This publication highlights national and regional foundations that are most likely to fund colleges and universities to perform activities similar to those undertaken by the Office of University Partnerships' Community Outreach Partnership Center Program (COPC) of the U.S. Department of Housing and Urban Development. The COPC Program provides funds to help institutions of higher learning form or expand centers for applied research and outreach to communities and neighborhoods. This publication was developed as a resource for COPC grantees. Descriptions are provided for the following foundations and trusts: American Express Foundation, AT&T Foundation, Bristol Myers-Squibb Foundation, Jessie P. Cox Charitable Trust, Ford Motor Company Fund, General Motors Foundation, Inc., Robert Wood Johnson Foundation, Joyce Foundation, W. K. Kellogg Foundation, Charles Stewart Mott Foundation, Pew Charitable Trust, Prudential Foundation, Rockefeller Foundation, US West Foundation, and DeWitt Wallace-Reader's Digest Fund, Inc. For each fund, the description includes contact information, purpose and activities, limitations, and examples of COPC-related funding. A subject index is also provided with entries for community development, economic development, education (K-12), environment, health, job training, and leadership. (JLS)
Copies of *Foundation Resource Guide* can be requested from HUD USER. Request the document by title.

Orders can be placed by calling 1–800–245–2691, 1–800–483–2209 (TDD).
Foreword

Enclosed is a directory of foundations prepared by the Office of University Partnerships (OUP). The foundations described in the directory are national and multistate foundations which, based on information published in The Guide to Funding for Higher Education, appear most likely to fund the kinds of projects you and the other Community Outreach Partnership Centers (COPC) grantees are undertaking. This revised and updated volume reflects suggestions many of you made after examining a draft guide prepared by OUP and includes examples of funded projects. I hope you will find this a useful reference in your ongoing COPC efforts.

Michael A. Stegman
Assistant Secretary for Policy Development and Research
Introduction

This Guide was prepared by the Office of University Partnerships (OUP), created within HUD to bring the resources of colleges and universities to bear on revitalizing communities. Though of possible interest to many institutions of higher learning, this publication was developed as a resource for grantees under HUD’s Community Outreach Partnership Centers (COPC) Program. The COPC Program provides funds to help institutions of higher learning form or expand centers for applied research and outreach to communities and neighborhoods. The foundations described here are national and multistate foundations which, based on information published in The Guide to Funding for Higher Education, appear most likely to fund the kinds of projects COPC grantees are undertaking.

Further information about OUP and the many ways in which it is helping colleges and universities fulfill their urban mission is available from HUD’s University Partnerships Clearinghouse, P.O. Box 6091, Rockville, MD 20849–6091, 1–800–245–2691. Information is also available on the Internet. OUP’s World Wide Web address is http://www.oup.org, its Gopher address is gopher://oup.org:78, and its e-mail address is oup@oup.org.
Foundation Resource Guide
A Compilation of Major Foundations That Sponsor Activities Relevant to Community Outreach Partnership Centers

Office of University Partnerships
Prepared by Kevin Nelson
University Partnerships Clearinghouse

December 1996
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Foundation Listings
Purpose and Activities:

The foundation's philanthropic activities focus on three strategic themes: community service, education, and employment; cultural programs; and historic preservation.

Address: c/o American Express Company
American Express Tower
World Financial Center
New York, NY 10285

Phone: (212) 640-5661

Incorporated: 1954

Foundation Type: Company-sponsored

Contact: Mary Beth Salerno

Contracts paid (in 1994): $10,499,900

Number of Grants (in 1994): 705

High: $950,000
Low: $200

Initial Approach: Letter describing the scope of the project, not to exceed two pages

Board Meetings: Twice a year

Deadline for Submission: None

COPC Subject Areas: Community Development
Education (K-12)

Limitations: Giving primarily in AZ, CA, CO, FL, GA, IL, MA, MN, NC, NE, NY, PA, TX, UT, and Washington, DC.
COPC-Related Funding Examples:

No specific descriptions given.

Florida State University
Tallahassee, FL
$60,000 in 1992

   For community outreach support.

Arizona State University
Tempe, AZ
$10,000 in 1992

   For community outreach support.

Harvard University
Cambridge, MA
$300,000 in 1992

   For community outreach support.
AT&T Foundation

Purpose and Activities:
The foundation is the principle source of philanthropy for AT&T and its subsidiaries; its scope is national, emphasizing support of private higher education and institutions and projects in health care, social action, and the arts.

