Effective Evaluation of Training: Beyond the Measurement of Outcomes

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This paper describes a project designed to evaluate a 5-day clinical supervision workshop. The failure of Kirkpatrick’s 4-level evaluation model to include key contextual input variables is demonstrated to be a critical evaluative shortcoming. The project shows how the use of valid diagnostic tools like the Learning Transfer Systems Inventory can help evaluators overcome this fatal flaw, gain insight into key factors influencing training effectiveness, and make competent recommendations for improving training and learning transfer.

Keywords: evaluation, measurement, training

The Kirkpatrick four-level evaluation model (1994) has served as the primary organizing framework for training evaluations for over 40 years. There is no doubt the model has made valuable contributions to training evaluation thinking and practice. It has helped focus training evaluation practice on outcomes (Newstrom, 1995) and underscored the importance of examining multiple measures of training effectiveness. In particular, the distinction between learning (level two) and behavior (level three) has drawn increased attention to the importance of the learning transfer process in making training truly effective.

However, we take the position that training is only effective if what is learned in training is ultimately applied on-the-job. If this fundamental criterion for training effectiveness is accepted then the 4-level model’s exclusive focus on training outcomes becomes a critical evaluative shortcoming. By focusing only on learning, job behavior and so on the 4-level model provides an oversimplified view of training effectiveness because it doesn’t consider a variety of critical input factors that can influence those outcomes. For example, a broad stream of research over past two decades (Salas & Cannon-Bowers, 2001; Tannenbaum & Yukl, 1992) has documented the presence of a wide range of organizational, individual, and training design and delivery factors that can influence training effectiveness before, during, or after training. This research has led to a new understanding of training effectiveness that considers work environment, organizational and individual factors as crucial input factors. Kirkpatrick’s model ignores these factors and, in effect, assumes their examination is not essential for effective training evaluation. But without understanding and measuring these factors as a part of evaluation it is not possible to fully understand why training is or is not effective. And, equally important, it is not possible to make competent recommendations about how training – and particularly the application of training on the job – can be improved.

This paper describes a project designed to evaluate the learning and transfer of learning following participation in a 5-day clinical supervision workshop. For HRD practitioners, this project is valuable because it demonstrates how learning and learning transfer can be assessed using relatively straightforward pre- and post-training measures. More importantly, the project exemplifies why Kirkpatrick’s 4-level model needs to be supplemented with valid, research-based, theoretically driven diagnostic tools that can provide information about factors that can influence training effectiveness. The Learning Transfer Systems Inventory (LTSI) was used as such a diagnostic in this project. It provided key insights into factors affecting training effectiveness and, in so doing, enabled evaluators to make accurate assessments of gaps in training system performance and to develop competent recommendations for improving training and learning transfer.

Project Background

The goal of the Addiction Counseling (AC) division in the Department of Health is to provide prevention and treatment services delivered by highly trained professionals. For this reason, the training and continuous skill upgrading of AC’s professional staff has become a high priority. Because of the substantial investments in training made by AC, there is a growing interest in taking steps to make training as effective as possible. This paper describes the evaluation of a five-day Clinical Supervision Training Workshop (CSTW). The workshop was conducted by an external provider and was aimed at improving the clinical supervision skills of a group of AC addiction counselors. The goal of the evaluation was to assess the training outcomes resulting from the CSTW and
to make recommendations about how training effectiveness might be improved. The evaluation focused on four questions:

- To what extent did learning by clinical supervisors occur as a result of participation in the CSTW?
- To what extent did clinical supervisors transfer knowledge and skills learned in the CSTW to job behavior?
- What catalysts and barriers exist to on-the-job use of the knowledge and skills acquired in the CSTW?
- What change is needed to help overcome the barriers to training transfer and to improve the on-the-job use of the knowledge and skills acquired in training?

**Methodology**

**The Sample.** Data for this evaluation were collected from 28 clinical supervisors. Those responding represented six different AC offices spread throughout a state in the southern US. Nearly all of clinical supervisors (96.4%, n = 27) had six or more years of experience with AC. About 64% (n = 18) had received less than eight hours of clinical supervision training prior to the present CSTW. Most respondents (67.9%, n = 19) spent less than 50% of their time in staff supervision. Eleven (39%) of the participants were men.

**Data Collection**

Pre- and post-training learning and job behavior measures were collected to gauge learning and learning transfer. Critical factors affecting training effectiveness were also assessed using quantitative and qualitative methods. Obtaining this data required the development and use of multiple assessment instruments.

