Strong Practice: Alabama, Alaska, Idaho, Illinois, Massachusetts, Minnesota, New York<sup>3</sup>, North Dakota, Ohio, Pennsylvania<sup>3</sup>, South Dakota, Texas, Utah, Washington<sup>3</sup>, Wisconsin
- 2. Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Missouri, Montana<sup>4</sup>, Nebraska<sup>4</sup>, Nevada, New Hampshire, New Jersey, New Mexico, North Carolina, Oklahoma, Oregon, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wyoming
- 3. Exception for teachers with National Board Certification.
- 4. No subject-matter testing for any teacher certification.

Figure 64

- 1. For traditionally prepared teachers only.
- 2. Transcript review required for those with less than 3 years experience.



Do states treat out-of-s		enate	State has policies with the for alternate route teachers
teachers the same whet	her 🙇	diffe	'ès n ate o te te,
they were prepared in a	5475	Cifies ors fe	) 90/ii 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.
traditional or an alterna	te 😤	e spe reme, reach	e has Itial t
route program?	5747	State Sta State State State State State State State State State State State State State State State Stae Sta	State has potential, for alternal
Alabama	- 44 /		
Alaska			
Arizona			
Arkansas		П	
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			-
lowa			_
Kansas			
Kentucky Louisiana			-
Maine			
Maryland			-
Massachusetts			
Michigan			-
Minnesota	П	П	
Mississippi			
Missouri			
Montana			
Nebraska			
Nevada			
New Hampshire			
New Jersey			
New Mexico			
New York			
North Carolina			
North Dakota			
Ohio			
Oklahoma Oregon			
Pennsylvania			-
Rhode Island			
South Carolina			
South Dakota			
Tennessee			
Texas			
Utah			
Vermont			
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	6	6	39

### Goal A – State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should establish a longitudinal data system with at least the following key components:
  - a. A unique statewide student identifier number that connects student data across key databases across years;
  - b. A unique teacher identifier system that can match individual teacher records with individual student records; and
  - c. An assessment system that can match individual student test records from year to year in order to measure academic growth.
- 2. Value-added data provided through the state's longitudinal data system should be considered among the criteria used to determine teachers' effectiveness.
- 3. To ensure that data provided through the state data system is actionable and reliable, the state should have a clear definition of "teacher of record" and require its consistent use statewide.

#### Background



### Area 3: Goal A **Indiana** Analysis



State Meets Goal



**Progress Since 2009** 

#### **ANALYSIS**

Indiana has a data system with the capacity to provide evidence of teacher effectiveness.

Indiana has all three necessary elements of a student- and teacher-level longitudinal data system. The state has assigned unique student identifiers that connect student data across key databases across years and has assigned unique teacher identifiers that enable it to match individual teacher records with individual student records. It also has the capacity to match student test records from year to year in order to measure student academic growth.

#### **Supporting Research**

Data Quality Campaign www.dataqualitycampaign.org

#### **RECOMMENDATION**

Develop a clear definition of "teacher of record."

Indiana has not yet established a definition of teacher of record, which is essential in order to use the student-data link for the purpose of providing value-added evidence of teacher effectiveness. To ensure that data provided through the state data system are actionable and reliable, Indiana should articulate a definition of teacher of record and require its consistent use throughout the state.

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

		1 %	
Figure 67	■ UNIQUESTUDBATING		/
Do state data systems	i		TEST RECORDS MATCH
have the capacity to	5	? \ <del>`</del>	SQ / MA
assess teacher	r P E	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Sow.
effectiveness?	FST,	SE ES	RECC TIME
,,	<i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>	\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	TEST VER
Alabama	7	, s,	
Alaska			-
Arizona			-
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas		-	
Kentucky			_
Louisiana			-
Maine Maryland			-
Massachusetts			-
Michigan			
Minnesota			=
Mississippi			
Missouri			-
Montana			
Nebraska			
Nevada			
New Hampshire			
New Jersey			
New Mexico			
New York			
North Carolina			
North Dakota			
Ohio			
Oklahoma			
Oregon			
Pennsylvania			
Rhode Island			
South Carolina			
South Dakota Tennessee			
Texas			
Utah			
Vermont			
Virginia			
Washington			-
West Virginia		-	
Wisconsin			
Wyoming			
-	50	35	50
	30	33	50



### **EXAMPLES OF BEST PRACTICE**

Although NCTQ has not singled out one state's policies for "best practice" honors, it commends the 35 states that have a data system with the capacity to provide evidence of teacher effectiveness.

Key

indicates that the state assigns teacher identification numbers, but it cannot match individual teacher records with individual student records.

### Goal B – Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion or specifically require that student learning be the preponderant criterion in local evaluation processes. Evaluation instruments, whether state or locally developed, should be structured to preclude a teacher from receiving a satisfactory rating if found ineffective in the classroom.
- 2. Evaluation instruments should require classroom observations that focus on and document the effectiveness of instruction.
- 3. Teacher evaluations should consider objective evidence of student learning, including not only standardized test scores but also classroom-based artifacts such as tests, quizzes and student work.
- 4. The state should require that evaluation instruments differentiate among various levels of teacher performance. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

#### **Background**



### Area 3: Goal B **Indiana** Analysis



State Partly Meets Goal



Bar Raised for this Goal



**Progress Since 2009** 

#### **ANALYSIS**

Indiana does not require that objective evidence of student learning be the preponderant criterion of its teacher evaluations.

Recent legislation requires school corporations to develop annual educator evaluations based on multiple measures that include student performance. Objective measures of student achievement and growth must "significantly inform" the evaluation.

In addition to a core professionalism rubric, the state's model rubric includes three domains: purposeful planning, effective instruction and teacher leadership. The state evaluation rubric (RISE) and alternative models will be piloted in the fall of 2011. Information from the Indiana Teacher Effectiveness Pilot will be used to fine-tune the model. Scoring of the state's rubric has yet to be determined.

Further, Indiana's new legislation now commendably requires classroom observations, and evaluators must utilize the following multiple rating categories: highly effective, effective, improvement necessary and ineffective.

#### **Supporting Research**

Indiana Code 20-28-11-3

Senate Enrolled Act No. 1

http://www.in.gov/legislative/bills/2011/PDF/SE/SE0001.1.pdf

Press Release: "Local School Corporations Will be First to Implement Evaluation Tools"

http://www.doe.in.gov/news/2011/05-May/TeacherEffectivenessPilot.html

#### **RECOMMENDATION**

Require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

Although Indiana's requirement that objective measures of student achievement and growth must "significantly inform" the evaluation is a step in the right direction, it falls short by failing to require that evidence of student learning be the most significant criterion. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion, or it should specifically require that student learning be the preponderant criterion in local evaluation processes. This can be accomplished by requiring objective evidence to count for at least half of the evaluation score or through other scoring mechanisms, such as a matrix, that ensure that nothing affects the overall score more. Whether state or locally developed, a teacher should not be able to receive a satisfactory rating if found ineffective in the classroom.

■ Ensure that evaluations also include classroom observations that specifically focus on and document the effectiveness of instruction.

Although Indiana now commendably requires classroom observations as part of teacher evaluations, the state should articulate guidelines that focus classroom observations on the quality of instruction, as measured by student time on task, student grasp or mastery of the lesson objective, and efficient use of class time.

#### INDIANA RESPONSE TO ANALYSIS

Indiana posed the question regarding the difference between significant and preponderant. The state asserted that NCTQ was splitting hairs and that it deserved more credit for this goal.

#### **LAST WORD**

Although Indiana's requirement that objective measures of student growth be a significant part of teacher evaluations is a step in the right direction, the state cannot guarantee that it will be the *most* significant component. The only way to ensure this is to mandate that objective measures of student growth be the preponderant criterion. NCTQ sees this as considerably more than hair splitting. The point is that a teacher should be unable to get an overall effective rating if he or she is not effective in terms of student performance, which could happen if student learning need only be included to a district-defined "significant" extent. Only an evaluation system where student learning is the preponderant criterion ensures that this will not happen.

Figure 69	ROURES TAJ STUDENT THE PREPARATION OF THE PREPARATION OF THE PROPAGE TO THE PROPAGE THE PR	Sective evaluations are to be	Teacher evaluations must	_ /	
Do states consider	DENT	RITER RITER Fe to L	owth Pust	nce of	
classroom effectiveness	757 1804	WT C	ons n	Them.	
as part of teacher	Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z Z	aluat,	eluat,	).ie/e/	
evaluations?	PEN SEN SEN SEN SEN SEN SEN SEN SEN SEN S	er ev. antis	er ev.	t act	
evaluations.	# PR PR PR	Teact, ignific	Teact, Indude	Stude, ot req.	
Alabama	\& /	″ ຮ <sub>ິ</sub> ຮັ	/ *\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Sudent achievement data	
Alaska					
Arizona					
Arkansas					
California	П				
Colorado					
Connecticut	$\overline{\Box}$	- i		- i	
Delaware			Ī		
District of Columbia <sup>1</sup>					
Florida					
Georgia					
Hawaii					
Idaho					
Illinois					
INDIANA					
Iowa					
Kansas					
Kentucky					
Louisiana					
Maine					
Maryland					
Massachusetts					
Michigan					
Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska					
Nevada					
New Hampshire					
New Jersey New Mexico					
New York					
North Carolina					
North Dakota					
Ohio					
Oklahoma					
Oregon					
Pennsylvania					
Rhode Island					
South Carolina					
South Dakota					
Tennessee					
Texas					
Utah					
Vermont					
Virginia					
Washington					
West Virginia					
Wisconsin					
Wyoming					
	12	5	7	27	



#### **T** EXAMPLES OF BEST PRACTICE

NCTQ has not singled out any one state for "best practice" honors. Many states have made significant strides in the area of teacher evaluation by requiring that objective evidence of student learning be the preponderant criterion. Because there are many different approaches that result in student learning being the preponderant criterion, all 10 states that meet this goal are commended for their efforts.

Figure 70

Using state data in teacher evaluations

States with Requirements for Student Achievement Data but Lacking Data System Capacity

Arizona, Colorado, Connecticut, Michigan, Nevada

States with Data System Capacity but No Student Achievement Requirements

Alabama, Hawaii, Iowa, Kansas, Kentucky, Mississippi, Missouri, Nebraska, New Hampshire, New Mexico, North Dakota, Pennsylvania, South Carolina, Washington, West Virginia, Wisconsin

<sup>1.</sup> District of Columbia Public Schools requires that student learning be the preponderant criterion of its teacher evaluations.

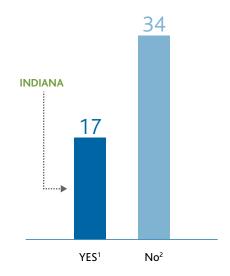
Figure 71
Sources of objective evidence of student learning

Many educators struggle to identify possible sources of objective student data. Here are some examples:

- Standardized test scores
- Periodic diagnostic assessments
- Benchmark assessments that show student growth
- Artifacts of student work connected to specific student learning standards that are randomly selected for review by the principal or senior faculty, scored using rubrics and descriptors
- Examples of typical assignments, assessed for their quality and rigor
- Periodic checks on progress with the curriculum coupled with evidence of student mastery of the curriculum from quizzes, tests and exams

Figure 72

Do states require more than two categories for teacher evaluation ratings?



- Strong Practice: Arkansas, Colorado, Delaware, Florida, Georgia, Illinois, Indiana, Maryland, Massachusetts, Michigan, Nevada, New York, Ohio, Oklahoma, Rhode Island, Tennessee, Washington
- Alabama, Alaska, Arizona, California, Connecticut, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Carolina, North Dakota, Oregon, Pennsylvania, South Carolina, South Dakota, Texas, U tah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

igure 73		State-designed teacher	rt-lin	Ostrict-designed system	mith /
o states direct how	Single statewise teacher	State-designed teacher	District-designed System famework/with size.	District-designed system	tate /
eachers should be	, <i>t</i> e		ratry Sed S	10 P	s <del>u</del> k
valuated?	wid ste	, ned	SSign /	-11te 12	· / .j
valuateo.	state on sy	design	74c		/ <sup>1</sup> 00/ <sub>8</sub>
	gle , uatii	ate. Wat,	nsist new	stric	statt
	13 8	/ 35 %	1 2 6 1	0.1	o state policy
Alabama					
Alaska					
Arizona					
Arkansas					
California					
Colorado					
Connecticut					
Delaware					
District of Columbia			$\overline{\Box}$		
Florida			1		
Georgia		$\overline{\Box}$	-	$\overline{\Box}$	
Hawaii					
Idaho			1		
Illinois					
INDIANA					
lowa					
Kansas			-		
Kentucky			1		
Louisiana					
Maine					
			1		
Maryland Massachusetts			_		
		2			
Michigan		2			
Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska			■ <sup>1</sup>		
Nevada					
New Hampshire					
New Jersey					
New Mexico					
New York					
North Carolina					
North Dakota					
Ohio					
Oklahoma					
Oregon					
Pennsylvania					
Rhode Island		2			
South Carolina		2			
South Dakota					
Tennessee		2			
Texas		2	П		
Utah					
Vermont					
Virginia					
Washington					
West Virginia					
Wisconsin		_			
Wyoming					
vvyoning					
	9	10	24	5	3

<sup>1.</sup> State approval required.

<sup>2.</sup> The state model is presumptive; districts need state approval to opt out.

### Goal C – Frequency of Evaluations

The state should require annual evaluations of all teachers.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that all teachers receive a formal evaluation rating each year.
- While all teachers should have multiple observations that contribute to their formal evaluation rating, the state should ensure that new teachers are observed and receive feedback early in the school year.

#### Background



# Area 3: Goal C **Indiana** Analysis



State Nearly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Commendably, as of the 2012-2013 school year, all teachers in Indiana will be evaluated annually.

Further, new teachers in Indiana must be formally evaluated before December 31. If requested by the teacher, an additional evaluation may be scheduled on or before March 1 of the following year.

#### **Supporting Research**

Senate Enrolled Act No. 1

http://www.in.gov/legislative/bills/2011/PDF/SE/SE0001.1.pdf

Indiana Code 20-28-11-3

#### **RECOMMENDATION**

Base evaluations on multiple observations.

To guarantee that annual evaluations are based on an adequate collection of information, Indiana should require multiple observations for all teachers, even those who have nonprobationary status. Although Indiana is commended for ensuring that new teachers are observed and receive feedback early in the school year, it may not be feasible to issue multiple formal evaluation ratings in a single year, especially as evaluation instruments become more data driven. Applicable student data will likely not be available to support multiple ratings.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis.

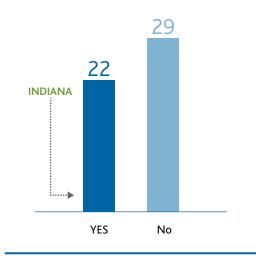




#### **T** EXAMPLES OF BEST PRACTICE

Although not awarding "best practice" honors for frequency of evaluations, NCTQ commends all nine states that meet this goal not only by requiring annual evaluations for all teachers, but also for ensuring that new teachers are observed and receive feedback during the first half of the school year.

Figure 76 Do states require districts to evaluate all teachers each year?

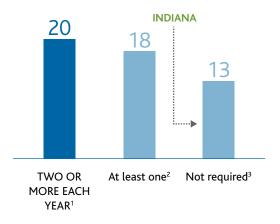


Figures 75 and 76

- 1. Although highly effective teachers are only required to receive a summative evaluation once every two years, the student improvement component is evaluated annually.
- 2. All District of Columbia Public Schools teachers are evaluated at least annually.

Figure 77

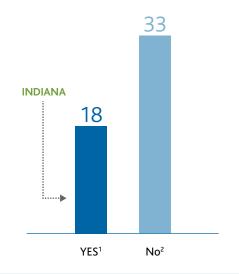
Do states require classroom observations?



- Strong Practice: Alabama, Alaska<sup>4</sup>, Arkansas, Colorado<sup>4</sup>, Delaware, Florida<sup>4</sup>, Georgia, Kentucky<sup>4</sup>, Maryland, Michigan, Missouri<sup>4</sup>, Nevada<sup>4</sup>, New York, North Carolina, Ohio, Oregon<sup>4</sup>, Rhode Island, Tennessee, Washington, West Virginia<sup>4</sup>
- Arizona, California, Connecticut, Hawaii, Idaho, Illinois, Indiana, Louisiana, Massachusetts, Mississippi, New Jersey, New Mexico, Oklahoma, Pennsylvania, South Carolina, Texas, Utah, Wisconsin
- 3. District of Columbia, Iowa, Kansas, Maine, Minnesota, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wyoming
- 4. For new teachers.

