

DOCUMENT RESUME

ED 304 166

IR 052 701

TITLE Library Programs. Strengthening Research Library Resources Program. Higher Education Act, Title II-C. Abstracts of Funded Projects, 1988.

INSTITUTION Office of Educational Research and Improvement (ED), Washington, DC.

REPORT NO LP-89-706

PUB DATE Jan 89

NOTE 75p.; For the report on 1987 projects, see ED 293 552.

PUB TYPE Reference Materials - Directories/Catalogs (132) -- Reports - Descriptive (141)

EDRS PRICE MF01/PC03 Plus Postage.

DESCRIPTORS Academic Libraries; *Cataloging; *Federal Aid; Financial Support; Higher Education; *Library Collection Development; Library Networks; Microreproduction; Online Catalogs; *Preservation; *Research Libraries; Shared Library Resources

IDENTIFIERS *Higher Education Act Title II

ABSTRACT

The 39 funded programs described in this brochure involve bibliographic control, collection development, and preservation of library materials at research libraries, and are designed to make rare materials accessible through bibliographic control and networking. The description of each funded project includes the responsible agent conducting the research library project; the individual responsible for administering the project for the sponsoring institution; the major area of project activity; the grant period; the amount of money awarded for use during the grant period; the U.S. Department of Education control number; and an abstract summarizing the project goals and activities. Three appendixes include five data tables on the funding for the projects and two charts on the project focus; a summary of joint projects for FY 1978-88; and a map of states that have benefited from HEA Title II-C since 1976. (CGD)

 * Reproductions supplied by EDRS are the best that can be made *
 * from the original document. *

Library Programs

Strengthening Research Library Resources Program

**Higher Education Act, Title II-C
Abstracts of Funded Projects
1988**

January 1989

U.S. Department of Education

Lauro F. Cavazos

Secretary

Office of Educational Research and Improvement

Patricia M. Hines

Acting Assistant Secretary

Library Programs

Anne J. Mathews

Director

Information Services

Sharon K. Horn

Acting Director

The authority for the Strengthening
Research Library Resources Program
is Part C of Title II of the Higher
Education Act of 1965, Public Law
89-329, as amended by:

The Education Amendments of
1976, Public Law 94-482;

The Education Amendments of
1980, Public Law 96-374; and

The Higher Education Amendments
of 1986, Public Law 99-498.

Foreword

"The expansion in the scope of educational and research programs and the rapid increase in the worldwide production of recorded knowledge have placed unprecedented demands upon major research libraries, requiring programs and services that strain the capabilities of cooperative action and are beyond the financial competence of individual or collective library budgets."

With these words, Congress, in the Education Amendments of 1976, launched the HEA Title II-C, Strengthening Research Library Resources Program. In the 11 years since its enactment, the accomplishments of this program, which span the continent and, in some instances, the world, are many-faceted and exciting. Imagine a walk through the Newspaper Archives of the Boston Public Library with its 35,000 volumes of original newspapers. Or a visit to an exhibit from the revitalized Poster Collection in the Hoover Institution Archive, a prized historical treasure representing notable artists from around the world that documents the efforts of official and underground agencies to mobilize the public in time of crisis. Or discovering through an on-line search that microform copies of the medieval Slavic manuscripts you need are held at Ohio State University and are available for use on-site or through interlibrary copying. These activities and many others of equal import have been supported with HEA Title II-C funds.

This brochure describes briefly the projects funded in fiscal year 1988, including valuable summary data in table form which provide further insight into program activities. As in previous years, this slate reflects a diversity of subject matter and design in the effort to make rare material accessible through bibliographic control and networking, through preservation, and through augmentation of already strong and unique collections. Making rare material accessible is not cost-free. The Title II-C money has provided the incentive for sharing.

Thanks are extended to the Director of Library Development, Frank Stevens, and members of his staff—Louise Sutherland, Janice Owens, Zondra Carroll, Angela Miles, and LaTammya Morton—whose efforts have made this brochure possible.

Anne J. Mathews
Director
Office of Library Programs
Office of Educational
Research and Improvement

Table of Contents

	Page
Foreword	iii
Introduction	1
Abstracts of Funded Projects	
Auburn University	5
Brandeis University	6
Brown University	7
Carnegie Institute	8
Duke University	9
Georgetown University	10
Harvard University	11
Indiana University (2)	12, 13
Johns Hopkins University	14
Missouri Botanical Garden	15
New York Historical Society	16
New York Public Library	17
Ohio University	18
Princeton University	19
Southern Illinois University	20
Stanford University	21
Stanford University, Hoover Institution (2)	22, 23
State Historical Society of Wisconsin	24
State University of New York, Buffalo (2)	25, 26
Tulane University	27
University of California, Berkeley (3)	28-30
University of Hawaii	31
University of Illinois, Urbana	32
University of Maryland	33
University of Michigan	34
University of New Mexico	35
University of Oklahoma	36
University of South Carolina	37
University of Southern California	38
University of Vermont	39
University of Washington	40
University of Wisconsin, Madison (2)	41, 42
Virginia Historical Society	43

Analysis of the FY 88 Applications/Grantees	47
--	-----------

Appendix A

Tables

1. Project and funding totals, by State: Fiscal years 1978-88	50
2. Analysis of fiscal year 1988 grant awards, by major activity	52
3. Summary of funding, by major activity: Fiscal years 1978-88	54
4. Summary of program funding: Fiscal years 1978-88	56
5. Summary of major activity, by institution: Fiscal years 1978-88	58

Charts

1. Program focus: Fiscal year 1988	54
2. Program focus: Fiscal years 1978-88	55

Appendix B

Summary of joint projects: FY 78-88	69
---	----

Appendix C

Map of States benefitting from HEA Title II-C since fiscal year 1978	77
---	----

Introduction

This booklet contains information on grants funded by the Higher Education Act, Title II-C Program to assist major research libraries throughout the Nation. The booklet contains

- Descriptions of each funded project, including
 - (1) The responsible agent conducting the research library project;
 - (2) The individual responsible for administering the project for the sponsoring institution;
 - (3) The major area of project activity;
 - (4) The amount of money awarded for use during the grant period;
 - (5) The U.S. Department of Education control number; and
 - (6) An abstract summarizing the project goals and activities.
- Appendix tables showing funding and major activities of institutions.

For More Information

Additional information may be obtained by contacting

Library Development Staff
Library Programs, OERI
U.S. Department of Education
555 New Jersey Avenue NW
Washington, DC 20208-5571

HEA Title II-C

Abstracts of Funded Projects

Institution	Auburn University Ralph Brown Draughon Library Auburn University, AL 36849
Project Directors	G. Boyd Childress Social Sciences Reference Librarian, and Harmon Straiton Head, Microforms and Documents Department
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$112,577
Grant Number	R091A80075

Abstract

The Auburn University Libraries will create approximately 13,000 machine-readable records for titles in two microform sets—*Confederate Imprints, 1861-1865* and *French Revolutionary Pamphlets*. Records created during this project will be entered into OCLC. *Confederate Imprints, 1861-1865* (Research Publications) include books, serials, pamphlets, bills, catalogs, etc., which were printed in the Confederate States of America during the 4 years of the Civil War. The set contains over 6,000 titles documented in Marjorie Lyle Crandall's *Confederate Imprints: A Check List Based Principally on the Collection of the Boston Athenaeum*, Richard Barksdale Harwell's *More Confederate Imprints*, and T. Michael Parrish's and Robert M. Willingham, Jr.'s *Confederate Imprints: A Bibliography of Southern Publications from Secession to Surrender*.

French Revolutionary Pamphlets (General Microfilm) includes nearly 7,000 titles and represents materials housed in the Talleyrand Collection of the New York Public Library, the Melvin Collection at the University of Kansas, the Library of Congress, and other repositories. The collection covers the years 1787 to 1800 and is an invaluable resource for the history of the period.

Institution	Brandeis University 415 South Street Waltham, MA 02254
Project Director	Bessie K. Hahn Director of Library Services, and Silvan S. Schweber Professor of Physics
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$100,051
Grant Number	R091A80024

Abstract

This project will increase national awareness of and access to the private library of Vito Volterra, a leading mathematician and scientist of the early 20th century. The Vito Volterra Collection, now held in the Brandeis University Libraries, contains monographs and serials from the 15th through the early 20th centuries and an extensive offprint collection which provides unique documentation on the networking of scientists and nature of scientific communication in the late 19th and early 20th centuries. The monographs and serials will be cataloged into OCLC. The offprints will be briefly cataloged and listed in a microcomputer-based database, which will be available to researchers in print or electronic form. As a gift of the distinguished patron of the history of science field in the United States, Dr. Bern Dibner, entries for the Collection will also indicate provenance.

Institution Brown University
 Box A
 University Libraries
 Providence, RI 02912

Project Merrily E. Taylor
Director University Librarian

Program Bibliographic Control
Focus

Grant 10/1/88-12/31/89
Period

FY 88 \$197,653
Funding

Grant Number R091A80062

Abstract

The John Hay Library at Brown University is one of the major libraries in the United States devoted exclusively to special collections. Foremost among its collections are the Harris Collection of American Poetry and Plays, the McLellan Lincoln Collection, and the Anne S.K. Brown Military Collection. Altogether, there are over 150 separate collections comprising some 250,000 monographic volumes.