**The Job Behavior Rating Instrument** The Job Behavior Rating Instrument (JBRI) was designed to assess respondents’ perceptions of the extent to which a subset of skills or behaviors taught in training were used by clinical supervisors as a part of their active clinical supervision practice. The JBRI therefore focused on a subset of five of the 20 modules delivered during CSTW. The five modules were selected with the assistance of several subject matter experts who reviewed the CSTW Manual and identified those modules most critical for effective clinical supervision. This subset of modules was then reviewed by the CSTW trainer to identify a) behavioral products that would be generated by a clinical supervisor using what he or she learned in training (e.g., develops practical treatment options); and b) process, principles, steps or procedures learned in training that should be exhibited on the job. The result was a list of 25 behavioral outcome statements that were subsequently reviewed and revised by the authors into a set 33 rating items.

Assessment ratings were collected at two points in time. A pre-training JBRI was administered 10 days before training and a post-training JBRI was administered three weeks after training. Ratings were collected from two sources: self-ratings by clinical supervisors about their use of the learned skills or behaviors, and subordinates’ ratings of their supervisors’ skill/behavior use. Respondents were asked to provide a rating of the extent to which the clinical supervisor used each skill or behavior as a part of his or her current clinical supervision practice. Ratings were provided along a 5-point Likert-type scale: 1 = never, 2 = almost never, 3 = sometimes, 4 = very often, 5 = always. For each administration, the JBRI was emailed to respondents with a cover letter explaining the project and the purpose of the instrument. Several follow-up emails were sent to encourage respondents to complete the instrument. Completed instruments were returned directly to the lead investigator via email or post.

**The Learning Assessment Instrument** The Learning Assessment Instrument (LAI) was designed to provide a reliable measure of learning gains from training. Items on the LAI were drawn from 14 of the 20 modules included in the Clinical Supervision Workshop with 20 items representing the five critical modules targeted by the JBRI. The remaining 19 items represented nine other modules. A pre-training administration of the LAI was completed at the beginning of the first day of the CSTW and a post-training administration was completed late on the final day of training.

**The Learning Transfer Systems Inventory** The Learning Transfer Systems Inventory (LTSI) was used to provide information about characteristics of the workplace, training design and content, individual attributes, and groups variables that can act as either barriers or catalysts to training transfer. The LTSI is based on Noe’s theory of work behavior (1986) and is built around a comprehensive evaluation and measurement model (Holton, 1996). Conceptually, the LTSI views performance improvement from training as a function of a system of factors represented by four sets of elements: secondary elements, ability/enabling elements, motivation elements, and environmental elements (see Figure 1). Nested within these four domains are measures addressing a set of 16 learning transfer system constructs.
The LTSI is a survey instrument and is currently the only validated diagnostic tool available that measures a comprehensive set of learning transfer system factors. It has undergone several developmental iterations and research has provided evidence of the instrument’s construct (Holton, Bates, & Ruona, 2000; Bookter, 1999) and criterion-related validity (Bates, 2001). Recent research also suggests the LTSI may have cross-cultural applicability (e.g., Bates, Kauffeld, & Holton, 2004; Chen, Holton, & Bates, in press; Khasawneh, Bates, & Holton, in press).

For this project, the LTSI contained 74 items and was divided into three sections. The first section contained 45 items measuring 11 transfer constructs specifically referencing the CSTW. For this section, respondents were directed “to think about the Initial Clinical Supervision Workshop” when responding to these items. The second section contained 23 items measuring five constructs that reflect respondents’ general experience with training in ADC. Respondents were directed to “think about training in general in your organization” when responding to these items. For items in section 1 and 2 respondents were asked to rate the items on a Likert-type scale ranging from 1 = strongly disagree, 2 = disagree, 3 = neither agree nor disagree, 4 = agree, to 5 = strongly agree. The final section of the survey contained 6 items directed at collecting a variety of descriptive information about the sample.

**Focus Groups** A series of three focus groups were conducted with different groups of clinical supervisors four to five weeks following training to obtain feedback about training content and processes, collect in-depth information about learning transfer system catalysts and barriers, and gather insights for training and learning transfer improvement. A total of 13 clinical supervisors from three different regional offices participated in the group sessions.