Figure 78

Do states require that new teachers are observed early in the year?



- 1. Strong Practice: Alabama, Idaho, Indiana, Kansas, Kentucky, Maryland, Minnesota, Nebraska, Nevada, New Jersey, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, Tennessee, Washington, West Virginia
- 2. Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Iowa, Louisiana, Maine, Massachusetts, Michigan, Mississippi, Missouri, Montana, New Hampshire, New Mexico, New York, North Carolina, Oregon, Pennsylvania, South Dakota, Texas, Utah, Vermont, Virginia, Wisconsin, Wyoming

### Goal D - Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- A teacher should be eligible for tenure after a certain number of years of service, but tenure should not be granted automatically at that juncture.
- 2. Evidence of effectiveness should be the preponderant criterion in tenure decisions.
- The state should articulate a process, such as a hearing, that local districts must administer in considering the evidence and deciding whether a teacher should receive tenure.
- 4. The minimum years of service needed to achieve tenure should allow sufficient data to be accumulated on which to base tenure decisions; five years is the ideal minimum.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### Background



### Area 3: Goal D **Indiana** Analysis



State Partly Meets Goal



Bar Raised for this Goal Progress Since 2009



#### **ANALYSIS**

Indiana could do more to connect tenure decisions to evidence of teacher effectiveness.

The state's recent legislation outlines new tenure policy in which a probationary teacher becomes a professional teacher, thus earning nonprobationary status, by receiving evaluation ratings of either effective or highly effective for three years, over a five-year period. Further, a professional teacher in Indiana reverts to probationary status if he or she receives an ineffective evaluation rating.

Because Indiana's teacher evaluation ratings are not centered primarily on evidence of student learning (see Goal 3-B), basing tenure decisions on these evaluation ratings ensures that classroom effectiveness is considered, but does not ensure it is the preponderant criterion.

#### Supporting Research

Senate Enrolled Act No. 1

http://www.in.gov/legislative/bills/2011/PDF/SE/SE0001.1.pdf

#### RECOMMENDATION

- Ensure evidence of effectiveness is the preponderant criterion in tenure decisions. Indiana should make evidence of effectiveness, rather than the number of years in the classroom, the most significant factor when determining this leap in professional standing.
- Ensure evidence of effectiveness is the preponderant criterion in tenure decisions. Indiana should make certain its probationary period allows for a collection of sufficient data that reflect teacher performance.

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

				STATE ONLY			
	No policy	1 year	2 years	3 years	4 YEARS	5 YEARS	AWARDS ANNUAL CONTRACTS
Alabama							
Alaska							
Arizona							
Arkansas							
California							
Colorado							
Connecticut							
Delaware							
District of Columbia							
Florida							
Georgia							
Hawaii Idaho							
Illinois							
INDIANA							
lowa							_
Kansas							
Kentucky							
Louisiana							
Maine							
Maryland							
Massachusetts							
Michigan							
Minnesota							
Mississippi							
Missouri							
Montana							
Nebraska							
Nevada	- H		- i				
New Hampshire							
New Jersey						$\overline{\Box}$	
New Mexico				ī			
New York							
North Carolina							
North Dakota							
Ohio							
Oklahoma				1			
Oregon							
Pennsylvania							
Rhode Island							2
South Carolina							
South Dakota							
Tennessee							
Texas							
Utah							
Vermont							
Virginia							
Washington							
West Virginia							
Wisconsin							
Wyoming							
	1	1	5	32	4	5	3

Teachers may also earn career status with an average rating of at least effective for a four-year period and a rating of at least effective for the last two years.

Teachers who receive two years of ineffective evaluations are dismissed.

ow are tenure	\$	<i>N</i> 0, <i>t</i> i,	. /
ecisions made?	EVDENCE OF STUDENT PREPONDERANTE	PITER,	
ecisions made:	75.77	sider.	natic <sub>e</sub>
	77 77 87 87 87 87 87 87 87 87 87 87 87 8	i den j	uton
		he ev.	
	EVIDEN LEARNIN PREPOND	Some evidence of student	Virtually automatically
Alabama			
Alaska			
Arizona			
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			1
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas			
Kentucky			
Louisiana		Ц	
Maine			
Maryland	Ц	<u> </u>	
Massachusetts			
Michigan			
Minnesota			
Mississippi			
Missouri			
Montana Nebraska			
Nevada			
New Hampshire New Jersey			
New Mexico			
New York			
North Carolina			
North Dakota			
Ohio			
Oklahoma	2		
Oregon			
Pennsylvania		П	
Rhode Island		П	
South Carolina		n	
South Dakota			
Tennessee			
Texas	ā		
Utah			
Vermont			
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
<u> </u>	0	4	20
	8	4	39



#### **TEXAMPLES OF BEST PRACTICE**

Michigan has increased its probationary period to five years and requires that evidence of effectiveness be the primary criterion in awarding tenure.

Figure 82 How are tenure decisions made?

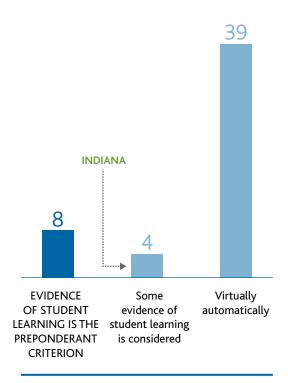


Figure 81

- 1. No state-level policy; however, the contract between DCPS and the teachers' union represents significant advancement in the area of
- 2. The state has created a loophole by essentially waiving student learning requirements and allowing the principal of a school to petition for career-teacher status.

### Goal E – Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should base advancement from a probationary to a nonprobationary license on evidence of teacher effectiveness.
- 2. The state should not require teachers to fulfill generic, unspecified coursework requirements to advance from a probationary to a nonprobationary license.
- 3. The state should not require teachers to have an advanced degree as a condition of professional licensure.
- 4. Evidence of effectiveness should be a factor in the renewal of a professional license.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### Background



### Area 3: Goal E **Indiana** Analysis







#### **ANALYSIS**

In Indiana, to advance from a two-year Initial license to a Professional license, teachers are required to complete a Beginning Teacher Residency program in which building level administrators assess a new teacher's effectiveness and develop plans for professional growth. After achieving Professional license status, teachers may apply for an Accomplished Practitioner license, which requires another set of criteria, including earning a master's degree.

Indiana does not include evidence of effectiveness as a factor in the renewal of a professional license. Teachers must renew their licenses every five years by completing six semester hours at an accredited institution of higher learning, or by completing a Professional Growth Plan, including up to 90 hours of professional development.

#### **Supporting Research**

http://www.doe.in.gov/educatorlicensing/renewal.html http://www.doe.in.gov/educatorlicensing/pdf/LVISFAQv1.5.pdf

#### RECOMMENDATION

#### Require evidence of effectiveness as a part of teacher licensing policy.

Indiana should require evidence of teacher effectiveness to be a factor in determining whether teachers can renew their licenses or advance to a higher-level license. Although teacher performance is assessed before a professional license is awarded, there is no indication that objective evidence of student learning is considered as part of this assessment.

#### Discontinue licensure requirements with no direct connection to classroom effectiveness.

While targeted requirements may potentially expand teacher knowledge and improve teacher practice, Indiana's general, nonspecific coursework and growth plan requirements for license advancement and renewal merely call for teachers to complete a certain amount of seat time. These requirements do not correlate with teacher effectiveness.

#### End requirement tying teacher advancement to master's degrees.

Indiana should remove its mandate that teachers obtain a master's degree for an Accomplished Practitioner license. Research is conclusive and emphatic that master's degrees do not have any significant correlation to classroom performance. Rather, advancement should be based on evidence of teacher effectiveness.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state added that it plans to propose new regulations in 2011 that will require evidence of teacher effectiveness for recertification.

Figure 84	OBJECTIVE EVIDENCE	_ /	Consideration Biven to teacher	ress Tess
Do states require teache	rs d	Some objective evidence of	, teach	Perfomance not considered
to show evidence of	N.	REQ.	en to	n eff.
effectiveness before	70/2	2,5 2,002	but p	5 / 5
conferring professional	PK. EVE	) Siect, Pess (	feratii ance occas	// Juger
	FCT <sub>I</sub>	ctive o	onsic rforn tied t	
licensure?	9.7	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	J g to	l <sub>e</sub>
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado				
Connecticut				
Delaware				$\overline{}$
District of Columbia				
Florida				
Georgia				
Hawaii	П			
Idaho				-
Illinois <sup>1</sup>				
INDIANA				
lowa				
Kansas				_
Kentucky	Ш			
Louisiana				
Maine				
Maryland <sup>2</sup>				
Massachusetts				
Michigan				
Minnesota				
Mississippi				
Missouri				
Montana				
Nebraska				
Nevada				
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina	- H			ī
North Dakota				
Ohio				
Oklahoma	П			
Oregon	П			-
Pennsylvania				
Rhode Island				
South Carolina				
South Dakota	_			
Tennessee				
Texas				
Utah				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin				
Wyoming				
		3	11	34

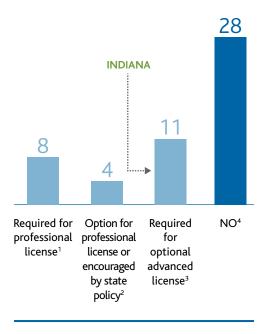


#### **T** EXAMPLES OF BEST PRACTICE

Rhode Island is integrating certification, certification renewal and educator evaluation. Teachers who receive poor evaluations for five consecutive years are not eligible to renew their certification. In addition, teachers who consistently receive 'highly effective' ratings will be eligible for a special license designation.

Figure 85

Do states require teachers to earn advanced degrees before conferring professional licensure?

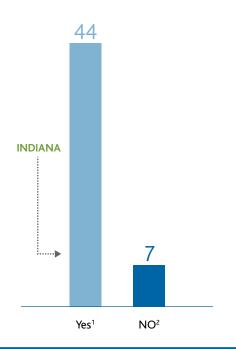


- 1. Connecticut, Kentucky, Maryland, Michigan, Mississippi, Montana, New York and Oregon all require a master's degree or coursework equivalent to a master's degree
- 2. Illinois, Massachusetts, Missouri, Tennessee
- 3. Alabama, Hawaii, Indiana, Iowa, Nebraska, New Mexico, Ohio, South Carolina, Utah, Virginia, West Virginia
- 4. Strong Practice: Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Florida, Georgia, Idaho, Kansas, Louisiana, Maine, Minnesota, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont, Washington, Wisconsin, Wyoming

- 1. Illinois allows revocation of licenses based on ineffectiveness.
- 2. Maryland uses some objective evidence through their evaluation system for renewal, but advancement to professional license is still based on earning an advanced degree.

Figure 86

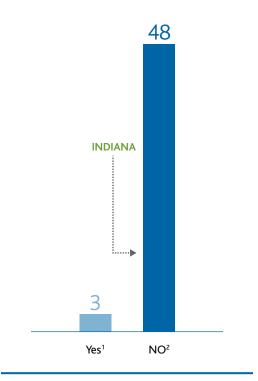
Do states require teachers to take additional, nonspecific coursework before conferring or renewing professional licenses?



- Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 2. Strong Practice: California, Georgia, Hawaii, Louisiana, New Jersey, New Mexico, Rhode Island

Figure 87

Do states award lifetime professional licenses?



- 1. New Jersey, Pennsylvania, West Virginia
- 2. Strong Practice: Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, Wyoming

### Goal F – Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

The state should make the following data publicly available:

- An "Academic Quality" index for each school that includes factors research has found to be associated with teacher effectiveness, such as:
  - a. percentage of new teachers;
  - b. percentage of teachers failing basic skills licensure tests at least once;
  - c. percentage of teachers on emergency credentials;
  - d. average selectivity of teachers' undergraduate institutions; and
  - e. teachers' average ACT or SAT scores;
- The percentage of highly qualified teachers disaggregated by both individual school and by teaching area;
- The annual teacher absenteeism rate reported for the previous three years, disaggregated by individual school;
- 4. The average teacher turnover rate for the previous three years, disaggregated by individual school, by district and by reasons that teachers leave.

#### **Background**



### Area 3: Goal F **Indiana** Analysis



State Meets a Small Part of Goal



**Progress Since 2009** 

#### **ANALYSIS**

Providing comprehensive reporting may be the state's most important role for ensuring the equitable distribution of teachers among schools. Indiana reports little school-level data that can help support the equitable distribution of teacher talent.

Indiana does not collect or publicly report any of the data recommended by NCTQ. The state does not provide a school-level teacher quality index that indicates the academic backgrounds of a school's teachers. Indiana also does not report on teacher absenteeism or turnover rates.

Indiana does report on the percentage of highly qualified teachers, but only at the state level, not the district or school level. The state does report on the average number of years of teacher experience by school and is commended for providing a roster that includes the years of experience for each teacher. With these data, the ratio of new to veteran teachers can easily be determined.

#### Supporting Research

Indiana Highly Qualified Teachers http://compass.doe.in.gov/Dashboard.aspx?view=STATE&val=0&desc=STATE State Highly Qualified Teacher Report http://www.doe.in.gov/hqt/docs/highly\_qualified\_teacher\_report.pdf Indiana Teacher Roster http://mustang.doe.state.in.us/TEACH/teach.cfm?schl=2321&teach=teacher Indiana School Profile http://mustang.doe.state.in.us/TRENDS/schlprofile.cfm?schl=2321

#### **RECOMMENDATION**

#### Use a teacher quality index to report publicly about each school.

A teacher quality index, such as the one developed by the Illinois Education Research Council, with data including teachers' average SAT or ACT scores, the percentage of teachers failing basic skills licensure tests at least once, the selectivity of teachers' undergraduate colleges and the percentage of new teachers, can shine a light on how equitably teachers are distributed both across and within districts. Indiana should ensure that individual school report cards include such data in a manner that translates these factors into something easily understood by the public, such as a color-coded matrix indicating a school's high or low score.

#### Publish other data that facilitate comparisons across schools.

Indiana should collect and report other school-level data that reflect the stability of a school's faculty, including the rates of teacher absenteeism and turnover.

#### Provide comparative data based on school demographics.

Providing comparative data for schools with similar poverty and minority populations would yield an even more comprehensive picture of gaps in the equitable distribution of teachers.

#### Report data at the school level.

Indiana should ensure that it is reporting all currently collected data at the school-level, rather than aggregated at the state level.

INDIANA

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana was helpful in providing NCTQ with facts that enhanced this analysis. Indiana also noted that "this goal does not seem to be in line with what is most important—student outcomes," and that "HQT is so outmoded in 2011." The state added that it does not like to include inputs when it can directly show outputs. Indiana also pointed out that "it would be easier to have looked at addressing some of these issues if it had a heads-up that NCTQ wanted some kind of index. When brand new goals are invented without prior warning to states that are trying hard to meet NCTQ's markers, it is really discouraging." That said, Indiana indicated that it loves the idea and is already planning how to do an index.

#### **LAST WORD**

NCTQ agrees that HQT is outmoded as states move toward connecting teacher performance to student learning. However, in the short term, it remains among the data most readily available that shines at least some light on teacher distribution. As for the teacher quality index, NCTQ has been advocating for states to adopt such an index since it was first published by the Illinois Education Research Council in 2008. It was included in this goal in 2009, as well as in numerous other NCTQ reports. No state has acted on this recommendation to date, and NCTQ is pleased that Indiana is considering doing so.

