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ABSTRACT

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Current screening and diagnostic practices for identifying young handicapped children in Minnesota were surveyed. Information was gathered on the populations served, the professionals and tools employed in screening and diagnosis, decision criteria, and the perception of gaps and duplications in services. The results indicated a heavy reliance on a limited number of instruments for screening, even though the technical adequacy of several of the instruments is questionable. The special educator, in most cases, was the professional most often involved in conducting assessment in the developmental areas. Findings suggested the need to examine how the types of personnel involved in screening influence results and the extent to which duplication actually exists or is only perceived to exist. The need for a policy solution to the problem of promoting cooperation and coordination among agencies is stressed. (Author/CL)

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RESEARCH REPORT #2

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CURRENT SCREENING AND DIAGNOSTIC PRACTICES FOR IDENTIFYING YOUNG HANDICAPPED CHILDREN

James E. Ysseldyke, Martha L. Thurlow, Patrick O'Sullivan and Robert A. Bursaw

EARLY CHILDHOOD ASSESSMENT PROJECT

182737

September, 1985

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Abstract

Current screening and diagnostic practices for identifying young handicapped children in a midwestern state were surveyed. Information was gathered on the populations served, the professionals and tools employed in screening and diagnosis, decision criteria, and the perception of gaps and duplications in services. The results indicated a heavy reliance on a limited number of instruments for screening, even though the technical adequacy of several of the instruments is questionable. The special educator, in most cases, is the professional most often involved in conducting assessment in the developmental areas. It is critical to examine how the types of personnel involved in screening influence results. Several other findings and issues related to early childhood screening and diagnosis are discussed.

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Current Screening and Diagnostic Practices for Identifying Young Handicapped Children

James E. Ysseldyke, Martha L. Thurlow, Patrick O'Sullivan and Robert A. Bursaw

With questions being raised as to whether handicapped children are receiving the maximum benefit from today's schools, early detection of children with handicapping conditions is coming more and more to the forefront of the education field. In 1977, two years after the implementation of PL 94-142, Minnesota became the first state to offer a free, comprehensive screening program to all children under kindergarten age (Lombard, 1980). As of 1981, 24 states had some kind of comprehensive early childhood screening program in operation (Minnesota Department of Education, 1982). The rationale behind this emphasis on screening is the belief that the chances of remediation can be increased significantly through early identification and placement in special education programs (Abbott & Therefore, it is believed that these children will Crane, 1977). subsequently have the best opportunity to develop to their potential (Meisels, 1978).

There has, however, been criticism of the effectiveness of these screening programs. For example, in an editorial, Bergman (1977) described mass screening as a "menace," and also warned against the possibility of a large number of false positives and negative labeling effects. In addition, Keogh and Kopp (1978) cautioned against placement and classification becoming the overriding concerns when making decisions, rather than examining each child's individual circumstances.

Obviously there are factors that complicate early childhood assessment, such as age, severity of handicaps, resources, and personnel, among others. However, the premise behind screening programs, as well as their prevalence and expansion, make them important for educators to study and evaluate. This paper describes the results of a survey designed to determine the current state of practice in early childhood assessment programs in a state that was an early entrant into screening of young children for handicaps.

Method

Subje ts

Potential subjects included all agencies that might be involved in screening or diagnostic assessment of children from birth to six years of age. These agencies were identified by the Minnesota Departments of Education (Early Childhood Special Education Coordinators and Preschool Screening Programs), Health (Public Health Nursing Services, Hospitals, Neonatal Clinics), and Human Services (Developmental Achievement Centers, Head Start programs, County Human Services Departments).

Return rates for the agencies varied from 50.0% to 80.6%. Several returns from Developmental Achievement Centers and County Human Services Departments indicated that it was not appropriate for them to complete the survey. The overall return rate was 73.4% (n = 571). The rate of completed surveys was 65.7% (n = 511).

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Materials

A three-page survey form was developed to obtain information on ages of children screened, agency services, agencies and professionals to which children were referred, opinions about gaps or duplications in services for preschool children, and the tools/procedures, staff members, and criteria used for screening and for diagnostic assessment. The survey form, which was developed with input from key individuals in the state departments. and the cover letter are included in Appendix A.

Procedure

The survey form was mailed to potential respondents in November, 1984. A follow-up reminder postcard was sent to those who had not responded by December 15, 1984. Preliminary data from a sample of 100 preschool screening and early childhood special education programs were analyzed and presented to groups of early childhood special education specialists and preschool screening coordinators in January and February, 1985, respectively, as a check on the perceived validity of the results. Questions raised at these presentations were used to identify additional analyses to be conducted.