This second year of a 3-year project will complete the retrospective conversion of the monographic holdings of the John Hay Library using the services of an outside retrospective conversion vendor. During the second project year, 61,000 bibliographic records will be converted, of which 45,000 will be from the Harris Collection of American Poetry and Plays and 16,000 from other collections. The conversion work is being done under contract by the OCLC RETROCON Service and the records created will also be tape-loaded into RLIN.

Institution	Carnegie Museum of Natural History Library 4400 Forbes Avenue Pittsburgh, PA 15213
Project Director	Elizabeth Kwater Museum Librarian
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$108,142
Grant Number	R091A80066

Abstract

Most of the monographic collection of the Library of the Carnegie Museum of Natural History (CMNH) is currently uncataloged, with the exception of items acquired since entering the OCLC system. In contrast, most of the Library's serial holdings have been reported to OCLC in previous projects. This imbalance severely limits the extent to which CMNH's literature can be utilized by scholars and researchers. The project will retrospectively catalog and enter into OCLC the records of the botany monograph collection. This collection will simultaneously undergo much-needed conservation and rebinding to enhance physical use and make CMNH's literature available for broader resource sharing. The library plans to publish a catalog in the CMNH Special Publication Series to disseminate the results of the project. Completion of the project will greatly enhance use of the museum's extensive botanical resources by scientists worldwide.

Institution	Duke University Perkins Library Durham, NC 27706
Project Director	Steven Hensen Assistant Curator of Manuscripts for Technical Services
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$44,106
Grant Number	R091A80072

Abstract

Duke University Library will contribute records for 2,500 manuscript collections of national and international significance to the OCLC and RLIN databases through a 1-year retrospective conversion project. The selected records will be converted to machine-readable form using the MARC AMC format and national cataloging standards. Conversion will be accomplished through OCLC, which will send tapes of the converted records to RLIN and to the Triangle Research Libraries Network (TRLN) for loading into those databases. The project will provide wider bibliographic access to pre-eminent collections of papers on Southern history, including the Civil War and Reconstruction; labor history; socialism, including the papers of the Socialist Party of America; British and Commonwealth history; Methodist history; and Southern and American literature.

Institution	Georgetown University University Library Box 37445 Washington, DC 20013
Project Director	Jon K. Reynolds University Archivist
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$69,552
Grant Number	R091A80042

Abstract

Georgetown University will continue efforts to make fully and easily accessible to researchers and scholars all of its very extensive and important Jesuit and Jesuit-related manuscripts and archival record groups, which offer important research resources in many areas of American history and culture, as well as the sciences and other fields. Two cataloger/indexers will complete entry of information in local collection-level databases. This data will be cumulated into a single index covering some 300 separate collections and record groups containing more than 1 million individual manuscripts or related items. Collection-level descriptions will be entered in the OCLC database using the Archives and Manuscript Control format. Four Georgetown University Library staff members, including the project director, will provide needed expertise and supervision in various phases of the project. OCLC entries will be supplemented by availability of the cumulated index via modem, floppy disks, or tape.

Institution	Harvard University Library Cambridge, MA 02138
Project Director	Sidney Verba Director, University Library
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$120,861
Grant Number	R091A80033

Abstract

The Harvard University Library will film some 250,000 pages of valuable research material that is too rare or fragile for lending and will provide bibliographic access through national databases. Specific collections to be filmed are in business (10-K reports of the Securities and Exchange Commission); church history (American Unitarian Association letterbooks); Chinese studies (rare books in block print of the 13th to 15th centuries); labor (Austrian and German labor newspapers); landscape architecture (papers of Charles Eliot, 1859-1897); and women's studies (scrapbook collections, including correspondence and ephemera of several noteworthy American women).

Film copies of everything reproduced will be available for loan and film copies will be sold at cost to other libraries. Like its predecessors, this grant will help to disseminate information on what has been accomplished, and will further the development of a sound program for attacking the urgent problems of deteriorating research materials.

Institution	Indiana University Music Library Bloomington, IN 47405
Project Director	David E. Fenske Head, Music Library
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$506,839
Grant Number	R091A80076

Abstract

The Associated Music Libraries Group, comprising the Eastman School of Music of the University of Rochester, Harvard University, Indiana University, Stanford University, the University of California at Berkeley, the University of Illinois at Urbana-Champaign, University of California at Los Angeles, Cornell University, the University of Michigan, and Yale University, will conduct the retrospective conversion of 59,174 manual bibliographic records for printed music for entry into that part of the logical national database located in the OCLC and RLIN networks and the contribution of authority work through NACO as institutional training is acquired. The standards used will be the "National Retrospective Conversion Plan for Music" developed by conferences sponsored by the Council on Library Resources in July and September 1984, revised and promulgated by the Joint Committee on Retrospective Conversion in Music. The project will benefit scholars seeking resources and other music libraries contemplating their own retrospective conversion projects by increasing significantly the number of available bibliographic records for music materials in this vast national on-line union catalog.

Institution	Indiana University Music Library Bloomington, IN 47405
Project Director	David E. Fenske Head, Music Library
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$103,956
Grant Number	R091A80080

Abstract

The Indiana University Libraries will increase nationwide bibliographic access and availability of Indiana University's extensive collection of operatic recordings by cataloging significant additional materials. This project will make available and conserve preeminent collections of international opera resources for performers, scholars, and researchers around the world. The project will significantly increase and enhance the quality of machine-readable bibliographic records and name and name-title authority work. In addition, complete access points will be provided for each performer in complete operas and anthologies and for each selection in anthologies.

Institution	Johns Hopkins University Milton S. Eisenhower Library Baltimore, MD 21218
Project Director	Johanna Hershey Acting Library Director
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$64,798
Grant Number	R091A80090

Abstract

The Yale University Library Collection of German Baroque Literature on Microfilm, consisting of 2,363 titles, is an essential resource for the study of 17th century German literature. Complete access to the collection is only through printed guides and cards filed in the local catalogs of Yale University and of the 13 research libraries which own the set. With the assistance of a Title II-C grant in 1987, the Eisenhower Library provided on-line catalog records for 960 titles in the collection. The focus of this project will be to complete on-line cataloging of the remaining 1,403 titles in the collection according to current cataloging standards, to enter the bibliographic records into the RLIN database of the Research Libraries Group, and to make the records available for loading into the OCLC database. The project will make cataloging records for the entire Yale collection available on-line nationally.

Institution	Missouri Botanical Garden Library P.O. Box 299 St. Louis, MO 63166
Project Directors	Constance P. Wolf Librarian Missouri Botanical Garden, and John Reed Vice President for Education New York Botanical Garden
Program Focus	Bibliographic Control and Preservation
Grant Period	1/1/89-03/31/90
FY 88 Funding	\$253,320
Grant Number	G008610198-88

Abstract

This grant will culminate a 3-year project which is enabling the libraries of the Missouri Botanical Garden and the New York Botanical Garden to enter into the OCLC database full bibliographic records or locations for more than 80,000 titles, or both, especially the primary botanical research literature, including rare books, monographs, and serials. During this project, the Missouri Botanical Garden will process 3,120 titles (recataloging: 1,800; retrospective conversion: 220; backlog: 110); and the New York Botanical garden will process 21,300 titles (retrospective conversion: 18,300; backlog: 3,000).

Institution	New York Historical Society 170 Central Park West New York, NY 10024
Project Director	James E. Mooney Librarian
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$61,125
Grant Number	R091A80074

Abstract

The New York Historical Society will continue cataloging and conserving its Rufus King Library Collection, estimated at 5,600 volumes. The catalogers will place complete catalog entries for 1,500 titles (half the total uncataloged items remaining in the King collection) in a major national bibliographic database, the Research Libraries Information Network (RLIN). Cataloging will take place in a MARC format, according to the second edition of the Anglo-American Cataloging Rules, and with multiple access points, including author, title, subject, and imprint. In addition, these titles will be made available to the Eighteenth-Century Short-Title Catalog (ESTC) and the North American Imprints Program (NAIP) through RLIN. Through the Linked System Project, all major cataloging utilities (OCLC, RLIN, and WLN) will share these records. In addition, a conservator will continue the preservation of the valuable notes, pamphlets, and manuscripts which comprise the King Collection, thus providing more access to them in the future.

Institution	New York Public Library 5th Avenue and 42nd Street New York, NY 10018
Project Director	Christine Hoffman Assistant Chief Rodgers and Hammerstein Archives of Recorded Sound
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$207,574
Grant Number	R091A80068

Abstract

The Rodgers and Hammerstein Archives of Recorded Sound of the New York Public Library will catalog and conserve a portion of its collection of noncommercial recordings—open reel tape, cassette tape, and disc records not produced for commercial sale, but privately made or produced for broadcasting purposes only. The collection consists of a wide diversity of unique and valuable material, including recordings by such prominent performers as Arturo Toscanini, Bing Crosby, Arthur Rubinstein, and Kirsten Flagstad, and recordings of interviews, readings, or speeches of Fiorello LaGuardia, Dylan Thomas, Andrew Wyeth, and Sidney Poitier. The project is the first phase of a projected 3½-year effort that would complete the processing of this collection. Present access to this material is severely limited and, in many cases, not accessible to the public at all. None of it has been fully cataloged and made accessible through a national bibliographic database. Material was selected on the basis of preservation and conservation needs, and historical value. Using the Archives and Manuscript Control (AMC) MARC format, the material will be cataloged in the Research Libraries Information Network (RLIN), resulting in the most significant use of the format for recordings thus far. In addition, the project activities include cleaning and encasing materials in archival containers.