Figure 89	AN NOEK FOR EACH SCHOOL	/	PERCENTAGE OF NITT.	` S. /	/	/	
Do states publicly	ANNOEX FOR EACH SCHOOL	PERCENTAGO FIZACI	5,70	4CHER	AWUAL TURNOUS.	TEACHER ABSENTEESWING	3.
report school-level	AV NDEX FOR EACH	_ / 3		PERCENTAGE OF HIGHI.	5 / 5	* / E	
data about teachers?	Z S S	E / E					
data about teachers:	\$322			7 7 7		4856	
	V 4 C F 2 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S	J. J	\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	FINT	/ 🕺	HER	
	4 £ 8 £ 2 £ 2 £ 2 £ 2 £ 2	THE SEE	, Lead	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	/ <del>§</del> /	) P <sub>2</sub>	
Alabama			, , ,	, <sub>□</sub>	, <u>, ,</u>		
Alaska							
Arizona	- i	- H	- H		- H	- i	
Arkansas					П	- i	
California	- i		- H		- H	- F	
Colorado					П	- i	
Connecticut							
Delaware	- i				ī	ī	
District of Columbia			$\overline{}$		- i	- i	
Florida							
Georgia					П	- i	
Hawaii							
Idaho							
Illinois							
INDIANA		H		- H	Ä	- H	
lowa							
Kansas	- i		- H		- H	- i	
Kentucky							
Louisiana	- i		- H		- H	- i	
Maine							
Maryland			H			- i	
Massachusetts							
Michigan	- i	- H	- H			Ä	
Minnesota							
Mississippi	- i		$\overline{}$			- i	
Missouri					П	П	
Montana					Ē	Ī	
Nebraska					П	П	
Nevada					Ī		
New Hampshire					П	ī	
New Jersey							
New Mexico							
New York							
North Carolina							
North Dakota							
Ohio							
Oklahoma							
Oregon							
Pennsylvania							
Rhode Island							
South Carolina							
South Dakota							
Tennessee							
Texas							
Utah							
Vermont							
Virginia							
Washington							
West Virginia							
Wisconsin							
Wyoming							
	0	18	10	41	6	5	
	-					<u>-</u>	



No state has an outstanding record when it comes to public reporting of teacher data that can help to ameliorate inequities in teacher quality. However, Connecticut, New Jersey, New York, North Carolina, Rhode Island and South Carolina report more school-level data than other states.

Ideally, percentage of new teachers and percentage of teachers on emergency credentials would be incorporated into a teacher quality index.

### **Area 4: Retaining Effective Teachers**

### Goal A - Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-needs schools.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that new teachers receive mentoring of sufficient frequency and duration, especially in the first critical weeks of school.
- Mentors should be carefully selected based on evidence of their own classroom effectiveness and subject-matter expertise. Mentors should be trained, and their performance as mentors should be evaluated.
- Induction programs should include only strategies that can be successfully implemented, even in a poorly managed school. Such strategies include intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

#### Background



### Area 4: Goal A **Indiana** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Indiana does not require a mentoring program or any other induction support for its new teachers. Mentoring is no longer a mandatory component of the Indiana Mentoring and Assessment Program (IMAP). If schools choose to match mentors with new educators, the state's Department of Education recommends that the mentor have at least five years of teaching experience and a five-year license.

#### **Supporting Research**

FAQ's on IMAP 2010 http://www.doe.in.gov/educatorlicensing/IMAP.htm Professional Educator Licenses Teacher http://www.in.gov/legislative/iac/T05150/A00040.PDF Indiana Code 20-28-4-11

#### RECOMMENDATION

Ensure that a high-quality mentoring experience is available to all new teachers, especially those in low-performing schools.

Indiana should ensure that all new teachers—and especially any teacher in a low-performing school—receive mentoring support, especially in the first critical weeks of school.

Set specific parameters.

To ensure that all teachers receive high-quality mentoring, the state should specify how long the program lasts for a new teacher, who selects the mentors and a method of performance evaluation.

Require induction strategies that can be successfully implemented, even in poorly managed schools.

To ensure that the experience is meaningful, Indiana should guarantee that induction includes strategies such as intensive mentoring, seminars appropriate to grade level or subject area and a reduced teaching load and/or frequent release time to observe other teachers.

#### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

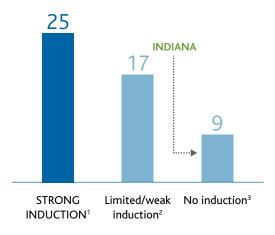
104 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 INDIANA

gure 91 Oo states have policies	MENTORING FOR ALL NELL.	} /	MENTORING PROLITION	CARFU SUCTON	MENTORS MUCE.	MENTORSPROCRAM.	<u> </u>	USE OF A MAREN OF EFFECTIVE
nat articulate the	A(L)		184   F		40	77 / 24 / 24 / 24 / 24 / 24 / 24 / 24 /	= /	\$ \ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
	Ş	75					/ %	Z / Z / Z / Z / Z / Z / Z / Z / Z / Z /
lements of effective	S/WC	/ § ¿		SELEC / STATE	SM	SPR	, \ <sub>Ω</sub>	\ \frac{4}{2}\sqrt{3}\cdot \ \cdot \cd
nduction?	MENTO, EACHER	MENTORING OF SUFFICE.	MENTO, EGINNIIN	AREFULS	TENT OR.	MENTORSPROG	MENTOR IS COM.	USE OF,
Alabama			/ 186		_	/ ~ % / <b>•</b>		
Alaska								
Arizona								
Arkansas								
California								
Colorado								
Connecticut								
Delaware								
District of Columbia								
Florida								
Georgia								
Hawaii								
Idaho								
Illinois								
INDIANA								
lowa								
Kansas					_			
Kentucky								
Louisiana								
Maine		-						
Maryland Massachusetts		-						
Michigan								
Minnesota								
Mississippi								
Missouri		-						
Montana								
Nebraska								
Nevada		ī	- H	- i				
New Hampshire								
New Jersey								
New Mexico								
New York								
North Carolina								
North Dakota								
Ohio								
Oklahoma								
Oregon								
Pennsylvania								
Rhode Island								
South Carolina								
South Dakota								
Tennessee								
Texas								
Utah								
Vermont								
Virginia								
Washington								
West Virginia								
Wisconsin								
Wyoming								
	30	18	9	17	28	12	21	17



South Carolina requires that all new teachers, prior to the start of the school year, be assigned mentors for at least one year. Districts carefully select mentors based on experience and similar certifications and grade levels, and mentors undergo additional training. Adequate release time is mandated by the state so that mentors and new teachers may observe each other in the classroom, collaborate on effective teaching techniques and develop professional growth plans. Mentor evaluations are mandatory and stipends are recommended.

Figure 92
Do states have policies that articulate the elements of effective induction?



- Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Delaware, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Nebraska, New Jersey, New York, North Carolina, Oklahoma, Rhode Island, South Carolina, Utah, Virginia, West Virginia
- Alaska, Arizona, Florida, Idaho, Illinois, Minnesota, Montana, New Mexico, North Dakota, Ohio, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Washington, Wisconsin
- 3. District of Columbia, Georgia, Hawaii, Indiana, Louisiana, Nevada, New Hampshire, Vermont, Wyoming

106 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 INDIANA

# Goal B - Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that evaluation systems provide teachers with feedback about their performance.
- 2. The state should direct districts to align professional development activities with findings from teachers' evaluations.

# Background



# Area 4: Goal B **Indiana** Analysis



State Partly Meets Goal



Progress Since 2009

### **ANALYSIS**

Indiana requires that a copy of the teacher's evaluation be given to the teacher within seven days of the completed evaluation. The state also specifies that professional development activities for teachers with "ineffective" or "improvement necessary" evaluation ratings must be aligned with findings from teacher evaluations.

### Supporting Research

IC 20-28-11.5 (Sec. 6)

### **RECOMMENDATION**

- Require that evaluation systems provide teachers with feedback about their performance.
  - Although Indiana requires teachers to receive copies of their evaluations, this only ensures that teachers will receive their ratings, not necessarily feedback on their performance. Indiana should specify that teachers should receive specific feedback on identified strengths and areas that need improvement.
- Ensure that professional development is aligned with findings from teachers' evaluations.

While Indiana has taken steps to ensure that teachers with unsatisfactory evaluations receive coordinated professional development based on these findings, the state should strengthen this policy by requiring that all teachers receive professional development that is aligned with their evaluation results.

### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis. The state noted that the Department of Education plans to add this to regulations currently going through the promulgation process.

108: NCTQ STATE TEACHER POLICY YEARBOOK 2011 **INDIANA** 

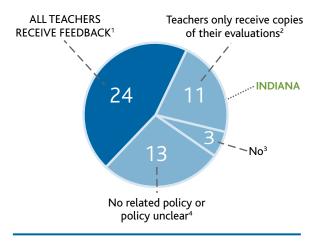


# **EXAMPLES OF BEST PRACTICE**

Ten states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, **Louisiana** is commended for clearly articulating that the feedback provided to a teacher in a post-observation conference must include a discussion of a teacher's strengths and weaknesses.

Figure 94

Do teachers receive feedback on their evaluations?

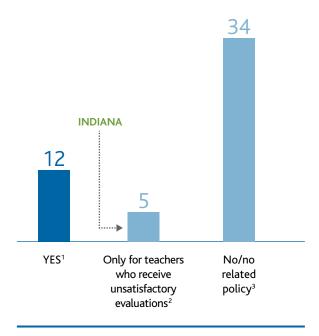


- Strong Practice: Arkansas, Connecticut, Delaware, Georgia, Hawaii, Illinois, Kentucky, Louisiana, Massachusetts, Michigan, Mississippi, Missouri, New Jersey, New Mexico, New York, North Carolina, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Washington, West Virginia, Wyoming
- 2. Alaska, Arizona, California, Colorado, Florida, Indiana, Kansas, Maryland, Nevada, Ohio, Oklahoma
- 3. Minnesota, Pennsylvania, Utah
- 4. Alabama, District of Columbia, Idaho, Iowa, Maine, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wisconsin



Figure 96

Do states require that teacher evaluations inform professional development?



- Strong Practice: Arkansas, Connecticut, Delaware, Florida, Louisiana, Michigan, Minnesota, Missouri, North Carolina, Rhode Island, South Carolina, Wyoming
- 2. Colorado, Georgia, Illinois, Indiana, Texas
- 3. Alabama, Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Mississippi<sup>4</sup>, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Utah, Vermont, Viiginia, Washington, West Viiginia, Wisconsin
- 4. Mississippi requires professional development based on evaluation results only for teachers in need of improvement in school identified as at-risk.

# Goal C - Pay Scales

The state should give local districts authority over pay scales.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- While the state may find it appropriate to articulate teachers' starting salaries, it should not require districts to adhere to a state-dictated salary schedule that defines steps and lanes and sets minimum pay at each level.
- The state should discourage districts from tying additional compensation to advanced degrees. The state should eliminate salary schedules that establish higher minimum salaries or other requirements to pay more to teachers with advanced degrees.
- 3. The state should discourage salary schedules that imply that teachers with the most experience are the most effective. The state should eliminate salary schedules that require that the highest steps on the pay scale be determined solely be seniority.

## **Background**



# Area 4: Goal C **Indiana** Analysis



Best Practice State



Progress Since 2009

### **ANALYSIS**

Starting in July 2012, Indiana requires local salary scales to be based on a combination of factors. Years of teacher experience and content area degrees beyond the requirements for employment may not account for more than 33 percent of the calculation. The remaining calculation is based on results of the teacher evaluation.

### **Supporting Research**

Senate Bill 0001 (2011)

http://www.in.gov/legislative/bills/2011/ES/ES0001.2.html

Salary Scale Re SB 1

http://www.doe.in.gov/super/2011/01-January/21/documents/2010APRInstructions012111.pdf

## **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

# **TEXAMPLES OF BEST PRACTICE**

Florida and Indiana allow local districts to develop their own salary schedules while preventing districts from focusing on elements not associated with teacher effectiveness. In Florida, local salary schedules must ensure that the most effective teachers receive salary increases greater than the highest annual salary adjustment available. Indiana requires local salary scales to be based on a combination of factors and limits the years of teacher experience and content-area degrees to account for no more than one-third of this calculation.

/hat role does the state		Sets minimum salas	DISTRICTS SET SALARY
lay in deciding teacher	116	, \ E	SET.
ay rates?	inim, hed	/ huin	17.3
	1. S. T. J. S. S. J. S. S. J. S. S. J. S.		
	Se <sub>l</sub>	/ Sets /	25 6
Alabama	Sets minimum salary schedule		
Alaska			
Arizona			
Arkansas			
California			
Colorado <sup>1</sup>			
Connecticut			
Delaware District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas			
Kentucky			
Louisiana			
Maine			
Maryland			
Massachusetts			
Michigan			
Minnesota			
Mississippi			
Missouri Montana			
Nebraska			
Nevada			
New Hampshire			Ī
New Jersey			
New Mexico			
New York			
North Carolina			
North Dakota			
Ohio			
Oklahoma			
Oregon			
Pennsylvania			
Rhode Island <sup>2</sup>			
South Carolina South Dakota			
Tennessee			
Texas			
Utah			
Vermont		П	
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	16	8	27

<sup>1.</sup> Colorado gives districts the option of a salary schedule, a performance pay policy or a combination of both.

 $<sup>{\</sup>bf 2}.$  Rhode Island requires that local district salary schedules are based on years of service, experience and training.

Figure 99	REQUIES PERFORMANCE	, <del>&gt;</del>	Requires compensation
Do states discourage	J. J	E SH	'Sati <sub>i</sub>
districts from basing	7. E.F.		(o) /
teacher pay on advance	d & & &		
degrees?	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		uire. 1 <sup>1</sup> 1/an
- og. coo.	# 5 Q	Lea <sub>l</sub>	7.0° 7.0° 7.0° 7.0° 7.0° 7.0° 7.0° 7.0°
	_ ~ /	Leaves pay to	/ 4
Alabama			
Alaska Arizona			
Arkansas			
California			
Colorado	П		
Connecticut	Ē		
Delaware			
District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
Iowa			
Kansas			
Kentucky			
Louisiana			
Maine			
Maryland			
Massachusetts			
Michigan	Ц		
Minnesota			
Mississippi			
Missouri			
Montana			
Nebraska Nevada			
New Hampshire New Jersey			
New Mexico			
New York			
North Carolina	П	$\overline{\Box}$	
North Dakota			
Ohio			
Oklahoma			
Oregon			
Pennsylvania			
Rhode Island		1	
South Carolina			
South Dakota			
Tennessee			
Texas		2	
Utah			
Vermont			
Virginia			
Washington			
West Virginia			
Wisconsin			
Wyoming			
	3	32	16

<sup>1.</sup> Rhode Island requires local district salary schedules to include teacher "training".

<sup>2.</sup> Texas has a minimum salary schedule based on years of experience. Compensation for advanced degrees is left to district discretion.

# Goal D - Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

 The state should encourage districts to compensate new teachers with relevant prior work experience through mechanisms such as starting these teachers at an advanced step on the pay scale. Further, the state should not have regulatory language that blocks such strategies.

## Background



# Area 4: Goal D **Indiana** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Indiana does not encourage local districts to provide compensation for related prior subject-area work experience. However, the state does not seem to have regulatory language blocking such strategies.

### **RECOMMENDATION**

■ Encourage local districts to compensate new teachers with relevant prior work experience.

While still leaving districts with the flexibility to determine their own pay scales, Indiana should encourage districts to incorporate mechanisms such as starting these teachers at a higher salary than other new teachers. Such policies would be attractive to career changers with related work experience, such as in the STEM subjects.

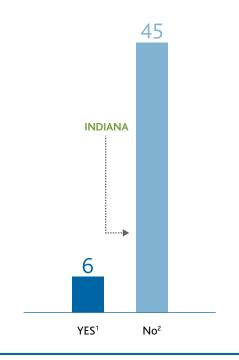
## **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis. Indiana also noted that it does not have any policies, laws or regulations that would prevent districts from providing compensation for relevant prior work experience.



North Carolina compensates new teachers with relevant prior-work experience by awarding them one year of experience credit for every year of full-time work after earning a bachelor's degree that is related to their area of licensure and work assignment. One year of credit is awarded for every two years of work experience completed prior to earning a bachelor's degree.

Figure 101 Do states direct districts to compensate teachers for related prior work experience?



- 1. Strong Practice: California, Delaware, Georgia, North Carolina, Texas, Washington
- 2. Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, District of Columbia, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

# Goal E - Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support differential pay for effective teaching in shortage subject areas.
- 2. The state should support differential pay for effective teaching in high-need schools.
- 3. The state should not have regulatory language that would block differential pay.

## Background



# Area 4: Goal E **Indiana** Analysis



State Does Not Meet Goal



**Progress Since 2009** 

### **ANALYSIS**

Indiana neither supports differential pay by which a teacher can earn additional compensation by teaching certain subjects nor offers incentives to teach in high-needs schools. However, the state has no regulatory language that would directly block districts from providing differential pay.

### **RECOMMENDATION**

Support differential pay initiatives for effective teachers in both subject shortage areas and high-needs schools.

Indiana should encourage districts to link compensation to district needs. Such policies can help districts achieve a more equitable distribution of teachers.