Address: 1301 Avenue of the Americas, Room 3100
New York, NY 10019

Phone: (212) 841-4747

Incorporated: 1984

Foundation Type: Company-sponsored

Contact: Laura Abbott

Contracts Paid (in 1994): $27,614,616

Number of Grants (in 1994): 754

High: $1,855,000
Low: $500

Initial Approach: Letter describing the scope of the project, not to exceed three pages

Board Meetings: May, June, September, November

Deadline for Submission: None

COPC Subject Areas: Education (K-12), Health
Limitations:
Giving on a national basis.
No support for religious organizations
for sectarian purposes; local chapters
of national organizations; social
sciences or health sciences programs;
medical or nursing schools; junior or
community colleges; industrial
affiliates; or trade schools.

COPC-Related Funding Examples:
No specific descriptions given.

Columbia University
New York, NY
$40,000 in 1992
For community outreach support.

Purdue University
West Lafayette, IN
$30,000 in 1992
For community outreach support.

Princeton University
Princeton, NJ
$30,000 in 1992
For community outreach support.
The Bristol-Myers Squibb Foundation

Purpose and Activities:
The foundation provides assistance for medical research, community funds, higher and other education, and health care. Support is also available for civic affairs and community services, minority and women's organizations, youth agencies, international affairs, and culture.

Address: 345 Park Avenue, 43rd Floor
             New York, NY 10154
Phone: Not Specified
Incorporated: 1982
Foundation Type: Company-sponsored
Contact: Cindy Johnson, Grants Administrator
Contracts Paid (in 1994): $12,617,655
Number of Grants (in 1994): 1,479
High: $2,000,000
Low: $25
Initial Approach: Letter describing the scope of the project, not to exceed two pages
Board Meetings: December
Deadline for Submission: None
COPC Subject Areas: Community Development
Limitations: Giving limited to areas of company operations and to national organizations. No support for political, fraternal, social, or veterans organizations or religious or sectarian organizations not significantly involved in a project engaging the entire community.

COPC-Related Funding Examples:
No specific descriptions given.

Columbia University
New York, NY
$98,000 in 1992
For community outreach support.

St. Mary's College
Notre Dame, IN
$22,852 in 1992
For community outreach support.

Manhattan College
New York, NY
$15,925 in 1992
For community outreach support.
Purpose and Activities:

The foundation provides grants for education, health, environmental protection, and philanthropy development. The trustees tend to favor organizations that have not received prior grants and new approaches over those similar to previously funded projects; fixed amounts of approximately $3 million are to be paid out annually through the trust.

Address: 230 Congress Avenue, 3rd Floor
Boston, MA 02110
Phone: (617) 426-7172
Incorporated: 1982
Foundation Type: Independent
Contact: Michelle Larkin, Office Manager
Contracts Paid (in 1994): Not specified
Number of Grants (in 1994): Not specified
High: Not specified
Low: Not specified
Initial Approach: Letter describing the scope of the project, not to exceed two pages
Board Meetings: Monthly
Deadline for Submission: None
COPC Subject Areas: Community Development
Education (K-12)
Limitations: Given primarily in New England. No support for sectarian religious activities or efforts usually supported by the general public.

COPC-Related Funding Examples:

Boston Conservatory of Music
Boston, MA
$75,000 in 1991

For Project Search, outreach program to identify and benefit artistically talented minority students.

University of New England
Biddeford, ME
$40,000 in 1991

To establish Community Internship Center to provide for-credit internships and volunteer opportunities for students.

New England Conservatory of Music
Boston, MA
$50,000 in 1991

To reorganize and expand educational outreach services for benefit of Boston’s low-income and minority communities.
Purpose and Activities:

Support is available for education, including matching gifts for colleges and universities and basic research grants, community funds, urban affairs, hospitals, and civic and cultural programs.

Address: The American Road
         Dearborn, MI 48121
Phone: (313) 845-8711
Incorporated: 1949
Foundation Type: Company-sponsored
Contact: Leo J. Brennan, Jr., Executive Director
Contracts Paid (in 1994): $26,700,000
Number of Grants (in 1994): 1,600
High: $1,330,000
Low: $100
Initial Approach: Letter describing the scope of the project, not to exceed two pages
Board Meetings: April, October
Deadline for Submission: None
COPC Subject Areas: Community Development
                   Economic Development
                   Education (K-12)
Limitations: Giving primarily in areas of company operations nationwide, with special emphasis on Detroit, Michigan. No grants for individuals or for scholarships or fellowships.

COPC-Related Funding Examples:

Boston College
Chestnut Hill, MA
$10,000 in 1992
For Foundation for Corporate Community Relations Research.

Suomi College
Hancock, MI
$10,000 in 1992
For Native American Outreach Program.

