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## ABSTRACT

## utarmy

Analyzing word frequency in, six complete texts, a study investigated how vocabulary can be used to define texts. The texts included three stories from 5 th and 6 th grade readers, selections from literature, anthologies for, 8 th grade, and 12 th grade students, and, magazine essay for, adults. Resuits indicated that if particular words occur frequently in a text, they do so because the Ianguage requires it. Few words, Fere, found to occur in all of the texts; those that did were almost all function words, wber forms, or pronouns: Analyses of word frequency 1 ists revealed that some content words occurred more, than others, simply because they referred, to common, concepts, overall, findings, suggested that the wording of any text is not randon and that, in fact, texts, self-controlin their vocabulary. These results suggested that, (1) readers can build dependable strategies for dealing with words common in a text but less common in the languageras a whole; (2) authors and editors should concentrate on relating the content of texts to the audience rather than focus, on controlling vocabulary, through the use of word lists; and ( 3 ) , teachers concerned with vocabulary development should focus on functional use of words in the context of real texts rather than resort to decontextualization lists or dictionary exercises. (JD)

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## Statement of Purpose

This series of working papers will provide a report of our current thinking and make available the work of our program to those who may be interested. It is our intent to stimulate an on-going dialogue with other professionals who share similar interests in educational theory and practice. We welcome responses from readers. Comments may be directed to the author of the paper or to the directors of the program.

Some but not all of the papers may appear in other publications in modified form. We are making this publication available at cost.

Word Frequency in Texts and In General
The tapic of this research report is really the wording of texts; Halliday considers "wonding" the folk term for what he describes as the lexico-grammar of the language (Halliday, 1981). As such the wording is both the final written representation of the meaning ard the process by which the final selection is made. Which words constitute the visible text is certainly determined by the writer but only within strong lexico-grammatical constraints that the structure of language, meaning and sacial communication pravide.

But in the field of reading and in the history of reading research the focus on the wording of language has concertrated on WORD FREQUENCY, the frequency with which words occur in general in the language.

As with se mariy popular notions in education, study of the issue of wand frequency is dominated by the original reasoris it was considered important and we have not looked objectively at the realities of wording as the result of text characteristics: Uniess we examine how characteristics of coherent, functional, meaningful texts relate to chaice and frequency of words in those texts we cannot truly understand the significance of relative frequency of words in use. So, to put our study in an educational content and to examine current educational belief and practice, we are making word frequency WITHIN TEXTS the focus of this paper. Purposes for Studies of Word Frequency

## Page 1

Orie of the most deeply rocted rotions among teachers of reading is that cortrolled vocabulary, based on studies of relative word frequemey, is necessary ininstructional materials for developing readers. This emphasis on using ward frequency 1 ists to build controlled vocabulary materialswas not the original purpose for constructing such 1ists, however.

Counts of word frequency were first compiled as a neans of determining the readability of existing texts. The researchers were operating on the premise that words which oceur most frequently in the language are more easily recognized, learmed, and processed ing reading than less common words. 50 , $1 t$ seemed reasorable that the more high frequency words a text contains proportionate to low frequericy words the easier it would be to read.

While using word frequency as a means of DETERMINING readability in existing textsmay seem logieal, there is a gap in the 1 ogic of manipulating wond frequency to CONTROL readability, by nestricting the vocabulary of new texts or rewniting old texts by substituting high frequency words for 1 ow $f$ quency words. Research has denonstrated a moderate cormelation betweeri the preportion of unusual words ard tert difficulty evenif it has also shown that this correlation is insufficierit ta determine readability. Most neadability formulas still include some measures of unusual words. But artificially redueing vocabulary to create Page 2
texts with 1 en wremorkions of uricommon words tampers with the very fackens that fely govitribute both to word frequency ard text diffitetty were are good reasons why particular words cecur ir particular places in particular texts and authoris cotwiee se orly one of these vegsoms. Tampering with the wowdin: of texts without understanding why words occur in tevets fte way they do may make texts less readable rather tham mome tos.

Qver the years a mystique has grown up around the sigrificarice of controiling vocabulary to control comprehensibility.

A major reason for aceepting the importance of eantralling, vocabulary has been that mariy teachers arid researchers have operated from a view of reading es getting words and of learning to read as learning to get words.

Johri Carroll, author of a comparatively recent study that used a base, of $5,000,000$ words, argues that the size of the reader's vocabulary is the most impartart causative factor in comprehension., (Carroll, 1981)

Iranically the methodology of counting word frequency has itself contributed to misconceived applications.

- Early frequericy studies ghowed that words vary so mueh in frequency from arie context to arother that it's rieceseary to examine a very lange eorpus of 1 ariguage to be able to suppart the assertion that the frequency found is representative of the whole lariguage Later studies were

Based on awareness that the corpus must be a broader representation than a single source such as the Bible. Such grand scale studies may or may rict provide a list truly representative of "all" language. But such a large corpus of larguage containing many texts eliminates the very factors that constrain the choice and frequency of vocabulary in a single coherent text. So when the frequency list is used to construct or rewrite texts it may make them strange and unpredictable. When the 1 ist is used to judge readability of a text it imposes the assumption that wards are of equal difficulty regardless of where they occur ard what kind of contextual support is provided.

Word Frequency as a Feature of Text Ir this study we have put our focus on what grand scale word frequency studies could not shed 1 ight on: what does word frequency mean in the cortext of a single coherent and cohesive tert not written or adapted for the purpose of the study? We want to know what it is about language in use that produces variable word frequency. Such Krowledge will help put the issue of vocabulary in its proper context (mo puri intended). But it will also telp to define a text in terms of its use of vocebulary. This will provide kriowledge of the relative importance of any particular ward ta the text and text comprehension. It will also suggest how vocabulary is developed through readirig. Jahn Carroll (1981) reasons that since good comprePage 4
hersion comrelates with gaod vocabulary, then vocabulary development is essential to comprehensione A more logical comclusion is that people who read a lot develop large vocabularies. So arimportant corrolary question is; "what is there about word use and frequency in texts that builds vocabulary during reading?"

Dur study is rogted in a psycholinguistic theory of reading and it draws on data from past miscue studies (Goodman and Eurke, 1973 , Gaodman and Gegdman, 1978).

Ir, this study we've examined word frequency in six complete texts which have beem used in miscue, research. Dur purpose ist to deternine not, only the frequency with which words occur in each text but also to determine why. We are seekirg to understand how the vocabulary of the text nelates to its other characteristics, and what constraints a complete text impeses on its vocabulary such uriderstanding may call intoquestion the use of word frequency 1ists in judging readkiliity and in structuring controlied vocabulary basal readers.

A Historical Summary of Nord Frequericy Research
Qver a long period research efforts have centered or proving which word frequency list or readability formula was the most effective and why. The word frequency vaniables selected to measure text difficulty were mumerous: rumber of ruming words, pencertage of different words, percentage, of different irifrequert, uncommon, or "hard" Page 5
words, percentage of palysyllabic words, vocabulary difficulty, vocabuilary diversity, number of abstract words, rumber of affixed morphemes, and so ori. (Lorge, p. 2, 1938)

Vocabulary coritral is not a new idea Lorge traces word ard idea courts back to the Talmudists in 900 A. D. whe used frequency of occurrence to distinguish usual from unusual mearings Nar is interest ir word frequency comparatively recent in the United States; in 1840 the McGuffey Readers were claimed to contain words carefully selected for/" "ease of understanding," though oriteria for selection were not made explicit.
N. A. Rubakin and $F$. W. Kaeding compiled word 1 ists in 1999 and 1898 respectively. Kaeding set the precedent for usirg actual word courts to produce lists of words in order of freguency of occurrence. (Lorge, 1944)

While the early, word counts all produced frequency 1ists they varied considerably iry terms of the language Sample from which they were drawri. Relying primarily on Gible, passages, Knowles produced a three hundred and fifty word basic vocabulary for the blind. Eldridge's (1911) 11st of SIX THOUSAND COMMON ENGLISH WDRDS, was drawri from four issues of the Buffalc, New York Sunday papers dated July ard Aupust 1909. (Klare, 1963)