Results

Population Served

Table 1 is a summary of the age data for the children served by the survey respondents. The mean age of the children was 4.39 years (SD = 5.64). The youngest children screened had a mean age of 2.38

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St	ummary	of	Age	Data	From	ECAP	Survey	
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	Youngest Screened	01dest Screened	Typical Age
Mean	2.38	6.78	4.39
Standard Deviation	1.38	5.03	5.64

Table	2 2
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Percent of Respondents Screening Children of Different Ages

	< 1 yr	1 yr	2 yrs	3 yrs	4 yrs	≯5 yrs	N of Cases
PSS Clinic	3	3	5	54	92	17	361
Neonatal ICU	100	100	100	67	33	0	3
Head Start	0	0	0	71	96	8	24
DAC	69	66	74	63	37	3	35
Medical Center	33	50	67	100	100	83	6
PHN Service	53	55	62	70	79	45	53
County Human Services	52	52	52	56	56	40	25
Total	16	16	19	58	85	21	507

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years (SD = 1.38). The mean age of the oldest children screened was 6.78 years (SD = 5.03).

Table 2 presents the percentages of survey respondents who reported screening children at various ages. Four years was the age at which most (92%) public school systems screened children; 54% screened children at age three. As would be expected, the neonatal intensive care unit (ICU) respondents concentrated on screening children three years of age and younger, while Head Start respondents screened mostly three and four year olds. The Head Start respondents also did not report screening any children under three years of age. All the medical center respondents screened three and four year olds, and a majority of them also screened two year olds, as well as children over five years of age. For public health nursing service respondents, and county human service respondents, approximately equal percentages (most 50-70%) screened children in each age category.

Services Offered

In Table 3, the percentages of survey respondents who offered screening services, contracted for them, or did both are summarized by area. As the table shows, the majority of respondents offered screening services in each area. The area with the lowest percentage of offered services and the highest percentage of contracted services was physical health. In addition, physical health had the highest percentage of respondents who did not offer the service. All seven areas were relatively low in the percentage of respondents both offering and contracting for services: all percentages were below 1.5%.

Table 3

Area	Offered	Contracted	Both	Not Offered
Physical	58.7	11.8	.8	28.7
Hearing	82.1	8.9	.6	8.3
Vision	81.9	9.3	•8	8.1
Speech	86.0	5.3	1.2	7.5
Motor	85.4	5.9	1.4	7.3
Social/Emotional	80.3	5.9	1.2	12.6
Cognitive	84.1	4.9	1.0	10.0

Percentage of Respondents Offering Screening Services, Contracting for Them, or Both by Area

Table 4

Percentage of Respondents Offering Diagnostic Services, Contracting for Them, or Both by Area

Area	Offered	Contracted	Both	Not Offered
Physical	10.0	7.5		82.5
Hearing	24.0	9.1	• 2	66.7
Vision	19.7	8.1	.2	72.0
Speech	56.7	6.9	.6	35.8
Motor	47.6	10.6	.6	41.1
Social/Emotional	43.7	9.4	.2	46.7
Cognitive	49.4	8.3	.4	41.9



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Table 4 is a summary of the percentages of survey respondents offering diagnostic services, contracting for them, or both, by area. As with screening services, very few respondents both offered and contracted for diagnostic services. Speech and language development was the only area in which a majority of respondents (56.7%) offered the service. As was the case with screening, physical health (10.0%) was the area in which services were offered least often. This was followed by vision (19.7%), and hearing (24.0%). Consequently, these three areas had the highest percentages of respondents not offering diagnostic services in any way. The other four areas of speech and language, motor, social/emotional, and cognitive development were all relatively similar in that the majority of respondents either offered diagnostic services, or contracted for them.

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> Table 5 is a summary of the percentages of respondents offering treatment services, contracting for them, or both, by area. The results for treatment are similar to those for diagnosis in that the majority of respondents did not offer treatment services of any kind for physical health (85.4%), hearing (77.2%), or vision (79.1%). The percentages for speech and language, motor, social/emotional, and cognitive treatment are virtually identical to those for diagnostic services. The only difference is that slightly fewer respondents overall offered or contracted for treatment services than offered or contracted for diagnostic services.