Howard University
Washington, DC
$25,000 in 1992
For Minority MBA Program.
### Purpose and Activities:

Grants are largely available for higher education, community funds, social services, urban affairs, civic affairs, cultural programs, and social services.

| Address:          | 13–145 General Motors Building  
|                  | 3044 West Grand Boulevard       |
| Phone:            | (313) 556–4260                  |
| Incorporated:     | 1976                           |
| Foundation Type:  | Corporate-sponsored            |
| Contact:          | Ronald Theis, Director         |
| Contracts paid (in 1994): | $20,500,595            |
| Number of Grants (in 1994): | 462                        |
| High:             | $1,000,000                     |
| Low:              | $100                           |
| Initial Approach: | Letter describing the scope of the project, contact for guidelines |
| Board Meetings:   | None                           |
| Deadline for Submission: | None                        |
| COPC Subject Areas: | Community Development  
|                  | Education (K-12)               |
| Limitations:      | Giving primarily in plant cities where the company has significant operations. No support for special interest groups, hospitals, or United Way support organizations. |
COPC-Related Funding Examples:

No specific examples given.
Purpose and Activities:

The foundation's purpose is to improve the health and health care of Americans, to help the Nation and its health care system identify and pursue new opportunities to address persistent health problems, and to participate and respond to significant emerging problems.

Address: P.O. Box 2316
Princeton, NJ 08543–2316

Phone: (609) 452–8701

Incorporated: 1936

Foundation Type: Independent

Contact: Edward Robbins, Proposal Manager

Contracts Paid (in 1993): $105,611,050

Number of Grants (in 1993): 769

High: $1,030,403

Low: $82

Initial Approach: Letter describing the scope of the project, not to exceed two pages

Board Meetings: Four times a year

Deadline for Submission: None

COPC Subject Areas: Health

Limitations: Giving limited to the United States. No support for international activities or programs, institutions concerned solely with a specific disease, or basic biomedical research.
COPC-Related Funding Examples:

Illinois Institute of Technology
Chicago, IL
$399,982 in 1991

For Minority Medical Education Program.

University of Rochester
Rochester, NY
$1,009,214 in 1992

For School of Medicine and Dentistry for continuation of urban nurse home-visiting study.

University of Medicine and Dentistry of New Jersey
Piscataway, NJ
$455,953 in 1991

For Robert Wood Johnson Medical School for preventing lead exposure among inner-city children.
Purpose and Activities:

The foundation seeks to address issues of conservation of natural resources, the improvement of urban educational systems, the development of a prosperous and equitable economic base, the revitalization of the electoral process, and the broader acceptance of cultural diversity, with a particular concern for their impact on minorities and the poor who receive less of society's resources.

Address: 135 South LaSalle Street, Suite 4010
Chicago, IL 60603
Phone: (312) 782-2464
Incorporated: 1948
Foundation Type: Independent
Contact: Deborah Leff, President
Contracts Paid (in 1994): $18,944,955
Number of Grants (in 1994): 338
High: $220,000
Low: $925
Initial Approach: Letter describing the scope of the project, contact for guidelines
Board Meetings: March, July, November
Deadlines for Submission: December 15, April 13, August 15
COPC Subject Areas: Community Development
Economic Development
Education (K-12)
Job Training/Micro-Enterprise Assistance
Limitations:

Giving primarily in the Midwest States, including IA, IL, IN, MI, MN, OH, and WI; limited number of conservation grants to KS, MO, ND, NE, and SD.

COPC-Related Funding Examples:

Case Western Reserve University
Cleveland, OH
$52,910 in 1992

For The Center for Regional Economic Issues to develop a framework for analyzing public investments in economic development programs that will benefit low-income populations.

Michigan State University
East Lansing, MI
$101,279 in 1992

For The Julian Samora Research Institute for a collaborative project with Cristo Rey Center to bring about fundamental change in the teaching of Latino youth in North Lansing.

Northwestern University
Evanston, IL
$99,000 in 1992

For the J. L. Kellogg School of Management to design and implement continuous improvement projects at two Chicago public schools.
W.K. Kellogg Foundation

Purpose and Activities:
The foundation's purpose is to receive and administer funds for educational and charitable purposes. Aid is limited to programs concerned with the application of existing knowledge rather than research.