Institution	Ohio University Alden Library Athens, OH 45701
Project Director	Hwa-Wei Lee Director of Libraries
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$104,705
Grant Number	R091A80086

Abstract

In the next-to-last phase of Major Microforms Projects covering more than 11,000 microfiche titles produced from the Library of Congress, Jakarta Field Office, 4,100 Southeast Asian monographic titles from 1986 and 1987 will be cataloged and input into the OCLC international on-line union catalog. The fiche are the only U.S. access to these research materials from Indonesia, Malaysia, Singapore, and Brunei. Fiche produced after late 1987 receive minimal-level MARC records and are included in the national bibliographic utilities.

Institution	Princeton University Library P.O. Box 36 Princeton, NJ 08544
Project Director	Dorothy Pearson Associate University Librarian for Administrative Services
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$105,749
Grant Number	R091A80065

Abstract

This project will produce master preservation microfilm and catalog and create machine-readable records for some 8,500 individual pamphlets, monographs, and serials, as well as posters, broadsides, and flyers which collectively document the socioeconomic and political life of primarily 20th-century Latin America. The project will thus preserve and provide access to primary source material known not to be collected systematically and preserved elsewhere, even in the countries of issuance. The objective is to place these catalog records, indexed at both the collection and individual pamphlet level, in the Research Libraries Information Network database, and to contribute all newly established headings, together with a complete authority structure, to the library community through the Name Authority Cooperative Project. Copies of the microfilm will be provided to scholars and other libraries at cost.

Institution	Southern Illinois University Morris Library Carbondale, IL 62901
Project Directors	David V. Koch Curator of Special Collections and University Archivist, and Kenneth G. Peterson Dean of Library Affairs
Program Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$130,000
Grant Number	R091A80019

Abstract

Morris Library will continue to catalog seven First Amendment Freedom collections—including the Ralph E. McCoy Freedom of the Press Collection and the Theodore Schroeder Papers and Library—making available to scholars unique and important collections of over 10,000 volumes, rare and fugitive pamphlets and printed ephemeral, briefs, correspondence, and manuscripts relating to the First Amendment freedoms dating from the 17th Century to the present. Records will be entered into OCLC and the Illinois Library Computer System. Data will also be incorporated into an in-house microcomputer database that will provide subject access on request. Fundamental conservation measures, including selective microfilming, are being applied to assure long life for the materials, plus optimal availability for researchers.

Institution	Stanford University Stanford University Libraries Stanford, CA 94305
Project Director	David C. Weber Director of the Library
Program Focus	Bibliographic Control
Grant Period	10/31/88-12/31/89
FY 88 Funding	\$400,121
Grant Number	R091A80071

Abstract

The libraries of the University of California at Berkeley, the University of Florida, Indiana University, Stanford University, the University of Texas at Austin, and Yale University will create together machine-readable bibliographic records for Latin Americanist library materials now represented only in each institution's local card catalog. The project will focus on holdings at these six major repositories, as part of a continuing cooperative effort to enhance the sharing of library resources and thus to improve research capabilities in Latin American Studies.

During a 2-year period, and with support from the U.S. Department of Education and the National Endowment for the Humanities, manual catalog records will be converted to machine-readable form and made generally available by adding them to the nation's two principal bibliographic databases, OCLC and RLIN. The first phase covers 122,000 records. A third and final phase, for which funding is not requested at this time, may incorporate additional collections and holdings. The entire project is scheduled for completion in 1992, in conjunction with the Quincentennial celebration of Columbus's voyage to the New World. Scholarship, formal education, and independent research throughout the Americas will be the beneficiaries.

Institution	Stanford University, Hoover Institution Stanford, CA 94305
Project Director	Judith Fortson Head, Conservation Services
Program Focus	Preservation
Grant Period	09/1/88-11/31/89
FY 88 Funding	\$166,693
Grant Number	G008610232-83

Abstract

The Hoover Institution has outstanding collections of posters—approximately 68,000 illustrated wall placards, official proclamations, and propaganda of various other types—and rare newspapers which serve as valuable resources for political history research. Many of these materials have reached an advanced state of deterioration, a problem compounded by growing demand for them by researchers. The Hoover Institution will continue its project to preserve and make accessible these exceptional poster and newspaper collections.

Institution	Stanford University, Hoover Institution Stanford, CA 94305
Project Director	Joseph D. Dwyer Deputy Curator of the Russian and East European Collection
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$86,000
Grant Number	R091A80030

Abstract

The Hoover Institution has an outstanding collection of materials related to the Russian revolutions of 1905 and 1917, the Provisional government, and the Russian civil war. Many of these materials have reached an advanced state of deterioration, a problem compounded by growing demands for them by researchers. The Hoover Institution will continue for the second year a project to preserve and catalog this rare collection of contemporary published sources.

Institution	State Historical Society of Wisconsin Library 816 State Street Madison, WI 53706
Project Director	John A. Peters Government Publications Librarian
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$111,246
Grant Number	G008745309-88

Abstract

The Library of the State Historical Society of Wisconsin is processing its "Cutter Pamphlet" collection into its current pamphlet collection that began to be fully cataloged and carefully conserved with material collected from 1967 to the present. The Cutter Pamphlets are a general collection of unbound ephemera collected by the Library from 1854 through 1966. Less than a third of the 60,000 items in this collection have records on OCLC or any other national machine-readable database. Much of this collection is not fully cataloged and shows signs of wear and deterioration. The project is making the materials in the collection more accessible to researchers throughout the Nation by preserving by appropriate methods those materials in need of basic conservation measures, and by entering full MARC records into OCLC for all items not currently in that database. During this project period, conservation work will continue and approximately 7,500 records will be added to the OCLC database.

Institution	State University of New York at Buffalo 420 Capen Hall Buffalo, NY 14260
Project Director	Robert J. Bertholf Curator, Poetry/Rare Books Collection
Program Focus	Bibliographic Control
Grant Period	10/1/88-09/30/89
FY 88 Funding	\$104,246
Grant Number	R091A80087

Abstract

In 1937, the Poetry Collection began acquiring the working manuscripts, the drafts of poems, and the letters of 20th century poets. It is estimated that there are about 120,000 manuscripts and letters which have only in-house finding records, and no MARC records. In addition, in recent years the archives of the Jargon Society and the Martha Jackson Gallery have been acquired. They account for an additional 200,000 documents which have no records at all. Using the expertise acquired with a 6-month NEH grant administered through the Research Libraries Group, and a Title II-C manuscript cataloging grant, this project will sort, process, and produce 25,000 MARC records for these documents, and input them into the RLIN database. The intention of the grant is to make the primary research materials of manuscripts and letters accessible to an international audience, and so to stimulate research in and publication on twentieth century poetry.

Institution State University of New York at Buffalo
Lockwood Library Building
Buffalo, NY 14260

**Program
Director** Barbara Von Wahlde
Associate Vice President for University
Libraries

**Project
Focus** Bibliographic Control

**Grant
Period** 10/1/88-12/31/89

**FY 88
Funding** \$163,000

**Grant
Number** R091A80088

Abstract

The Libraries of the State University of New York at Buffalo, as the second half of a 2-year project, will create 6,000 machine-readable records for monographs and serials in the microform sets that comprise the *Latin American Documents* collection. During the first half of the project, also funded under Title II-C, the libraries created some 5,500 machine-readable records for monographs.

Titles in this collection are primarily 19th and 20th century publications in all subject areas that are in the public domain. They were selected from the "Recent Books" section of the *Inter-American Review of Bibliography* and the *National Union Catalog*. The collection also includes later editions of works originally published in the 16th, 17th, and 18th centuries which are recorded in Jose Toribio Medina's *Bibliotheca-Hispanoamericana, 1493-1810*.

Records created will be entered into the Research Libraries Information Network (RLIN) and tape loaded into OCLC. Access will be provided by the Buffalo libraries through interlibrary loan.

Institution	Tulane University Howard-Tilton Memorial Library Amistad Research Center New Orleans, LA 70118
Program Director	Clifton H. Johnson Director, Amistad Research Center
Project Focus	Bibliographic Control
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$102,708
Grant Number	R091A80070

Abstract

During the 1988 fiscal year, the Amistad Research Center will create machine-readable records for 238 manuscript collections documenting various aspects of African-American history and the United States' relation to African independence movement and nations, and add these to the databases of the OCLC and Tulane University's on-line systems. The machine-readable records will be converted from existing records for 229 collections. Nine manuscript collections, totaling 528.7 linear feet, will be processed and new records created. The bibliographic records converted or originally created will conform to the MARC Archives and Manuscript Control (AMC) format. This is the second year of a 5-year project to create machine-readable records for books, serials, microfilm, manuscripts, and other holdings of the Amistad Research Center.

Institution	University of California, Berkeley Berkeley, CA 94720
Project Directors	Joseph A. Rosenthal University Librarian, and Donald Shively Head, East Asiatic Library
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$192,283
Grant Number	G008745312-88

Abstract

This project will continue to provide two collections of Japanese books that are unique outside of Japan to scholars everywhere. One is formerly of the Mitsui Library, published between 1868 and 1949, and the second is contemporary Japanese literature and criticism selected from the personal library of Endo Shusaku, one of Japan's most distinguished living writers. These two collections will be cataloged and the bibliographic records will be sent to RLIN, OCLC, or both. Conservation care will be given as needed to the Mitsui books and to a collection of 2,877 Japanese manuscript titles (over 7,000 volumes) dating from the 14th to the early 20th century so their usable life can be extended for many more centuries.