Ernest Horn' 5 A BASIC WRITING VOCABULARY (1925), a 1ist of approximately five million words, was based or Page 6
pensonal and business correspondence. Next; Horn tackled the job of courting the spoken vocabularies of young children, ages one to six, and in 1926 published "The Commonest Words in the Spoken Vocabulary of Children up to and InCluding Six Years of Age." (Harn, 1926)

It was Thomdike's THE TEACHER'S WORD BODK, published in 1921, however, that heralded the dawn of readability formulas. Thorndike's ten thousand most frequent words served as the basis for the first significant readability Formulas and oontrolled vocabulary readers. (Thorndike, 1921)

Lively and pressey in 19e3, calculated the vocabulary burden of a book selecting a thousand-word sampling, assigning each word an index of difficulty that corresponded with the Thorrdike list, and then computing the weighted median index number for the passage. Thus, the inder numbers were based strictly on the frequency of the use of words. (Lively and Pressey, 1923)

Lively and Pressey's work sparked the interest of other, researchers including Washburn and Vogel who in 1928 declared that the number of different words in a thousand was the most reliable indicator of passage-difficulty beCause of its close correlation to median reading scores obtained from the paragraph-meaning section of the Stanford Achievement Test. (Washburn and Vagel, 1926 )

William S. Gray, father of the basal reader, caution ed: It is reasonable to assume that the number of differPage 7
ent words used is-a feir measure of difficulty, because it iridicates the range of eancepts irvalved It fails, however, to consider whether the words used represent relatively simple or difficult corcepts" (Gray, p. 492, 1947).

This is a serious oversight of the early vocabulary lists. Not only did they fail to consider the difficulty on simplicity of the concepts represented by the words, but they overlooked meaning and mearing variatior completely.

The vocabulary studies that followed in rapid-fire succession employed various methods of compiling words.

Ariother 1988 study condueted by Dolch analyzed textbogk geries according to five indices of difficultys perceritage of different words; percentage of difficult words (using his combiried word study 1ist); degree of difficulty of words; median frequency of difficult words, and degree of difficulty for supplementary reading Diffieulty was equated with infrequency. (Dolch, 1928)

Next was Lewerenz's somewhat unarthodox $19 e 9$ study in which he focussed on wards beginming with w, $h, b, i$, or, e, He reported that words that start with w, $h$, or b occurred with relative frequency and could be classified as easy words, while words that begin with i or e were relatively few and were, therefore, "hard" words. (Lewerenz, 19e9)

Johnsorn relied on still arother index of vocabulary difficulty. In 1930 , he reported that the percentage, of polysyllabic words in a passage is a reliable indicator of Page $B$
the, reading difficulty that children will experience. (Jehrison, 1930)

Ore year later, iri 1931, Patty and Painter preserted a modified version of the Lively-Pressey method by 1 isting all words located on the thind complete 1 ine of each fifth page, multiplying the correspording Thorndike index numbers of the selected words by the frequency of the use of the nespective wards, fand finally, calculatirig the average-word-weight value by dividing by the total rumber of words in the sample, (Patty ard Pointer, 1931)

In keeping with the vocabulary analysis tradition, Thorridike himself praduced still ariother techmique in the thirties based on his own word list. Using a sample of ten thousand words from the bogk to be arialyzed, he counted the number of words it contained that were in thevarious categories of the TEACHER'S WORD BOOK, ard therf calculeted the norms for each grade (Thorndike, 1932 ).

Klare characterizes this early period of readability research as follows:

1) primary attention paid to vacabulary (frequency) as e basis for predicting readability; e) dependence upor Tharndike's TEACHER'S WQRD EODK as the basis for determining vacabulary difficulty; 3 ) use of "relatively erude eriteria of reading difficulty." (Kiare, 1963 p. 44,)

Meariwhile, rew approaches to vacabulary samplirg were turning out stil1 more word lists. In 1936, Buckingham and

Doleh utilized a free association techrique in which e1, 000 children in grades two through eight were asked to write all the words which they thought of during a fifteen-minute pericid. The result was a pool of two arid a half million words which were ther tabulated by lexical unit according to Thorndike's procedures.

In 1938, Rinsland and Moore, after collecting nearly six milligh written words from school children; announced their proposal for a list; "to assemble all data and words. = into a consolidated list of approsimately 15 gan different words with eight columns of frequencies for the eight grades after each word." (Lorge, p. 547, 1946)

It was Dale, however, who came closest to fitting the bill for a graded word 115 . He based his work on the premise that minety percent of the children entering founth grade would krow some meaning of a vocabulary selected from previcus word courits including Gates' $A$ READING VOCABULARY FOR THE PRIMARY GRADES, a 11 words from A STUDY OF THE VOCABULARY OF CHILDREN BEFORE ENTERING THE FIRST GRADE, and the first thousand most frequent words in Tidymaris A SURVEY DF THE WRITING VOCABULARIES OF PUBLIC SCHOOL CHILDREN. His testing procedure was quite simple and straightforwand. He asked almost $\theta$, oq日 ohildren in grades 4, 6 arid 8 to state whether or not they knew a given word. Ir 1943, Dale published a 1 ist of easy words based on the study. (Dale 1943)

$$
\text { Page } 10
$$

Another major word eourt was eonducted by Lorge in ari effort to obtain an estimate gif the frequency of the occurrence of words in adult reading material. Drawing Fram five adult magazires with high circulation: SATURDAY EVENING POST, LADIES' HOME JOURNAL, WOMAN'S HOME COMPANION, TRUE STORY and READERS DIGEST, Lorge pGoled approximately five million ruming words. These were then combined with the Thorridike 2 , QQQ Word Eock, The Thorndike Juvenile Literature Count; and the Lorge-Thorndike Semantic Courit and published as THE TEACHER'S WORD EOOK DF 30, DOQ WORDS. (Thorndike and Lorge, 1944)

Lorge himself suggested that word lists cari be used most effectively in establishing a core vocabulary for children. He warned, however, that they "cannot be the ONLY basis of selection.."," as they fail to account for the meanings of words. Even the most frequent words commonly have more than one meaning. For example, Lorge says the gSO WORDS OF: EASIC ENGLISH represent $12 ; 425$ 1isted meanings in : THE QXFQRD DICTIDNARY with approximately 5,9ヨ1 additional senses that are not separately listed. Furthermore, Lorge said, each reader brings his or her owr background of experience ta the text; and the mearing the writer intended for a particular word or passage may not be the meaning the reader receives. (Lorge, 1944)

> Everyore seened to agree right from the start that Page 11
frequency of occurrence was important due to the conmor semse notion that common words are easier to reaggnize. So the next concern was the nature of the language sample from which the words were drawri. Everything from the Bible to popular adult magazines to the Buffalo Sunday newspaper were used. While some researchens swore by samples callected from written larguage, others (E.g. Ernest Horn) irisisted that oral language was the best source. still another variable was the age of the subjects from which the language was collected. The subjects ranged in age from preschool to adult.

Although there was wide variation in the nature of the sample, the basie approach to the collection was the same. A large number of words were generated over a range of related texts from a particular source.

The validity of grand-scale word counts was essentially assumed and never seniously questioned. Wher people began to realize that word counts alone were not adequate for readability, attention gradually shifted ta the development af readability formulas that did incorporate other criteria besides vocabulary such as complex versus simple serterices; sentence length and qualitative factons including obscunity and incoherence in expression. But basal text eontinued to focus strongly on two factons: controlled vocabulary and repeated exposure, a contribution from behavioral psycholggy.

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The essential weakness of all this word counting is that word frequency is treated as a phenomenon that exists independently of the text in which it occurs. Word frequency has been treated as a cause of text difficulty but not as a result of characteristice of the text itself.

Early research established that very large amounts of text must be used to get some sense of the relative frequericy of words in general. But, as we said earlier, using huge bodies of language with miliions of running wards and thousands of different words blots out the characteristics of a text which determine the choice of wonds and their frequency.

