WWC Intervention Report U.S. DEPARTMENT OF EDUCATION

## **What Works Clearinghouse**



Dropout Prevention January 24, 2008

## **First Things First**

#### **Program description**

First Things First is a reform model intended to transform elementary, middle, and high schools serving significant proportions of economically disadvantaged students. Its three main components are: (1) "small learning communities" of students and teachers, (2) a family and student advocate system that pairs

staff members and students to monitor and support progress and that serves as a bridge between the school and family, and (3) instructional improvements to make classroom teaching more rigorous and engaging and more closely aligned with state standards and assessments.<sup>1</sup>

#### Research

One study of *First Things First* met the What Works Clearinghouse (WWC) evidence standards with reservations. The quasi-experimental research design included students from Houston high schools—3 *First Things First* schools, each matched to 10 or 11 comparison schools. Based on this one study, the WWC

considers the extent of evidence for *First Things First* to be small for staying in school. That study did not examine the effectiveness of *First Things First* in the domains of progressing in school or completing school.<sup>2</sup>

#### **Effectiveness**

First Things First was found to have no discernible effects on staying in school in its first year of implementation.

	Staying in school	Progressing in school	Completing school
Rating of effectiveness	No discernible effects	na	na
Improvement index <sup>3</sup>	Average: -1 percentile point	na	na

na = not applicable

- 1. The descriptive information for this program was obtained from publicly available sources: the program's website (<a href="www.irre.org/ftf">www.irre.org/ftf</a>, retrieved October 2007) and the research literature (Quint, Bloom, Black, & Stephens, 2005). The WWC asks developers to review the program description sections for accuracy from their perspective. Further verification of the accuracy of the descriptive information for this program is beyond the scope of this review.
- 2. The evidence in this report is based on available research. Findings and conclusions may change as new research becomes available.
- These numbers show the average improvement indices for all findings across the study.

## Additional program information

#### **Developer and contact**

Information on *First Things First* is available from the Institute for Research and Reform in Education (IRRE), which developed the intervention. Address: 308 Glendale Dr., Toms River, NJ 08753. Web: <a href="https://www.irre.org">www.irre.org</a>. Telephone: (732) 557-0200.

#### Scope of use

First Things First was first implemented in 1998 in the Kansas City, KS, school system. IRRE reports that, as of December 2007, 11 districts in seven states were operating schools using the First Things First model.

#### **Description of intervention**

First Things First is a reform model designed to boost student achievement in schools serving a large number of economically disadvantaged students. The model's goal is to help students acquire the skills needed to succeed in postsecondary education and the labor market. It has three main components.

Theme-based small learning communities. First Things First reorganizes high schools into small learning communities of up to 350 ninth to twelfth graders and their teachers, each with a guiding curricular theme (such as science and technology). Twelfth graders can participate in internships associated with the theme of their learning community. As students progress through high school, they remain in their learning communities, with the same peer group and teachers.

Family and student advocate system. Each student in a First Things First school is assigned an advocate, typically a teacher from the small learning community who serves as a mentor and

a liaison between the school and the student's family. Advocates work with about 15 students and meet with them weekly in groups and one-on-one. They also contact the student's family regularly to discuss academic progress and any challenges facing the student in and out of school.

Instructional changes and supports. First Things First emphasizes a more rigorous and engaging curriculum closely aligned with state standards and assessments. The model calls for a careful review of all course offerings to ensure that they closely correspond to state curriculum standards. It also directs schools to develop and regularly administer common assessments that reflect these standards and that mirror the format and content of state tests. Student performance on these regular assessments is then used to guide and improve classroom instruction. First Things First also offers professional development and technical assistance to improve the rigor of course offerings and the ability of teachers to reach students of all learning styles.