Institution	University of California, Berkeley Berkeley, CA 94702
Project Director	Joseph A. Rosenthal University Librarian, and James D. Hart Director, Bancroft Library
Program Focus	Bibliographic Control
Grant Period	10/1/88-9/30/89
FY 88 Funding	\$269,159
Grant Number	G008745306-88

Abstract

The Bancroft Library Retrospective Conversion Project will make research materials in the Bancroft Library available to scholars by converting 189,000 card catalog records for printed materials into machine-readable form. Records created during the project will be entered into the national databases of OCLC and RLIN, the MELVYL on-line union catalog of the University of California, and Berkeley's GLADIS on-line catalog. The Bancroft Library is comprised of two collections of internationally recognized distinction: The Bancroft Collection of Western Americana, including materials on Mexico and Central America; and the Rare Books Collection, encompassing extensive manuscript holdings and important printed collections in the areas of early printing, modern poetry, western authors, small press imprints, history of science, and numerous other fields. At least 60 percent of the records converted (113,400) will be new to the national databases. During this funding period, at least 50,000 records will be converted and loaded into the databases enumerated above.

Institution	University of California, Berkeley Berkeley, CA 94720
Project Director	Joseph A. Rosenthal University Librarian, and James H. Spohrer Librarian for the Germanic Collections
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$155,243
Grant Number	R091A80027

Abstract

This project will strengthen the Germanic collections in the Library at the University of California at Berkeley through improvements in bibliographic control, preservation of endangered and damaged volumes, and collection development of materials relating to the German Democratic Republic (GDR). The project will convert to machine-readable form and load into the OCLC, RLIN, and MELVYL on-line union databases records for 25,000 titles in the Germanic Studies Research Collections of the library in order to increase their availability nationally; will preserve 700 volumes of the German Baroque Collection through repair, photoreproduction on acid-free paper or microfilming of brittle material, and other treatments as appropriate; and will identify and purchase 600 volumes of research resources pertaining to the German Democratic Republic. The resources acquired will fill gaps and support weaknesses in the national collections of GDR materials.

Institution	University of Hawaii at Manoa University Library Honolulu, HI 96822
Project Director	John R. Haak University Librarian
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$64,345
Grant Number	R091A80045

Abstract

This project will provide scholarly access to the Tsuzaki Reinecke Creole Collection, a unique gathering of creole language materials held by the University of Hawaii Library. Items from the collection will be cataloged into the University's local on-line system and, as appropriate, tape loaded to OCLC. Subject and keyword access will be provided for each item. The product of the project will be a computer-generated bibliographic finding aid which will be made available either in printed, fiche, or disk format to international libraries and scholars. The grant will also support preservation activities for the collection and a related acquisition program.

— Located on a campus that has achieved national recognition for its emphasis on intercultural studies, the Creole Collection has attracted considerable scholarly attention. Researchers will applaud this project to enhance significantly the value of the collection and its accessibility.

Institution	University of Illinois 1408 West Gregory Drive Urbana, IL 61801
Project Director	Carol Boast Agriculture Librarian
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$191,556
Grant Number	R091A80037

Abstract

This University of Illinois at Urbana-Champaign (UIUC) grant will continue a project funded by the Department of Education and the National Agricultural Library (NAL) in 1986-1988. The project will make hardcopy versions of major agricultural series from agricultural experiment stations and land-grant universities in every State and from the U.S. Department of Agriculture uniformly accessible and available for interlibrary loan for the first time, through series analytics in the OCLC database and in the on-line catalogs of UIUC and NAL and through indexing in the AGRICOLA and AGRIS databases. This renewal of the project will allow UIUC to complete cataloging the experiment station and land-grant publications of all the States and USDA series and to preserve the circulating copies by binding individual issues.

Institution	University of Maryland College Park Libraries College Park, MD 20742
Project Director	Marietta A. Plank Associate Director for Technical Services
Program Focus	Bibliographic Control
Grant Period	1/1/89-3/31/90
FY 88 Funding	\$500,226
Grant Number	R091A80078

Abstract

The University of Maryland College Park (UMCP) Libraries, in cooperation with the University of Delaware Library and the New York State Library, will complete the creation of machine-readable cataloging records for an estimated 10,000 titles in Segment 2 of the microfilm collection *Goldsmiths'-Kress Library of Economic Literature*. The entire segment consists of 29,412 titles on 1,669 reels. The cataloging for the titles in this collection will be produced in full MARC format in accordance with AACR2 description, LC Subject Headings, and OCLC Level I Bibliographic Input Standards in accordance with the cataloging policies and practices of the Library of Congress. The bibliographic records will be input into the OCLC database for immediate access by the more than 4,000 libraries participating in OCLC, and eventually by non-OCLC libraries. As a NACO participant, UMCP will also contribute the remaining approximately 16,000 name authority records to the name authority file distributed by the Library of Congress. The subject and descriptive cataloging will be divided among the three participating libraries.

Institution	University of Michigan University Library 818 Harlan Hatcher Graduate Library Ann Arbor, MI 48109
Project Directors	Robert M. Warner Interim Director University Library, and Carla J. Montori Head, Preservation Office
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$60,706
Grant Number	R091A80089

Abstract

The University of Michigan Library will microfilm or otherwise preserve approximately 2,500 monographs in its homeopathy collection. Since the dissolution of the Hahnemann Medical College Collection, there is no known comparable resource to this collection in the United States. Review of a sample indicates that none of the titles in this collection is currently available in either reprint or microform and that no machine-readable bibliographic records exist for 29 percent of the materials. Funds will be used for staff and supplies needed to preserve and make available to scholars the materials in this collection.

Institution	University of New Mexico General Library Albuquerque, NM 87131
Project Directors	Russ Davidson Latin American Curator, and Jeanne Sohn Associate Dean of Library Services
Project Focus	Bibliographic Control and Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$34,000
Grant Number	R091A80079

Abstract

The University of New Mexico Library will catalog, preserve, and make available for scholarly use a unique and largely unknown collection of research materials pertaining to the history and culture of the Mexican State of Oaxaca from pre-Columbian time through the early 20th century. The collection includes long runs of newspapers not held in the United States, as well as many rare, fragile, and specialized monographs and pamphlets published in the 18th and 19th centuries. A variety of preservation measures will be carried out following the highest professional standards. Also, approximately 2,000 items will be entered into the OCLC database in full-level MARC format and made available for scholarly use nationally and internationally.

Institution University of Oklahoma
1000 Asp Avenue, Room 314
Norman, OK 73019

Project Director Lynda Lee Kaid
Director, Political
Communication Center
Department of Communication

Project Focus Bibliographic Control and
Preservation

Grant Period 10/1/88-9/30/89

FY 88 Funding \$115,689

Grant Number R091A80026

Abstract

The University of Oklahoma Political Commercial Archives will preserve and catalog its unique collection of videocassette and film recordings of political commercials. Specifically, this project will determine the need for special preservation among the recordings and increase availability to scholars by duplication of "working copies" of the holdings. It will also catalog and input records of the commercials into an on-line system, establishing full bibliographic control of the currently uncataloged collection within a 2-year period. A catalog of holdings will be published from the computer database and distributed to national and international libraries and relevant database collections. With successful completion of this project, scholars and researchers throughout the world will have greater access to these specialized resource materials.

Institution	University of South Carolina Thomas Cooper Library Columbia, SC 29208
Project Director	Elizabeth Ann Lange Assistant Director for Technical Services
Program Focus	Bibliographic Control
Grant Period	1/1/89-3/31/90
FY 88 Funding	\$45,683
Grant Number	R091A80017

Abstract

The Thomas Cooper Library will analytically catalog approximately 7,500 titles from a collection of 500 rare and significant serials from its rare books and special collections department. Cataloging will be entered into the OCLC database, subsequently providing scholarly access to these specialized collections on a national and international scale. Random sampling of the collection shows that none of the titles to be analyzed are in OCLC.

Institution University of Southern California
Doheny Memorial Library
University Park Campus
Los Angeles, CA 90089

**Project
Directors** Charles R. Richeson
Dean, Vice Provost and
University Librarian, and
Barbara J. Robinson
Curator, Iberian and
Latin American Collection

**Program
Focus** Bibliographic Control

**Grant
Period** 10/1/88-12/31/89

**FY 88
Funding** \$146,288

**Grant
Number** R091A80041

Abstract

The University of Southern California (USC) Library will increase accessibility for the nation's research and academic community to highly unique, scarce, and valuable materials from Central America. This 12,000-title collection, housed in USC's Boeckmann Center for Iberian and Latin American Studies, comprises critically important monographs, serials, official documents, and pamphlets dating from 1817 to 1980. A substantial portion of the titles covered by the project have not been contributed to national databases or to major Latin American bibliographic sources. The collection will be cataloged and records will be entered in the RLIN and OCLC databases, and in HOMER, the University's on-line catalog. USC will disseminate information about the collection, nationally and internationally, to scholars and librarians, particularly those with an interest in Latin America.

Institution	University of Vermont Bailey/Howe Library Burlington, VT 05405
Project Director	Nancy L. Eaton Director of Libraries
Program Focus	Bibliographic Control, Preservation, and Collection Development
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$100,000
Grant Number	R091A80092

Abstract

Acid rain is an international problem with scientific, economic, health, and public policy implications. Canadian documents on acid rain are virtually inaccessible in the United States. During Phase 2 of the Acid Rain Project, the University of Vermont Libraries will acquire original copies or photocopies of all the Canadian documents on acid rain not acquired during Phase 1 and will catalog them into the OCLC database. An additional 25,500 pages of the most important material will be scanned and digitized, and CD-ROM discs will be distributed to 43 land-grant libraries that currently own the CD-ROM equipment acquired from a previous project with the National Agricultural Library. Use of CD-ROM discs for access is superior to microfilm, because it is fully indexed and searchable by key word or Boolean logic.