### **INDIANA RESPONSE TO ANALYSIS**

Indiana pointed out that the state's budget includes Excellence in Performance Awards for Teachers with a total operating expense of \$6,000,000 for FY 2011-2012 and \$9,000,000 for FY 2012-2013. "The above appropriations may only be used to make grants to school corporations and charter schools to be used to make cash awards to effective and highly effective teachers. The department shall develop a program to administer the program. The program shall include guidelines that permit all school corporations and charter schools to apply for a grant. The guidelines must specify that in order to receive a grant a school must have a system of performance evaluations that meets the requirements of IC 20-28-11.5. The above funds are available for allotment by the budget agency after review by the budget committee." Indiana added that it has a federal Teacher Incentive Fund grant through which participating schools award teachers in hard-to-staff subjects.

### **Supporting Research**

House Enrolled Act No. 1001 (2011) (Page 93) http://www.in.gov/legislative/bills/2011/PDF/HE/HE1001.1.pdf

### **LAST WORD**

The programs cited by the state do not address incentives for teaching in high-need schools or shortage areas and are addressed in Goal 4-F, which deals with performance pay.

INDIANA

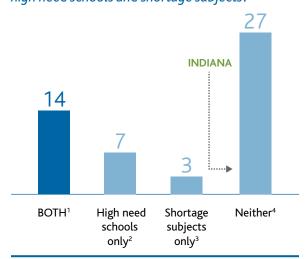
Figure 103		HIGH NEED	/	SHORTAGE	[ /
Do states provide		SCHOOLS		SUBJECT AREAS	
incentives to teach in		, 5		, 5	
high-need schools	74/	enes.	74/	enes.	
or shortage subject	REV		REN		/ odo
areas?	DIFFERENTIAL	/ uec	DIFFERENTIAL PAY	/ uec	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
Alabama		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		] [ Loan Fogiveness	Nosupport
Alaska					
Arizona					
Arkansas					
California					
Colorado					
Connecticut <sup>1</sup>					
Delaware					
District of Columbia					
Florida					
Georgia					
Hawaii					
Idaho					
Illinois					
INDIANA Iowa					
Kansas					
Kentucky					
Louisiana					
Maine					
Maryland <sup>2</sup>			- i		Ē
Massachusetts					
Michigan					
Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska					
Nevada					
New Hampshire					
New Jersey					
New Mexico					
New York North Carolina					
North Carolina North Dakota					
Ohio					
Oklahoma					
Oregon					
Pennsylvania					
Rhode Island					
South Carolina					
South Dakota <sup>3</sup>					
Tennessee					
Texas					
Utah					
Vermont					
Virginia			4		
Washington					
West Virginia					
Wisconsin					
Wyoming					
	21	7	17	11	17

- Connecticut offers mortgage assistance and incentives to retired teachers working in shortage subject areas.
- Maryland offers tuition reimbursement for teacher retraining in specified shortage subject areas and offers a stipend for alternate route candidates teaching in shortage subject areas.
- 3. South Dakota offers signing bonuses and scholarships to fill shortages in high-need schools.
- Shortage subject area differential pay is limited to the Middle School Teacher Corps program.



Georgia supports differential pay by which teachers can earn additional compensation by teaching certain subjects. The state is especially commended for its new compensation strategy for math and science teachers, which moves teachers along the salary schedule rather than just providing a bonus or stipend. The state also supports differential pay initiatives to link compensation more closely with district needs and to achieve a more equitable distribution of teachers. Georgia's efforts to provide incentives for National Board Certification teachers to work in high-need schools are also noteworthy.

Figure 104 Do states support differential pay for teaching in high need schools and shortage subjects?



- 1. Strong Practice: Arkansas, California, Florida, Georgia, Kentucky, Louisiana, Massachusetts, Nevada, New York, Ohio, Oklahoma, Tennessee, Texas,
- 2. Colorado, Hawaii, Maryland, North Carolina, Washington, Wisconsin, Wyoming
- 3. Idaho, Pennsylvania, Utah
- 4. Alabama, Alaska, Arizona, Connecticut, Delaware, District of Columbia, Illinois, Indiana, Iowa, Kansas, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Dakota, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, West Virginia

# Goal F – Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support performance pay efforts, rewarding teachers for their effectiveness in the classroom.
- 2. The state should allow districts flexibility to define the criteria for performance pay provided that such criteria connect to evidence of student achievement.
- 3. Any performance pay plan should allow for the participation of all teachers, not just those in tested subjects and grades.

## Background



# Area 4: Goal F **Indiana** Analysis



Best Practice State



Progress Since 2009

### **ANALYSIS**

Starting in July 2012, Indiana requires local salary scales to be based upon a combination of factors. Years of teacher experience and content area degrees beyond the requirements for employment may not account for more than 33 percent of the calculation. The remaining calculation is determined by results of the teacher evaluation based on a number of factors, including teacher performance and student achievement, which should include but not be limited to test results.

**Supporting Research** 

Senate Bill 0001 (2011)

### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis.

# **EXAMPLES OF BEST PRACTICE**

An increasing number of states are supporting performance pay initiatives. Florida and **Indiana** are particularly noteworthy for their efforts to build performance into the salary schedule. Rather than award bonuses, teachers' salaries will be based in part on their performance in the classroom.

igure 106	PERFORMANCE FACTORES	PERORMANCE BOW.	Performance pay Permis	\ps /	Does not support Performance pay	
Do states support	<u> </u>	/ ;	Performance pay perm.			
performance pay?	7. T. C.			tes, stat	Does not support	
, , ,	\$ \$ ¥	S / S	₹ \ <u>`</u>	g / Š, 9		,
	\$ 3			Soor Portive	Does not support	
	75° 5° 75° 75° 75° 75° 75° 75° 75° 75° 7	\ \Q_{\alpha}^{\alpha}	(Ling )	ate	25 PC	
	A 18 3	\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	/ Per /		700	
Alabama	`			, ,		
Alaska						
Arizona					-	
Arkansas						
California						
Colorado						
Connecticut						
Delaware						
District of Columbia				Ш		
Florida				Ш		
Georgia						
Hawaii						
Idaho						
Illinois						
INDIANA						
lowa						
Kansas						
Kentucky						
Louisiana						
Maine						
Maryland						
Massachusetts						
Michigan						
Minnesota						
Mississippi						
Missouri						
Montana						
Nebraska <sup>1</sup>						
Nevada						
New Hampshire						
New Jersey		$\overline{\Box}$	$\Box$			
New Mexico			$\overline{\Box}$	$\overline{\Box}$		
New York		- H	- i	Ä		
North Carolina						
North Dakota						
Ohio						
Oklahoma						
Oregon						
Pennsylvania						
Rhode Island					-	
South Carolina						
South Dakota						
Tennessee						
Texas			-			
Utah						
Vermont			_			
Virginia						
Washington						
West Virginia						
Wisconsin						
Wyoming	Ш			Ш		
	3	4	12	5	27	

<sup>1.</sup> Nebraska's initiative does not go into effect until 2016.

# Goal G - Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. Participants in the state's pension system should have the option of a fully portable pension system as their primary pension plan by means of a defined contribution plan or a defined benefit plan that is formatted similar to a cash balance plan.
- 2. Participants in the state's pension system should be vested no later than the third year of employment.
- 3. Defined benefit plans should offer teachers the option of a lump-sum rollover to a personal retirement account upon termination of employment that includes, at minimum, the teacher's contributions and accrued interest at a fair interest rate. In addition, withdrawal options from either defined benefit or defined contribution plans should include funds contributed by the employer.
- 4. Defined benefit plans should allow teachers to purchase time for unlimited previous teaching experience at the time of employment. Teachers should also be allowed to purchase time for all official leaves of absence, such as maternity or paternity leave.

## Background



# Area 4: Goal G **Indiana** Analysis



State Meets a Small Part of Goal



Progress Since 2009

### **ANALYSIS**

Indiana only offers a type of hybrid pension plan to its teachers as their mandatory pension plan. A hybrid plan has elements both of defined benefit and defined contribution plans. Even though Indiana's plan is a hybrid, it is not fully portable, does not vest until year 10, and does not provide any employer contribution for teachers who withdraw their accounts. It also limits flexibility by restricting the ability to purchase years of service. However, the state is commended for allowing additional contributions to employee accounts and for offering a fully portable supplemental savings plan.

Indiana teachers are members of the Teachers' Retirement Fund, a traditional defined benefit plan. However, the mandatory employee contribution is placed into a personal Annuity Savings Account (ASA). Teachers are immediately vested in their ASAs and may make additional contributions up to 10 percent of their salaries once they have five years of service if their employer participates. Teachers may allocate their ASAs between investment funds predetermined by the state, including one fund that guarantees a minimum rate of return. On its face, this is a laudable structure, as it has the portability, control and neutrality of a defined contribution plan. However, in practice, because there is no guaranteed employer contribution to the defined contribution component, the ASAs may still only amount to teachers' own contributions plus simple interest, as do most employee accounts in most traditional defined benefit plans.

Teachers in Indiana also participate in Social Security, so they must contribute to the state's hybrid-styled defined benefit plan in addition to Social Security. Although retirement savings in addition to Social Security are good and necessary for most individuals, the state's policy results in mandated contributions to two inflexible plans, rather than permitting teachers options for their state-provided savings plans.

Vesting in a defined benefit plan guarantees a teacher's eligibility to receive lifetime monthly benefit payments at retirement age. Nonvested teachers do not have a right to later retirement benefits; they may only withdraw the portion of their funds allowed by the plan. Indiana's vesting at 10 years of service is very late and limits the options of teachers who leave the system prior to this point.

Many teachers will leave the system before they reach 10 years of service. Non-vested teachers who choose to withdraw their contributions upon leaving receive their ASA account balance. This means that those who withdraw their funds may accrue no benefits beyond what they might have earned had they simply put their contributions in basic savings accounts. Further, teachers who remain in the field of education but enter another pension plan (such as in another state) will find it difficult to purchase the time equivalent to their prior employment in the new system because they are not entitled to any employer contribution. Vested teachers who leave the system may withdraw their ASA accounts, while still maintaining their right to receive monthly benefits from the defined benefit portion of the pension plan once they reach retirement age.

Indiana limits teachers' flexibility to purchase years of service. The ability to purchase time is important because defined benefit plans' retirement eligibility and benefit payments are often tied to the number of years a teacher has worked. Indiana's plan allows teachers with one year of service to purchase time for previous teaching experience, up to eight years. The purchase is not credited to the teachers' accounts until they have 10 years of service, so the purchase cannot be used for vesting. While better than not allowing any purchase at all, this provision disadvantages teachers who move to Indiana with more teaching experience. In addition, the mandatory one year of service before purchasing previous service makes the purchase cost slightly more expensive. The state's plan also allows teachers to purchase time for all approved leaves of absence, up to one-seventh of a teacher's total creditable service. This service may count toward vesting. This provision may be a disadvantage to those teachers who need to take more than one year of leave, such as for maternity or paternity leave, within a seven-year timeframe.

Indiana is commended for offering an optional supplementary defined contribution plan, known as a Rollover Savings Account (RSA). Teachers may transfer funds from an IRA or other qualified retirement plan into an RSA.

# **Supporting Research**

Indiana State Teachers' Retirement Fund http://www.in.gov/inprs/teachers.htm

### **RECOMMENDATION**

## Offer teachers a pension plan that is fully portable, flexible and fair.

Indiana should offer teachers for their mandatory pension plan the option of either a defined contribution plan or a fully portable defined benefit plan, such as a cash balance plan. A well-structured defined benefit plan could be a suitable option among multiple plans. However, as the sole option, defined benefit plans severely disadvantage mobile teachers and those who enter the profession later in life. Because teachers in Indiana participate in Social Security, they are required to contribute to two defined benefit-style plans. Although the state offers a plan that appears to have many features of a hybrid plan, the more portable defined contribution component has no guaranteed employer funding and therefore acts more like a personal savings account.

## Increase the portability of its defined benefit plan.

If Indiana maintains its defined benefit plan with a hybrid component, it should allow teachers that leave the system to withdraw employer contributions as part of their ASA accounts. The state should also allow teachers to purchase their full amount of previous teaching experience upon the first day of employment, allow for the purchase of at least one year for each approved personal leave and decrease the vesting requirement to year three. A lack of portability is a disincentive to an increasingly mobile teaching force.

## Offer an employer contribution to the supplemental retirement savings plan.

While Indiana at least offers teachers the option of a supplemental defined contribution savings option, it would be more meaningful if the state required employers also to contribute and if there were multiple investment options.

### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis. The state noted that the legislature is studying the introduction of a defined contribution plan for teachers. This past year, the legislature approved a defined contribution optional choice for newly hired state employees. In addition, many Indiana school districts provide portable 403(b) plans as a supplement to the pension plan and Social Security.

**Accrued Liability:** The value of a pension plan's promised benefits calculated by an actuary (actuarial valuation), taking into account a set of investment and benefit assumptions to a certain date.

**Actuarial Valuation:** In a pension plan, this is the total amount needed to meet promised benefits. A set of mathematical procedures is used to calculate the value of benefits to be paid, the funds available and the annual contribution required.

**Amortization Period:** The gradual elimination of a liability, such as a mortgage, in regular payments over a specified period of time.

**Benefit Formula**: Formula used to calculate the amount teachers will receive each month after retirement. The most common formula used is (years of service x final average salary x benefit multiplier). This amount is divided by 12 to calculate monthly benefits.

**Benefit Multiplier:** Multiplier used in the benefit formula. It, along with years of service, determines the total percentage of final average salary that a teacher will receive in retirement benefits. In some plans, the multiplier is not constant, but changes depending upon retirement age and/or years of service.

**Defined Benefit Plan:** Pension plan that promises to pay a specified amount to each person who retires after a set number of years of service. Employees contribute to them in some cases; in others, all contributions are made by the employer.

**Defined Contribution Plan:** Pension plan in which the level of contributions is fixed at a certain level, while benefits vary depending on the return from investments. Employees make contributions into a tax-deferred account, and employers may or may not make contributions. Defined contribution pension plans, unlike defined benefit pension plans, give the employee options of where to invest the account, usually among stock, bond and money market accounts.

**Lump-sum Withdrawal:** Large payment of money received at one time instead of in periodic payments. Teachers leaving a pension plan may receive a lump-sum distribution of the value of their pension.

**Normal Cost:** The amount necessary to fund retirement benefits for one plan year for an individual or a whole pension plan.

**Pension Wealth:** The net present value of a teacher's expected lifetime retirement benefits.

**Purchasing Time:** A teacher may make additional contributions to a pension system to increase service credit. Time may be purchased for a number of reasons, such as professional development leave, previous out-of-state teaching experience, medical leaves of absence or military service.

**Service Credit/Years of Service:** Accumulated period of time in years or partial years for which a teacher earned compensation subject to contributions.

**Supplemental Retirement Plan:** An optional plan to which teachers may voluntarily make tax-deferred contributions in addition to their mandatory pension plans. Employees are usually able to choose their rate of contribution up to a maximum set by the IRS; some employers also make contributions. These plans are generally in the form of 457 or 403(b) programs.

**Vesting:** Right an employee gradually acquires by length of service to receive employer-contributed benefits, such as payments from a pension fund.

Sources: Barron's Dictionary of Finance and Investment Terms, Seventh Edition; California State Teachers' Retirement System http://www.calstrs.com/Members/Defined%20Benefit%20Program/glossary.aspx; Economic Research Institute, http://www.eridlc.com/resources/index.cfm?fuseaction=resource.glossary

Figure 109		Defined benefit plan with	lined /	CHOICE OF DEFINED RES.	§ / \
What type of pension	Defined benefit c.	Spic Sin	obligation of the state of the		DEFINED CONTRIBUTION
systems do states offer	7	lah (	Jawa /	WED &	1770 1788 1887
teachers?	elit,		ž / _	DEFI	
teachers:	/ben	tion t	Plan	8 6	
	ijje	efine Itribu	Hybrid plan	LOCC	18 PEN
	Pe	7 5 8		0 2	7 4 2
Alabama					
Alaska					
Arizona					
Arkansas					
California <sup>2</sup>					
Colorado					
Connecticut					
Delaware					
District of Columbia					
Florida					
Georgia					
Hawaii					
Idaho					
Illinois					
INDIANA <sup>3</sup>					
lowa					
Kansas					
Kentucky					
Louisiana					
Maine					
Maryland					
Massachusetts					
Michigan Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska	_				
Nevada					
New Hampshire	_	- i			
New Jersey					
New Mexico					
New York					
North Carolina					
North Dakota					
Ohio <sup>4</sup>					
Oklahoma					
Oregon⁵					
Pennsylvania					
Rhode Island					
South Carolina <sup>6</sup>					
South Dakota					
Tennessee					
Texas					
Utah <sup>7</sup>					
Vermont					
Virginia					
Washington <sup>8</sup>					
West Virginia Wisconsin					
Wyoming		-	$\dashv$		
vvyoming	25	17	4	4	1
	45	17	4	4	



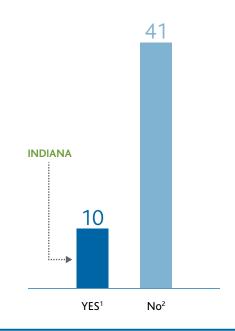
# **T** EXAMPLES OF BEST PRACTICE

Alaska provides a fair and flexible defined contribution pension plan for all teachers. This plan is also highly portable, as teachers are entitled to 100 percent of employer contributions after five years of service. South Dakota's defined benefit plan has some creative provisions, which makes it more like a defined contribution plan. Most notably, teachers are able to withdraw 85 percent of their employer contributions after three years of service. In addition, Florida, Ohio, South Carolina and Utah are noteworthy for offering teachers a choice between a defined benefit or hybrid plan and a defined contribution plan.