**Data Analysis**

**The LAI** Responses to the LAI were evaluated and the number of correct responses made by each respondent were calculated. Correct response scores on the pre- and post-training administrations were compared to evaluate whether and to what extent the scores changed. A paired-samples t-test procedure computed the differences between the two test scores for each training participant and tested whether the overall average differed significantly from 0.
**The JBRI** Mean self- and subordinate pre- and post-training job behavior ratings across 33 JBRI items were calculated. The pre- and post-training means for each of the 33 items were compared separately for clinical supervisor self-ratings and for subordinate ratings and a difference score for each was calculated. The difference scores were used to demonstrate the extent to which pre- and post-training ratings changed for each group of raters. A paired-samples t-test procedure was run for each group of raters that computed the differences between the two ratings for each JBRI item and tested whether the overall average differed significantly from 0.

**The LTSI.** Scale reliabilities were estimated using Cronbach’s alpha, a measure of the internal consistency of items in a scale. Scale scores were then computed for each scale for each respondent by calculating the mean rating for all items in a scale. The scale scores were then averaged across all respondents giving a single grand mean score for each scale.

**Focus Group Data** Focus group sessions were tape recorded and transcribed. Transcriptions and facilitator notes from the sessions were analyzed using a constant comparative method to identify topics, interconnections, and themes about the predominant aspects of training effectiveness and the learning transfer system catalysts and barriers facing clinical supervisors in AC. Four key themes emerged from this data. First, data indicated that, in general, trainees felt more should be done to prepare them to enter, participate, and learn in training. For example, respondents wanted more information about why they had been selected for training and how the content of training would benefit their job-related development. Second, data indicated that respondents would have benefited from more direct supervisor involvement and support in preparing for training and in using the new learning once back on the job. Third, respondents indicated that much of the training they had attended in the past often did not directly address job requirements or performance problems they felt they were faced with. Finally, respondents indicated there was typically little or no available “space” in their daily work schedules to allow for opportunities to use new learning and, when opportunities did arise, that efforts to use new learning should be more systematically rewarded.

**Results**

**Question 1: To what extent did learning by the clinical supervisors occur as a result of participation in the CSTW?** The data indicated that 27 of 29 supervisors improved their scores on the post-test over those achieved on the pre-test. The post-test score for one participant decreased and the score for one participant did not change from the pre-test score. Table 1 shows the overall pre- and post-test means, standard deviations, and the results of a paired sample test of difference in pre- and post-test scores. Mean test scores across all participants increased from 17.76 on the pre-test to 24.21 on the post-test, a statistically significant gain of 6.45 points. In short, there appears to have been a notable increase in learning from the pre-test to the post-test for nearly all of the training participants.

<table>
<thead>
<tr>
<th></th>
<th>Mean</th>
<th>N</th>
<th>Std. Deviation</th>
<th>Paired Sample T-Test</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-Test</td>
<td>17.76</td>
<td>29</td>
<td>4.46</td>
<td></td>
</tr>
<tr>
<td>Post-Test</td>
<td>24.21</td>
<td>29</td>
<td>3.78</td>
<td></td>
</tr>
<tr>
<td>Difference</td>
<td>6.45</td>
<td>29</td>
<td>4.30</td>
<td>8.08*</td>
</tr>
</tbody>
</table>

*p < .05

**Question 2: To what extent did clinical supervisors transfer the skills learned in the CSTW to job behavior?** The data for this question was based on the average self-ratings of 28 clinical supervisors and the average ratings of supervisor behavior from 44 subordinates across 33 behavioral elements. Table 2 shows the overall self- and subordinate pre- and post-training JBRI means and the results of a paired sample test of difference between pre- and post-training ratings. Results show that the average self-rating of clinical supervisors showed a slight increase (+.12) in target skills and behaviors whereas average subordinate ratings showed a slight decrease (-.08). Both differences, although modest, were significantly different from 0.

Closer inspection of the data generated from the JBRI reinforces this mixed view of the extent to which the skills and behaviors learned in training were transferred by clinical supervisors. On one hand, self- and subordinate ratings agreed in showing an increase in the use of 7 of the 33 (21.2%) behavior elements. On the other hand, ratings from both sources showed a decrease in the use of 8 of the 33 (24.2%) behavior elements. For the remaining 18 (55.6%) behavior elements the average self-ratings of the clinical supervisors indicated some increase in use whereas subordinate ratings indicated a decrease in use. In sum, using the agreement of self- and subordinate ratings as the strongest evidence of learning transfer, the data suggested that there was some job application of a modest proportion (21%) of the skills and behaviors assessed for this project. For the remaining 79% of the behavior
elements there was no clear evidence that these behavior elements showed any substantial increase in use on the job following training.