> The percentages of respondents offering screening, diagnostic, and treatment services, by area, are shown in Table 6. The data show

Table 5

Area	Offered	Contracted	Both	Not Offered
Physical	8.5	5.9	.2	85.4
Hearing	15.0	7.3	.6	77.2
Vision	13.2	7.3	.4	79.1
Speech	53.5	6.9	1.0	38.6
Motor	44.5	10.4	1.2	43.9
Social/Emotional	41.1	8.9	1.4	48.4
Cognitive	47.6	7.5	.6	44.3

Percentage of Respondents Offering Treatment Services, Contracting for Them, or Both by Area

Table 6

Percentage of Respondents Offering Screening, Diagnostic, and Treatment Services

Area	Percentage	
Physical	5.7	
Hearing	12.6	
Vision	10.8	
Speech	48.4	
Motor	39.4	
Social/Emotional	35.8	
Cognitive	41.7	

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that all three types of services are offered relatively infrequently for physical health (5.7%), hearing (12.6%), and vision (10.8%). The percentages of respondents offering all three services for the other four areas ranged from 35.8% for social/emotional development, to a high of 48.4% for speech and language development.

Screening Data

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Table 7 is a summary of the frequencies with which agencies were mentioned by survey respondents as being ones to which students were referred after positive (abnormal) results in each area. As can be seen in the table, the majority of referrals for further evaluation in the areas of vision and hearing problems were made to medical clinics. On the other hand, the overwhelming majority of referrals for speech, motor, social/emotional, and cognitive difficulties were made to the public school systems. However, each area of difficulty included referrals to each of the different agencies listed. As a result, there were referrals made to the public schools for physical health problems, and referrals made to Public Health Nursing agencies for social/emotional difficulties.

The frequencies of the professionals listed as participating in the screening process in each area are shown in Table 8. MD's were listed most often by the respondents as participating in screening for physical health, hearing, and vision problems. For vision and hearing, nurses were mentioned equally as often as audiologists and optometrists. As would be expected, speech and language screening was done most often by a speech clinician, although approximation y one

Area	Public School	Medical Clinic	Public Health Nursing	Private	Community	Other
Physical Health	9	347	100	69	5	13
Hearing	9 6	2 9 0	70	80	16	67
Vision	59	278	69	121	5	49
Speech	3 9 8	28	11	17	73	39
Motor	292	46	23	26	67	40
Social/Emotional	302	33	27	31	132	76
Cognitive	344	22	14	19	114	53

Frequencies of Referral Agencies by Screening Area

Table 8

Frequencies of Professionals Participating in Screening Areas

Title	Physical Health	Hearing	Vision	Speech Language	Motor	Social Emotional	Cognitive
MD	326*	248*	286*	Q	49	16	10
Audiologist		118	4	3		10	13
Optometrist		1	112	1	1		
Speech Clinician		8	1	321*	6	4	13
OT	1			3	116	1	2
Teacher/SpEd	4	27	17	111	205*	216*	295*
Psychologist				4	19	1/10	205
Nurse	122	115	115	15	20	23	90 16
PT	2				79	2	2
Social Worker					2	34	2
Para- profession	 al		6		2	4	5
Volunteer	4	8	6		1	1	1
Other	11	34	20	11	02	00	1
Errors				2	⁹³	98 4	5

*indicates the most frequently mentioned professional in each area

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fifth of the screening professionals listed for this area were either teachers or special educators. The teacher or special educator was listed most often as the screening professional in the motor, social/emotional, and cognitive areas. More people were listed as participating in the screening process in these three areas than for the other four areas.

Table 9 presents the number of screening tools used by the respondents in each area. The mean number of tools reported as being used was highest (2.18) for vision screening, and lowest (1.01) for cognitive screening. The other four areas cf speech and language, motor, social/emotional and hearing screening all had means that ranged from 1.32 to 1.64. Speech and language screening employed the largest number of different tools. The lowest number of different tools was reported for hearing, while from 17 to 20 different instruments were used in the other areas.

The assessment instruments used most frequently for screening are shown by area in Table 10. The DIAL (Developmental Indicators for the Assessment of Learning) was by far the instrument most frequently used for speech and language, motor, social/emotional, and cognitive screening. Second to the DIAL in each of these areas was the Denver Developmental Screening Test (DDST). For hearing screening, the vast majority of respondents reported using an audiometer while the majority of them used the HOVT/STYCAR chart for vision screening.