#### Cost

According to IRRE, the additional annual cost of operating *First Things First* in the first two years of program implementation (above and beyond the cost of traditional high school) ranges from \$150 to \$275 per student. Beyond the initial start-up phase, annual per student costs are somewhat lower, ranging from \$100 to \$175. These costs include curriculum, materials, and ongoing technical assistance. IRRE reports that the per student cost of implementing *First Things First* varies depending on the size and number of the schools implementing the model, current staffing levels, and other factors.

#### Research

Five studies reviewed by the WWC investigated the effectiveness of *First Things First*. Four studies were included in one research report (Quint, Bloom, Black, & Stephens, 2005), but of the four, only the study in Houston, Texas met WWC evidence standards with reservations. The three other studies—conducted in Riverview Gardens, MO; Kansas City, KS; and Shaw and Greenville, MS—did not meet WWC evidence screens. A fifth study of *First* 

Things First (Gambone, Klem, Summers, Akey, & Sipe, 2004) also did not meet WWC evidence screens.

The Houston study included in the Quint et al. (2005) report focused on three Houston high schools that implemented *First Things First* from 2001 to 2004. These three schools were each matched to high schools in the district that did not implement *First Things First* but had similar achievement test scores. To

#### Research (continued)

estimate the effect of the program the researchers first compared the average outcomes of ninth graders who entered *First Things First* high schools in the years immediately after the program was implemented with those of ninth graders from the same schools in the three years just before program implementation. They made similar calculations for the comparison schools. Their estimates of the effect of the program represent the difference between these pre-post implementation comparisons in *First Things First* high schools and the comparison schools. The study made similar estimates of the effects of *First Things First* in a set of Houston middle schools but did not include any outcomes relevant to the WWC review of dropout prevention interventions.

#### **Extent of evidence**

The WWC categorizes the extent of evidence in each domain as small or moderate to large (see the What Works Clearing-house Extent of Evidence Categorization Scheme). The extent of evidence takes into account the number of studies and the total sample size across studies that met WWC evidence standards.<sup>4</sup>

The WWC considers the extent of evidence for *First Things First* to be small for staying in school. No study that met WWC evidence standards addressed the domains of progressing in school or completing school.

#### **Effectiveness**

#### **Findings**

The WWC review of dropout prevention programs addresses student outcomes in three key domains: staying in school, progressing in school, and completing school. The Houston study by Quint et al. (2005) assessed outcomes in the staying in school domain.

Staying in school. In the Houston study Quint et al. (2005) found no statistically significant difference after one year of implementation between *First Things First* schools and comparison schools in the percentage of ninth-grade students who attended school the following year. The effect size was not large enough to be considered substantively important by WWC standards.

#### Rating of effectiveness

The WWC rates the effects of an intervention in a given outcome domain as: positive, potentially positive, mixed, no discernible effects, potentially negative, or negative. The rating of effectiveness takes into account four factors: the quality of the research design, the statistical significance of the findings,<sup>5</sup> the size of the difference between participants in the intervention and the comparison conditions, and the consistency in findings across studies (see the <u>WWC Intervention Rating Scheme</u>).

# The WWC found First Things First to have no discernible effects on staying in school

#### Improvement index

The WWC computes an improvement index for each individual finding. In addition, within each outcome domain, the WWC computes an average improvement index across studies (see the WWC Improvement Index Technical Paper). The improvement

index represents the difference between the percentile rank of the average student in the intervention condition and the percentile rank of the average student in the comparison condition. Unlike the rating of effectiveness, the improvement index is based entirely on the size of the effect, regardless of

- 4. The Extent of Evidence Categorization was developed to tell readers how much evidence was used to determine the intervention rating, focusing on the number and size of studies. Additional factors associated with a related concept, external validity—such as students' demographics and types of settings in which studies took place—are not taken into account for the categorization. Information concerning how the extent of evidence rating was determined for *First Things First* is presented in Appendix A5.

# The WWC found First Things First to have no discernible effects on staying in school (continued)

the statistical significance of the effect, the study design, or the analyses. The improvement index can take on values between –50 and +50, with positive numbers denoting results favorable to the intervention group.