Table 2: Test of Self- & Subordinate Pre- & Post-Training Job Behavior Rating Means

<table>
<thead>
<tr>
<th></th>
<th>N</th>
<th>Mean</th>
<th>Mean Difference (Post – Pre)</th>
<th>Paired Sample T-Test</th>
</tr>
</thead>
<tbody>
<tr>
<td>Self-Ratings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre</td>
<td>28</td>
<td>3.51</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Post</td>
<td>28</td>
<td>3.63</td>
<td>.12</td>
<td>3.61*</td>
</tr>
<tr>
<td>Subordinate Ratings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre</td>
<td>44</td>
<td>3.20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Post</td>
<td>44</td>
<td>3.12</td>
<td>-.08</td>
<td>3.43*</td>
</tr>
</tbody>
</table>

*p < .05

It is important to note that had this evaluation stopped at this point with the assessment of learning and job behavior outcomes we would have known only that very modest learning occurred and that the transfer of learning to job behavior three weeks after training was weak. We would not, however, have known anything about why training was not more effective or about how it might be made more effective in the future. This is a crippling flaw of the 4-level approach to evaluation. It is also the point at which taxonomic evaluation models such as Kirkpatrick’s need to be supplemented with additional tools and models so that critical influences on training effectiveness can be assessed and analyzed. The following steps demonstrate how this can be done using valid quantitative diagnostic tools (the LTSI) supplemented with qualitative data gathered through focus groups.

**Question 3: What catalysts and barriers exist to on-the-job use of the knowledge and skills acquired in the CSTW?** Responses to the LTSI coupled with qualitative data from the focus groups were used to answer this question. Scale means from the LTSI were rank ordered from highest to lowest and categorized according to the following criteria:

- < 2.5  Severe Barrier to Learning Transfer
- 2.51 – 3.5  Barrier to Learning Transfer
- 3.51 – 4.00  Weak Catalyst for Transfer Needing Improvement
- > 4.01  Catalyst for Learning Transfer

The prioritization and categorization process was done as a practical aid in identifying leverage points for change in the learning transfer system facing clinical supervisors. Results (Table 3) indicated that barriers to transfer far outnumbered the catalysts. Eleven of 15 scales emerged as either barriers or severe barriers to learning transfer, 3 scales as catalysts needing improvement, and one scale as a catalyst for transfer. One scale was deleted from the analysis because of low reliabilities for this sample. When interpreted in conjunction with the focus group data these findings have the following implications for training effectiveness.

**The Catalyst: Transfer Design** The relatively high score for Transfer Design (4.10) reinforces focus group data indicating that trainees viewed the manner in which the training content was delivered facilitated learning transfer. Training participants felt that the use of role plays, cases, scenarios and examples, and directed group work helped to both clearly link learning with on-the-job performance and to demonstrate and provide practice in how to apply what was being learned.

**Potentially Powerful Catalysts: Trainee Motivation** The Clinical Supervisors’ confidence in their own ability to improve job performance (Performance Self-Efficacy), their level of motivation to transfer learning, and their expectations that devoting energy to applying training will result in performance improvements (Transfer Effort – Performance Expectations) emerged as positive transfer variables. Scores on these motivational variables suggested that the clinical supervisors were relatively well motivated to use training to improve their job performance. These motivational factors represented a potentially powerful catalyst for training effectiveness if some of the barriers could be removed or reduced.

**Substantial Barriers to Transfer: Consequences, Interpersonal Support, & Opportunity to Use** The most substantial barriers to learning transfer ultimate training effectiveness appeared to reside in the work environment of the clinical supervisors. The two factors with the lowest scale scores, Personal Outcomes Positive and Personal Outcomes Negative, relate to the rewards, recognition or other consequences clinical supervisors perceived as tied to the presence or absence of learning transfer. The data suggested that there are neither positive nor negative consequences that attended the learning transfer efforts of clinical supervisors. Data also indicated that the level of active interpersonal support for transfer, particularly from trainees’ supervisors or managers, was limited at best. Although supervisors/managers provided substantial verbal encouragement promoting training attendance, the level
Evidence for another critical barrier to transfer emerged from a consolidation of focus group themes and LTSI data. Discussions with clinical supervisors suggested the need for a more systematic and effective front-end analysis process in AC. Front-end analysis of training needs or transfer obstacles seldom occurred or, when it did occur, it was limited to irregular “felt-needs” surveys. These factors coupled with the number of barriers to learning transfer identified in this assessment strongly suggested the need for a systematic front-end analysis process in AC. Such a process is fundamental to effective training and transfer because it provides the information for building performance-based training and an appropriate configuration of systems, policies, processes, and other work-related factors to support the application of new learning.