Table 11 presents the percentage of respondents reporting the use of some kind of criteria for making decisions about children's

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	Numbo Screenin Cited Respon	er of ng Tools by Each ndent	Number of Different Tools Cited
	X	SD	
Speech	1.64	1.64	31
Motor	1.32	.96	19
Soc/Emotional	1.48	.98	20
Cognitive	1.01	1.75	19
Hearing	1.34	1.11	13
Vision	2.18	1.53	17

Numbers of Screening Tools Used



Table 10

Speech	N	Motor	N
DIAL	226	1410	241
DDST	103	DINE	24:
Language Sample	71	Beh Obs	12
Own Tool	30	MPST	2
Beh Obs	30	CIP	2
CIP	25	Portage	10
MPSI	22	Dev Profile	5
DASE	15	Interview	Ş
Flunarty Preschool	10	Own Tool	Ę
speech interview	10	Gesell	é
		Bayley	Ę
Social/Emotional	N	Cognitive	N
JIAL	176	DTA	
DDST	114		239
Beh Obs	100	DUS I Mag t	115
Interview	38	Pob Obe	34
Parent Report	27		2/
MPSI	24	Dev Drofile	23
Health History	15	Portage	10
CIP	12	Own Tool	10
Portage	11	Bavlev	7
MCDI	7	Caldwell	6
Hearing	N	Vision	<u></u>
Audiometer	345	HOVE	000
VASC	41	Cover Test	283
Tympanometer	28	Corneal Reflect	99
Aud. Eval.	19	Snellen	93
Otoscopic Exam	17	Beh Obs	00
Beh Obs	10	Muscle Balance	00 40
Interview	10	Ext. Inspection	21
Freefield	6	Interview	21
spers נחש	5	DIAL	5
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Most Freqently Used Screening Tcols^a

^aLimited to tools listed by at least five respondents. Full names of tools are listed in Appendix B.



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Percentage	
91.5	
92.2	
87.7	
90.3	
91.7	
90.8	
90.7	
	Percentage 91.5 92.2 87.7 90.3 91.7 90.8 90.7

Percentage of Respondents Using Some Screening Criterion

Table 1	2
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Numbers of Professionals for Diagnosis

	Num Profe Cited Resp	ber of ssionals by Each ondent	Number of Different Professional Cited	s
	X	SD		
Speech	1.21	.62	Speech	8
Motor	1.57	.76	Motor	11
Soc/Emotional	1.51	.74	Soc/Emotional	11
Cognitive	1.44	.67	Cognitive	11
Hearing	1.36	.83	Hearing	10
Vision	1.26	.52	Vision	9
Physical	1.17	.48	Physical	8



screening results. All six areas had similar percentages, which ranged from 87.7% for social/emotional to 92.2% for motor screening. The mean percentage was 90.7%.

The actual criteria stated by the respondents for each screening area were examined in greater detail to discover any consistencies and/or disparities among respondents. In the areas of speech and language, motor, social/emotional, and cognitive development, the majority of respondents who used the DIAL for screening reported using the DIAL norms, in the form of cutoff scores by age, to make decisions about referrals. A small proportion (approximately one-fifth or less) reported clinical judgment as their criterion. Those respondents who employed instruments other than the DIAL similarly noted most often that they used normative information from the tests to make decisions. judgment was reported in connection with these other Clinical instruments as well. A relatively small proportion of respondents noted rather vague criteria, such as "delays noted," or "abnormal Since most respondents reported using the same tools for results." screening and diagnosis for vision and hearing, the criteria for these areas will be discussed in relation to diagnostic assessment.

Diagnosis Data

Data on the numbers of professionals cited as participating in diagnostic assessment are shown in Table 12. The mean number of professionals listed by a respondent ranged from 1.17 to 1.57 for the seven problem areas. Also shown in Table 12 are the total numbers of different professional titles cited across all respondents, by area.



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These numbers show that there were at least eight, and as many as eleven different professionals cited as performing diagnostic services in every area.

Table 13 presents the frequencies of the professionals listed as participating in in-depth diagnosis, by area. As was the case with screening, MDs were cited by the majority of respondents as performing diagnostic services for physical health problems, followed by RNs. For vision, RNs were cited most often with MD's and ophthalmologists also mentioned frequently. As one would expect, diagnosis for speech and language problems was most often performed by speech clinicians, and the majority of hearing diagnosis was done by audiologists. For the areas of motor, social/emotional, and cognitive diagnosis, teachers and special educators were cited most often. Interestingly, they were cited more often than occupational therapists for motor diagnosis and more often than psychologists for social/emotional and cognitive diagnosis. As can be seen in the table, there were some instances where a professional was cited for performing diagnostic services in a seemingly unrelated area. For example, audiologists were cited in the area of vision, and occupational therapists were mentioned in the area of cognitive development.