Based on the one study of *First Things First* that met evidence standards, the average improvement index for staying in school is –1 percentile point.

#### Summary

The WWC reviewed five studies of *First Things First*. One study met WWC evidence standards with reservations; the remaining studies did not meet WWC evidence screens. Based on this one study, the WWC found no discernible effects in the staying in school domain one year after program implementation. The evidence in this report may change as new research emerges.

#### References

#### Met WWC standards with reservations

Quint, J., Bloom, H. S., Black, A. R., & Stephens, L. (2005).

Scaling up First Things First: The challenge of scaling up educational reform. New York, NY: MDRC. (Houston study)

Additional sources

Quint, J. C., Byndloss, D. C., and Melamud, B. (2003). *Scaling up First Things First: Findings from the first implementation year.* New York, NY: MDRC.

#### **Did not meet WWC Evidence Screens**

Quint, J., Bloom, H. S., Black, A. R., & Stephens, L. (2005).

Scaling up First Things First: The challenge of scaling up educational reform. New York, NY: MDRC.<sup>6</sup> (Riverview Gardens study)

Quint, J., Bloom, H. S., Black, A. R., & Stephens, L. (2005).

Scaling up First Things First: The challenge of scaling up educational reform. New York, NY: MDRC.<sup>7</sup> (Kansas City study)

Quint, J., Bloom, H. S., Black, A. R., & Stephens, L. (2005). Scaling up First Things First: The challenge of scaling up educational reform. New York, NY: MDRC.<sup>8</sup> (Shaw and Greenville study)

Gambone, M. A., Klem, A. M., Summers, J. A., Akey, T. A., & Sipe, C. L. (2004). *Turning the tide: The achievements of the First Things First education reform in the Kansas City, Kansas Public School District.* Philadelphia, PA: Youth Development Strategies, Inc.<sup>9</sup>

For more information about specific studies and WWC calculations, please see the <u>WWC First Things First</u> <u>Technical Appendices</u>.

- 6. There was only one school in the study condition, so the analysis could not separate the effects of the intervention from the effects of the school.
- 7. Unlike the other sites in the MDRC study, First Things First was implemented districtwide in Kansas City. Therefore, unlike the other sites, comparison schools were selected from other school districts in the state. The effect of First Things First was estimated by comparing trends in outcomes in Kansas City high schools with similar trends for seven other Kansas high schools (outside Kansas City) with substantial minority enrollment and below-average test scores. With this methodology, the analysis could not separate the effect of First Things First from the effect of other factors associated with the Kansas City school district.
- The outcome measures are not relevant to this review.
- 9. The study did not use a comparison group.