Though authors have some choice in the words they use in ereating a text, there is always a considerable amount of constraint on that choice. Some syntactie features of the language are extremely constraining. Common nouns particularly in the singular in English almost always requine determiners. So THE and A are going to be very frequent in all English texts. THE will be more frequert than A. because THE has ari ariaphoric quality: it is used with nouns already introduced in the text: Some semantic features of a text serve ar esseritial and repeated purpose. If the text contains dialogue, SAID will occur very often. This explains why it is ofter the most eommon verb in a text.

But ather semantic constraints derive from the message
Page 13
or meaning being represented. So a story about a sheep dog
deferding her flock against predatory coyotes will make
frequent use of some words not likely to be common in ever
several million words from school texts, several Sunday
editions of the Buffalo Sunday paper, or many other
sources. Such frequency doesn't make the text hard to
read.
This is why in our study we've focussed on a very
different set of questions. We have examined word
frequency in the context of connected discourse, looking at
the choice and frequency of words in relation to other text
characteristics.

We have asked: What is REALLY happening in a text? Why are some words in a text more frequent than others? How are the words related to each other; semantically, syntactically? How do the words function syntactically? Do some words serve mone than one syrtactic function? How does word frequency relate to text cohesion? Description of the texts selected for this study

The texts we have selected include two middle grade basal stories, 551, "Freddy Miller Sciontist", (fifth grade) and S53; "My Brother is a Gerius", (6th grade). 559, "Sheep Dog", is a selection from an eighth grade literature bock.

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SGO, POISON, is a story by Roald Dahl published in unabridged form in a twelfth grade literature arthology. $570_{\text {; }}$, "Ghost of the Lagoon," written by Armstrong Sperry, appears in its original form in a sixth grade reador. 561 "Why We Need the Gerieration Gap" is an adult magazine essay.

Operating from a theory that language eoritrols its own vocabulary, we have examined word frequerey in these texts. All have similar characteristies but are different too, depending on the authon"s purpose and style. We chose these particular stories because we have lots of miscue data on subjects reading them, allowing us to eompare across texts with some degree of sophistication. We have purposely avoided using begimming reading material as it tends to employ rigidly controlled vacabulary.

Table Dine: Word Frequency: Types and Tokens.

| Story Number | Rurining Words | Different Words | Used Orice | Typ/Tok Ratio | \% Words Used onee |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 551 | 1369 | 466 | 263 | 2. 94 | 56. 44 |
| 553 | 2030 | 604 | 336 | 3.36 | 55.63 |
| 576 | 2775 | 899 | 457 | 3.43 | 56.49 |
| 559 | 3667 | 952 | 507 | 3. 85 | 53.26 |
| S60 | 4208 | 883 | 499 | 4. 77 | 56.51 |
| 561 | 1318 | 609 | 459 | 2. 17 | 75.49 |


Table One provides some general data about the word frequencies in the six stories. Story length in terms of total ruming words (tokens) is proportional to the grade Page 15

Word Frequency
level of the school selections. 551 , the fifth grade story, has only 1369 total running words. The sixth grade stories, 553 and 570 , have 2030 and 2775 respectively. The story from an eighth grade text, 559, has 3667 words while 560, the adult short story from a leth grade antholer 'has 4208 words. The magazine essay is shorter with 1318 words.

The number of different words (types) also increases in materials for more advanced readers. So types increase from 466 in 551 to 604 in 553 to 809 in 570 and 952 in 559. But in 560 number of types actually is lower. This relates to a steady increase in the type/token ratio for successively more advanced stories from less than 3 uses per type in 551 to almost 5 in 56a. We might expect the most effect of vocabulary control and deliberate repeated use of vocabulary in the two stories from the Betts readers. In fact the rising type-token ratio suggests that in less consciously controlled narrative material some words occur very frequently, in fact more frequently than in more controlled texts.

S61, the magazine essay, shows a very different pattern however with 608 types for 1318 tokens and a ratio of anly 2.17. This probably represents the difference between this nor-narrative text and the others which are all narrative.

We must examine another aspect of word frequency in these texts to get a more complete picture. In every word Page 16
study, no matter how large the corpus, many words will be found to occur only once. In all of these stories more than half the tokens occurred orily once. In fact all of the five narrative storieg show similar per-cerits of word types used orily Gince, $53.26 \%$ to $56.51 \%$ It's not surprising that better thar $75 \%$ of the types in 561 ; the essay, oecur only once considering the low type/token ratio. One dimension of the wording of these marratives; theri, is that more than half of the types occur only orce. But an opposite dimen sion is that a few words occur extremely often.

Table Twos Words Representing $\%$ of Total Tokens

| Stories |  | Total Words Tokens | Diff. Words Types | Cumulative 10x | $\begin{aligned} & \text { Perc } \\ & \text { eox } \end{aligned}$ | nt of 30\% | Running $40 \%$ | Words $50 \%$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 551 | Words * Types | 1369 | 466 | $0.64$ | $\begin{array}{r} 8 \\ 1.7 e \end{array}$ | $\begin{array}{r} 16 \\ 3.43 \end{array}$ | $\begin{array}{r} 31 \\ 6.65 \end{array}$ | $11.53$ |
| 553 | Words * Types | 2030 | 645 | $0.47$ | $\begin{array}{r} 7 \\ 1.09 \end{array}$ | $\begin{array}{r} 14 \\ \text { e. } 17 \end{array}$ | $4.03$ | $\begin{array}{r} 52 \\ 8.06 \end{array}$ |
| 570 | Words \% Types | 2775 | 809 | $0.25$ | $0.74$ | $\begin{array}{r} 13 \\ 1.61 \end{array}$ | $\begin{array}{r} 30 \\ 3.71 \end{array}$ | $\begin{array}{r} 64 \\ 7.91 \end{array}$ |
| 559 | Words * Types | 3667 | 958 | $0.1 \frac{1}{1}$ | $0.5 \frac{5}{5}$ | $\begin{array}{r} 11 \\ 1.16 \end{array}$ | $\begin{array}{r} 27 \\ 2.34 \end{array}$ | $\begin{array}{r} 64 \\ 6.72 \end{array}$ |
| 560 | Words <br> \% Types | 4208 | 883 | $0.2 \frac{3}{3}$ | $\begin{array}{r} 6 \\ 0.68 \end{array}$ | $\begin{array}{r} 19 \\ 1.36 \end{array}$ | $\begin{array}{r} 27 \\ 3.06 \end{array}$ | $6.57$ |
| 561 | Wards \% Types | 1318 | 608 | $\begin{array}{r} 3 \\ 0.49 \end{array}$ | $\begin{array}{r} 8 \\ 1.32 \end{array}$ | $\begin{array}{r} 17 \\ 2.80 \end{array}$ | $\begin{array}{r} 38 \\ 5.85 \end{array}$ | $\begin{array}{r} 85 \\ 13.98 \end{array}$ |


To have a complete picture of relative frequericy of these very frequent words in these six texts, we reed ta lock at the number of differerit words (types) it takes to Page 17
account for eumulative percents of the ruriririg words (tokems). This infommation is indicated in Table TwG. It takes arly from 1 to 3 words in any of these $5 i x$ texts to acoourt for $10 \%$ of the tokeris. The most common word, $T H E$, accounts for between $3.9 \%(553)$ and $9.9 \%(559)$ af all tokers.

To acoount for $20 \%$ of the tokens takes only 5 to 0 different words. That's from $.51 \%$ ta $1.71 \%$ of the types. It takes $11-17$ types to account for $30 \%$ of the tokens. This is only 1.e to $3: 4 \%$ of the types. The latter figure is for the fifth grade text (S5i). Ta account for $40 \%$ of the ruriring words takes orily e. $8-4 \%$ of the types except for 551 ( $6.7 \%$ ) and the essay, $561,(6.3 \%)$. To understand what this means consider that for 27 wards in 56 ta aceourit for $40 \%$ of the total of $42 Q 日$ wonds each of these 37 words occurs an average of 63 times.

Half of the tokers in each text are represented by $G$. $E$ to $B .1 \%$ of the types except for ssi which requires $11.4 \%$ and 561 requiring $14 \%$. Clearly these two selections are getting into lower frequency words than the cither four. Each of the 58 words that accourit for the first $50 \%$ of $S 60$ accurs an average of 36 times. Each of the 53 words that account for the first $50 \%$ of 551 occure ari average of 12.9 times. And for 561 each cccurs grly 7.8 times.