Table 14 summarizes the number of diagnostic tools employed in each area. Speech and language was the area in which the mean number of tools used was the highest (3.88). The respondents reported using, on the average, from two to three tools each for the motor, social/emotional, and cognitive areas. The mean numbers of diagnostic



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Title	Physical Health	Hearing	Vision	Speech Language	Motor	Social Emotional	Cognitive
MD	125*	72	96	3	10	8	3
Audiologist		105*	4				5
Optometrist		1	46				
Speech Clinician	1	9		275*	16	14	22
OT	2		1	3	130	5	
Teacher/SpEd	5	13	14	49	177*	185*	200*
Psychologist		1		7	28	132	126
RN	90	93	107*	7	Ğ	11	10
PT	2				55	1	10
Social Worker				1	1	18	2
Para- profession	 al	4	4		8	3	4
Volunteer	1	4	6		1	Q	2
Other	7	18	11	21	28	<i>A</i> 1	25
Errors	* * *	1		2	1	1	15

Frequencies of Professionals Participating in Diagnostic Areas

*indicates the most frequently mentioned professional in each area

Table 14

Number of Diagnostic Tools Used

	Numb	er of	Number of
	Diagnos	tic Tools	Different
	Cited	by Each	Diagnostic
	Respo	ndent	Tools Cited
	У	SD	
Speech	3.88	2.55	41
Motor	2.40	1.63	42
Social/Emotional	2.17	1.23	39
Cognitive	2.64	1.67	41
Hearing	1.52	.86	20
Vision	1.92	1.22	18
Physical	1.54	1.07	18



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tools used for vision, hearing, and physical problems were lowest, with all three averaging fewer than two. The largest numbers of different tools mentioned across all respondents were for diagnosis of speech, motor, social/emotional, and cognitive problems. In each of these four areas, the number of different tools used was approximately 40. For each of the other three areas (vision, hearing, and physical problems), approximately 19 different tools were employed.

Table 15 presents the assessment tools most frequently mentioned as being used for diagnosis in each area. In the areas of vision and hearing, the HOVT/STYCAR chart and an audiometer were listed, respectively, as the tools used most often. For speech and language, the tool mentioned most often was a language sample, followed closely by the Peabody Picture Vocabulary Test (PPVT). Social/emotional difficulties were most often assessed using behavioral observations. problems were assessed most often by a professional Physical examination, followed by health history information. In the area of motor difficulties, the diagnostic tool mentioned most often was the Gesell Developmental Scales, followed by the Brigance Inventory of Early Development. For cognitive diagnostic assessment, the Stanford-Binet was listed most often, followed closely by the McCarthy Scales of Children's Abilities, and the Gesell Development Scales.

Table 16 is a summary of the extent to which the tools used for screening and diagnosis were congruent. The table presents the percentages of respondents for which half or more of the tools listed for diagnosis also were listed for screening. As can be seen in the





Table 15

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Speech	N	lotor	N
Language Sample	118	(aca)]	-
PPVT	114	Beigenee IED	62
AZ Test of Artic	58	Brigance IEU Rob Obe	54
TACL	50	Den UDS Nillon Access	41
Templin-Darlev	42	Miller Assess	36
Zimmerman	37	LAP Bouininko Oct	31
PLS	28	Bruthinks-Ust.	30
Goldman-Fristoe	24	DTAL	29
DIAL	23	Bayloy	28
DSS	9	Battelle	20
Social/Emotional	N	Cognitive	N
Beh Obs	107	Stanford Die t	
Interview	55	NoComthy	64
Gesell	29	Gosoll	55
DIAL	22	Debell Prigance ICD	52
Inform Assess	20	Kaufman	45
Burks Beh Rating	20		43
Vineland	18		31
LAP	16	Reh Obe	30
Brigance IED	13		28
VULPE	8	Battelle	26
Vision	N	Hearing	<u>N</u>
HOVT/STYCAR	73	Audianatau	1.01
Cover Test	35	Audiometer	131
Corneal Reflect	30	lympanometer Audiological France	36
Beh Obs	29	Audiological Exam	16
Profess Exam	23	VACC	15
Snellen	17	VASU Rob Obe	8
Muscle Balance	12	Ben uns	5
Informal Assess	3		
Physical	N		
Profess Exam	48		
lealth History	32		
Beh Obs	14		
Interview	14		
Informal Assess	11		
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Most Frequently Used Diagnostic Tools^a

^aLimited to tools listed by at least five respondents. Full names of tools are listed in Appendix B.