Institution University of Washington
Libraries
Seattle, WA 98195

Project Director Steve Hiller
Head, Science Department

Program Focus Bibliographic Control

Grant Period 10/1/88-12/31/89

FY 88 Funding \$179,378

Grant Number R091A80060

Abstract

The University of Washington Libraries and the University of Oregon Library contain extensive and significant collections of cartographic materials relating to the Pacific Northwest. This project addresses the critical need for more effective bibliographic access to these valuable resources, which are poorly represented on the national cataloging database. The project will ensure that 7,800 map titles are fully cataloged on OCLC and available to scholars and libraries nationwide. The project will also develop and apply an innovative method of microcomputer-based graphic access to aerial photography holdings.

Institution University of Wisconsin-Madison
General Library System
Madison, WI 53706

Project Director D. Kaye Gapen
Dean

Project Focus Bibliographic Control and
Preservation

Grant Period 10/1/88-12/31/89

FY 88 Funding \$77,083

Grant Number R091A80057

Abstract

The University of Wisconsin-Madison General Library System (GLS) will continue a long-standing preservation program of microfilming its German language materials. Two portions of the East German collection have been selected for microfilming. The first includes all currently held volumes of 35 East German serials, approximately 1,300 volumes spread across many disciplines: sociology, psychology, physical education, military and strategic studies, Marxism and socialism, and law. The second includes social science serials and monographs published in the four zones of military occupation from 1945-49. Ranging from multivolume serial sets to pamphlets, the collection includes census publications, collections of ordinances, and other primary sources.

Archival and print negatives and a circulating positive microfilm will be made. Prospective records and full LC cataloging will be entered into OCLC and the Network Library System (NLS), the GLS on-line catalog, with preservational copies recorded in the 007 fields.

Many of these materials are unique to Wisconsin's collections. Duplication of other microfilm sets will be avoided and serial gaps will be filled through an exchange program with East Berlin's Humboldt University.

Institution University of Wisconsin-Madison
General Library System
Madison, WI 53706

Project Director D. Kaye Gapen
Dean

Project Focus Bibliographic Control, Collection
Development, and Preservation

Grant Period 10/1/88-12/31/89

FY 88 Funding \$114,929

Grant Number R091A80056

Abstract

The University of Wisconsin-Madison General Library System (GLS) will expand its research collection by creating an archive of videocassettes produced in South and Southeast Asia. The PAL or SECAM format tapes from Asia will be reformatted to the North American broadcast standard, NTSC/M. A master copy will be stored in an optimal archival climate and a second copy will circulate. Brief cataloging will be entered into OCLC and the Network Library System (NLS), and the GLS on-line catalog, with the preservational copies noted in the 007 fields. Extensive descriptions of videotape productions will be published and distributed widely.

Criteria for selection include: 1) expressions of the past and present "great traditions" of the various cultures of the regions; 2) productions for the mass pop culture market, including popular broadcast TV programming; and 3) governmental and educational programs produced to educate viewers about government economic, social, and political policies and objectives.

This collection is of special significance because of the role video records play in regions of low literacy and multiple languages and cultures, and because the master copy preserved in GLS vaults may well prove to be the only copy available to future researchers.

Institution	Virginia Historical Society 428 N. Boulevard Avenue P.O. Box 7311 Richmond, VA 23211
Project Director	Paulette Thomas Librarian for Books and Serials
Program Focus	Preservation
Grant Period	10/1/88-12/31/89
FY 88 Funding	\$42,410
Grant Number	R091A80001

Abstract

To preserve and increase scholarly access to its rare book and serials collection, the Virginia Historical Society will hire a conservator of rare books and serials who will conduct a volume-by-volume conservation survey of its rare printed material, equip and operate a basic preservation workshop area for book repair, and produce a long-range conservation plan for these collections. The project will purchase a specialized photocopier to reduce stress to rare materials during copying.

HEA Title II-C

Analysis of FY 88 Applications/Grantees

Analysis of FY 88 Applications/Grantees

		<u>Amount of funds requested or awarded</u>
Appropriation		\$ 5,744,000
Total applications received	93	17,489,433
Number of new applications received	88	16,329,763
Number of noncompetitive continuation grantees	5	1,159,670
Total awarded	39	5,744,000
New grants awarded	34	4,751,299
Continuation grants	5	992,701
Average grant		147,282

Type of library	Number of applicants	Number of new grantees	Number of continuation grantees
Total	88	134	25
Historical societies	2	2	1
Institutions of higher education	69	30	3
Independent research libraries	2	0	0
Public libraries	5	1	0
State libraries	1	0	0
Museums	5	1	1
Other	4	0	0

¹ Five of these grants are jointly sponsored, directly benefitting 13 additional institutions. Six of the 34 are grantees for the first time this year.

² Of the 5 continuation grants, one is jointly sponsored, directly benefitting 1 additional institution.

HEA Title II-C

Appendix A

Table 1.—Project and funding totals,

State	Total		FY 78		FY 79		FY 80		FY 81	
	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
Total	360	\$64,238,264	20	\$4,999,996	26	\$6,000,000	22	\$5,992,268	30	\$6,000,000
Alabama	1	112,577								
Alaska	2	341,345			1	150,000		4,111		
Arizona	4	581,952					1	202,549	1	184,785
California	43	10,616,954	2	775,500	3	1,175,000	2	1,247,332	2	335,708
Colorado	3	670,459	1	119,103	1	215,000	1	236,356		
Connecticut	8	1,808,396	1	149,800	1	160,000	1	295,051	1	228,000
District of Columbia	9	851,194	1	142,023						
Florida	3	1,878,459							1	800,000
Georgia	1	92,173								
Hawaii	4	399,345							1	150,000
Illinois	44	6,311,100	3	483,416	3	443,749	3	323,076	5	610,287
Indiana	11	2,613,993	1	389,106	1	200,000		4,116	1	145,000
Iowa	1	127,975							1	127,975
Kansas	7	901,207			1	116,689	1	136,967		
Kentucky	2	295,402								
Louisiana	1	102,708								
Maryland	7	1,583,799								
Massachusetts	26	5,176,981	2	621,371	2	450,000	1	404,889	2	354,816
Michigan	11	2,102,184			1	350,000	1	606,000	1	300,000
Minnesota	3	253,019								
Missouri	11	2,077,942	1	200,000	1	200,000	1	244,571		
Montana	1	115,403								
Nebraska	1	90,000								
New Hampshire	5	1,067,279							1	150,000
New Jersey	9	1,303,489	1	219,395	2	450,000	1	157,777		
New Mexico	1	64,000								
New York	52	10,306,572	3	1,050,700	5	1,237,062	3	768,197	3	1,113,994
North Carolina	10	2,316,720	1	250,000	1	220,500	2	571,445	1	270,937
Ohio	11	1,481,126							2	259,306
Oklahoma	2	319,140								
Pennsylvania	14	1,342,525					1	167,739	1	43,680
Rhode Island	6	1,184,595					1	272,471	1	165,000
South Carolina	5	502,031							1	172,000
Tennessee	2	200,077								
Texas	10	1,547,940	1	250,000	1	150,000	1	175,000	1	174,000
Utah	3	271,903							1	110,883
Vermont	5	262,063								
Virginia	5	590,100			1	300,000		500		
Washington	7	1,132,398	1	93,327			1	150,000	1	175,025
Wisconsin	11	1,241,699	1	85,255	1	182,000		4,116	1	128,604

by State: Fiscal years 1978-88

FY 82		FY 83		FY 84		FY 85		FY 86		FY 87		FY 88	
Number	Amount												
35	\$5,760,000	35	\$6,000,000	35	\$6,000,000	43	\$6,000,000	38	\$5,742,000	37	\$6,000,000	39	\$5,744,000
			187,269									1	112,577
			98,978		95,640								
3	493,326	3	431,821	4	1,128,461	2	496,877	6	1,252,137	9	1,794,005	7	1,415,767
1	400,000	2	493,372	1	82,173								
1	108,990	1	58,861			3	274,964	1	124,968	1	71,836	1	69,552
		1	378,459	1	700,000								
								1	92,173				
						1	140,000			1	45,000	1	64,345
4	516,494	3	546,290	6	879,462	6	822,636	4	633,428	5	730,706	2	321,556
1	167,516	1	230,080	1	608,522	1	58,889	1	96,889	1	103,080	2	610,795
1	148,500	1	144,313			1	126,147	1	102,140	1	126,451		
						1	142,080	1	153,322				
												1	102,708
		1	71,456			1	93,356	2	445,126	1	408,837	2	565,024
3	687,867	3	548,546	2	312,499	3	619,152	3	527,669	3	429,260	2	220,912
1	133,901	1	165,337	1	86,645	3	338,796	1	60,799			1	60,706
1	65,309	1	122,602					1	64,108				
		1	205,168	2	130,555	1	202,757	2	391,571	1	250,000	1	253,320
						1	115,403						
1	90,000												
				1	183,336	1	249,916	1	234,209	1	249,818		
1	110,000			1	116,566	1	93,575	1	50,427			1	105,749
												1	64,000
3	455,034	7	1,376,855	7	903,907	8	1,303,621	5	680,875	4	860,382	4	535,945
1	339,007	1	305,951	1	144,532			1	170,242			1	44,106
3	611,167	1	113,093			1	133,500	1	88,355	2	171,000	1	104,705
1	203,451											1	115,689
1	108,798	1	106,875	4	370,713	4	304,578	1	132,000			1	108,142
1	168,626					1	170,845			1	210,000	1	197,653
1	132,736	1	96,612							1	55,000	1	45,683
1	148,159	1	51,918										
2	240,439	1	180,998	1	184,944	1	119,671	1	72,888				
1	119,680									1	41,340		
						1	67,777			1	94,286	1	100,000
		1	85,146	1	72,045					1	89,999	1	42,410
1	250,000							1	140,668	1	144,000	1	179,378
1	60,000					1	125,460	2	228,006	1	125,000	3	303,258