What all this illustrates is the extreme variability of womd frequemcy withir a text. Mare thar half the individual words (types) accur anly srice in any of these six Page 18

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texts while small mumbers of types account for huge prom
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portions of the total ruming words (tokens).

So far, however we have not looked carefully at which words appear 50 frequently and what text characteristics might account for their frequency. Figures ia and 16 show the 25 mest eommori words in each story dirifour cases we include more due to matching frequencies).

The words on the iist af the es most frequent words in each stony represent from 35 to $39 \%$ of the rurining words of each text.

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Word Frequency

Figure 1a: Most Frequent Words in Frequency Order

|  |  |  |  |  | 553 |  |  |  | 570 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| No. | Word | N | \% | Cum |  |  |  |  |  |  |  | Cum. |
| 1. | the | 78 | 5.6 | 5.6 | the | 8 N | $\begin{aligned} & x \\ & 3.9 \end{aligned}$ |  | Word | N | \% |  |
| 2. | he | 40 | 2. 8 | B. 4 | I | 80 | 3.9 | 7.8 |  | 69 | 9.5 | 9.6 |
| 3. | Freddie | 37 | 2. 6 | 11.0 | a | 65 | 3.1 | 10.9 |  | 86 | 3.0 | 12. 6 |
| 4. | to | 36 | 2. 6 | 13.6 | and | 52 |  |  | , | 67 | 2,4 | 15.81 |
| 5. | a | 29 | 2.0 | 15.6 | he | 51 | 2. 2.4 | 13.4 | a | 63 | 2.E | 17.2 |
| 6. |  | 28 |  |  |  |  |  |  |  |  |  |  |
|  |  |  | E.0 | 17.6 | 5aid | 51 | E. 4 | 18.2 | he | 57 | 2, 0 |  |
| 7. | it |  | 1.8 | 19.4 | to | 48 | 2. 3 | 21.5 | to | 55 | 1.9 | 23. |
| 8. | his |  | 1.8 | 21.2 | you | 31 | 1.5 | 23.0 | was | 45 | 1.6 |  |
| 9. | in |  |  | 22.5 | Mr . | 28 | 1.3 | 24.3 | in | 38 | 1.3 | 26 |
| 10. | that | 191 |  | 23. 8 | my | 28 | 1.3 | 25.6 | Mako | 35 | 1.2 | 27. 3 |
| 11. | and | 181 | 1.3 | 25.1 | of | 28 | 1.3 | 26.9 | Canoe | 33 | 1.1 |  |
| 12. | I | 171 | 1.2 | 26.3 | baby | 26 | 1.2 | 28. 1 | on. | 26 | . .9 | 29.3 |
| 13. | you |  | 1.10 | 27.4 | Barnaby | 25 | 1.2 | 29.3 | it | 25 | - 8 | 30.1 |
| 14. | had | 161 |  | 28. 4 | at | 34 | 1.1 | 30.4 | that | 24 | . 8 | 30.9 |
| 15. | of | 14 | 1.0 | 29.4 | was | 24 | 1.1 | 31.5 | boy | 24 | . 8 | 31.7 |
| 16. | Elizabeth Miller with uncle mother | $\begin{aligned} & 14 \\ & 14 \\ & 13 \\ & 12 \\ & 10 \end{aligned}$ | $\begin{array}{r} 1.0 \\ 1.0 \\ .9 \\ .8 \\ .7 \end{array}$ | 30.4 | Andrew | 23 | 1.1 | 32. 6 |  | 24 | . 8 | 32.5 |
| 17. |  |  |  | 31.4 | in | 22 | 1.0 | 33.6 | him | 23 | - 0 | 33.3 |
| 18. |  |  |  | 32. 3 | his | 20 | . 9 | 34.5 | Afa | 2e | -7 | 34.6 |
| 19. |  |  |  | 33. 1 | it | 19 | . 9 | 35. 4 | with | e1 | .7 | 34.8 34.7 |
| 20. |  |  |  | 33. 8 | on | 17 |  | 36.2 | had | 20 | .7 | 35.4 |
| 21. | at for father then this Mrs. like said she | $\begin{array}{r} 10 \\ 10 \\ 9 \\ 9 \\ 9 \\ 9 \\ 9 \\ 9 \\ 9 \end{array}$ | .734 .5 <br> .735 .2 <br> .635 .8 <br> .636 .4 <br> .637 .4 <br> .637 .6 <br> .6 <br> .6 <br> .68 .8 <br> .6 |  | as <br> but <br> for <br> that <br> typical | $\begin{aligned} & 14 \\ & 14 \\ & 14 \\ & 13 \\ & 13 \end{aligned}$ | $\begin{aligned} & .6 \\ & .6 \\ & .6 \\ & .6 \\ & .6 \end{aligned}$ | $\begin{aligned} & 36.8 \\ & 37.4 \\ & 38.0 \\ & 38.6 \\ & 39.2 \end{aligned}$ | into as water from out |  | . 6 | 36.0 |
| 2 e. |  |  |  |  | 16 |  |  |  |  | -5 | 36.5 |
| 23. |  |  |  |  | 16 |  |  |  |  | -5 | 37.0 |
| 24. |  |  |  |  | 15 |  |  |  |  |  | 37.5 |
| 25. |  |  |  |  | 15 |  |  |  |  |  | 38.6 |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |

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Figure 1b: Most Frequent Wards in Frequency Order

|  | 559 |  |  |  | 560 |  |  |  | 561 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| No. | Word | N | \% |  | Werd | N | \% | $\begin{array}{r} \text { Cum } \\ \% \end{array}$ | Word | N | * | Cum. |
| 1. | the | 370 | 9.9 | 9.9 | the | 259 | 6.0 | 6.0 | the | 73 | 5.2 | 5.2 |
| 2. | and | 116 | 3.1 | 13.0 | and | 165 | 3.8 | 9.8 | to | 40 | E. ${ }^{\text {B }}$ | 3.0 |
| 3. | to | 110 | 2.9 | 15.9 | he | 137 | 3.1 | 12.9 | and | 35 | 2.5 | 10. 5 |
| 4. | she | 105 | 2.8 | 18.7 | I | 123 | 2. 8 | 15.7 | we | 32 | 2. 3 | 12.8 |
| 5. | her | 105 | 2.8 | 21.5 | to | 117 | 2. 7 | 18. 4 | will | 3 3 | E. 3 | 15.1 |
| 6. | of | 100 | 2.6 | 24.1 | it | 90 | 2. | 20.4 | of | 29 | 2. 1 | 17.2 |
| 7. | a | 76 | 2.0 | 26. 1 | his | 85 | 1.9 | 2e. 3 | in | 24 | 1.7 | 18.9 |
| 8. | was | 62 | 1.6 | 27.7 | was | 92 | 1.9 | 24.2 | a | 20 | 1.4 | 20.3 |
| 9. | Peggy | 40 | 1.0 | 2B. 7 | a | 78 | 1.8 | 26.0 | cur | 19 | 1.3 | 21.6 |
| 10. | it | 36 | . 9 | 29.6 | of | 73 | 1. 6 | 27.6 | that | 17 | 1. | 22.8 |
| 11. | sheep | 34 |  | 30.5 | in | 58 | 1.3 | 28.9 | us. | 17 | 1.2 | 24.0 |
| 12. | in | 33 | . 3 | 31.3 | Harry | 45 | 1.0 | 29.9 | have | 16 | 1.1 | 25. 1 |
| 13. | for | 33 |  | 32. 1 | or | 36 | . 8 | 30.7 | for | 15 | 1.0 | 26.1 |
| 14. | had | 31 | . 8 | 32. 9 | you | 36 | - | 31.5 | is | 15 | 1.0 | 27.1 |
| 15. | as | 31 | . 8 | 33.7 | at | 33 | .7 | $32 . 已$ | they | 12 | . 8 | 27.9 |
| 16. | from | 27 |  | 34.4 | that | 31 |  | 32. 9 | when | $1 \pm$ | . 8 | 28. 7 |
| 17. | on | 27 | . 7 | 35.1 | me | 30 | . 6 | 33.5 | be | 10 | .7 | 29.4 |
| 18. | coyote* | 24 | . 6 | 35.7 | but | 29 | . 6 | 34.1 | 1 | 10 | . 7 | 39. 1 |
| 19. | that | 2e | . 5 | 36.2 | him | 29 | . 6 | 34.7 | on | 10 | .7 | 30.8 |
| 20. | at | 21 | . 5 | 36.7 | up | 29 | . 6 | 35.3 | with | 10. | .7 | 31.5 |
| 21. | were | 21 |  | 37.2 | there | 28 | . 6 | 35.9 |  | 9 | . 6 | 32, 1 |
| 22. | he | 20 | . 5 | 37.7 | said | 28 | . 6 | 36.5 | it | 9 | . 6 | 32.7 |
| 23. | his | 20 | - 5 | 38.2 | now | 26 | . 6 | 37.1 | al1 | 9 | . 5 | 33.2 |
| 24. | down | 19 | . 5 | 38. 7 | for | 25 | . 5 | 37.6 | their | 8 |  | 33.7 |
| 25. | into | 18 | . 4 | 39.1 | Ganderbai | 25 | . 5 | 38.1 | are | 7 |  | 34.2 |
|  | coyotes* | 18 | . 4 | 39.5 | my | 25 | - 5 | 38.6 | from | 7 |  | 34.7 |
|  | band | 18 | . 4 | 39. 9 | not | 25 | - 5 | 39.1 | car | 7 |  | $35 . E$ |
|  |  |  |  |  | out | 25 | . 5 | 39.6 | whe | 7 |  | 35.7 |
|  |  |  |  |  |  |  |  |  | ane | 7 | . 5 | $36 . E$ |