- 1. A hybrid plan has components of both a defined benefit plan and a defined contribution plan.
- 2. California offers a small cash balance component but ended most of the funding to this portion as of January 1, 2011.
- 3. Indiana also offers a supplemental defined contribution plan.
- 4. Ohio also offers the option of a hybrid plan and offers a supplemental defined contribution plan.
- 5. Oregon also offers a supplemental defined contribution plan.
- 6. South Carolina also offers a supplemental defined contribution plan.
- 7. Utah offers a choice between a defined contribution or a hybrid plan.
- 8. Washington offers a choice between a defined benefit or a hybrid plan.

Figure 110

Do states offer teachers an option other than a nonportable defined benefit plan?



- 1. Strong Practice: Alaska, Florida, Indiana, Michigan, Ohio, Oregon, South Carolina, South Dakota, Utah, Washington
- Alabama, Arizona, Arkansas, California, Colorado<sup>3</sup>, Connecticut, Delaware, District of Columbia, Georgia, Hawaii<sup>3</sup>, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Although not fully portable, the state's defined benefit plan has some notable portability provisions.

Figure 111

- 1. For teachers who join the system on or after January 1, 2012.
- 2. Florida's defined benefit plan does not vest until year eight; teachers vest in the state's defined contribution plan after one year.
- 3. For teachers who join the system on or after July 1, 2012.
- 4. Ohio's defined benefit plan does not vest until year five; teachers vest in the state's defined contribution plan after one year.
- Oregon offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after five years.
- 6. South Carolina's defined benefit plan does not vest until year five; teachers vest immediately in the state's defined contribution plan.
- 7. Based on Washington's Plan 2. The state also offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after 10 years.

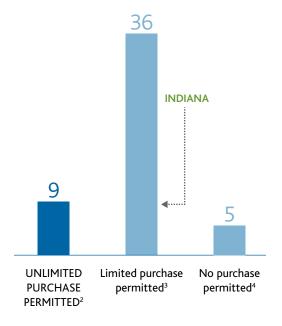
ow many years before	teachers ves	t?		
	3 YEARS OR LESS	4 to 5 years	6 to 9 years	10 years
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado Connecticut				
Delaware <sup>1</sup>				
District of Columbia				
Florida <sup>2</sup>		ī	i i	П
Georgia				
Hawaii <sup>3</sup>				
Idaho				
Illinois				
INDIANA				
lowa <sup>3</sup>				
Kansas				
Kentucky 				
Louisiana		-		
Maine				
Maryland Massachusetts				
Michigan				
Minnesota				
Mississippi				
Missouri				
Montana				
Nebraska				
Nevada				
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina				
North Dakota				
Ohio <sup>4</sup>				
Oklahoma Orogon <sup>5</sup>				
Oregon⁵ Pennsylvania				
Rhode Island				
South Carolina <sup>6</sup>	П			
South Dakota				
Tennessee				
Texas				
Utah				
Vermont				
Virginia				
Washington <sup>7</sup>				
West Virginia				
Wisconsin				
Wyoming				
	3	29	3	16

What funds do states բ	permit	Only their own	Their own contribution plus interes	Their own contribution	THER OWN CONTRIBUTION
teachers to withdraw f		-	ont,		
their defined benefit p	lans	, / <del>š</del>			1 2 2 6 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5
if they leave after	han t	leir C	Their own con		
five years? <sup>1</sup>	3st Itrib	12/1/2	heir S int	Thei Ptrib	45 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5
ive years.	3 0	/ δ	/ L'36 /	9 9	, , , , , , , , , , , , , , , , , , ,
Alabama					
Alaska²					
Arizona					
Arkansas					
California <sup>3</sup>					
Colorado					
Connecticut					
Delaware					
District of Columbia					
Florida					
Georgia					
Hawaii Idaho					
Illinois					
INDIANA					
lowa <sup>4</sup>					
Kansas					
Kentucky					
Louisiana					
Maine					
Maryland					
Massachusetts	Ī		ī	Ī	
Michigan <sup>5</sup>					
Minnesota					
Mississippi					
Missouri					
Montana					
Nebraska					
Nevada <sup>6</sup>					
New Hampshire					
New Jersey					
New Mexico					
New York					
North Carolina					
North Dakota					
Ohio <sup>7</sup>					
Oklahoma					
Oregon <sup>8</sup>					
Pennsylvania					
Rhode Island					
South Carolina <sup>9</sup>					
South Dakota					
Tennessee Texas					
Utah <sup>10</sup>					
Vermont					
Virginia					
Washington <sup>11</sup>					
West Virginia					
Wisconsin					
Wyoming					
71,5111118	4	5	34	6	1
		,	J-f	J	

- States' withdrawal policies may vary depending on a teacher's years of service. Year five is used as a common point of comparision.
- As of July 1, 2006, Alaska only offers a defined contribution plan to new members, which allows teachers leaving the system after five years to withdraw 100 percent of the employer contribution.
- California has a defined benefit plan with a small cash balance component, which allows exiting teachers to withdraw their contributions and any employer contributions plus earnings from their cash balance component, regardless of their actions regarding their defined benefit account.
- 4. Once vested, lowa teachers may withdraw an employer match equal to one-thirtieth of their years of service. Effective July 1, 2012 teachers vest at seven years of service, so a teacher leaving at year five would not be entitled to any employer contribution.
- 5. Michigan only offers a hybrid plan. Exiting teachers may withdraw their own contributions and accrued earnings immediately and the employer contributions to the defined contribution component once vested at year four. Michigan teachers may withdraw their own contributions and accrued interest from the defined benefit component but may not withdraw the employer contribution.
- 6. Most teachers in Nevada fund the system by salary reductions or forgoing pay raises and thus do not have direct contributions to withdraw. The small mintority that are in a contributory system may withdraw their contributions plus interest.
- 7. Ohio has two other pension plans. Ohio's defined contribution plan allows teachers with at least one year of service who are leaving the system to withdraw 100 percent of the employer contribution. Exiting teachers with at least five years of experience in Ohio's combination plan may withdraw their employee-funded defined contribution component and the present value of the benefits offered in the defined benefit component.
- Oregon only has a hybrid retirement plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.
- South Carolina also has a defined contribution plan, which allows exiting teachers to withdraw 100 percent of their contributions and employer contributions, plus earnings.
- 10. Utah offers a hybrid pension plan, which only has employee contributions when the costs exceed the guaranteed employer contribution. When costs are less than the employer contribution, the excess is contributed to the employee account and refundable after vesting.
- 11. Washington also has a hybrid plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.

Figure 113

Do states permit teachers to purchase time for previous teaching experience?<sup>1</sup>



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- Strong Practice: California, Iowa, Kansas, Louisiana, New Hampshire, North Dakota, South Carolina, South Dakota, Utah
- Alabama, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, North Carolina, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- ${\it 4.\ Hawaii,\ Michigan,\ Minnesota,\ New\ York,\ Oregon}$

Figure 114

Do states permit teachers to purchase time for leaves of absence?<sup>1</sup>



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- 2. Strong Practice: Alabama, California, Delaware, Illinois, Iowa, Maryland, Minnesota, Missouri, Nebraska, North Dakota, Ohio, South Carolina, South Dakota
- 3. Arizona, Connecticut, District of Columbia, Florida, Idaho, Indiana, Kentucky, Louisiana, Massachusetts, Montana, New Jersey, North Carolina, Oklahoma, Rhode Island, Vermont, Virginia, Washington, Wyoming
- Arkansas, Colorado, Georgia, Hawaii, Kansas, Maine, Michigan, Mississippi, Nevada, New Hampshire, New Mexico, New York, Oregon, Pennsylvania, Tennessee, Texas, Utah, West Virginia, Wisconsin

# Goal H - Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that its pension system is financially sustainable, without excessive unfunded liabilities or an inappropriately long amortization period.
- Mandatory employer and employee contribution rates should not be unreasonably high, as they reduce teachers' paychecks and commit district resources that could otherwise be spent on salaries or incentives.

# **Background**



# Area 4: Goal H **Indiana** Analysis



State Partly Meets Goal



Progress Since 2009

### **ANALYSIS**

As of July 1, 2010, the most recent date for which an actuarial valuation is available, Indiana's pension system for teachers is 44.3 percent funded and has an amortization period of over 30 years. This means that if the plan earns its assumed rate of return and maintains current contribution rates, it would take the state over 30 years to pay off its unfunded liabilities.

However, Indiana has two pension plans. The state's pre-1996 plan, which is now closed, is a pay-as-you-go system and is only 33.1 percent funded. Indiana's current plan is 94.7 percent funded, and contributions were actually higher than the actuarial required contribution to meet a 30-year amortization period. Despite the strong funding of the current plan, Indiana's funding ratio and amortization period for its total system are still well below conventional standards, and the state's system is not financially sustainable according to actuarial benchmarks.

In addition, Indiana's required contributions to its teachers' retirement system leave little room to improve its funding level and amortization period. The current employer contribution rate of 7.5 percent, which the district pays for employees hired after 1995, is not unreasonable, but districts must also contribute 6.2 percent to Social Security. This puts the state very close to an excessive contribution requirement. The state pays flat sums based on actuarial determined values for the pre-1996 account but has fallen short of contributing the amount needed to meet benefit demands. The mandatory employee contribution rate of 3 percent is also reasonable.

## **Supporting Research**

Indiana State Teachers' Retirement Fund, Annual Actuarial Valuation as of June 30, 2010 http://www.in.gov/inprs/files/TRFValReport2010.pdf

### **RECOMMENDATION**

### Ensure that the pension system is financially sustainable.

The state would be better off if its system was over 95 percent funded and had an amortization period of less than 30 years to allow more protection during financial downturns. The state's current plan almost meets this funding level, but the state needs to improve the funding of its pre-1996 plan. However, Indiana should consider ways to improve its funding level without raising the contributions of school districts. In fact, the state should work to decrease employer contributions. Committing excessive resources to pension benefits can negatively affect teacher recruitment and retention. Improving funding levels necessitates, in part, systemic changes in the state's pension system. Goals 4-G and 4-I provide suggestions for pension system structures that are both sustainable and fair.

### **INDIANA RESPONSE TO ANALYSIS**

Indiana was helpful in providing facts that enhanced this analysis. The state also noted that the contribution rate for the current plan is higher than the actuarial required contribution by a decision of the board of trustees to establish a more stable rate over time. Knowing that the contribution rates would likely rise in the near future, it was decided to establish a rate that could most likely remain the same over the next few years. The pre-1996 plan's contributions are provided directly by the state as benefits become due. By design, the funding ratio is very low. The state has a solid plan in place to manage projected pre-1996 plan benefits payments. A part of the plan includes a separate fund (Pension Stabilization Fund) established in 1996 to provide a stable and sustainable growth rate to future state payments. By design, the pre-1996 funding status will gradually increase over time and is projected to be 100 percent funded in 2035.

### **LAST WORD**

The state is commended for providing its districts with a stable, predictable contribution rate for its teachers in the current pension plan and for having a plan to fund the pre-1996 plan. However, even with a viable plan, the pre-1996 funding status places a burden and large liability on the state, and a plan is always subject to policy changes based on a state's changing funding needs and politics.



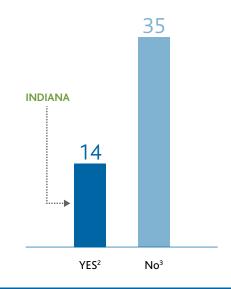


# **T** EXAMPLES OF BEST PRACTICE

**South Dakota, Tennessee** and **Wisconsin** provide financially sustainable pension systems without committing excessive resources. The systems in these states are fully funded without requiring excessive contributions from teachers or school districts.

Figure 117

Are state pension systems financially sustainable?<sup>1</sup>



- Cannot be determined for Michigan or Utah, which recently opened new systems.
- Strong Practice: Alaska, Delaware, District of Columbia, Florida, Georgia, Indiana<sup>4</sup>, Nebraska, New York, North Carolina, Oregon, South Dakota, Tennessee, Washington, Wisconsin
- Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, Texas, Vermont, Virginia, West Virginia, Wyoming
- 4. Based on Indiana's current plan only.

Figure 116

- The amortization period is set to be under 30 years; however, the amortization period is not determined because the state is not meeting its annual required contribution.
- 2. Michigan opened a new system in July 2010.
- 3. Utah opened a new system in July 2011.

Figure 118

Real Rate of Return

The pension system funding levels reported here are based on each state's individual actuarial valuation, which use a series of varying assumptions. One of these assumptions concerns rate of return, which greatly affects a system's funding level. If investment returns fall short of assumptions, the fund will have a deficit; if returns are greater than expected, the fund will have a surplus. Higher assumed rates involve more risk, while rates closer to inflation (typically in the 3-5 percent range) are safer.

Most state pension funds assume a rate between 7.5 percent and 8.25 percent. A state using a 7.5 percent rate will report a lower funding level than if it had used 8.25 percent, even though its liabilities remain the same. Many states report that they do meet or exceed an eight percent rate of return over the life of the plan.

However, some economists argue that states' assumed rates of return are too high, and should instead be closer to four percent. They caution that the risk associated with states' higher rates is borne by taxpayers, with the result that tax rates rise to fund pension deficits. A rate closer to four percent would make the vast majority of the nation's pension systems less than 50 percent funded. In light of the current market situation, the debate over the rate of return is particularly timely. With no current consensus by experts or policymakers, NCTQ used states' self-reported numbers rather than recalculate all funding levels based on a standard rate of return. Considering how many states' systems NCTQ found in questionable financial health without using the lower rates some economists prefer, it is clear this is an issue that demands policymakers' attention.

Figure 119

Figure 119

How well funded are state pension systems?

	Funding Level
Alaska <sup>1</sup>	N/A
District of Columbia	118.3%
Washington	116%
New York	103.2%
Wisconsin	99.8%
South Dakota	96.3%
Delaware	96%
North Carolina	95.9%
INDIANA <sup>2</sup>	94.7%
Tennessee	90.6%
Wyoming	87.5%
Georgia	87.2%
Florida	86.6%
Utah	85.7%
Oregon	83.2%
Texas	82.9%
Nebraska	82.4%
lowa	80.8%
Virginia	80.2%
Arizona	79%
Idaho	78.9%
Michigan	78.9%
Minnesota	78.5%
California	78%
Missouri	77.7%
Pennsylvania	75.1%
Alabama	74.7%
Arkansas	73.8%
Nevada	71.2%
North Dakota	69.8%
South Carolina	67.8%
Vermont	66.5%
Maine	65.9%
New Mexico	65.7%
	65.4%
Maryland	
Montana	65.4% 64.8%
Colorado	
Mississippi	64.2%
Massachusetts	63%
Connecticut	61.4%
Hawaii	61.4%
Kentucky	61%
Ohio	59.1%
New Hampshire	58.5%
New Jersey	57.6%
Oklahoma	56.7%
Kansas	56%
Louisiana	54.4%
Illinois	48.4%
Rhode Island	48.4%
West Virginia	46.5%

<sup>1.</sup> Alaska has only a defined contribution pension system.

Indiana's current plan is 94.7 percent funded. However, when the current plan is combined with its closed plan, the funding level drops to 44.3 percent.