### **Appendix**

#### Appendix A1 Study characteristics: Quint, Bloom, Black, & Stephens, 2005—Houston study (quasi-experimental design)

Characteristic	<b>Description</b>	
Study citation	Quint, J., Bloom, H. S., Black, A. R., & Stephens, L. (2005). Scaling up First Things First: The challenge of scaling up educational reform. New York, NY: MDRC.	
Participants	The study examined the performance of students attending schools in the Houston Independent School District. The main analysis sample included students from three high schools and four middle schools implementing <i>First Things First</i> , as well as students from a set of matched comparison schools. The middle school study did not examine outcomes relevant to WWC dropout prevention reviews. Therefore, the results in this intervention report pertain only to the high school analysis.	
	The high school sample consists of all students enrolled in the study schools during the study period: three years prior to <i>First Things First</i> implementation and one year after implementation. This included a total of 7,891 high school students in the <i>First Things First</i> schools. The study authors did not report the number of students in comparison high schools. Comparison schools in the Houston school district were matched to each <i>First Things First</i> high school based on overall student performance at the schools of standardized achievement tests during the baseline period. High schools in the district whose mean combined reading and math scores on the Stanford Achievement Test, ninth edition (SAT-9), were within 0.25 standard deviations of the mean for the <i>First Things First</i> school were selected as comparison schools. This methodology resulted in comparison schools for 2 of the <i>First Things First</i> high schools and 11 comparison schools for the other. A high school could be chosen as the comparison school for more to one <i>First Things First</i> school, and this often occurred. A total of 13 Houston high schools served as comparison schools for the <i>First Things First</i> Houston study.	
	The high school study examined two cohorts of students. Cohort 1 consisted of one intervention high school that implemented <i>First Things First</i> in 2001 and its matched comparison schools. Cohort 2 consisted of two intervention high schools that began implementing <i>First Things First</i> in 2002 and their comparison schools. The WWC used results for cohorts 1 and 2 combined to rate the effectiveness of <i>First Things First</i> .	
	In the years prior to the implementation of <i>First Things First</i> , the three <i>First Things First</i> schools and their comparison high schools had, on average, similar attendance and promotion rates and served students who had similar tenth-grade passing rates on math and reading tests. The study authors indicated that the <i>First Things First</i> high schools in Houston were heavily Hispanic, while other low-achieving high schools in Houston were heavily African-American. Therefore, it was not possible to match high schools closely on both their racial and ethnic composition and their student performance.	
Setting	First Things First was implemented in three high schools in the Houston Independent School District: Lee High School, Sam Houston High School, and Sharpstown High School. During the study period, these three high schools served student populations that were primarily Hispanic. Lee High School began implementing First Things First in the fall of the 2001/02 school year, and Sam Houston and Sharpstown began implementing the program the following school year, 2002/03.	

(continued)

#### **Appendix A1** Study characteristics: Quint, Bloom, Black, & Stephens, 2005—Houston study (quasi-experimental design) (continued)

Characteristic	<b>Description</b>
Intervention condition	First Things First targeted three high schools in Houston with low-achievement levels. Each of these schools implemented the key features of the three components of the whole school reform:
	Small learning communities. First Things First reorganizes schools into small learning communities of up to 350 students and their teachers. The small learning communities in Houston were focused around a central theme (for example, technology), and students remained in the same communities throughout high school. First Things First also recommends that schools reduce student-teacher ratios in math and language arts classes to increase the amount of individualized attention that students receive. Class sizes in the Houston study schools were reduced from an average of 26 students to 20 students between the planning year and the second implementation year.
	Family and student advocate system. Advocates met one-on-one with students weekly and contacted the students' families at least monthly to discuss their academic and personal progress. In at least one Houston high school the advocates became counselors for the students, helping them to schedule the classes they needed to progress toward graduation. Consistent with the model's guidelines, advocates in Houston high schools met weekly with their students as a group. These group sessions were held as either a daily "homeroom" period or a weekly class meeting.
	Instructional changes and supports. First Things First contracted with Kagan Cooperative Learning, Inc., to train teachers on cooperative learning strategies intended to complement the small learning communities. It also called for increased instructional time for math and language arts courses.
Comparison	Matched comparison schools were Houston high schools that did not implement <i>First Things First</i> . The study identified comparison schools from the districts that were similar in average performance on standardized achievement tests in the three years preceding program implementation. High schools in the district whose mean scores on the Stanford Achievement Test, ninth edition (SAT-9), for reading and math combined were within 0.25 standard deviations of the mean for the <i>First Things First</i> school were selected as comparison schools.
Primary outcomes and measurement	The outcome of interest from this study was a measure of the percentage of ninth graders who attended at least one day of school during the following year, referred to as the "one-year persistence rate." The study also examined <i>First Things First</i> 's effects on attendance and standardized test scores. These outcomes do not fall within the three domains examined by the WWC's review of dropout prevention interventions (staying in school, progressing in school, completing school) and are not included in this report.
Staff training	Teachers at First Things First high schools were regular teachers employed by the Houston Independent School District. As part of the instructional changes, teachers in First Things First schools were trained in cooperative learning strategies by Kagan Cooperative Learning. Each school employed a First Things First director to serve as a liaison between the district and the First Things First schools. Staff from IRRE provided ongoing professional development for teachers in the implementation of the family advocate system.