The words on these 1 ists aceount for better than a third of the ruming words in each text: Orily eight words appear ori all six 1 ists. These are (with their meari rarik):

IT (1E. B), THAT (15. 5)
Page 21
$\overline{2}$

Qf these eight words all are function words. IT and THAT can also function as proncuns. Even THE, the most common word in all 6 texts rarges from $3.9 \%$ ta $9.9 \%$ af the ruming wards in each text. This illustrates a key feature of word frequency in commected texts: VARIABILITY WITHIN CONSTRAINT. The language requires the use of THE but it permits sufficient variation to allcw considerable range.

The words comprising these most cornmon words may be divided for purpose of analysis into these mair kinds:

1. Furiction words
E. Copula
2. Promouris
3. Coritent wards.

Function words include:
determiners (the; a);
verb markers (was, had, were, will; are, is, can),
conjoiners (and; as, that, but, when),
prepositions and particles (to, in, of, with, at, for, intc, from, on, up; cut,
others (it, there, riot)
One simple reason for the frequency of many function words is that; while the grammar of the language requires their functions, there are only a few words in the language which can fulfill each furiction. Only a few words eari be determiners. There are few comjunctions and other ecmjoining elements in the language. There are meve Page 2 e
prepositions but they still represent a finite set of words. Furthermore, while the language adds to its stere of content words it does nat add to its store of function words. Yet they are the binding material which makes the language cohesive and coherent.

To illustrate this, Table 3 shows the perceritage of each type of function word in each of the six texts.

| Table 3 | Percent of Total Rurming Words |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Function Word Type | 551 | 553 | 570 | 559 | 560 | 561 |
| Nour Marker | 8. 7 | 7.7 | 12.8 | 12.3 | 8. 3 | 7.3 |
| Verb Marker | 3.5 | 3.2 | 2. 6 | E. 7 | 3.4 | 6.5 |
| Verb Particle | 3.1 | 2. 8 | 2 | 2. 3 | 3.8 | E. 8 |
| Question Marker | . 3 | . | . 1 | . 1 | . 3 | -b- |
| Clause Marker | 3.3 | 2. 1 | 2.5 | 2. 5 | 2.3 | 4.7 |
| Phrase Marker | 7.8 | 7.2 | 11.3 | 11.6 | 8.9 | 10 |
| Intensifier | 1.7 | 1.6 | 1.8 | . 6 | 1.5 | 1.2 |
| Conjunction | e | 3.5 | 2. 6 | 3.8 | ${ }_{5}$ | 3.5 |
| Negative | . 7 | . 4 | . 4 | . 3.7 | . 9 | . 7 |
| Quantifier | 1.1 | 1.3 | 1.2 | 1.6 | 1.2 | 1.5 |
| Other | . 4 | 1.2 | 1.3 | . 5 | $\bigcirc \cdot 7$ | 1.7 |
| Total | 39.7 | 32.1 | 37.6 | 38.7 | 6.4 | 38.9 |

From 32. 1 to $38.9 \%$ of each text's running words are functions words. The terms we use here to describe the various functians are those of C.C.Fries. We prefer them for this purpose because of their descriptive reference to what they do. (Fries, 195e)

The noun markers are few, mostly THE and A(AN) but they represent from 7.3 to $12.8 \%$ of the running words. The phrase markers(prepositions) are more common but still represent a small set of words. These words also serve as Page 23
verb particles. Coritrast "he ran up the street" with "he ran up the flag." . The former is a phrase marker, marking a prepositional phrase. The latter is a verb partiele, part of the verb, RAN UP. In these combired functions, this set of words represents from 10 to $14 \%$ of the ruming words in each text.

There is substantial variation from text to text in use of conjunctions; 560 uses two and a half times as many as 5S1. Eut together with clause markers, which iritroduce subordinate clauses, conjunctions account for $5.1 \%$ to $8.2 \%$ of each text's ruming words. Again, a very small set of words in the language carries a big part of the rurming text.

The words which serve as copula are the BE forms. BE , WAS, WERE, IS; ARE show among the most common in these six texts. These words also can serve as VERB MARKERS. Which BE forms appear as COPULAS or VERB MARKERS depends very much on the prevailing tenses in the text which in turn is determined by whether the text is about the past, present or future. So 551 ard 570 show only WAS and HAD among their most common words. 553 and 560 list just WAS. 559 has WAS, HAD ard WERE. But the essay 561 shows WILL, HAVE, IS, EE, ARE among its most common words.

Pronouns are cleamly comon among the most frequerit words in each text. That's because the larguage requires the use of pronouns for recurnent nouns. IT is eommon in Page 24
all Gur texts, but which other pronouns are used deperids or characteristics of the text. This is well illustrated in 559, Sheep Dog. The central character is a female dog, Peggy. SHE ard HER occur 105 times each and tie for fourth and fifth most common word in the text. HE and HIS occur, but only ED times each.

553; My Brother is a Gerius, has predominately male characters and is told in the first person. So among its most common words are: $I$, HE; YOU, MY, HIS. S70 alse has male characters but is tild in third persoriso its common words include these prorgurs: HIG; HE; HIM. SSi has beth male and female main characters and quite a bit of dia1ggue. Its cammon worde include: $H E, I, Y O U$, SHE, HIS. The essay 561 uses a great deal af first persor plural te represent a gerieralized society: "When we. ." So it's not surprising that these pronouns are amorg the most commor words: WE, DURS, US, THEY, I, THEIR; WHO, ONE.

To sum up; promours are important cohesive elemerits but which ones are commori in ary text depends on text characteristics such as east of characters, dialogue, and whether it's first person or thind person rierratiom.

Possessive pronouns are actually the most eomman rieuri modifiers In fact functicm words acting as "pro" elements can take the place of ariy of the cortent wards, not just nouns: Verb phrases may be replaced by verb markers: "Will you get it? Yes, I will." Adverbials may be replaced by Page 25
prepositions: "He walked iri and looked around."
All these text characteristics explair the frequercy of function words. But they also explairithe surprising infrequency of coritent words.

Nouns are the only coritert words to appear in any number among the 1 ists of most frequent words in figures ia and ib. Here are the nouns that appears

S51- FREDDIE, ELIZABETH, MILLER; UNCLE; MOTHER; FATHER.