Table 2.—Analysis of fiscal year 1988 grant awards, by major activity

Institution	Total	Program activity		
		Bibliographic control	Preservation	Collection development
Total	\$5,744,000	\$4,804,408	\$850,570	\$89,022
Auburn University	112,577	112,577	—	—
Brandeis University	100,051	100,051	—	—
Brown University	197,653	197,653	—	—
Carnegie Institute	108,142	68,393	39,749	—
Duke University	44,106	44,106	—	—
Georgetown University	69,552	69,552	—	—
Harvard University	120,861	45,671	75,190	—
Indiana University	506,839	506,839	—	—
Indiana University	103,956	103,956	—	—
Johns Hopkins University	64,798	64,798	—	—
Missouri Botanical Garden	253,320	252,120	1,200	—
New York Historical Society	61,125	52,125	9,000	—
New York Public Library	207,574	149,076	58,498	—
Ohio University	104,705	104,705	—	—
Princeton University	105,749	67,320	38,429	—
Southern Illinois University	130,000	130,000	—	—
Stanford University	400,121	400,121	—	—

Stanford University, Hoover Institution	86,000	45,672	40,328	—
Stanford University, Hoover Institution	166,693	—	166,593	—
State Historical Society of Wisconsin	111,246	107,244	4,002	—
State University of New York at Buffalo	104,246	104,246	—	—
State University of New York at Buffalo	163,000	163,000	—	—
Tulane University	102,708	102,708	—	—
University of California, Berkeley	155,243	86,399	33,793	35,051
University of California, Berkeley	269,159	269,159	—	—
University of California, Berkeley	192,283	162,023	30,260	—
University of Hawaii	64,345	51,907	6,282	6,156
University of Illinois, Urbana	191,556	168,421	23,135	—
University of Maryland	500,226	500,226	—	—
University of Michigan	60,706	363	60,343	—
University of New Mexico	64,000	58,023	5,977	—
University of Oklahoma	115,689	86,767	28,922	—
University of South Carolina	45,683	45,683	—	—
University of Southern California	146,288	146,288	—	—
University of Vermont	100,000	18,663	65,509	15,828
University of Washington	179,378	179,378	—	—
University of Wisconsin, Madison	114,929	16,829	66,113	31,987
University of Wisconsin, Madison	77,083	22,346	54,737	—
Virginia Historical Society	42,410	—	42,410	—

Table 3.—Summary of funding, by major activity: Fiscal years 1978–88

Fiscal year	Total funding	Bibliographic control	Percent of funding	Preservation	Percent of funding	Collection development	Percent of funding
Total	\$64,238,264	\$46,949,226	73	\$13,219,195	21	\$4,069,843	6
1978	4,999,996	2,864,339	57	1,340,554	27	795,103	16
1979	6,000,000	3,978,366	66	1,393,201	23	628,433	11
1980	5,992,268	4,345,765	73	805,383	13	841,120	14
1981	6,000,000	4,249,840	71	1,298,542	22	451,618	7
1982	5,760,000	4,042,549	70	1,521,258	27	196,193	3
1983	6,000,000	4,738,575	79	909,612	15	351,813	6
1984	6,000,000	4,526,772	76	1,044,973	17	428,255	7
1985	6,000,000	4,236,695	70	1,729,997	29	33,308	(*)
1986	5,742,000	4,429,374	77	1,122,409	20	190,217	3
1987	6,000,000	4,732,543	79	1,202,696	20	64,761	1
1988	5,744,000	4,804,408	84	850,570	15	89,022	1

*Less than 1 percent.

Chart 1.—Program focus: Fiscal year 1988

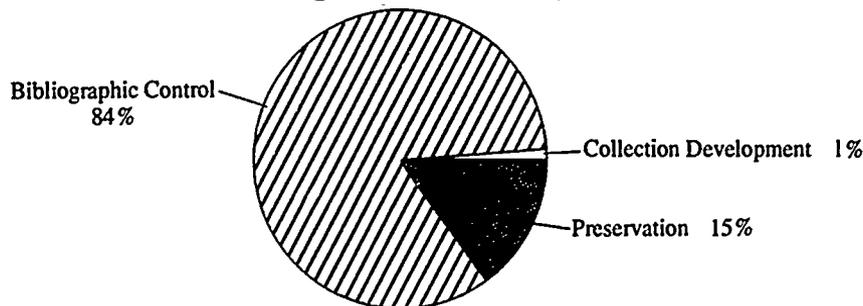
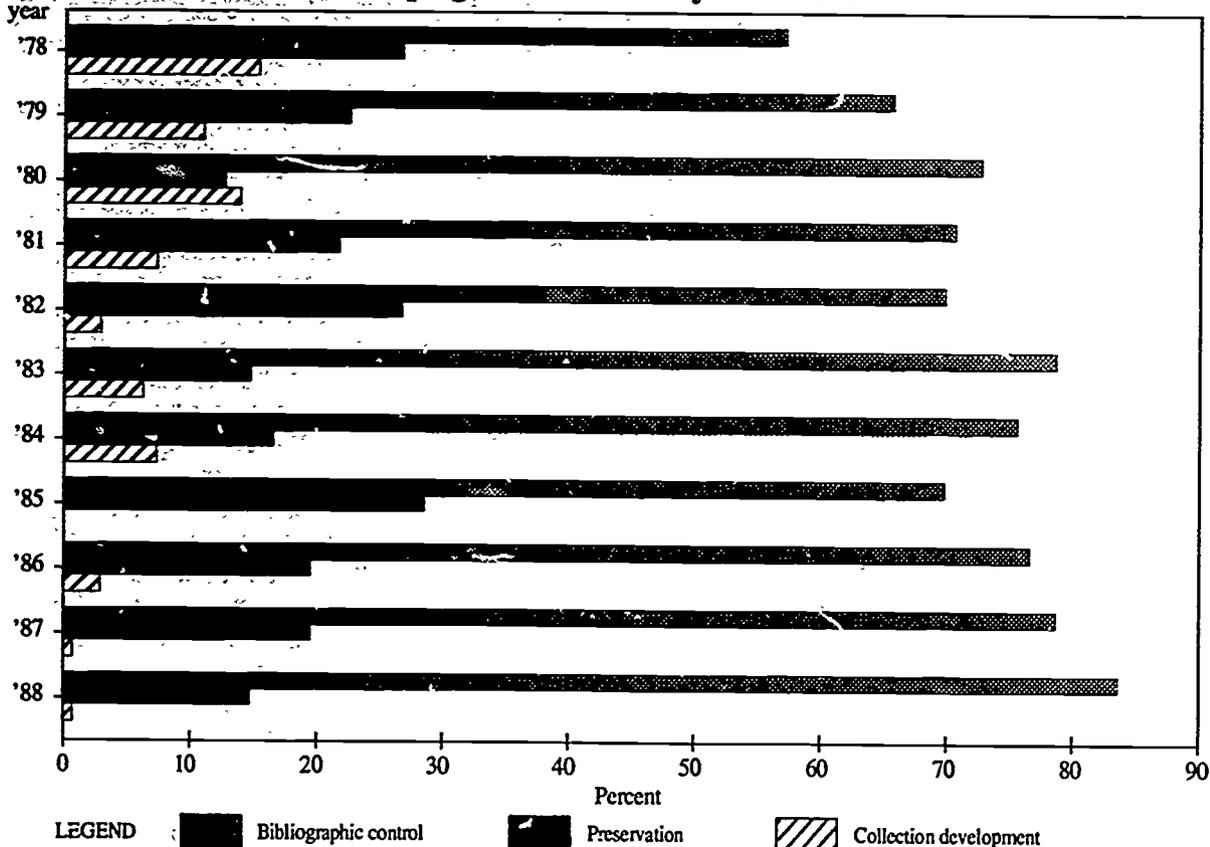


Chart 2.—HEA Title II-C program focus: Fiscal years 1978–88



LEGEND Bibliographic control Preservation Collection development

Chart illustrates shifts in program focus during the 10-year life of the program, 1978–88. Funds expended on bibliographic control have climbed from 57 percent in the first year to a high of 84 percent in 1988. Collection development, never a major expenditure, reached its peak of 16 percent in the second year, dropping gradually to a negligible percent, resting at 1 percent in both 1987 and 1988. Preservation, which has hovered in the 20 percent area for most of the 10 years, dropped to 15 percent in 1988. These emphases are placed on the program by the library community. The Department of Education has set no funding priorities.