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Table	16
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Congruence	Between	Screening/Diagnostic Tools
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Area	Percent With Half or More Same Tools
Speech	13.2
Motor	17.0
Social/Emotional	29.0
Cognitive	15.0
Hearing	70.5
Vision	69.2
Total	30.0

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Percentage of Respondents Using Some Diagnostic Criterion

Area	Percentage	-
Speech	89.2	
Motor	84.7	
Social/Emotional	82.9	
Cognitive	83.8	
Hearing	74.9	
Vision	72.5	
Physical	72.7	
Total	81.1	



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table, 30% of the respondents used half or more of the same tools overall (physical problems is not included). The speech, motor, social/emotional, and cognitive areas all have percentages lower than 30%. For vision and hearing, the congruence percentages are much higher, at approximately 70%.

Table 17 presents the percentages of survey respondents who reported using some sort of criterion for making decisions about their diagnostic assessments. Across all seven areas, the percentage was 81.1%. Speech and language was the area in which the highest percentage of respondents reported using some criteria. The three lowest percentages were reported for hearing, vision, and physical problems.

A more detailed analysis of the actual criteria reported by the respondents revealed that for speech and language, most respondents expressed their criteria in terms of some kind of normative information. A standard of from one to two standard deviations below the mean of the instrument used was reported most frequently. Criteria referring to developmental delays of from one to two years, or from 10% to 25% were mentioned almost as often. The respondents reported very similar criteria for the areas of motor development and cognitive development, in that the same kinds of information (i.e., delays and standard deviations) were used most frequently. In each of these three areas, a small proportion noted clinical or professional judgment instead of normative information. The area of social/emotional development was one in which clinical judgment was

reported more frequently than either delay criteria or standard deviation criteria. As with screening cri eria, some respondents used vague and undefined criteria, such as "significant emotional problems," and "discrepancy between [the] child's potential and achievement," for social/emotional and cognitive development, respectively.

The respondents were fairly uniform in the hearing criteria that they reported. Most used 500Hz at 25dB and 1,000, 2,000, and 4,000Hz at 25dB as their standards for passing or failing. Typically, a child would be rescreened after one to two weeks if the first screening was failed. Then, after failing twice, the child would be referred.

The criteria mentioned for vision was also fairly uniform across respondents. They typically used 10/25, or 20/40 eyesight, along with a two-line acuity difference between eyes, as measured by the eye chart, as the standards for failing. In addition, observable eye problems were referred for further evaluation and/or treatment. The procedures were basically the same as for hearing in that a child usually had to fail two screenings to be referred.

Gaps/Duplications

The frequencies of survey respondents noting resceived gaps and/or duplications in serving the health and educational needs of preschool children are shown in Table 18. Among those responding to this survey item, over half (235 out of 442) reported some gaps or duplications. Public school systems were the only respondents for whom the number reporting no gaps or duplications was greater than the



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Yes	No	Totals
152	161	313
2	1	3
13	7	20
25	4	29
5	1	6
26	21	47
12	12	24
235	207	442
	Yes 152 2 13 25 5 26 12 235	Yes No 152 161 2 1 13 7 25 4 5 1 26 21 12 12 235 207

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Table 18

Frequencies of Reported Gaps/Duplications in Service

number reporting gaps or duplications. The group with the largest proportion of respondents indicating gaps or duplications was the DAC group. Out of 29 respondents, 25 noted some gaps and/or duplications in service. A variety of responses were given to the gaps and duplications question. They are reported separately by responding group here because of the differences that seemed to occur.

<u>Preschool screening programs</u>. In responding to the open-ended question on gaps and duplications in services, a random sample of 100 school programs identified gaps more frequently than duplications by a margin of 3 to 1. A total of 141 gaps or duplications were noted. Gaps in services for children from birth to age 3 were noted most often (n = 22, 15.6%), followed by lack of coordination with the medical community (n = 14, 9.9%). Other gaps noted by the preschool screening programs were as follows:

- Parent cooperation and training (n = 11, 7.8%)
- Physical health screening (n = 9, 6.4%)
- Inappropriate and delayed referrals (n = 9, 6.4%)
- Inadequate services for "gray area" children (n = 9, 6.4%)
- Inter-agency communication (n = 7, 5.0%)
- Lack of agencies and personnel in rural areas (n = 5, 3.5%)
- Use of inappropriate staff (n = 4, 2.8%)
- Transportation difficulties (n = 3, 2.1%)
- Funding difficulties (n = 3, 2.1%)

Duplications were noted in 35 responses (24.8%). All of these referenced duplications among various professionals and/or agencies.