Figure 120
What is a reasonable rate for pension contributions?

- 4-7 percent each for teachers and districts in states participating in Social Security
- 10-13 percent each for teachers and districts in states not participating in Social Security

Analysts generally agree that workers in their 20's with no previous retirement savings should save, in addition to Social Security contributions, about 10-15 percent of their gross income in order to be able to live during retirement on 80 percent of the salary they were earning when they retired. While the recommended savings rate varies with age and existing retirement savings, NCTQ has used this 10-15 percent benchmark as a reasonable rate for its analyses. To achieve a total savings of 10-15 percent, teacher and employer contributions should each be in the range of 4-7 percent. In states where teachers do not participate in Social Security, the total recommended retirement savings (teacher plus employer contributions) is about 12 percent higher to compensate for the fact that these teachers will not have Social Security income when they retire. In order to achieve the appropriate level of total savings, teacher and employer contributions in these states should each be in the range of 10-13 percent.

### Sources:

http://www.schwab.com/public/schwab/resource\_center/expert\_insight/retirement\_strategies/planning/how\_much\_should\_you\_save\_for\_retirement\_play\_the\_percentages.html
https://personal.vanguard.com/us/insights/retirement/

### Figure 121

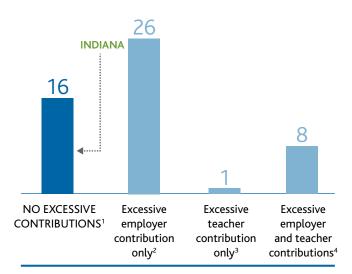
- 1. The employer contribution rate includes the contributions of both school districts and state governments, where appropriate.
- 2. The contribution rate is set to increase in future years. Some school districts in Georgia do not contribute to Social Security.
- 3. The contribution rate is set to increase in future years.

saving/set-retirement-goals

- 4. Michigan opened a new system in July 2010 and employer contributions are not yet reported.
- 5. New Jersey reports its contributions as a flat dollar amount, and a percentage could not be calculated.
- 6. The contribution rate is set to increase in future years. Most, but not all, school districts in Rhode Island contribute to Social Security.
- 7. The contribution rate is set to decrease in 2012.



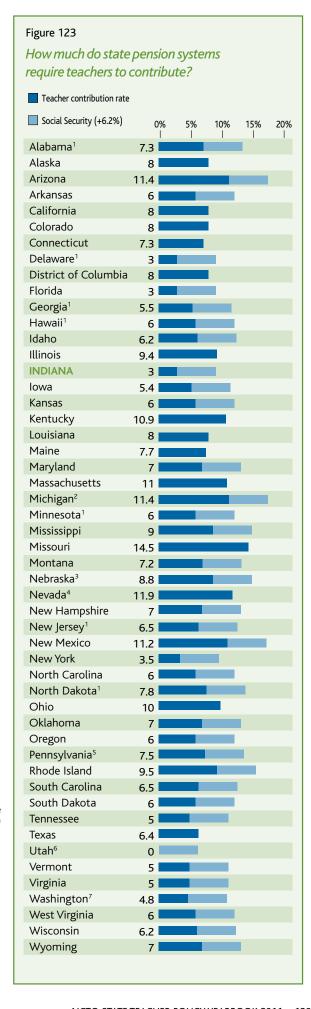
Figure 122 Do states require excessive contributions to their pension systems?



- 1. Strong Practice: Alaska, California, Colorado, District of Columbia, Florida, Illinois, Indiana, Minnesota, Nevada, New Jersey<sup>5</sup>, South Dakota, Tennessee, Texas, Vermont, Wisconsin, Wyoming
- 2. Alabama, Arkansas, Connecticut, Delaware, Georgia, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Montana, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, South Carolina, Utah, Virginia, Washington, West Virginia
- 3. Michigan<sup>6</sup>
- 4. Arizona, Hawaii, Mississippi, Missouri, Nebraska, New Mexico, Pennsylvania, Rhode Island
- 5. While not excessive, the employer and state contribution are quite low. The most recent total employer contribution was only 5.4 percent of the actuarially-determined annual required contribution.
- 6. Employer contribution rates to Michigan's new system have not yet been reported.

Figure 123

- 1. The contribution rate is set to increase in future years.
- 2. Teachers contribute 9.4 percent to the defined benefit component and are automatically enrolled to contribute 2 percent to the defined contribution component; teachers may change the latter rate.
- 3. The contribution rate is set to increase in 2012 and decrease in 2014.
- 4. Teachers share in the employer contribution through salary reductions or foregoing equivalent pay raises.
- 5. For teachers hired after July 1, 2011, the contribution ranges from 7.5-12.3 based on a variety of factors.
- 6. Teachers in the hybrid plan must make a mandatory contribution if the employer contribution does not cover system costs
- 7. For the defined benefit plan; the rate varies for the defined contribution plan from a minimum of 5 percent.



# **Area 4: Delivering Well-Prepared Teachers**

# Goal I – Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The formula that determines pension benefits should be neutral to the number of years worked. It should not have a multiplier that increases with years of service or longevity bonuses.
- 2. The formula for determining benefits should preserve incentives for teachers to continue working until conventional retirement ages. Eligibility for retirement benefits should be based on age and not years of service.

## **Background**



# Area 4: Goal I **Indiana** Analysis



State Partly Meets Goal



**Progress Since 2009** 

### **ANALYSIS**

Indiana's pension system is based on a benefit formula that is not neutral, meaning that each year of work does not accrue pension wealth in a uniform way until teachers reach conventional retirement age, such as that associated with Social Security.

Teachers' retirement wealth is determined by their monthly payments and the length of time they expect to receive those payments. Monthly payments are usually calculated as final average salary multiplied by years of service multiplied by a set multiplier (such as 1.5). Higher salary, more years of service or a greater multiplier increases monthly payments and results in greater pension wealth. Earlier retirement eligibility with unreduced benefits also increases pension wealth, because more payments will be received.

To qualify as neutral, a pension formula must utilize a constant benefit multiplier and an eligibility timetable based solely on age, rather than years of service. Basing eligibility for retirement on years of service creates unnecessary and often unfair peaks in pension wealth, while allowing unreduced retirement at a young age creates incentives to retire early. Plans that change their multipliers for various years of service do not value each year of teaching equally. Therefore, plans with a constant multiplier and that base retirement on an age in line with Social Security are likely to create the most uniform accrual of wealth.

Indiana's pension plan is commended for utilizing a constant benefit multiplier of 1.1 percent; however, teachers may retire before standard retirement age based on years of service without a reduction in benefits. Teachers at age 55 and older may retire according to the "Rule of 85," meaning that age plus years of service equal 85 (e.g., a 55-year-old with 30 years of service). Also, teachers with 15 years of service may retire at age 60, while other vested teachers with less than 15 years of service may not retire until age 65.

Therefore, teachers who begin their career at age 25 can qualify for the "Rule of 85" by age 55, entitling them to 10 additional years of unreduced retirement benefits beyond what other teachers would receive who may not retire until age 65. In addition, early retirement with reduced benefits is available at age 50 only for teachers with 15 years or more of service. Benefits are reduced 11 percent for teachers who retire at age 59 and then an additional five percent for each year below age 59. This inconsistent reduction results in an uneven decrease in pension wealth. These provisions may encourage effective teachers to retire early, and they fail to treat equally those teachers who enter the system at a later age and give the same amount of service.

## Supporting Research

Indiana State Teachers' Retirement Fund http://www.in.gov/inprs/teachers.htm

## **RECOMMENDATION**

End retirement eligibility based on years of service.

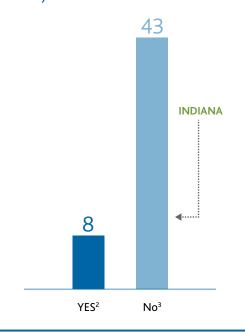
Indiana should change its practice of allowing teachers whose age plus years of service equal 85 to retire at age 55 and teachers with 15 years of service to retire at age 60, both with full benefits. If retirement at an earlier age is offered to some teachers, benefits should be reduced accordingly to compensate for the longer duration they will be awarded.

INDIANA

■ Align eligibility for retirement with unreduced benefits with Social Security retirement age. Indiana allows all teachers to retire before conventional retirement age, some as young as 55. As life expectancies continue to increase, teachers may draw out of the system for many more years than they contributed. This is not compatible with a financially sustainable system (see Goal 4-H). **INDIANA RESPONSE TO ANALYSIS** Indiana recognized the factual accuracy of this analysis.

Figure 125

Do states base retirement eligibility on age, which is fair to all teachers?<sup>1</sup>



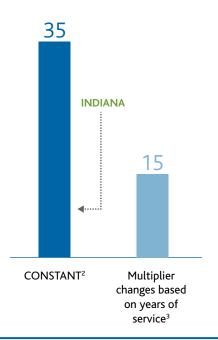
- 1. This only refers to determining retirement eligibility, not retirement benefits.
- 2. Strong Practice: Alaska, Illinois, Louisiana, Maine, Michigan, Minnesota, New Hampshire, New Jersey
- 3. Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Indiana, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, West Virginia, Washington, Wisconsin, Wyoming

#### Figure 126

- 1. All calculations are based on a teacher who starts teaching at age 22, earns a starting salary of \$35,000 that increases 3 percent per year, and retires at the age s/he is first eligible for unreduced benefits. The calculations use states' current benefit formulas and do not include cost of living increases. The final average salary was calculated as the average of the highest three years of salary, even though a few states may vary from that standard. Age 65 was used as a point of comparision because it is the miminum eligibility for unreduced Social Security benefits.
- 2. Does not apply to Alaska's defined contribution plan.
- 3. Minnesota provides unreduced retirement benefits at the age of full Social Security benefits or age 66, whichever comes first.
- California's formula has many options for retirement. A teacher with 40 years of experience at age 62 would reach Califorina's maximum allowable multiplier of 2.4 percent.
- 5. Age 60 is the earlier teachers hired on or after July 1, 2012 may retire. Teachers hired prior to this point may retire at age 55.
- Massachusetts's formula has many options for retirement. A teacher with 35 years
  of experience at age 57 would reach Massachusetts's maximum allowable benefit
  of 80 percent.