Table 4.—Summary of funding: Fiscal years 1977–88

Fiscal year	Authorization	Administration request	Congressional appropriation	Amount awarded
Total	\$160,000,000	\$29,000,000	\$64,246,000	\$64,238,264
1977	10,000,000	0	0	0
1978	15,000,000	5,000,000	5,000,000	4,999,996
1979	20,000,000	5,000,000	6,000,000	6,000,000
1980	20,000,000	6,000,000	6,000,000	5,992,268
1981	10,000,000	7,000,000	6,000,000	6,000,000
1982	15,000,000	6,000,000	5,760,000	5,760,000
1983	15,000,000	0	6,000,000	6,000,000
1984	15,000,000	0	6,000,000	6,000,000
1985	15,000,000	0	6,000,000	6,000,000
1986	15,000,000	0	5,742,000	5,742,000
1987	10,000,000	0	6,000,000	6,000,000
1988	(*)	0	5,744,000	5,744,000

*According to the Higher Education Amendments of 1986 (Public Law 99-498), \$10,000,000 was authorized for FY 87 and such sums as deemed necessary for 4 succeeding years.

Table 5.—Summary of major activity, by institution: Fiscal years 1978–88

Institution	Total	Fiscal year										
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
Academy of Natural Sciences	\$ 228,989	\$	\$	\$	\$ B 43,680	\$	\$	B-P \$130,016	B \$ 55,293	\$	\$	\$
American Antiquarian Society	200,760								B 91,500	B 109,260		
American Museum of Natural History	1,612,965	B-C 250,000	B-C 242,165	B-C 237,819	B-P 166,539	B 125,929		P-C 83,953	P 145,739	P 161,160	P 199,661	
Art Institute of Chicago	306,620	P-C 163,200							P 64,093	B 79,327		
Auburn University	112,577											B 112,577
Boston Public Library	1,279,684	B 238,240	B 150,000	14,116	P 187,069	P 158,139	P 157,577		P 174,543		P 210,000	
Brandeis University	10,051											B 100,051
Brown University	1,184,595			B-C 272,471	B 165,000	B-P 168,626			B 170,845		B 210,000	B 197,653
Carnegie Institute	108,142											B-P 108,142
Center for Research Libraries	1,170,349				B 122,809	B 230,011	B 299,488	B 114,278	P 145,000	P 139,214	P 119,549	
Cleveland Public Library	80,306				B 80,306							
Colorado State University	670,459	B 219,103	B 215,000	B 236,356								

Columbia University	1,025,311		P 250,000	14,116			B-P 349,530		B 210,120	F-P 211,545		
Cornell University	1,138,343		C 194,897	B-C 240,413	B-C 284,639		B 241,204	B 99,734		B-P 77,456		
Dartmouth College	1,067,279				B-P 150,000			B-P-C 183,336	B-P 249,916	B-P 234,209	B-P 249,818	
Detroit Public Library	166,829								B-P 106,030	B-P 60,799		
Duke University	714,348	C 250,000		C 250,000						B 170,242	B 44,106	
Emory University	92,173									B-P 92,173		
Folger Shakespeare Library	515,210	B-P-C 142,023				B-C-P 108,990	B-C 58,861		B 80,368	C 124,968		
George Washington University	171,506								B-P 99,670		B 71,836	
Georgetown University	164,478								B 94,926		B 69,552	
Harvard University	2,738,364	P 383,131	P 300,000	P 400,773	P 167,747	B-P 288,985	B-P 249,834	B-P 249,998	B-P 227,092	B-P 239,943	B-P 110,000	B-P 120,861
Houston Academy of Medicine	72,888									B-P 72,888		
Huntington Library	737,181	P 171,500	P 225,000	P 251,551			B 35,750			P 53,380		
Indiana University	2,555,104	B-C 389,106	B 200,000	14,116	B 145,000	B 167,516	B-P-C 230,080	B 608,522		B-P 96,889	B 103,080	B 2610,795

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Table 5.—Summary of major activity, by institution: Fiscal years 1978-88—continued

Institution	Total	Fiscal year										
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
Iowa State University	127,975				B-P 127,975							
Johns Hopkins University	269,960						B-P 71,456		B 93,356	B 40,350		B 64,798
Massachusetts Institute of Technology	858,122					B-P 240,743	B-P 141,135	B 62,501	B 217,517	B 196,226		
Metropolitan Museum of Art	101,850						B-P 101,850					
Michigan State University	251,982						B-P 165,337	B 86,645				
Missouri Botanical Garden	1,387,935	B 200,000	B 200,000	B 244,571						B 240,044	B 250,000	B-P 253,320
New York Botanical Garden	498,401					B 225,042	B 273,359					
New York Historical Society	196,629							B-P 69,929	B-P 65,585			B-P 61,125
New York Public Library	2,304,730	P 550,700	P 300,000		B-P 662,816			P 235,339	P 212,583	B-P-C 135,718		B-P 207,574
New York State Education Department	805,849	B 250,000	B 250,000	B 305,849								
New York State Library	203,323						B 152,748					B 50,575
New York University	352,023							B-P 185,136	B 166,887			

Newberry Library	131,658			P 131,658							
Northern Illinois University	235,233						B 93,480	B 141,753			
Northwestern University	601,197		B-C 250,000	14,116	B-C 55,104		B 172,193			B 119,784	
Ohio State University	551,465				B-C 179,000	B-C 101,017	B-C 113,093		B-P 88,355	B-P 70,000	
Ohio University	455,204					B 115,999		B 133,500		B 101,000	B 104,705
Pierpont Morgan Library	187,131						B-P 89,675	B-P-C 97,456			
Princeton University	1,099,373	B 219,395	B 250,000	B 153,661	B-P 110,000		B 116,566	P 93,575	B-P 50,427		B-P 105,749
Rice University	82,858					B 82,858					
Rutgers University	204,116		B-P 200,000	14,116							
Southern Illinois University	530,006				B-P-C 180,000	B-P 90,006				B 130,000	B 130,000
Stanford University	2,541,201				B 209,013	B 231,030	B 287,448	B 350,000	B 240,377	B 359,407	B 463,805
Stanford University, Hoover Institution	1,186,853							P 262,000		P 257,916	B-P 2414,244
State Historical Society of Wisconsin	481,760								B-P 125,460	B-P 120,054	B-P 125,000

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Table 5.—Summary of major activity, by institution: Fiscal years 1978–88—continued

Institution	Total	Fiscal year										
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
State University of New York at Buffalo	899,501					B 104,063	B 168,489		B 109,707	B 94,996	B 155,000	B 267,246
Syracuse University	292,360							B-P 132,360	P 160,000			
Temple University	66,748							B-P 66,748				
Tulane University	102,708											B 102,708
University of Alaska	341,385		B-P 150,000	¹ 4,116			P 187,269					
University of Arizona	581,952			B-C 202,549	B 184,785		B 98,978	B 95,640				
University of California, Berkeley	4,354,525	B 675,000	B 750,000	B 995,781		B-P-C 157,297		B-P-C 250,566	B-P-C 256,500	B 250,023	B-P ² 402,673	B-P-C ³ 616,685
University of California, Davis	104,999					B-P 104,999						
University of California, Los Angeles	140,000										B-P 140,000	
University of California, Riverside	290,000									B 135,000	B 155,000	
University of California, San Diego	712,212						B-P-C 108,623	B-P-C 265,895		B-P-C 196,411	B 141,283	
University of California, Santa Barbara	77,000										B 77,000	

University of Chicago	1,329,413	B 250,000	B-P-C 68,749	B-P-C 254,040	P-C 55,820		B-P-C 136,119	B 159,247	B 195,000	B 210,438		
University of Cincinnati	394,151					B-P 394,151						
University of Connecticut	150,545						B 68,372	B 82,173				
University of Florida	1,878,459				B 800,000		B 378,459	B 700,000				
University of Hawaii	399,345				B 150,000				B 140,000		P 45,000	B-P-C 64,345
University of Illinois	1,697,099	C 70,216	C 125,000	C 64,920	B-C 120,000	B-C 141,373	B-C 110,682	B-C 182,429	B-C 125,100	B 204,449	B-P-C 2361,373	B-P 191,556
University of Illinois, Chicago	309,525							B 157,835	B 151,690			
University of Kansas	901,207		B 116,689	B 136,967		B-P 148,500	B-P 144,313		B 126,147	B 102,140	B 126,451	
University of Kentucky	295,402								B-P 142,080	B-P 153,322		
University of Maryland	1,313,839								B 404,776	B 408,837	B 500,226	B 60,706
University of Michigan	1,683,373		B 350,000	B 606,000	B 300,000	B-P 133,901			B-P-C 2232,766			
University of Minnesota	253,019					B 66,309	B 122,602			B 64,108		
University of Missouri	422,514							B 68,230	B-P 202,757	B-P 151,527		

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Table 5.—Summary of major activity, by institution: Fiscal years 1978-88—continued.