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Most referred to the overlap in services of the Department of Education (PSS), the Department of Health (EPS), and the Department of Human Services (EPSDT), and sometimes with Head Start.

A variety of other issues were noted in the remaining responses to the gaps and duplications question (e.g., lack of service for overincome families, DAC waiting lists, etc.).

<u>Head Start programs</u>. For Head Start repondents, gaps were noted more often than duplications by a margin of almost 4 to 1. However, the total number of responses to the question was just 14. The primary gaps mentioned were the lack of communication and coordination among agencies (n = 3, 21.4%), lack of services for over-income families (n = 2, 14.3%), and lack of health and dental screening (n =2, 14.3\%). Other responses, each noted once (17.1%), were: not enough services for 3-year-old children, poor follow-up, poor referral system, and inadequate local education agency services. Duplications were noted in three responses (21.4%) -- all referred to overlaps in services of PSS, EPS, EPSDT, and Head Start.

<u>Day achievement centers</u>. DACs also noted gaps more often than duplications, with the ratio being approximately 6 to 1. The most frequently mentioned gap was a lack of services, especially for mildly handicapped children (n = 11, 32.4%). Other gaps noted by more than one respondent were as follows:

• Lack of services for children birth to 3 years (n = 5, 14.7%)

• Poor referral system (n = 4, 11.8%)

• Funding difficulties (n = 2, 5.9%)

• Parent cooperation (n = 2, 5.9%)

Gaps noted by one respondent included lack of communication, poor child find, personnel needs, and minority needs.

Duplications noted by the DACs all involved references to overlapping services (n = 5, 14.7%).

<u>Medical facilities</u>. Only nine gaps and duplications were noted by respondents from medical facilities. Poor screening and personnel needs were the only gaps noted by at least two respondents. All other gaps were noted by only one (rural needs, transportation, agency coordination, "gray area" children missed). Only one response referred to duplications -- it referenced service overlaps among agencies for individual children.

<u>Public health nursing services</u>. Services to children from birth to three/four years of age was the most frequently noted gap (n = 9, 28.1%). This was followed by inter-agency communication (n = 5, 15.6%), services for poor (n = 4, 12.5%), inadequate referral systems (n = 3, 9.4%), lack of programs (n = 3, 9.4%), and follow-up (n = 2, 6.2%). Other gaps mentioned by individual respondents (3.1%) were child find, citizen awareness, counseling, and gray-area children services. Only two responses (6.2%) focused on duplications; both noted the overlap of services from EPS, PSS, etc.

<u>County human services</u>. Of the 13 responses from this group, two (15.4%) referred to duplications created by overlap of services from EPS, MDs, PSS, EPSDT, and Head Start. The gap most frequently noted was inadequate services in some rural areas (n=3, 23.1%). Other gaps

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noted were services for children 0-3 years, counseling, "gray area" children, services for the poor, and transportation to services.

Discussion

Preschool screening is a relatively new activity. Yet, it is an activity that has critical implications for handicapped children. The importance of early identification and intervention for these children has been documented (cf. Casto & Mastropieri, in press; White, Bush, & Casto, 1984). Yet, relatively little attention has been given to the preschool screening process that is supposed to be the basis for early identification. There is a need to obtain even the most fundamental level of knowledge about preschool screening and subsequent diagnosis of children that occurs prior to the time they reach school age.

Minnesota was one of the first states to devote resources to preschool screening activities, and as such, has had time to get its program and procedures established. It, therefore, is an ideal state in which to begin to collect basic information on the preschool screening process. The purpose of the present study was to obtain descriptive information on who is screened and/or given diagnostic assessment, who does it, and how it is done. The in-the-field interest in this type of research activity was evidenced by the cooperation of the people who were surveyed. Clearly, there is a high degree of interest in studying what is being done, and in finding ways to improve the process if it seems appropriate.