Maine \$0 65  Minnesota³ \$0 66  New Hampshire \$0 65  New Jersey \$0 65  Washington \$0 65  Tennessee \$238,654 52  Michigan \$289,187 60  California⁴ \$310,028 62  INDIANA \$317,728 55  Hawaii⁵ \$337,385 60  Oregon \$361,536 58  North Dakota \$385,583 60  Oklahoma \$385,583 60  Oklahoma \$385,583 60  Wisconsin \$416,007 57  Rhode Island \$430,013 59  New York \$440,819 57  Texas \$443,421 60  South Dakota \$447,707 55  Virginia \$468,982 56  Louisiana \$481,979 60  Florida \$485,257 55  Vermont \$486,832 56  Montana \$518,228 47  Connecticut \$520,009 57  Idaho \$551,428 55  Idaho \$551,743 56  North Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  West Virginia \$577,687 55  South Carolina \$557,7687 55  Vest Virginia \$577,687 55  South Carolina \$557,7687 55  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  Vest Virginia \$577,687 55  Assachusetts <sup>6</sup> \$594,296 57  Georgia \$624,786 52  Alabama \$625,747 47  Colorado \$650,011 57  Pennsylvania \$650,011 57  Pennsylvania \$664,340 55  Arkansas \$681,789 50  Ohio \$687,265 52  New Mexico \$734,124 52  Nevada \$780,983 52	nount in be, her from the tuntil age 6 st retiremer, er who star de who star neduced,	Figure 126 How much do states pay for each teacher that retires with
Maine \$0 65  Minnesota³ \$0 66  New Hampshire \$0 65  New Jersey \$0 65  Washington \$0 65  Tennessee \$238,654 52  Michigan \$289,187 60  California⁴ \$310,028 62  INDIANA \$317,728 55  Hawaii⁵ \$337,385 60  Oregon \$361,536 58  North Dakota \$385,583 60  Oklahoma \$385,583 60  Oklahoma \$385,583 60  Wisconsin \$416,007 57  Rhode Island \$430,013 59  New York \$440,819 57  Texas \$443,421 60  South Dakota \$447,707 55  Virginia \$468,982 56  Louisiana \$481,979 60  Florida \$485,257 55  Vermont \$486,832 56  Montana \$518,228 47  Connecticut \$520,009 57  Idaho \$551,428 55  Idaho \$551,743 56  North Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  West Virginia \$577,687 55  South Carolina \$557,7687 55  Vest Virginia \$577,687 55  South Carolina \$557,7687 55  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  Vest Virginia \$577,687 55  Assachusetts <sup>6</sup> \$594,296 57  Georgia \$624,786 52  Alabama \$625,747 47  Colorado \$650,011 57  Pennsylvania \$650,011 57  Pennsylvania \$664,340 55  Arkansas \$681,789 50  Ohio \$687,265 52  New Mexico \$734,124 52  Nevada \$780,983 52	Ceive L	•
Maine \$0 65  Minnesota³ \$0 66  New Hampshire \$0 65  New Jersey \$0 65  Washington \$0 65  Tennessee \$238,654 52  Michigan \$289,187 60  California⁴ \$310,028 62  INDIANA \$317,728 55  Hawaii⁵ \$337,385 60  Oregon \$361,536 58  North Dakota \$385,583 60  Oklahoma \$385,583 60  Oklahoma \$385,583 60  Wisconsin \$416,007 57  Rhode Island \$430,013 59  New York \$440,819 57  Texas \$443,421 60  South Dakota \$447,707 55  Virginia \$468,982 56  Louisiana \$481,979 60  Florida \$485,257 55  Vermont \$486,832 56  Montana \$518,228 47  Connecticut \$520,009 57  Idaho \$551,428 55  Idaho \$551,743 56  North Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  West Virginia \$577,687 55  South Carolina \$557,7687 55  Vest Virginia \$577,687 55  South Carolina \$557,7687 55  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  Vest Virginia \$577,687 55  Vest Virginia \$577,687 55  Assachusetts <sup>6</sup> \$594,296 57  Georgia \$624,786 52  Alabama \$625,747 47  Colorado \$650,011 57  Pennsylvania \$650,011 57  Pennsylvania \$664,340 55  Arkansas \$681,789 50  Ohio \$687,265 52  New Mexico \$734,124 52  Nevada \$780,983 52		
Minnesota³         \$0         66           New Hampshire         \$0         65           New Jersey         \$0         65           Washington         \$0         65           Tennessee         \$238,654         52           Michigan         \$289,187         60           California⁴         \$310,028         62           INDIANA         \$317,728         55           Hawaii⁵         \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$	<b>Ψ</b> 0	
New Hampshire         \$0         65           New Jersey         \$0         65           Washington         \$0         65           Tennessee         \$238,654         52           Michigan         \$289,187         60           California <sup>4</sup> \$310,028         62           INDIANA         \$317,728         55           Hawaii <sup>5</sup> \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana		
New Jersey         \$0         65           Washington         \$0         65           Tennessee         \$238,654         52           Michigan         \$289,187         60           California <sup>4</sup> \$310,028         62           INDIANA         \$317,728         55           Hawaii <sup>5</sup> \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut		
Washington         \$0         65           Tennessee         \$238,654         52           Michigan         \$289,187         60           California <sup>4</sup> \$310,028         62           INDIANA         \$317,728         55           Hawaii <sup>5</sup> \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Iowa		·
Tennessee \$238,654 52 Michigan \$289,187 60 California4 \$310,028 62 INDIANA \$317,728 55 Hawaii5 \$337,385 60 Kansas \$337,385 60 Oregon \$361,536 58 North Dakota \$385,583 60 Oklahoma \$385,583 60 Maryland \$413,808 56 Wisconsin \$416,007 57 Rhode Island \$430,013 59 New York \$440,819 57 Texas \$443,421 60 South Dakota \$447,707 55 Virginia \$468,982 56 Louisiana \$481,979 60 Florida \$485,257 55 Vermont \$486,832 56 Montana \$518,228 47 Connecticut \$520,009 57 Utah \$550,009 57 Iowa \$551,428 55 Idaho \$551,743 56 North Carolina \$577,142 50 Nebraska \$577,687 55 South Carolina \$577,142 50 Nebraska \$577,687 55 West Virginia \$585,737 52 District of Columbia \$585,737 52 District of Columbia \$585,737 52 Massachusetts6 \$594,296 57 Georgia \$624,786 52 Mississippi \$624,786 52 Alabama \$655,506 54 Arizona \$664,340 55 Arkansas \$681,789 50 Ohio \$687,265 52 New Mexico \$734,124 52 Nevada \$780,983 52		
Michigan         \$289,187         60           California <sup>4</sup> \$310,028         62           INDIANA         \$317,728         55           Hawaii <sup>5</sup> \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Idaho         \$551,428         55           North Carolina </td <td></td> <td></td>		
California4         \$310,028         62           INDIANA         \$317,728         55           Hawaii5         \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Idaho         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolin		
INDIANA		
Hawaiis         \$337,385         60           Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Idaho         \$551,428         55           Idaho         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$577,687         55           West Virginia		
Kansas         \$337,385         60           Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Idaho         \$551,428         55           Idaho         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Massachusett		
Oregon         \$361,536         58           North Dakota         \$385,583         60           Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Idaho         \$551,428         55           Idaho         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia		
North Dakota \$385,583 60 Oklahoma \$385,583 60 Maryland \$413,808 56 Wisconsin \$416,007 57 Rhode Island \$430,013 59 New York \$440,819 57 Texas \$443,421 60 South Dakota \$447,707 55 Virginia \$468,982 56 Louisiana \$481,979 60 Florida \$485,257 55 Vermont \$486,832 56 Montana \$518,228 47 Connecticut \$520,009 57 Iowa \$551,428 55 Idaho \$551,743 56 North Carolina \$568,555 52 South Carolina \$577,142 50 Nebraska \$577,687 55 West Virginia \$577,687 55 Delaware \$577,927 52 District of Columbia \$585,737 52 Massachusetts <sup>6</sup> \$594,296 57 Georgia \$624,786 52 Mississippi \$624,786 52 Alabama \$625,747 47 Colorado \$650,011 57 Pennsylvania \$664,340 55 Arkansas \$681,789 50 Ohio \$687,265 52 New Mexico \$734,124 52 Nevada \$780,983 52		
Oklahoma         \$385,583         60           Maryland         \$413,808         56           Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57		
Maryland       \$413,808       56         Wisconsin       \$416,007       57         Rhode Island       \$430,013       59         New York       \$440,819       57         Texas       \$443,421       60         South Dakota       \$447,707       55         Virginia       \$468,982       56         Louisiana       \$481,979       60         Florida       \$485,257       55         Vermont       \$486,832       56         Montana       \$518,228       47         Connecticut       \$520,009       57         Idaho       \$551,428       55         Idaho       \$551,428       55         Idaho       \$551,428       55         North Carolina       \$568,555       52         South Carolina       \$577,142       50         Nebraska       \$577,687       55         West Virginia       \$577,687       55         Delaware       \$577,927       52         District of Columbia       \$585,737       52         Massachusetts <sup>6</sup> \$594,296       57         Georgia       \$624,786       52         Mississippi <td< td=""><td></td><td></td></td<>		
Wisconsin         \$416,007         57           Rhode Island         \$430,013         59           New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57	\$413,808 56	Maryland
New York         \$440,819         57           Texas         \$443,421         60           South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           West Virginia         \$585,737         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52	\$416,007 57	•
Texas \$443,421 60 South Dakota \$447,707 55 Virginia \$468,982 56 Louisiana \$481,979 60 Florida \$485,257 55 Vermont \$486,832 56 Montana \$518,228 47 Connecticut \$520,009 57 Utah \$520,009 57 Iowa \$551,428 55 Idaho \$551,743 56 North Carolina \$568,555 52 South Carolina \$577,142 50 Nebraska \$577,687 55 West Virginia \$577,687 55 Delaware \$577,927 52 District of Columbia \$585,737 52 Massachusetts <sup>6</sup> \$594,296 57 Georgia \$624,786 52 Mississippi \$624,786 52 Alabama \$625,747 47 Colorado \$650,011 57 Pennsylvania \$655,506 54 Arizona \$664,340 55 Arkansas \$681,789 50 Ohio \$687,265 52 New Mexico \$734,124 52 Nevada \$780,983 52	\$430,013 59	Rhode Island
South Dakota         \$447,707         55           Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$655,506         54 <t< td=""><td>\$440,819 57</td><td>New York</td></t<>	\$440,819 57	New York
Virginia         \$468,982         56           Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,428         55           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississispipi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$655,506         54           Arizona         \$664,340         55	\$443,421 60	Texas
Louisiana         \$481,979         60           Florida         \$485,257         55           Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           lowa         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississispipi         \$624,786         52           Alabama         \$655,001         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50	\$447,707 55	South Dakota
Florida \$485,257 55  Vermont \$486,832 56  Montana \$518,228 47  Connecticut \$520,009 57  Utah \$520,009 57  Iowa \$551,428 55  Idaho \$551,743 56  North Carolina \$568,555 52  South Carolina \$577,142 50  Nebraska \$577,687 55  West Virginia \$577,687 55  Delaware \$577,927 52  District of Columbia \$585,737 52  Massachusetts <sup>6</sup> \$594,296 57  Georgia \$624,786 52  Mississippi \$624,786 52  Alabama \$625,747 47  Colorado \$650,011 57  Pennsylvania \$650,011 57  Wyoming \$655,506 54  Arizona \$664,340 55  Arkansas \$681,789 50  Ohio \$687,265 52  New Mexico \$734,124 52  Nevada \$780,983 52	\$468,982 56	Virginia
Vermont         \$486,832         56           Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52	\$481,979 60	Louisiana
Montana         \$518,228         47           Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52	\$485,257 55	Florida
Connecticut         \$520,009         57           Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississisippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		Vermont
Utah         \$520,009         57           Iowa         \$551,428         55           Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississisppi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
Iowa		
Idaho         \$551,743         56           North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississisppi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
North Carolina         \$568,555         52           South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississispipi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
South Carolina         \$577,142         50           Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
Nebraska         \$577,687         55           West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$655,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
West Virginia         \$577,687         55           Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississippi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
Delaware         \$577,927         52           District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississisppi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
District of Columbia         \$585,737         52           Massachusetts <sup>6</sup> \$594,296         57           Georgia         \$624,786         52           Mississisppi         \$624,786         52           Alabama         \$625,747         47           Colorado         \$650,011         57           Pennsylvania         \$650,011         57           Wyoming         \$655,506         54           Arizona         \$664,340         55           Arkansas         \$681,789         50           Ohio         \$687,265         52           New Mexico         \$734,124         52           Nevada         \$780,983         52		
Massachusetts <sup>6</sup> \$594,296       57         Georgia       \$624,786       52         Mississippi       \$624,786       52         Alabama       \$625,747       47         Colorado       \$650,011       57         Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Georgia       \$624,786       52         Mississippi       \$624,786       52         Alabama       \$625,747       47         Colorado       \$650,011       57         Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Mississippi       \$624,786       52         Alabama       \$625,747       47         Colorado       \$650,011       57         Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Alabama       \$625,747       47         Colorado       \$650,011       57         Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Colorado       \$650,011       57         Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		· · ·
Pennsylvania       \$650,011       57         Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Wyoming       \$655,506       54         Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Arizona       \$664,340       55         Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		•
Arkansas       \$681,789       50         Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		
Ohio       \$687,265       52         New Mexico       \$734,124       52         Nevada       \$780,983       52		Arkansas
New Mexico         \$734,124         52           Nevada         \$780,983         52		Ohio
Nevada \$780,983 52		New Mexico
•		Nevada
\$105,5 IS 51	\$789,343 51	Missouri
Kentucky \$791,679 49	\$791,679 49	Kentucky

Figure 127
What kind of multiplier do states use to calculate retirement benefits?<sup>1</sup>



- 1. Alaska has a defined contribution plan, which does not have a benefit multiplier.
- 2. Strong Practice: Alabama, Arkansas, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Indiana, Kansas, Louisiana, Maine, Maryland, Michigan, Minnesota, Montana, Nebraska, Newada, New Jersey, New Mexico, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, California, Connecticut, Florida, Iowa, Kentucky, Massachusetts, Mississippi, Missouri, New Hampshire, New York, Ohio, Rhode Island, Vermont, Wyoming



# TEXAMPLES OF BEST PRACTICE

Alaska offers a defined contribution pension plan that is neutral, with pension wealth accumulating in an equal way for all teachers for each year of work. In addition, Illinois, Minnesota and New Jersey offer a defined benefit plan with a formula multiplier that does not change relative to years of service and does not allow unreduced benefits for retirees below age 65. Illinois and New Jersey are further commended for ending their previous practices of allowing teachers to retire well before Social Security age without a reduction in benefits.

#### Figure 128

### Double-Dipping: Cure the Disease, Not the Symptom

Benefit recipients in teacher pension plans have recently been under scrutiny for "double-dipping," when individuals receive a pension and salary at the same time. This can occur when teachers reach retirement eligibility, yet wish to keep working without losing pension wealth. Teachers can retire, start receiving their monthly benefits and then return to teaching. The restrictions on a teacher's ability to return to work vary from state to state. Policies can include waiting periods, limitations on earnings or restrictions to working in difficult-to-fill positions.

Some descriptions portray teachers working while collecting their pensions as greedy or somehow taking advantage, when in fact they are just following the system that is in place. When a teacher reaches retirement eligibility in a defined benefit system, her pension wealth peaks and, after that, wealth accrual slows or even decreases because every year a teacher delays retirement, she loses a year of pension benefits. For example, if a teacher could retire with 60 percent of her salary at age 56, then every year she teaches past that point she is, in effect, working for only 40 percent of her pay because she is not receiving her pension. This puts relatively young teachers and the districts who wish to retain them in a difficult position. Districts want to keep effective teachers in schools, but the financial reality for teachers is hard to pass up.

Retirees returning to work are also an issue for defined benefit pension system funding because contributions are not being made to the system that would be made if those positions were held by non-retirees. This adds to the funding imbalances that many states' defined benefit systems face.

Some states have created Deferred Retirement Option Plans (DROP) in which retirees can have their benefits placed in a savings account while they return to work and, once they retire again, they can receive the lump sum in their DROP accounts and resume their monthly benefits.

Returning to work would not be a large policy issue if systems did not allow teachers to retire with unreduced benefits at such relatively young ages and if pension wealth accrual were more neutral. An effective teacher should be able to keep teaching and at the same time know that her pension wealth will not erode. More systemic fixes—like the ones outlined in the *Yearbook*—are needed. Calls to prohibit double-dipping are not addressing the real problem.

# Goal A – Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- Under no circumstances should a state award a standard license to a teacher who has not passed all required subject-matter licensing tests.
- If a state finds it necessary to confer conditional or provisional licenses under limited and exceptional circumstances to teachers who have not passed the required tests, the state should ensure that requirements are met within one year.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

# Figure 129 How States are Faring on Closing Licensure Loopholes **Best Practice States** Colorado, Illinois 1, Mississippi, New Jersey States Meet Goal Nevada, New Mexico, South Carolina, Virginia 13 States Nearly Meet Goal Alabama, Arkansas, Connecticut, District of Columbia, Georgia, Kentucky 1, Massachusetts, North Dakota, Ohio, Oklahoma 1, Rhode Island 1, Utah 1, West Virginia States Partly Meet Goal Iowa, Wyoming States Meet a Small Part of Goal Michigan, Vermont 26 States Do Not Meet Goal Alaska, Arizona, California, Delaware, Florida, Hawaii, Idaho, INDIANA, Kansas, Louisiana, Maine, Maryland, Minnesota, Missouri, Montana, Nebraska, New Hampshire, New York, North Carolina, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Washington, Wisconsin Progress on this Goal Since 2009: **1**:5 **:** 46 **↓**:0

# Area 5: Goal A **Indiana** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Indiana allows new teachers who have not passed required subject-matter licensing tests to teach on an emergency permit, which is valid for one year but can be renewed twice. To qualify for the permit, the employing school superintendent must submit evidence of an emergency situation as well as verification of the applicant's progress toward meeting standards in the content area and enrollment in an approved certification program.

Renewal requires six semester hours of coursework toward an initial license in the subject area or verification of appropriate progress by the licensing advisor.

**Supporting Research** 

515 IAC 9-1-19

#### **RECOMMENDATION**

■ Ensure that all teachers pass required subject-matter licensing tests before they enter the

All students are entitled to teachers who know the subject matter they are teaching. Permitting individuals who have not yet passed state licensing tests to teach neglects the needs of students, instead extending personal consideration to adults who may not be able to meet minimal state standards. Indiana should ensure that all teachers have passed their licensing tests—an important minimum benchmark for entering the profession—prior to entering the classroom.

Limit exceptions to one year.

There might be limited and exceptional circumstances under which conditional or emergency licenses need to be granted. In these instances, it is reasonable for a state to give teachers up to one year to pass required licensure tests.

It was noted in the 2009 *Yearbook* that Indiana offered nonrenewable instructional emergency permits, valid for one year, to new teachers who specifically failed the Praxis II subject-matter tests. That policy has been revoked. However, Indiana's remaining policy continues to put students at risk by allowing teachers to teach on emergency certificates for up to three years without passing required subject-matter tests.

#### INDIANA RESPONSE TO ANALYSIS

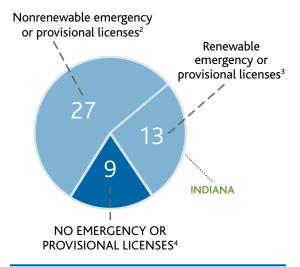
Indiana recognized the factual accuracy of this analysis.



# EXAMPLES OF BEST PRACTICE

Colorado, Illinois, Mississippi, and New Jersey require all new teachers to pass all required subject-matter tests as a condition of initial licensure.

Figure 130 Do states still award emergency licenses?1



- 1. Not applicable to Montana and Nebraska, which do not require subject matter testing.
- 2. Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Iowa, Kansas, Kentucky, Maryland, Massachusetts, New Hampshire, New York, North Carolina, North Dakota<sup>5</sup>, Ohio<sup>5</sup>, Oklahoma, Oregon, Rhode Island, Vermont, Washington, West Virginia, Wyoming
- 3. Arizona, Hawaii, Indiana, Louisiana, Maine, Michigan, Minnesota, Missouri, Pennsylvania, South Dakota, Tennessee, Texas, Wisconsin
- 4. Strong Practice: Colorado, Illinois, Mississippi, Nevada, New Jersey, New Mexico, South Carolina, Utah, Virginia
- 5. License is renewable, but only if licensure tests are passed.

Figure 131

- 1. Iowa only requires subject-matter testing for elementary teachers.
- 2. Montana does not require subject-matter testing.
- 3. Nebraska does not require subject-matter testing.
- 4. There is a potential loophole in Utah, as alternate route teachers appear able to delay passage of subject-matter tests.
- 5. Wyoming only requires subject-matter testing for elementary and social studies teachers.

How long can new tead				
practice without passii	ng	/	/	J'ears or more (or unspecified)
licensing tests?	I   NO DEFERRAL	]  Up to 7 year	$\Box \cup \cup_{bc_{2/ears}}$	r mc
	DEFE	/ 2/	/ %	rspe,
	\$ <sup>'</sup>	/ <del>*</del>	/ 3	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado				
Connecticut				
Delaware				
District of Columbia				
Florida				
Georgia				
Hawaii				
Idaho				
Illinois				
INDIANA Iowa <sup>1</sup>				
Kansas				
Kentucky Louisiana				
Maine				
Maryland				
Massachusetts				
Michigan				
Minnesota	- i	П		
Mississippi				
Missouri				
Montana <sup>2</sup>				
Nebraska <sup>3</sup>				
Nevada				
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina				
North Dakota				
Ohio				
Oklahoma				
Oregon				
Pennsylvania				
Rhode Island				
South Carolina South Dakota				
Tennessee				
Texas				
Utah <sup>4</sup>				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin				
Wyoming <sup>5</sup>				
, 0				

# Goal B – Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

# **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require that all teachers who receive a single unsatisfactory evaluation be placed on an improvement plan, whether or not they have tenure.
- The state should require that all teachers who receive two consecutive unsatisfactory evaluations or two unsatisfactory evaluations within five years be formally eligible for dismissal, whether or not they have tenure.

# Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



# Area 5: Goal B **Indiana** Analysis



State Meets Goal



Progress Since 2009

#### **ANALYSIS**

Indiana requires that teachers who receive an "ineffective" or "improvement necessary" evaluation rating be placed on a "remediation plan." The teacher has 90 school days to correct the deficiencies noted in the evaluation rating.