**Training Process Barriers: Content Validity and Learner Readiness** These two factors relate to the training process and emerged as somewhat less intense barriers to learning transfer than those that have been addressed so far. The mean score on the Content Validity scale (3.45) suggested the factor may represent a barrier to transfer. Although focus group participants were fairly positive in their comments supporting the job relevance of

### Table 3: LTSI Scale Scores Rank Ordered and Classified

<table>
<thead>
<tr>
<th>LTSI Scale Name</th>
<th>Mean</th>
<th>LTSI Scale Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Catalysts for Learning Transfer (&gt; 4.01)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transfer Design</td>
<td>4.23</td>
<td>The extent to which training has been designed to give trainees the ability to transfer learning to job application.</td>
</tr>
<tr>
<td><strong>Catalysts Needing Improvement (3.51 - 4.00)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Motivation to Transfer</td>
<td>3.91</td>
<td>The direction, intensity and persistence of effort exerted toward using on the job skills and knowledge learned in training.</td>
</tr>
<tr>
<td>Performance Expectations</td>
<td>3.87</td>
<td>The expectation that effort devoted to transferring learning will lead to changes in job performance.</td>
</tr>
<tr>
<td>Performance Efficacy</td>
<td>3.58</td>
<td>An individual’s general belief that he/she is able to change his/her performance when needed or desired.</td>
</tr>
<tr>
<td><strong>Barriers to Learning Transfer (2.5 - 3.5)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Content Validity</td>
<td>3.45</td>
<td>The extent to which the trainees judge the training content to accurately reflect job requirements.</td>
</tr>
<tr>
<td>Learner Readiness</td>
<td>3.29</td>
<td>The extent to which individuals are prepared to enter and participate in a training program.</td>
</tr>
<tr>
<td>Peer Support</td>
<td>3.24</td>
<td>The extent to which a trainee’s peers reinforce and support use of learning on-the-job.</td>
</tr>
<tr>
<td>Opportunity to Use</td>
<td>3.23</td>
<td>The extent to which trainees are provided with or obtain resources and tasks on the job that enable them to use the skills taught in training.</td>
</tr>
<tr>
<td>Openness to Change</td>
<td>3.16</td>
<td>The extent to which prevailing group norms resist or discourage the use of new skills and knowledge acquired in training.</td>
</tr>
<tr>
<td>Performance-Outcome Expectations</td>
<td>3.04</td>
<td>The expectation that changes in job performance will lead to outcomes valued by the individual.</td>
</tr>
<tr>
<td>Performance Feedback</td>
<td>2.93</td>
<td>Formal and informal indicators from an organization received by an individual about his or her job performance.</td>
</tr>
<tr>
<td>Personal Capacity</td>
<td>2.87</td>
<td>The extent to which individuals have the time, energy and mental space in their work lives to make changes required to transfer learning to the job.</td>
</tr>
<tr>
<td>Supervisor Support</td>
<td>2.75</td>
<td>The extent to which the trainee’s supervisors/managers support &amp; reinforce the use of learning on-the-job.</td>
</tr>
<tr>
<td><strong>Severe Barriers to Learning Transfer (&lt; 2.5)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personal Negative Outcomes</td>
<td>2.20</td>
<td>The extent to which individuals believe that if they do not apply new skills and knowledge learned in training that it will lead to outcomes that are negative or undesirable.</td>
</tr>
<tr>
<td>Personal Positive Outcomes</td>
<td>1.93</td>
<td>The degree to which applying training on the job leads to outcomes that are positive or desirable for the individual.</td>
</tr>
</tbody>
</table>
this training, their comments also indicated that the training might have suffered under the weight of the large amount of information presented. Focus group comments indicated that, because of the sheer volume of information presented during the 5-day training, trainees had to selectively filter through it and focus on those pieces they saw as most relevant and useful to their immediate individual needs and challenges. This selective filtering process, by emphasizing the value of a limited segment of the content, apparently led to a decrease in the overall perceived job relevance of the training. A modest mean score on the Learner Readiness scale (3.29) suggested that clinical supervisors did not receive sufficiently clear information about the specific knowledge and skills they should expect to learn or how that learning would improve performance.