S53- BARNABY, EAEY; ANDREW.
S70- MAKO, CANDE, BOY, TUPA, AFA, WATER.
559- PEGGY, SHEEP, COVDTE; COYOTES; BAND.
360-HARRY, GANDERBAI.
561- CHILDREN.
It's rot surprising that in each of the narrative texts the most common noun is the mame of one of the characters. In three of them it's the primcipal character but in 553 and in 560 it is not the main chanacter because these are first person stories. In fact, in Ss3 the main character is never named. What is more surprising is that the most commor nouns in these stories are not riecessarily commori in the language. Dnly EABY, BOY, and CHILDREN Could be considened truly commori. Ard some really uncomman mouns appear among these most frequent words: CANDE (to eross the lagogir), SHEEP; BAND (the group of sheep) arid COYOTE and COYOTES (pair of adversaries).

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The essay, 561 had only one nouri, CHILDREN, amorig its most common words. Drily three rouns oceurred more than four times in the eritire text: CHILDREN (9 times), GENERATION (6), and AGE (5). It is appareritly possible ta write ari essay without using the same nours very often, particu= larly since there are main ideas but no mairi charactere:

What about other content words? $5 s 1$ has a verb madifier, THEN; a kind of noun modifier, MRS. and the verb SAID. UNCLE actually appears fairly often as a nourimadifier. Mrs. Miller keeps telling Freddie he's "just like UNCLE. ." " 553 has SAID, a verb and TYPICAL, a nour modifier. The story centers around whether Andrew is a typical baby. HAD, used as a verbs, ie the arily nom-riouri cantert word among the most frequent 1 ist in S70 and 559. THERE ard NDW as verb modifiens are among the most common words in SGQ abd SAID is the oriy verb. HAVE, sometimes a verb is the anly rion-noun content word ori the 561 ist.

Only five verbe in 551 occur five times or more irl the entine text. These ame SAID, THOUGHT, GET, KNEW, and CALLED. In 553 the five most common verbs (Six times or more) are SAID; THINK, SEE, KNDW, and GO. The five most commor verbs in 570 (occurring five times or more) are SAW, COME, LEAPED, HEARD, and ROSE. The contrast between this mare active set of verbs and those in 551 and 553 also shaws in 559. : The most common verbs in that are TURNED; SAW, LEAPED, LDOKED, MADE (6 times or more). 56 , with much tensiori Page 27
but little action lias these five most common verbs (13 times or more) : SAID; WENT, MOVE, LOOKED, STOOD. These verbs coccur three times or more ir, SEI: FIND, SUSPECT, KNOW, BECOME; DD, JOIN, SEEN.

While these verbs provide interesting insight into the content of each text they show also that few verbs are frequent across texts and few verbs are frequent withiri texts: SAID, of course, will be common where there is dialogue.

Few verb modifiers cocur with ary great frequency in any of the texts. THEN is relatively frequent in all texts except SE1. THERE, sometimes a verb modifier, is alsa Found with moderate frequency in most but mot all of the texts. NDW is found several times im three of the texts. Beyond that, the verb modifiers that occur more than two or three times are specific to the text. The five most common verb modifiers in S70 invalving the killing of a shark are: THEN, AWAY, AGAIN, BEFDRE, QUICKLY, $5 S 9$ with the fighting of the dog and coyotes has a similar lists THEN, AGAIN, SLOWLY, FORWARD, CAREFULLY. And the very suspenseful SG0 Shows : SLOWLY, RGAIN, CAREFULLY, QUICKLY, SHARPLY.

Nour modifiers other than possessive proncurs are even more varied. Few occur more than five times even in the longer texts. Not all of the mone comman noun modifiers are adjectives. In 559 COYOTE and SHEEP are used five or more times as noun adjuncts. BEDDING, verb derived, occurs Page 28

## Ward Frequericy

five times (THE BEDDING SHEEP). Again the lists of more common rouri modifiers show their particularness to each text: 551 shows Freddie's problem experiments: DARK, GMALL, BAD; PRDUD, QUEER. S7Q's 1 ist shows the shark fight theme: GREAT, WHITE, OLD, GREEN, DEAD. 561 has HUMAN, POLITICAL, VIETNAM, ard GOLD (that's the Generation Gap).

| Grammatical Categary | 551 | 353 | 570 | 555 | 560 | 561 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| Other Nouns | 21.5 | 17.9 | 24.5 | 를. 9 | 16. 1 | 20.6 |
| Total Nouns | 30.8 | 29.5 | 29.4 | 29.5 | 27. 5 | 27.5 |
| Verbs | 17.6 | 18. 3 | 15.3 | 15.4 | 18.4 | 17.5 |
| Noun Modifiers* | 10.3 | 10.7 | 10.7 | 10.E | B. 8 | 11.E |
| Verb Modifiers | 4.6 | 4 | 4.8 | 4.1 | 5.8 | 1.1 |
| Furietion Words | 3 e. 7 | 32. 1 | 37.6 | 38. 7 | 36.4 | 38.9 |
| Indeterminate | Q |  | - 3 | . 1 | . 3 | - 0 |
| Contractions | E. 3 | 4.2 | .6 | - 6 | 2. 2 | - 6 |



* Possessive promouns are included as noun modifiers.

To put this informaticn about the relative frequericy of different grammatical eategories of cortent words inta Perspective, Table 4 presents the distribution of each eas tegory in each entire text.

It's intenesting to ricte that the total percent of roun positions in these six texts only varies from $37.3 \%$ ta $30.8 \%$ Yet the texts vary corsiderably in what part of those noun positiors are filled by pronouns, from $4.9 \%$ to 11. $8 \%$ The twa first person stories, 553 and 560 have similar, high pronoun percents, 11.6 arid 11.8 respectively. These two stories have sharply lower percerits of ether
Page eg

## Word Frequency

nouns. The rest of the variation in use of pronouns and nouns seems to reflect amourt of dialogue and other stylistic factors. English elauses and sentences require nouns as subjects, direct and indirect objects, objects of prepositions, etc. The proportion, at least in these texts, seems to vary little. But other factors, some of which the author may control, appear to decide how many nouns are replaced by pronouns.

Verbs show less variation, from 15.3 to 18.4\%, 570 and 559 , the two texts with the lowest rate of verbs, have little dialogue because of text factors. In 559 there are no human characters in much of the story. In 570 a considerable part of the story involves orly Mako, a boy, his dog, Afag. and Tupa, a great white shark. So, whereas SAID occurs 51 times in 553 and ties for fifth most common word, it occurs only five times in 559 and twice in 570 . Representation of oral dialogue in written text requires a special grammar which includes an extra clause representing at least the speaker and some representation of the verb SAID.

The amount af dialogue present also seems to explain the variation in the relative amounts of contractions in each text since most of the contractions appear in dialogue. 553 with the most dialogue has $4.2 \%$ contractions. 570, 559, and the Es ay 561 with little or no dialogue have only . $6 x$ contractions each.

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There is a very specific textual reason for the percent of words with imdetermirate grammatical function in S53. The eentral plot of the story is about an 8 month old baby learning to say big words by listening to his older brother read words from the dictionary. So words like PHILQSOPHICAL and INTELLECTUAL occur as ward names out af syntactic context and ane classified as indeterminate. That adds up to $7 \%$ of the rurining words of the text, in contrast to regligible proportions for the other texts.

Noun modifiers and verb modifiers vary moderately in proportion from text to text, epparerity for stylistic reasons. Possessive pronouns, included in moun madifiers, range from e. 2 to $3.5 \%$ of each text. The grammar of Eng1 ish requires neither noun modifiers nor verb modifiers to produce grammatical sentences. The meaning the author is representing may require a good deal of describing and qualifying but how much is elearly a function of the authon's. purpose and style. 560 , contains a lot of terse dialogue. Gne ceritral character, Harry Pope; thiriks he has a poisonous snake resting ori his abdomen so he's mirimizimg his speech and movenents in order to avoid stanting the griake. This leads to fewer roun modifiers. Irithe essay, S61, there are more noun modifiers because the author uses a lot of embedding tranefornations to produce 1 amg, complex clauses and serterces: He also uses more adverbial clauses than adverbs. So he has a higher proportion of Page 31

## Word Frequency

noun modifiers and a lower proportion of verb modifiers. His text is at the high end in use of function words, which also reflects its syntactic complexity. Table 3 shows this text has the highest percents of clause markers and verb markers among the function words.