All teachers who receive "ineffective" ratings are considered to be probationary and are subject to dismissal upon receiving a second ineffective rating the following year.

### **Supporting Research**

IC 20-28-11.5

SB 1

### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

Figure 133	MAROVENENT PLAN AFTER	EUGIBLE FOR DISMISSALAFTE	٠ ا	No articulated Consequences
What are the	VAFT	0 / 3/5	ð /	, leuce
consequences for	75.	ISMISS	] Other consequences	lbəs <sub>ll</sub>
teachers who receive	NSA'J	ORD NSA	/wen/	/ O <sub>O</sub> <sub>\( \rho_0\)</sub>
unsatisfactory	JVEA !LE U,	SLE FE	) esu	_mate
	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		her o	drtic
evaluations?	42	# \$ \$	\ \displaystart \dint \displaystart \displaystart \displaystart \displaystart \displaystart \displaystart \display	/ %
Alabama				
Alaska				
Arizona				
Arkansas				
California				
Colorado				
Connecticut				
Delaware				
District of Columbia				
Florida				
Georgia				
Hawaii				
Idaho			<b>■</b> 1	
Illinois				
INDIANA				
lowa				
Kansas				
Kentucky				
Louisiana				
Maine				
Maryland				
Massachusetts			2	
Michigan				
Minnesota				
Mississippi				3
Missouri				
Montana				
Nebraska				
Nevada			4	
New Hampshire				
New Jersey				
New Mexico				
New York				
North Carolina		5		
North Dakota				
Ohio			6	
Oklahoma				
Oregon				
Pennsylvania				
Rhode Island				
South Carolina				
South Dakota				
Tennessee				
Texas				
Utah				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin				
Wyoming				
, ,	27	17	•	17
	27	17	8	17

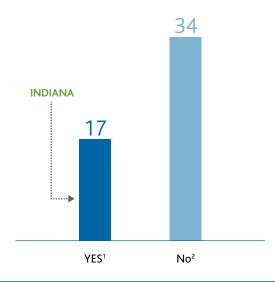
- Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- While results of evaluations may be used in dismissal decisions, there are no specific criteria for a teacher's eligibility for dismissal.
- 3. Improvement plans are only used for teachers in identified "Schools At Risk." Those same teachers are also eligible for dismissal for multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.
- 5. Teachers in low performing schools can be dismissed after one negative rating.
- Local school boards must include procedures for using evaluation results for the removal of poorly performing teachers.

# **\*** EXAMPLES OF BEST PRACTICE

Illinois and Oklahoma both require that teachers who receive unsatisfactory evaluations be placed on improvement plans. Teachers in Illinois are then evaluated three times during a 90-day remediation period and are eligible for dismissal if performance remains unsatisfactory. In addition, new legislation in Illinois allows districts to dismiss a teacher without going through the remediation process if that teacher has already completed a remediation plan but then receives an unsatisfactory rating within the next three years. Oklahoma's improvement plan may not exceed two months, and if performance does not improve during that time, teachers are eligible for dismissal.

Figure 134

Do states specify that all teachers with multiple unsatisfactory evaluations are eligible for dismissal?



- Strong Practice: Alaska, Arkansas, Colorado, Delaware, Florida, Hawaii, Illinois, Indiana, Louisiana, Michigan, New Mexico, New York, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Washington
- 2. Alabama, Arizona, California, Connecticut, District of Columbia, Georgia, Idaho<sup>3</sup>, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada<sup>4</sup>, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oregon, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.

# Goal C – Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should articulate that teachers may be dismissed for ineffective classroom performance.
- 2. A teacher who is terminated for poor performance should have an opportunity to appeal. In the interest of both the teacher and the school district, the state should ensure that this appeal occurs within a reasonable time frame.
- 3. There should be a clear distinction between the process and accompanying due process rights for teachers dismissed for classroom ineffectiveness and the process and accompanying due process rights for teachers dismissed or facing license revocation for felony or morality violations or dereliction of duties.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



# Area 5: Goal C **Indiana** Analysis



State Meets Goal



**Progress Since 2009** 

#### **ANALYSIS**

New legislation in Indiana ensures that teacher ineffectiveness is grounds for dismissal. A tenured teacher reverts to probationary status if the teacher has received "a rating of ineffective in an evaluation," and can be subject to contract cancellation for a "rating of ineffective in the year immediately following the teacher's initial rating of ineffective."

Although the state has attempted to address issues of due process and dismissal by reverting ineffective teachers to nonprobationary status, Indiana retains policy that does not distinguish the due process rights of teachers dismissed for ineffective performance from those facing other charges commonly associated with license revocation, such as a felony and/or morality violations. The process is the same regardless of the grounds for cancellation, which include "immorality, insubordination, justifiable decrease in the number of teaching positions, neglect of duty, conviction of a felony, other good or just cause, or incompetence—which includes an ineffective designation on two consecutive performance evaluations or an ineffective or improvement necessary rating in three years of any five year period.

Indiana has a streamlined appeals process. After receiving written notice of dismissal, the teacher may within five days—request a private conference with the superintendent, which must occur with 10 days of the request. The superintendent makes a recommendation to the governing body of the school corporation following the conference. An additional meeting—requested within five days of the initial meeting—with the governing body is also permitted. The governing body's decision is final and must be made within 30 days of the request.

### Supporting Research

Indiana Code 20-28-6-7.5; 20-28-7.5

#### RECOMMENDATION

Distinguish the process and accompanying due process rights between dismissal for classroom ineffectiveness and dismissal for morality violations, felonies or dereliction of duty.

Indiana is commended for streamlining its dismissal process and for ensuring that ineffectiveness is grounds for dismissal. In the future, the state could look to differentiate due process rights between loss of employment and issues with far-reaching consequences—such as felonies—that could permanently impact a teacher's right to practice. It should be clear that classroom ineffectiveness is grounds for dismissal for any teacher, regardless of tenure status.

#### INDIANA RESPONSE TO ANALYSIS

Indiana recognized the factual accuracy of this analysis.

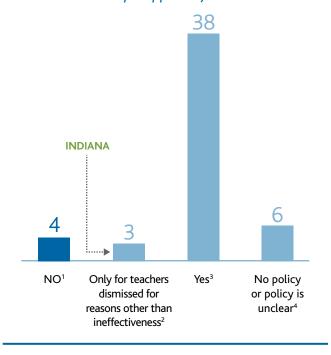
Figure 136			
Do states articulate		/	<b>_</b> /
that ineffectiveness is	_	FES THROUGH	
**	\$	\$ \ \$	
grounds for dismissal?	% X	1, 6, 7	Ž /
	12 S. M.	1 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S 7 S	
	220	/ 22	/ %
Alabama			
Alaska			
Arizona			1
Arkansas			
California			
Colorado			
Connecticut			
Delaware			
District of Columbia			
Florida			
Georgia			
Hawaii			
Idaho			
Illinois			
INDIANA			
lowa			
Kansas			
Kentucky			
Louisiana			
Maine			
Maryland			
Massachusetts			
Michigan			
Minnesota			_
Mississippi			
Missouri			
Montana Nebraska			
Nevada			2
New Hampshire			
New Jersey			
New Mexico			
New York			ī
North Carolina			
North Dakota			
Ohio			
Oklahoma			
Oregon			
Pennsylvania			
Rhode Island			
South Carolina			
South Dakota			
Tennessee			
Texas			
Utah			
Vermont			
Virginia			3
Washington			3
West Virginia Wisconsin			
Wyoming			
vvyorning	_	12	20
	9	13	38



# TEXAMPLES OF BEST PRACTICE

Oklahoma clearly articulates that teacher ineffectiveness in the classroom is grounds for dismissal and has taken steps to ensure that the dismissal process for teachers deemed to be ineffective is expedited. Teachers facing dismissal have only one opportunity to appeal.

Figure 137 Do states allow multiple appeals of teacher dismissals?



- 1. Strong Practice: Florida, Louisiana, Oklahoma, Wisconsin
- 2. Teachers in these states revert to probationary status following ineffective evaluation ratings, meaning that they no longer have the due process right to multiple appeals: Colorado, Indiana, Tennessee
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, Georgia, Hawaii, Idaho, Illinois<sup>5</sup>, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Virginia, Washington, West Virginia, Wyoming
- 4. District of Columbia, Maine, Nebraska, Nevada<sup>6</sup>, Utah, Vermont
- 5. The teacher is responsible for the cost of the second appeal.
- 6. Though a teacher returns to probationary status after two consecutive unsatisfactory ratings, the state does not articulate clear policy about its appeals process.

- 1. It is left to districts to define "inadequacy of classroom performance."
- 2. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not articulated that ineffectiveness is grounds for dismissal.
- 3. Dismissal policy includes dismissal for unsatisfactory evaluations, but the state's evaluation system does not measure teacher effectiveness (see Goal 3-B).

# Goal D – Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

1. The state should require that districts consider classroom performance and ensure that seniority is not the only factor used to determine which teachers are laid off.

# Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



# Area 5: Goal D Indiana Analysis



Best Practice State



Progress Since 2009

#### **ANALYSIS**

In Indiana, new legislation considers teacher performance as the top criterion for districts to use in determining which teachers are laid off during reductions in force. The cancellation of teachers' contracts due to a decrease in the number of teaching positions is to be "determined on the basis of performance rather than seniority." In addition, if teachers are placed in the same performance category, the following may be considered to determine which teachers are laid off: 1) years of experience, 2) attainment of additional content area degrees or credit hours beyond the requirements for employment, 3) evaluation results, 4) instructional leadership roles, and 5) academic needs of students.

### **Supporting Research**

IC 20-28-7.5; 20-28-9-1

### **INDIANA RESPONSE TO ANALYSIS**

Indiana recognized the factual accuracy of this analysis.

158: NCTQ STATE TEACHER POLICY YEARBOOK 2011 **INDIANA** 

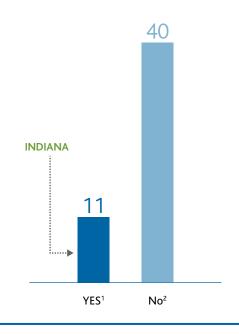
Figure 139		/ <sub>1</sub>
Do states prevent	5	70,
districts from basing	H.O.	
layoffs solely on "last	ZANC ERE	\ \Z\\{\z\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
in, first out"?	NS/I	
m, just out.	F.C.	FEWORITY CANNOT. HE DECIDING FACTO
Alabama	- 48 / 	
Alaska		
Arizona		
Arkansas		_
California		
Colorado		
Connecticut		
Delaware		
District of Columbia		
Florida		
Georgia Hawaii		
Idaho		
Illinois		
INDIANA		
lowa		
Kansas		
Kentucky		
Louisiana		
Maine		
Maryland		
Massachusetts		
Michigan		
Minnesota		
Mississippi		
Missouri		
Montana		
Nebraska		
Nevada		
New Hampshire		
New Jersey		
New Mexico		
New York		
North Carolina		
North Dakota		
Ohio		
Oklahoma		
Oregon		
Pennsylvania		
Rhode Island		
South Carolina	$\overline{\Box}$	
South Dakota		
Tennessee		
Texas	-	
Utah	-	
Vermont	-	
Virginia		
Washington		
West Virginia		
Wisconsin		
Wyoming		
	11	17



# **TEXAMPLES OF BEST PRACTICE**

Colorado, Florida and Indiana all specify that in determining which teachers to lay off during a reduction in force, classroom performance is the top criterion. These states also articulate that seniority can only be considered after a teacher's performance is taken into account.

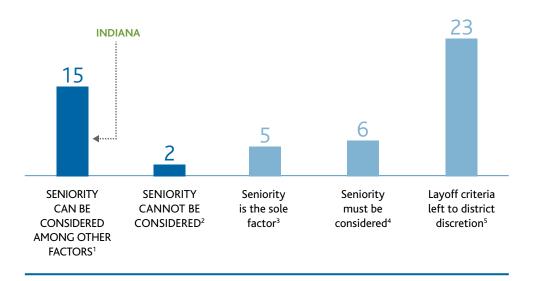
Figure 140 Do districts have to consider performance in determining which teachers are laid off?



- 1. Strong Practice: Colorado, Florida, Illinois, Indiana, Michigan, Missouri, Oklahoma, Rhode Island, Tennessee, Texas, Utah
- 2. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio<sup>3</sup>, Oregon, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Tenure is considered first.

Figure 141

Do states prevent districts from overemphasizing seniority in layoff decisions?



- 1. Strong Practice: Arizona, Colorado, Florida, Illinois, Indiana, Maine, Michigan, Missouri<sup>6</sup>, Nevada, New Hampshire, Ohio<sup>6</sup>, Oklahoma, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Idaho, Utah
- 3. Hawaii, New York, Pennsylvania, West Virginia, Wisconsin<sup>7</sup>
- 4. California, Kentucky, Louisiana, Minnesota, New Jersey, Oregon
- 5. Alabama, Alaska<sup>6</sup>, Arkansas, Connecticut, Delaware, District of Columbia, Georgia<sup>6</sup>, Iowa, Kansas, Maryland, Massachusetts<sup>6</sup>, Mississippi, Montana, Nebraska<sup>6</sup>, New Mexico, North Carolina, North Dakota, South Carolina, South Dakota, Vermont, Virginia, Washington, Wyoming
- 6. Nontenured teachers are laid off first.
- 7. Only for counties with populations of 500,000 or more and for teachers hired before 1995.

### **Board of Directors**

Barbara O'Brien, Chair

Senior Fellow, The Piton Foundation

Stacey Boyd

Chief Executive Officer, The Savvy Source for Parents

Chester E. Finn, Jr.

President. The Thomas B. Fordham Institute

Ira Fishman

Managing Director, NFL Players Association

Marti Watson Garlett

Founding Dean of the Teachers College, Western Governors University Former Vice President, Academic Programs and Professional Licensure, Laureate Education, Inc.

Henry L. Johnson

Former U.S. Assistant Secretary for Elementary and Secondary Education Consultant, Center for Results, Learning Forward Donald N. Langenberg

Chancellor Emeritus, University System of Maryland

Clara M. Lovett

President Emerita, Northern Arizona University

Carol G. Peck

Former President and Chief Executive Officer, Rodel Charitable Foundation of Arizona Former National Superintendent of the Year

John L. Winn

Florida Education Commissioner, Retired

Kate Walsh

President, National Council on Teacher Quality

# **Advisory Board**

• Steven J. Adamowski, Connecticut State Board of Education • Sir Michael Barber, Pearson • Roy E. Barnes, former Governor, State of Georgia • McKinley A. Broome, Woodholme Elementary School • Cynthia G. Brown, Center for American Progress • David Chard, Southern Methodist University • Andrew Chen, EduTron • Jean Clements, Hillsborough Classroom Teachers Association • Celine Coggins, Teach Plus • Pattie Davis, Fairview Middle School • Jo Lynne DeMary, Virginia Commonwealth University • Michael Feinberg, The KIPP Foundation • Michael Goldstein, The Match School, Massachusetts • Eric A. Hanushek, The Hoover Institution • Joseph Hawkins, Westat • Frederick M. Hess, American Enterprise Institute • Paul T. Hill, Center on Reinventing Public Education • E.D. Hirsch, Core Knowledge Foundation • Michael Johnston, Colorado State Senate • Barry Kaufman, BK Education Consulting Services • Frank Keating, former Governor, State of Oklahoma • Joel I. Klein, News Corporation • Martin J. Koldyke, Academy for Urban School Leadership • Wendy Kopp, Teach For America • James Larson, Charles A. Tindley Accelerated School • Tom Lasley, Edvention • Amy Jo Leonard, Turtle Mountain Elementary School • Deborah M. McGriff, NewSchools Venture Fund • Ellen Moir, New Teacher Center • Robert N. Pasternack, Voyager Expanded Learning • Michael Podgursky, University of Missouri-Columbia • Michelle Rhee, StudentsFirst • Stefanie Sanford, Bill and Melinda Gates Foundation • Audrey Soglin, Illinois Education Association • Daniel Willingham, University of Virginia • Suzanne Wilson, Michigan State University

# National Council on Teacher Quality

1420 New York Avenue, NW • Washington, DC 20005
Tel: 202-393-0020 Fax: 202-393-0095 Web: www.nctq.org

Subscribe to NCTQ's blog PDQ 
Follow NCTQ on Twitter and Facebook

NCTQ is available to work with individual states to improve teacher policies. For more information, please contact:

Sandi Jacobs Vice President sjacobs@nctq.org 202-393-0020