Summary

The quantitative and qualitative data collected during the course of this project depicted a situation in which a group of relatively well motivated clinical supervisors participated in a 5-day training workshop that they felt was delivered in ways that facilitated learning transfer and was, at least in part, seen to be relevant to their jobs. Data indicated that modest learning gains resulted from training participation. And, after three weeks back on the job, only a relatively small percentage (21%) of the most critical knowledge and skills included in the training were being used. Finally, it is clear from the data that clinical supervisors faced a number of barriers to more effective training and comprehensive learning transfer.

Based on these findings the following recommendations were directed at key leverage points for improving overall training effectiveness:
1. Develop and implement a systematic front-end analysis process to identify performance-related training needs and diagnose transfer problems before training is designed and delivered.
2. Implement a process for communicating to all key stakeholders in the training effort including managers, direct supervisors, and trainees the content, rationale for, and desired outcomes of training before training begins.
3. Build the capacity of managers and direct supervisors to actively support and facilitate learning transfer. Priority areas include:
   • Prepare managers and direct supervisors with a sound and fundamental understanding of learning transfer and the factors that can influence it in the workplace.
   • Implementing an informal reward system that supports the job application of learning.
   • Developing the capacity of managers and direct supervisors to provide ongoing coaching and feedback for learning transfer, including the use of goal-setting and action planning for learning and transfer.
4. Implement post-training mechanisms so trainees can network and collaborate with peers and colleagues about learning and training transfer.
5. For training that is important, develop and implement a process for evaluating training and assessing learning transfer. At a minimum, the evaluation process should provide information about the:
   • Job-relevance of training, the quality of training delivery, and the transferability of learning.
   • Learning that occurred as a result of training participation.
   • Performance/job behavior changes that resulted from the application of learning.
   • Factors influencing the job application of training.

Implications for Practitioners

In general, the benefits that can be derived from training evaluation are directly related to the evaluation models, methods, and tools used and their capacity to provide information that increases the clarity of judgment and reduces the uncertainty of action for our clients and stakeholders (Patton, 1997). For training evaluation, this is best done when quantitative and qualitative, descriptive and judgmental information is systematically collected in ways that a) assess program effectiveness, and b) helps improve the program relative to its goals (Goldstein & Ford, 2002; Holton, 1996). Unfortunately, the failure of Kirkpatrick’s 4-level model to include consideration of key contextual input variables in training evaluation masks the real complexities of the training process. The problem with using the 4-level model is that, although it may provide some beneficial information about program outcomes (assuming appropriate criteria are selected and adequately measured), when measurement is restricted to training outcomes no information about why training was or was not effective is generated. In the present case study, it would have been impossible to understand the barriers to training effectiveness (including transfer) and to subsequently make relevant...
and effective recommendations by measuring outcomes alone. Examination of a relatively broad range the learning transfer system variables provided a far more complete and accurate picture of the gaps in training effectiveness. In other words, the danger is that practitioners using the 4-level approach alone will quite likely remain woefully uninformed about critical aspects of training effectiveness, will consequently arrive at erroneous conclusions about their training programs (Holton, 1996). This case demonstrates the value of diagnostic tools like the LTSI in training evaluation as critical supplements to traditional outcomes-focused evaluations. Tools such as this provide a theoretical framework for understanding training effectiveness and furnish valid and reliable means for assessing factors essential to training effectiveness. For evaluators, the result is a more complete and accurate understanding of the ‘why and wherefore’ of evaluative outcomes, and a firm foundation for making useful recommendations for improvement.

This case has demonstrated the value of the LTSI as an evaluative tool capable of identifying leverage points for change in the training – learning transfer – performance improvement process. These leverage points are factors that are either facilitating or blocking training effectiveness. There are at least two approaches to the interpretation of these leverage points (Holton, 2003) that should be examined in future research and practice. This first, the fit approach, suggests that the critical leverage points are likely to be a function of the absolute level of a particular factor and its salience in a particular organization’s culture. For example, in the present case supervisor support issues were quite important. In a more team-oriented culture, peer and co-worker related support variables may have been more important. The second approach, the multiple configurations approach, suggests that it is not any one or two particular factors that are critical. Rather, what is important is the total overall quality of the learning transfer system. From this perspective, the transfer factors are seen as operating as a system to support training effectiveness. In this view, weak elements may be offset or compensated by stronger elements. For example, in the low levels of supervisor support may be offset by more supportive reward systems and stronger transfer designs. In other words, instead of only a single answer to more effective training there are multiple options or configurations of learning transfer factors that can maximize training effectiveness. Future research and practice with the LTSI as an evaluative tool should examine the utility of these separate approaches.

References