To sumnarize this discussion of the distribution of grammatical categories in these texts we can make the fallowing statements.

The syniax requires some proportional distribution of these grammatical categories within the texts but other text characteristics including semantic structure of the story and the author's purpose and style produce some variations among the texts in these proportions. Some very commor grammatical functions can only be filled by a relatively small set of words, so these words are likely to be common in any text. Function words and pronouns lincluding possessive pronouns) are the principal examples.

On the other hand the categaries of content words, nouns, verbs, noun modifiers; and verb modifiers; are much larger classes of words often called "open" classes because the language is continually adding to them. Still, the characteristics of particular texts exercise some can straint on the choice of words to fill these grammatical
slots. Particularly proper nouns, the names of characters in the story, are likely to be among the most frequent words. There is a similar but more moderate influence or verb frequency. Narratives with lats of action will select verbs of mavement while susperseful texts will choose another set. Still, SAID is the anly verb likely to become very frequent.

In the case of all content words there is a counter pressure to the factors causing some words to occur more frequertiy than others. That's the rhetorical value that authons in the English language place on using varied terms and alternate ways of representing the same referents. We don't like to keep using the same nouns, verbs, adjectives or adverbs over and over and we' 11 even avaid using the same sentence patterns repeatedly.

MULTIPLE MEANINGS
Lorge eriticized word lists for their failure to accourit for multiple meanings of words. This criticism does appear to be a major shortcomirg, especially when you corisider that the many meanings of even a common word such as RUN fill a dictionary page. However, within the confines of the single texts we examined multiplemeanings for particular words seldom accur. In fact, after examining our six texts, we were able to find only one word, ALLOWANCE ir, 551 that has two clearly different meanings in the stary itself. In orie irstances Mrs. Miller, chiding Freddy for Page 33
ruining his sister"s doll says, "I wart you to save half of your RLLOWANCE for it each week." In the other, after Freddy has used his scientific ingenuity to free his sister from a dark closet, Mrs. Miller says proudly, "After this we must make some ALLOWANCE for experiments that do not turn out so well."

Dur firiding is somewhat surprising in light of the fact that these stories do make use of controlled vocabulary. Authors of controlled vocabulary texts often use words over and over again without regard for a possible change ir meaning.

While the multiple meanings of a given word may not occur in a single text, nevertheless the meaning of a ward in a particular text may not be a common ane and the reader may be urfamiliar with the umusual meaning. In S59, for instance, the author repeatedly refers to a BAND of sheep. Called upori to define BAND out of context, you might think of "band of gold," "rubber band," "brass band," and so forth, before naming BAND as a term for a group of animals. Likewise, you might be hard pressed to come up with the meanirigs out of ecritext for AIR and LIVE that appear in 553 in relation to television. Mr. Bannaby bemoans the fact that in five minutes they are going "on the air," "with a live show." In S6O DRAW and variations DREW and DRAWING appear four times but never in the way one would probably think of finst, to DRAW a picture. Rather, we find the Page 34
following examples:

1. "He... DREW his breath sharply through his teeth."

ᄅ. ". "he stuck the needle thraugh the rubber top of the bottle and began DRAWING a pale yellow liquid up into the syringe by pulling out the plunger."
3. "Shal1 we DRAW the sheet back quick = =?"
4. "Slowly he DREW out the rubber tube from under the sheet."

Within a given text, an author may use words in unusual ways either frequently (BAND of sheep in S59) or infrequentiy (BODY of the island in S7Q). Eut the meaning of any word is always derived from the eontext in which it is embedded.

Almost any word can be used metaphorically. The authors of our six staries emplay metaphor to greater or lesser extents. The metapharical uses of common words, BODY (of the islard), FACES (of the cliffs), ARMS lof the island) in the opering passage of 570 are descriptively powerful but textually umpredictable. 559 begins with a string of vivid metaphors:

The rays of the setting sur lingered over the high Arizara desert, touching the rocky tip of Eadger Mountain ard tinting the bold face of Antelope Rin. What is clear is that the partieular meaning of a word iri a text, whether 1 iteral or metaphoric may not be predieted from the word"s general frequency. Common words may Page 35
be used ir quite uncommor ways.
Text Cohesion

The wording of a text is strongly influenced by the reed for the text to be syritactically and semantically cohesive, that is to have a unifying structure.

The information we have presented so far shows that syntactic cohesion requires some proportionate distribution of grammatical functions and that some words will be commor simply because there are few words to rill very commor syntaetic functions. Determiners, prepositions; pronouns are some examples.

We've also seen some evidence of the influence that maintaining semartic cohesion has on text wording and word frequerrey. Eut this is more complex as it relates to choice of eontent words, synomyms, and "pro" elements.

We car illustrate semantic cohesion by looking at approximately ed opening limes of each text. Each author needs to accomplish a good deal in these opening lines to set up a cohesive text and create a semantic structure.

551 starts with a lamert: "Poor Freddie was in trouble again". The author, in the opening e2 1 ines, focuses ori creating Freddie's character. his experimenting arid the constant trouble this gets him into. Freddie's family is alsa iritroduced and a sub-theme, his mother's comparing Freddie with his Swiss uncles is also established.

In these cpening ines we find these cohesive chains Page 36
（frequeney ir parentheses）：
FREDDIE（30）：FREDDIE（4），HE（5），HIS（5），FREDDIE＇S，YOL＂VE； I（4），YOU（4），YロUR（ミ），HIM（巳），TINKER（已）

TROUELE（7）：TROUBLE；TURNED GREEN，PODR；WRECKED；QUEER； BAD，SADLY．

CHEMISTRY（4）：CHEMISTRY SET，EXPERIMENT，MIXTURE CHEMICALS ELIZABETH（5）：ELIZABETH（2），LITTLE，SISTER，HEARTBROKEN MOTHER（1Q）：MOTHER（E），SHE（3），I（巳），MRS．MILLER（巳）；ANGRY UNCLES（7）：UNCLE AUGUST，UNCLES（2），SWITZERLAND；ONE；THEM， LIKE（FREDDIE）

There are 30 references to Freddie that use 10 dif－ ferent wonds in these es opening lines．The abundance of dialogue in $5 S 1$ results ir ari interesting pattern：Freddie is referred to by name，nickname and by first，second，and third person proroums．The semantic cohesion in ssi results in some worde being repeated while at the same time the author achieves variety by using alternatives and related terms．

553 operis with a statement of the problem：
＂If it bothers you to thirik of it as baby sitting，＂my father said，＂then dar＂t think of it as babysittirg．Thimk of it as homework．．．＂

In the cpening ee lines the author creates the problem．$A$ school age boy does his homework while caring for his baby brother．The older brother，who is the un－ Page 37

## Word Frequency

mamed marrator, and the baby brother are established as is the task.

NARRATOR (Eᄅ): YOU(2), MY(4), YOUR(3), I (11), FELLOW, ME HIS MOOD (6): FOOLISH, ASHAMED, YELLED(E), SHOLTED, STAY BAEY(12): BABY(4), EROTHER(E), VOU(S), ANDREW(己), ANDREW' 5 , HIM

BAGY' 5 CHARACTER (10): SILLY, SOLNDS, CRY (3), DISTURE, FAULT, SLEEPING, WANT, TRIED, HOLD
 STAY, HOME

HOMEWORK (19): HOMEWDRK, PART, EDUCATION(E), STUDYING, DICTIONARY, WORD (3), PHILOSOPHICAL (3), STUDY(2), MEANINES (3); DEFINITIONS (O)

The mair, character here is referred ta ee times, almost all iri first and secend person, requining only 6 words ard no name: HamEWORK, a key event throughout the stony, has eg references and 10 different words in these ee qpening lines.