Institution	Total	Fiscal year										
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988
University of Montana	115,403								B 115,403			
University of Nebraska	90,000					B-P-C 90,000						
University of New Mexico	64,000											B-P 64,000
University of North Carolina	1,602,372		B 220,500	B 321,445	B 270,937	B 339,007	B 305,951	B 144,532				
University of Notre Dame	58,889								B-P 58,889			
University of Oklahoma	319,140					B 203,451						B-P 115,689
University of Pennsylvania	586,194			B-P 167,739		B-P 108,798	B 106,875	B 103,982	B 98,800			
University of Pittsburgh	352,452							B-C 69,967	B-P 150,485	B 132,000		
University of Rochester, Eastman School of Music	688,146								B 233,000		B 455,146	
University of South Carolina	502,031				B 172,000	B 132,736	B 96,612				B 55,000	B 45,683
University of Southern California	472,983		B 200,000		B-C 126,695							B 146,288
University of Tennessee	51,918						B 51,918					

University of Texas	1,392,194	B-C 250,000	B-C 150,000	B-C 175,000	B-P-C 174,000	P-C 157,581	B 180,998	B 184,944	B 119,671			
University of Utah	271,903				B 110,883	B 119,680					B-C 41,340	
University of Vermont	262,063								B-P-C 67,777		B-P-C 94,286	B-P-C 100,000
University of Virginia	547,690		B 300,000	¹ 500			B 85,146	B 72,045			B 89,999	
University of Washington	991,730	B 93,327		B 150,000	B-P 175,025	B-P 250,000			B-P		B 144,000	179,378
University of Wisconsin	759,939	B 85,255	B 182,000	¹ 4,116	P 128,604	B-P 60,000			B-P			B-P-C 192,012
Vanderbilt University	148,159					B 148,159						
Virginia Historical Society	42,410											P 42,410
Washington State University	140,668								B 140,668			
Washington University	267,493						B-C 205,168	B 62,325				
Yale University	1,657,851	P 149,800	P 160,000	B-P 295,051	B-P 228,000	B 400,000	B 425,000					

KEY: B-Bibliographic Control P-Preservation C-Collection Development

¹Funds awarded to conduct dissemination projects for FY 79 grants.

²Funds for two projects.

³Funds for three projects.

NOTE: Institutions listed are primary grantees only. Table does not show those institutions that received funds through joint grants.

HEA Title II-C

Appendix B

Summary of Joint Projects: Fiscal Years 1978-88

Total funding of joint projects for FY 78-FY 88	\$14,159,539
Total number of institutions—grantees	16
Total number of institutions—participants	37
Total number of participating institutions which have also been grantees on their own	20
Thirty-three of the 35 joint projects (94 percent) supported bibliographic control efforts	

Amount of Grant FY 78	Grantee	Key	Participating Institutions
\$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
200,000	Missouri Botanical Garden	B	New York Botanical Garden
675,000	University of California, Berkeley	B	Stanford University University of California, Los Angeles
FY 79			
\$200,000	Missouri Botanical Garden	B	New York Botanical Garden
750,000	University of California, Berkeley	B	Stanford University University of California, Los Angeles
350,000	University of Michigan	B	Michigan State University Wayne State University
300,000	University of Virginia	B	University of Alabama University of South Carolina Vanderbilt University
FY 80			
\$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
244,571	Missouri Botanical Garden	B	New York Botanical Garden

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-88—continued

Amount of Grant FY 80—continued	Grantee	Key	Participating Institutions
995,781	University of California, Berkeley	B	Stanford University University of California, Los Angeles
606,000	University of Michigan	B	Michigan State University Wayne State University
FY 81			
\$662,816	New York Public Library	B, P	Columbia University New York University
800,000	University of Florida	B	Emory University Florida State University University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
300,000	University of Michigan	B	Michigan State University Wayne State University
FY 82			
\$225,042	New York Botanical Garden	B	Missouri Botanical Garden
400,000	Yale University	B	Cornell University Stanford University
FY 83			
\$273,359	New York Botanical Garden	B	Missouri Botanical Garden
378,459	University of Florida	B	Emory University Florida State University Louisiana State University University of Alabama University of Georgia University of Kentucky

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-88—continued

Amount of Grant FY 83—continued	Grantee	Key	Participating Institutions
	University of Florida—continued	B	University of Miami University of Tennessee Virginia Polytechnic Institute
305,951	University of North Carolina	B	Duke University North Carolina State University, Raleigh
425,000	Yale University	B	Cornell University Stanford University
FY 84			
\$608,522	Indiana University	B	University of Arizona University of California, Riverside University of Delaware University of Utah
700,000	University of Florida	B	Emory University Florida State University Georgia Institute of Technology Louisiana State University University of Alabama University of Georgia University of Kentucky University of Miami University of South Carolina University of Tennessee Vanderbilt University Virginia Polytechnic Institute
144,532	University of North Carolina	B	Duke University North Carolina State University, Raleigh

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-88—continued

Amount of Grant FY 85	Grantee	Key	Participating Institutions
\$233,000	University of Rochester, Eastman School of Music	B	Indiana University University of California, Berkeley
FY 86			
\$170,242	Duke University	B	North Carolina State University, Raleigh University of North Carolina, Chapel Hill
240,044	Missouri Botanical Garden	B	New York Botanical Garden
404,776	University of Maryland	B	New York State Library Texas A & M University University of Delaware
FY 87			
\$455,146	University of Rochester, Eastman School of Music	B	Harvard University Indiana University Stanford University University of California, Berkeley Yale University
250,000	Missouri Botanical Garden	B	New York Botanical Garden
408,837	University of Maryland	B	New York State Library Texas A & M University University of Delaware
FY 88			
\$112,577	Auburn University	B	University of Alabama

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Summary of Joint Projects: Fiscal Years 1978-88—continued

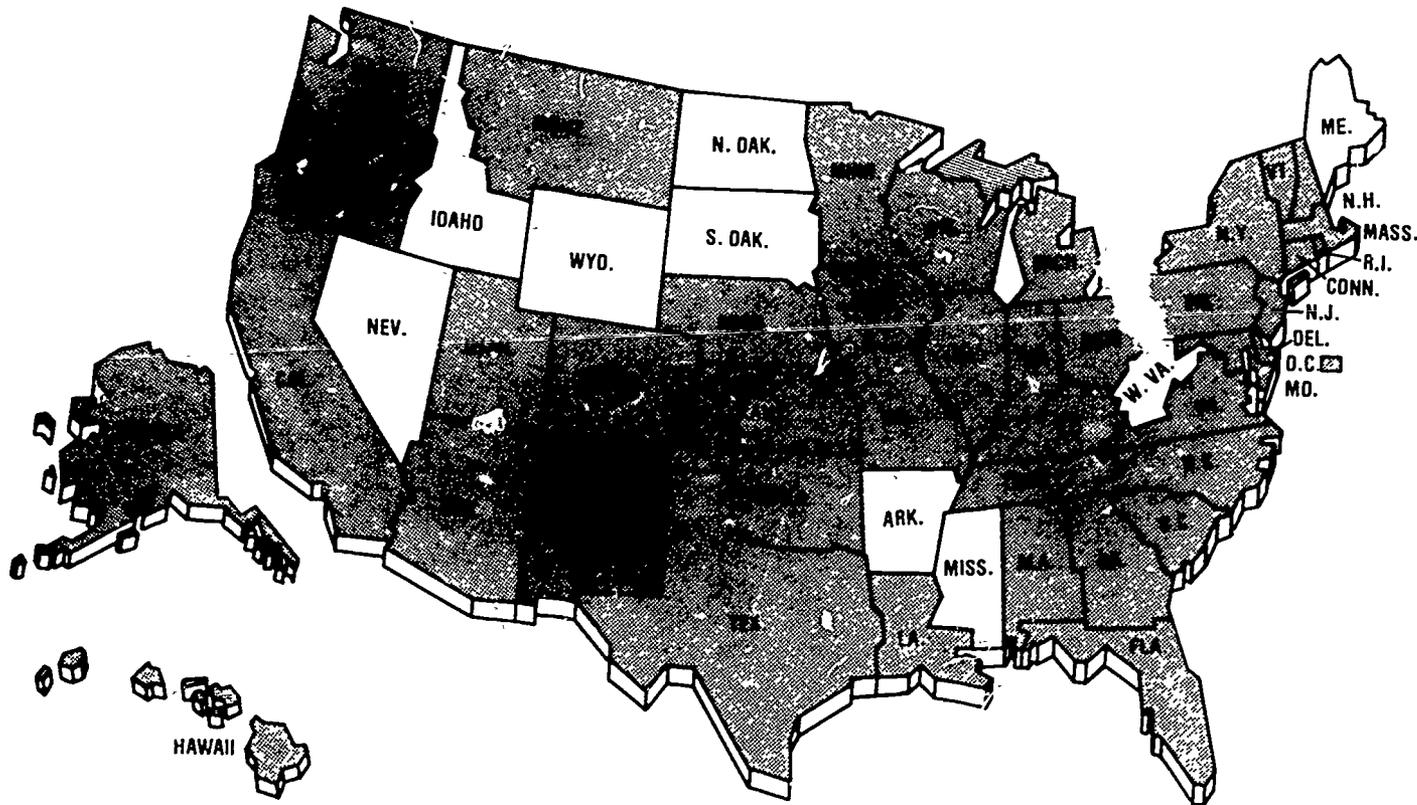
Amount of Grant FY 88—continued	Grantee	Key	Participating Institutions
506,839	Indiana University	B	Eastman School of Music Harvard University Stanford University University of California, Berkeley Yale University
253,320	Missouri Botanical Garden	B,P	New York Botanical Garden
400,121	Stanford University	B	University of California, Berkeley University of Florida University of Texas Yale University
500,226	University of Maryland	B	New York State Library University of Delaware
179,378	University of Washington	B	University of Oregon

KEY: B-Bibliographic Control P-Preservation C-Collection Development

HEA Title II-C

Appendix C

States Benefitting From HEA Title II-C Since Fiscal Year 1978



Key: Shaded areas are those States that have received Title II-C funding.