#### Appendix A2 Outcome measures in the staying in school domain

Outcome measure	<b>Description</b>
One-year persistence rate	The percentage of ninth-graders who attended at least one day at a district school at any point during the following school year. Students who were recorded in district records as having transferred to another district at the end of the ninth grade are coded as having persisted in school.
	as having transferred to another district at the chair the firm grade are coded as having persisted in school.

#### Appendix A3 Summary of study findings included in the rating for the staying in school domain<sup>1</sup>

	Auth		Authors' findings	from the study	-			
			Mean outcome WWC calculations					
Outcome measure	Study sample	Sample size (schools) <sup>2</sup>	First Things First group	Comparison group <sup>3</sup>	Mean difference <sup>4</sup>	Effect size <sup>5</sup>	Statistical significance <sup>6</sup> (at $\alpha = 0.05$ )	Improvement index <sup>7</sup>
Quint, Bloom, Black, & Stephens, 2005—Houston study (quasi-experimental design) <sup>8</sup>								
One-year persistence rate	Ninth graders (cohorts 1 and 2)	16	76.3	77.3	-1.0	-0.03	ns	-1
Domain average for staying in school <sup>9</sup>						-0.03	ns	<b>–</b> 1

#### ns = not statistically significant

- 1. This appendix reports findings considered for the effectiveness rating and the improvement index. The one-year persistence rate was measured during the second year of implementation of First Things First in Houston.
- 2. Quint, Bloom, Black, & Stephens (2005) used individual student data for their analysis; however, the relevant outcomes are school-level measures. In the study, each block of schools consists of a *First Things First* school matched with a group of 10 or 11 comparison schools. A school could serve as the comparison school for more than one *First Things First* school. The WWC confirmed with the study authors that the total number of unique comparison schools was 13.
- 3. Quint, Bloom, Black, & Stephens (2005) reported only baseline persistence rates and the difference between the baseline to follow-up changes of the intervention and comparison groups. The study authors provided the WWC with the follow-up means for both groups. The WWC generated the adjusted comparison group mean reported here using the following transformation: adjusted comparison group mean = follow-up comparison group mean + (baseline intervention group mean baseline comparison group). Stated differently, the adjusted comparison group mean equals the follow-up intervention group mean minus the impact, since, under the comparative interrupted time-series technique used in the Quint, Bloom, Black, & Stephens (2005) study, impacts are calculated as: impact = (follow-up intervention group mean baseline intervention group mean) (follow-up comparison group mean baseline comparison group mean).
- 4. Positive differences and effect sizes favor the intervention group; negative differences and effect sizes favor the comparison group.
- 5. For an explanation of effect size calculation, please see the Technical Details of WWC-Conducted Computations. The effect size for the dichotomous variable "one-year persistence rate" was computed using the Cox Index.
- 6. Statistical significance is the probability that the difference between groups is a result of chance rather than a real difference between groups. The level of statistical significance was reported by the study authors.
- 7. The improvement index represents the difference between the percentile rank of the average student in the intervention condition and the average student in the comparison condition. The improvement index can take on values between -50 and +50, with positive numbers denoting results favorable to the intervention group.
- 8. The level of statistical significance was reported by the study authors, or where necessary, calculated by the WWC to correct for clustering within classrooms or schools and for multiple comparisons. For an explanation, see the WWC Tutorial on Mismatch. For the formulas the WWC used to calculate the statistical significance, see Technical Details of WWC-Conducted Computations. In the case of the Houston First Things First study, the study authors provided details of their two-level analysis model, which adjusted for clustering within the school, and thus no additional corrections for clustering were necessary.
- 9. This row provides the study average, which in this instance, is the same as the single measure for the persistence rate.