S70 begins by establishing the setting: "The island of Bora Bora, where Mako lived, is far away in the South Pacific". The author concentrates on the setting and on Mako, his young hero in the opening e4 ines. There are these cohesive chains:

SETTING(3): ISLAND (3), EORA BORA, SOUTH PACIFIC, IT (3), MAIN BODY

ISLAND CHARACTERISTICS (13): FAR AWAY, RISES, HIGH, (LIKE)
Page 3 是

CASTLE, WATERFALLS, FACES, CLIFFS, UPWARD, CRAG (己), EDGE; ARMS, REEF

WATER (6) : SOUTH PACIFIC, SEA (2), WATER, SURF, LAGDO: MAKD (13): MAKO (4), HIS(4), HE (E), THEY, COMPANIONS, TWU AFA: AFA(E)

MAKO' 5 CHARACTER (6) : CLEVER, MADE, SPENT, BORN, HANDS, HEIGHT

HARPDON (6) : HARPDON, STRAIGHT, ARROW, TIPPED, SPEARS, POINTED

CANDE (IE): CANOE (2); LARGER; DUTRIGGER; SIDE; BDAT; TIPPING, LARGE, HOLD, HOLLOWING; TREE, LONGER Thirteen referenees to Mako, single or with his dog Afa require six words. Half the references use pronouns. The characteristics of Mako ard the island use many refen erices with orly one word, CRAG; used twice.
ss9 also begins with the setting: "The rays of the setting sun lingered over the high Arizona desert, touching the rocky tip of Badger Mountain and tinting the bold face of Antelope Rim:" In the first es lines, the author creates both mogd and setting while introducing sheep dag at work. We fird these cohesive ehains:

EVENING (1Q): RAYS, SUN, TINTING; SETTING; DARKNESS, BEDDING DOWN, NIGHT, DROWSINESS, DARK.

PLACE (10): DESERT, ROCKY TIP, GADGER MOUNTAIN, BDLD FACE, ANTELDPE RIM, EASIN, SALT CREEK WASH, POOL, PATCH SHEEP (14): EAND (3), SHEEP (3), BQ0, LAMB (S) (E), BLEAT ING, Page 39

MASS, EWE, HER; FAR-SIDE
DOG (S) (7): DOG (S) (3), TWO, EARS, HER, MATE
PEGGY'S CHARACTER(G): PATROLLING; URGED, ALERT; LARGER;
TURNED, ASSURED
These chains use many words once. Only DOG(S), BAND; SHEEP, LAMB (S) occur more than once. The main character, a sheep dog, is not named until line 27 of the story.

The author devotes the next 37 lines to creating Peggy as the central character. In that sequence this chain occurs:

DOG (S) (3), PEGGY(2), SHE (G), HER(B), BREED, COLLIES, COAT; HEAD, EYES ( 2 , DESCENDANT, FQREPAW, TOES, FOOT. There are EG refererices thers to PEGGY, more than one per 1 ine; yet even after her name is introduced the author only uses it twice in this sequence, using 14 pronouns instead.
s60 begins with creating the mood and establishing two main characters in the setting.
"It must have beer around midright: wher $I$ drove home. ". " There are these chains in the 26 ines: TIMBER WOOD (Narratar) (16): I(1巳), ME ( 2 ), TIMBER ( $(2)$ HARRY PDPE (11): HARRY PDPE, HIS (2), HE (5), HE' D, HARRY' S, HIM

SETTING(2П): HOME(E), GATES, BUNGALDW, WINDOW, SIDE BED-, ROOM, DRIVE, STEP(S) ( 2 ), BALCONY(2), DNE, TOP,

DODR (S) (E), HOUSE, ITSELF, HALL; ROOM, $\because$ IT
DARK (Э) : MIDNIGHT, SWITCHED OFF, EEAM, SWING IN, LIGHT (巨),

STILL ON, DARK, SWITCHED ON
SLEEP(9): WRKE, AWAKE (巨); DROPPED DFF, QUIETLY, LYING, BED, MORE; TURN

ATTITUDE (6): APPRDACHED, EDTHERED, NDTICED, CAREFULLY; QUIETLY, LQOKED IN

MOVEMENT (11): DROVE, APPRDACHED, DPENED, COMING, PARKED; WENT UP; TAKE, GOT TO, CROSSED; PUSHED THROUGH, WENT ACROSS.

Three words are enough ta represent Harry pope 16 times. But 11 different verbs are used to show movement of the main character with no ore verb used twice. This shows agairi the text characteristics that make words both commor and diverse in a commected text.

S61 stants with establishing two age groups. "Recertly, I spoke with a man twice my age who expressed great faith in the future of American youth:"

Cohesion chains advance the two groups and set a tone for the eseay.

YOUTH (16): YOUTH(S)(2), THEM, YEARS; YOUNG(2),
TROUBLEMAKERS; WRONG; I, MY; AMERICAN, THEY(E); AGE, MILLIONS, SONS,

ELDERS (6) : MAN, TWICE, HE, MATURITY, FATHERS, CYNICISM ARGUMENT (S): SPDKE, EXPRESSED, ENVISIONS, THINKING (WISH-

FUL), WANT, ACCEPT, CYNICISM, PRE-CONCEPTION
THE GAP (4): DIVIDED, GAP(GENERATIDN), FUTURE (®)
ACTIONS (S): MARCHING; FIGHTING, RISK, DROPPING, SHAVING Page 41

SYMBOLS OF MATURITY(7): SHAVING, DROPPING (HEMS), ACCULTURATING, FAMILY, MORTGAEE, PAYMENTS, YES

Each of these opening sequences illustrates how the need for semantic cohesion limits the writer's choices but how writers achieve such cohesior while also achieving stylistic diversity and a richness of wording. By produeing a mix of function words, pronouns and varied content words, the author builds a cohesive tert and builds literary style at the same time.

In each text, the author achieves the semantic and pragmatie purposes of the opering lires by staying within text constraints while still making use of the rich language resources: In SG0 few different words are needed to refer to the two characters but 14 terms establish the house and 11 different verbs impel the reader into the stciry as Timber progresses to Harry's room.

The author can; to some extent, choose to use fewer terms, more common terms, or less varied termse Eut authors seem to be aware that, as context builds, variety adds depth to the comprehensibility without making the whole less compreherisible.

So ins S6, when the author uses BABY FOOD, WEED KILLER, and CONVERTIBLE DEBENTURES as examples af how youth Will be acculturated, he knows that his readers can get his poivt without exactly krowing what a debenture is. In fact the term may have been chosen deliberately to sound tech-
nical and boring.
Armstrong Sperry in 570 prefers variety over repetition in representing the CANDE and ISLAND and does not avoid unfamiliar terns such as CRRG, REEFy PANDANUS, SURF, $\quad$ LAGOON, DUTRIGGER when they seem appropriate. His purpose is to create a serse of setting, not to teach an island vocabulary. But, in fact, through he use of syronyms and related terms in cohesive chains, the author creates a context which makes it possible for readers to infer meaning and build vocabulary.

CONCLUSION
Dur study of the wording of texts or, if you prefer, word frequency as a text characteristic has demonstrated that gerieral word frequency lists can at best tell only part of the story.

If words are frequent across texts it's because the language requires them to be. But such words that are very frequent ir all texts are very few in total number and almost all are function words, be forms, and proncuns.

In the word frequency lists some content words will be found considerably higher than others. That's because they are used in common ways to refer to common concepts and experiences. But in particular coherent, cohesive texts, which content words are common depends on the cortent of the text. In narratives the common content words usually involve characters' names, some other important nouns and a
few athers. Eut authors avoid using content words repetitiously for stylistic reasons. So cohesive chains are built of common pronouns, key content words; and a varied set of terms all somehow semantically related ir the coritext of the stary.

The wording of any text is thus by no means random. In fact texts self-control their vocabulary. For readers that mears they will build dependable strategies for dealing with words common in the text but less common in the language as a whole. They will also build strategies for krowing the relative importance to text comprehensior of particular words and torms. And of course they will build strategies for expanding their vocabularies through the reading of naturally worded texts.

Authors and editors would do better to facus on relatirig the content of texts to the audience than to foeus on controiling vacabulary through use of word lists. A serise of audience and use of the natural constraints of the language will result in text wordings whieh are in keeping with the backgrounds of the intended readers and the strategies readers develop.

Teachers concerned about vocabulary development would do better to focus on furictional use of words and terms in the context of real texts than to resort to decontextualized listsor dictionary exercises. A text, after all, is eorisiderably more than the sum of its words.

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