| Address:       | One Michigan Avenue East       |
|               | Battle Creek, MI 49017-4085    |
| Phone:        | (616) 986–1611                |
| Incorporated: | 1930                         |
| Foundation Type: | Independent                 |
| Contact:      | Nancy Sims, Manager of Grant Proposals |
| Contracts Paid (in 1994): | $216,657,592            |
| Number of Grants (in 1994): | Not Specified           |
| High:         | $23,371,560                   |
| Low:          | $390                         |
| Initial Approach: | Letter describing the scope of the project, not to exceed two pages |
| Board Meetings: | Monthly                     |
| Deadline for Submission: | None                        |
| COPC Subject Areas: | Community Development     |
|               | Economic Development         |
|               | Education (K-12)             |
| Limitations:  | Giving primarily in the United States, Latin America, the Caribbean, and southern Africa. No support for religious purposes. No grants to individuals. No grants for operating budgets. |
COPC-Related Funding Examples:

Auburn University
Montgomery, AL
$32,000 in 1992

To improve rural residents’ health and quality of life through health services for the elderly, tutoring/enrichment programs for children, and human resources development, moving toward a goal of promoting community-based health services. Total for project: $1,294,555.

City University of New York
New York, NY
$150,000 in 1992

To improve the educational outlook for at-risk students through peer-tutoring systems with a teamwork approach.

Cornell University
Ithaca, NY
$842,900 in 1992

To increase the capacity of public officials to revitalize small towns and rural communities through education, networking, and community development projects.
Purpose and Activities:

The foundation supports community improvement through grants for expressing individuality; expanding personal horizons; citizenship; volunteer action; counteracting root causes of alienation; community identity and stability; community renewal; environmental management; fostering institutional openness; better delivery of services; and training in and improving practices of leadership.

Address: Office of Proposal Entry
1200 Mott Foundation Building
Flint, MI 48502–1851

Phone: (810) 238–5651

Incorporated: 1926

Foundation Type: Independent

Contact: Not specified

Contracts Paid (in 1994): $51,254,061

Number of Grants (in 1994): 810

High: $4,000,000

Low: $2,500

Initial Approach: Proposal, call for guidelines

Board Meetings: Monthly

Deadline for Submission: None

COPC Subject Areas: Community Development
Economic Development
Education (K-12)
Environment
Limitations: No support to organizations for religious purposes. No grants to individuals, building or endowment funds, research, scholarships, or fellowships.

COPC-Related Funding Examples:

Albany State College
Albany, GA
$20,000 in 1992

To provide low-income and African-American residents of Georgia, Florida, and Alabama with technical assistance in their efforts to respond to community environmental health threats.

University of North Carolina
Chapel Hill, NC
$20,000 in 1992

For the Public Health Foundation for faculty members and graduate students at the university to provide scientific, technical, and other assistance to citizen groups and local governments working on environmental issues in North Carolina.
The Pew Charitable Trusts

Purpose and Activities:
The foundation primarily gives for programs in arts and culture, education, health and human services, conservation and the environment, public and foreign policy, and regional issues. The trusts recently formed an interdisciplinary fund.

Address: One Commerce Square
2005 Market Street, Suite 1700
Philadelphia, PA 19103

Phone: (215) 575-9050

Incorporated: 1948

Foundation Type: Independent

Contact: Rebecca Rimel, President


Number of Grants (in 1994): 529

High: $5,000,000

Low: $5,000

Initial Approach: Letter describing the scope of the project, not to exceed two pages

Board Meetings: Monthly

Deadline for Submission: None

COPC Subject Areas: Community Development
Economic Development
Education (K-12)
Environment
Health
Limitations: No grants to individuals or for endowment funds, deficit financing, scholarships, or fellows.

COPC-Related Funding Examples:

Temple University
Philadelphia, PA
$1,000,000 in 1992

For a project to strengthen teaching and learning in the freshman year through development of learning communities.

Arizona State University
Tempe, AZ
$400,000 in 1992

For Project 1000 to enhance recruitment and retention of disadvantaged students in graduate school.

Cornell University
Ithaca, NY
$842,900 in 1992

To increase the capacity of public officials to revitalize small towns and rural communities through education, networking, and community development projects.
The Prudential Foundation

Purpose and Activities:
The foundation's interests for funding include education; business and civic affairs; urban and community development; health and human services, including AIDS program; arts and culture; and focus on children. Support also is given for United Way drives in area of company operation.

Address: Prudential Plaza
751 Broad Street
Newark, NJ 07012

Phone: (201) 802-7354

Incorporated: 1977

Foundation Type: Company-sponsored

Contact: Not specified

Contracts Paid (in 1994): $14,976,028

Number of Grants (in 1994): 791

High: $200,000

Low: Not specified

Initial Approach: Application form

Board Meetings: Three times a year

Deadline for Submission: None

COPC Subject Areas: Community Development
Education (K-12)
Limitations: Giving primarily in areas of company operation, especially in NJ, CA, FL, MN, and PA. No support for labor, religious, or athletic groups or general operating funds for single disease health organizations. No grants to individuals.