#### **Appendix A4** First Things First rating for the staying in school domain

The WWC rates an intervention's effects for a given outcome domain as positive, potentially positive, mixed, no discernible effects, potentially negative, or negative. For the outcome domain of staying in school, the WWC rated First Things First as having no discernible effects. It did not meet the criteria for positive effects, potentially positive effects, mixed effects, potentially negative effects, or negative effects because it had only one study, and that study showed no statistically significant or substantively important outcomes, either positive or negative, in this domain.

For explanations of how First Things First fared on the criteria for these ratings, see below.

#### **Rating received**

No discernible effects: No affirmative evidence of effects.

Criterion 1: No studies showing a statistically significant or substantively important effect, either positive or negative.

Met. The single study of First Things First showed no statistically significant or substantively important effects in this domain.

#### Other ratings considered

Positive effects: Strong evidence of a positive effect with no overriding contrary evidence.

Criterion 1: Two or more studies showing statistically significant positive effects, at least one of which met WWC evidence standards for a strong design.

Not met. No study of First Things First showed a statistically significant positive effect in this domain.

#### **AND**

• Criterion 2: No studies showing statistically significant or substantively important negative effects.

Met. No study of First Things First showed statistically significant or substantively important negative effects in this domain.

Potentially positive effects: Evidence of a positive effect with no overriding contrary evidence.

Criterion 1: At least one study showing a statistically significant or substantively important positive effect.

Not met. No study of First Things First showed a statistically significant or substantively important positive effect in this domain.

#### AND

 Criterion 2: No studies showing a statistically significant or substantively important negative effect and fewer or the same number of studies showing indeterminate effects than showing statistically significant or substantively important positive effects.

Met. No study of First Things First showed statistically significant or substantively important negative effects in this domain.

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#### **Appendix A4** First Things First rating for the staying in school domain (continued)

Mixed effects: Evidence of inconsistent effects as demonstrated through either of the following criteria.

• Criterion 1: At least one study showing a statistically significant or substantively important *positive* effect, and at least one study showing a statistically significant or substantively important *negative* effect, but no more such studies than the number showing a statistically significant or substantively important *positive* effect.

Not met. No study of First Things First showed a statistically significant or substantively important effect, either positive or negative, in this domain.

#### OR

• Criterion 2: At least one study showing a statistically significant or substantively important effect, and more studies showing an *indeterminate* effect than showing a statistically significant or substantively *important* effect.

Not met. No study of First Things First showed a statistically significant or substantively important effect in this domain.

Potentially negative effects: Evidence of a negative effect with no overriding contrary evidence.

Criterion 1: At least one study showing a statistically significant or substantively important negative effect.

Not met. No study of First Things First showed a statistically significant or substantively important negative effect in this domain.

#### **AND**

• Criterion 2: No studies showing a statistically significant or substantively important *positive* effect, or more studies showing statistically significant or substantively important *positive* effects.

Met. No study of First Things First showed statistically significant or substantively important positive effects in this domain.

Negative effects: Strong evidence of a negative effect with no overriding contrary evidence.

• Criterion 1: Two or more studies showing statistically significant negative effects, at least one of which met WWC evidence standards for a strong design.

Not met. No study of First Things First showed a statistically significant negative effect in this domain.

#### **AND**

• Criterion 2: No studies showing statistically significant or substantively important positive effects.

Met. No study of First Things First showed statistically significant or substantively important positive effects in this domain.

1. For rating purposes, the WWC considers the statistical significance of individual outcomes and the domain-level effect. The WWC also considers the size of the domain-level effect for ratings of potentially positive or potentially negative effects. For a complete description, see the <a href="https://www.wwc.numer.

#### Appendix A5 Extent of evidence by domain

	Sample size					
Outcome domain	Number of studies	Schools	Students	Extent of evidence <sup>1</sup>		
Staying in school	1	16	nr	small		
Progressing in school	0	na	na	na		
Completing school	0	na	na	na		

na = not applicable or not studiednr = not reported

<sup>1.</sup> A rating of "moderate to large" requires at least two studies and two schools across studies in one domain, and a total sample size across studies of at least 350 students or 14 classrooms. Otherwise, the rating is "small."