COPC-Related Funding Examples:

Essex County College
Newark, NJ
$10,000 in 1991
For business skills training and a placement program for the unemployed.

Massachusetts Institute of Technology
Cambridge, MA
$25,000 in 1991
For a summer high school program for minority youth.

Seton Hall University
Ithaca, NY
$25,000 in 1991
For a youth development program for first-time juvenile offenders.
The Rockefeller Foundation

Purpose and Activities:
The foundation offers grants and fellowships in three principle areas: international science-based development, the arts and humanities, and equal opportunities.

Address: 420 Fifth Avenue
          New York, NY 10018–2702
Phone: (212) 869–8500
Incorporated: 1913
Foundation Type: Independent
Contact: Lynda Mullen, Secretary
Contracts Paid (in 1993): $75,845,843
Number of Grants (in 1993): 961
High: $2,400,000
Low: $98
Initial Approach: Letter describing the scope of the project, contact for guidelines
Board Meetings: March, June, September, December
Deadline for Submission: None
COPC Subject Areas: Community Development
                      Economic Development
                      Education (K-12)
                      Environment

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Limitations: No support for establishing local hospitals, churches, schools, libraries, or welfare agencies or their building or operating funds; financing altruistic movements involving private profit; or influencing legislation.

COPC-Related Funding Examples:

Emory University
Atlanta, GA
$250,000 in 1992
For The Carter Center to document and evaluate community-action and fundraising strategies that The Atlanta Project (TAP) is using in large-scale effort to combat persistent poverty in Atlanta. This effort makes that information available to other cities for possible replication.

University of Chicago
Chicago, IL
$6,000,000 in 1992
For policy development activities of the new Center for the Study of Urban Inequality.

New School for Social Research
New York, NY
$200,000 in 1992
For the study of social and behavioral effects produced by selected community development corporations (CDCs) in low-income neighborhoods where they operate.
Purpose and Activities:

Giving is primarily for health and human services, including those for minorities and youth; early childhood, elementary, secondary, higher, and other education; rural and community development; and cultural programs.

Address: 7800 East Orchard Road, Suite 300
Englewood, CO 80111

Phone: (303) 793–6648

Incorporated: 1985

Foundation Type: Company-sponsored

Contact: Janet Rush, Grants Manager


Number of Grants (in 1994): 1976

High: $600,000

Low: $300

Initial Approach: Letter describing the scope of the project, contact for guidelines

Board Meetings: Four times a year

Deadline for Submission: None

COPC Subject Areas: Community Development
Education (K-12)

Limitations: Giving limited to the States served by the US WEST calling areas, including AZ, CO, IA, ID, MN, MT, ND, NE, NM, OR, SD, UT, WA, and WY.
COPC-Related Funding Examples:

University of Montana
Missoula, MT
$100,000 in 1991

For a seminar for counselors of Native American students, creation of small business entrepreneurial centers, and a tour of Voice of the Prairie.

University of Northern Iowa
Cedar Falls, IA
$42,600 in 1991

For the Access for Minorities in Education program.

Pueblo Community College
Pueblo, CO
$15,000 in 1991

For adult literacy programs.
Purpose and Activities:

The Fund is founded on the belief that America’s future depends on providing opportunity for all youth to fulfill their educational and career aspirations. To help achieve that vision, the fund invests nationwide to improve elementary and secondary schools; strengthen organizations and programs that serve youth; encourage ties between schools and communities; and increase career, service, and educational opportunities for youth.

Address: Two Park Avenue, 23rd Floor
New York, NY 10016

Phone: (212) 251-9700

Incorporated: 1965

Foundation Type: Independent

Contact: Jane Quinn, Program Director

Contracts Paid (in 1994): $40,726,265

Number of Grants (in 1994): 144

High: $1,400,000

Low: $1,000

Initial Approach: Letter describing the scope of the project, contact for guidelines

Board Meetings: Four times a year

Deadline for Submission: None
COPC Subject Areas:
- Economic Development
- Education (K-12)
- Job Training/Micro-Enterprise Assistance
- Leadership and CBO Capacity
- Building

Limitations:
- No support for public television, film, or media projects; local chapters of organizations; or religious or fraternal organizations. No grants to individuals.

COPC-Related Funding Examples:

Fordham University
New York, NY
$500,000 in 1991

For the Stay-In-School Partnership, a model dropout prevention program that provides comprehensive educational and social services for at-risk elementary school children and their families and constrains students in Fordham’s Graduate School of Education.

Massachusetts Institute of Technology
Cambridge, MA
$100,000 in 1991

To evaluate the YouthBuild Program.
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