As the survey results indicate, Minnesota has a fairly comprehensive and wide spread screening network, in which the majority

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of agencies contacted provide some type of screening services in each of the seven areas. The percentage of agencies offering diagnostic and treatment services progressively decreases, as would be expected given the smaller number of children requiring these. However, few agencies supply all three types of services by themselves. Instead. the population must rely on and cooperate with various other agencies to serve all the children identified through screening statewide. As would be expected, different types of agencies screen different populations of children. It appears, from the age data for screening, that each age group is being covered by at least one type of agency, thereby helping to ensure maximum coverage of all children. Medical agencies more often provide screening, diagnostic and screening services for children younger than 3 years of age. Usually, for this age group, children who are screened are those who have more obvious and severe handicaps (see Ysseldyke, Thurlow, Weiss, Lehr, & Bursaw, Preschool screening programs more often are involved with 1985). children who are 3 to 5 years old, and generally, whose potential handicaps are less severe.

The screening agencies, when referring children for further evaluation, tend to lump together referrals for speech and language, motor, social/emotional, and cognitive difficulties, and to refer them to the public school systems for further diagnosis. The school system, of course, is the most convenient and least costly agency for a referral. There were referral agencies reported that did not seem logical, such as referring physical health problems to the public

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schools. Perhaps these referrals, while infrequent, reflect a search for convenience rather than the best quality service by the msot logical agency.

Teachers or special educators appear to be performing most of the initial screening in the areas of motor, social/emotional, and cognitive development. This finding leads to the question of how the decision is made about who are the best qualified individuals to administer various screening instruments. Is the validity or sensitivity of a screening decision the same when children are screened for motor problems, for example, if it is done by teacher as when it is done by an occupational therapist? Convenience may be the reason that teachers are selected to .screen children. Is this convenience at the expense of appropriate identification? Also, is time taken away from instruction to administer tests? The influence of the types of personnel involved in screening needs to be examined.

Availability of professionals may be a factor influencing who performs screening. For example, consider the isolated findings that occupational therapists are being used to screen for speech and language problems and that audiologists are screening children for vision. These professionals would not be the most logical choices to perform these duties. It may be that the respondents reporting these instances do not have a complete staff of professionals and therefore must "double up" and cross over on their duties. In any case, these instances again raise the issue of how decisions are made about who will be involved in screening, and the issue of the possible effects of such decisions.

Similar issues are raised when one looks at the professionals involved in more in-depth diagnostic assessments that follow screening. There does seem to be greater role specification for diagnostic assessment, with the more medically-oriented areas of physical health, vision and hearing assessed by medically-oriented professionals. However, there also is a wide range of professionals performing diagnostic assessment in each area, a finding that suggests little specialization or role definition. As in screening, teachers and special educators perform most of the diagnostic assessment for motor, social/emotional, and cognitive difficulties.

The tools used for screening were relatively consistent across respondents. Most striking was the overwhelming preference for first the DIAL, and second the DDST for speech and language, motor, social/emotional, and cognitive screening. This suggests an almost blind faith in these instruments in that virtually everyone uses them exclusively for screening in these areas. almost However. Lichtenstein and Ireton (1984), and Salvia and Ysseldyke (1985), both consider the DIAL as having little empirical support for its validity. They characterize the DIAL as having poor reliability, as well as questionable representativeness in its norms. In fact, Salvia and Ysseldyke point out that the DIAL-R, which is the revised version of the original, has questionable technical adequacy and "is best considered an experimental test" (p. 430). The DDST also has technical difficulties. While its validity and reliability are adequate, the representativeness of the standardization sample, which is limited to the city of Denver, is questionable.

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In addition to the DIAL and the DDST, three other tools were used relatively frequently in screening for speech and language, motor, social/emotional, and cognitive problems. They were the Comprehensive Identification Process (CIP), the Developmental Profile II (DPII), and the Minneapolis Preschool Screening Instrument (MPSI). The CIP manual contains no reliability, validity, or norm group data, something Lichtenstein and Ireton (1984) call a "glaring weakness." These authors describe the DPII as a screening tool whose value as such remains to be shown. The MPSI appears to be very similar to the DDST in that it has good reliability and validity but has norms based on a limited geographic area (Minneapolis).

Thus, the majority of screening agencies appear to be using devices for screening without considering some of their technical shortcomings. It appears that for the most frequently used tools, the shortcomings may be significant.

A much greater variety of tools was used for diagnostic assessment than for screening. This seems to reflect the attempt to further differentiate and examine the difficulties identified in screening. Consquently, there is much less uniformity in the specific tools used by different respondents in each area.

The congruence between screening and diagnostic assessment instruments reflects the extent to which new, and more detailed information is available to assist the decision-making process. Overall, 30% of the respondents reported using half or more of the same tools. However, that number is inflated by hearing and vision,

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in which approximately 70% used the same tools. In the other four areas, congruence percentages ranged from 13% to 29%. The extent to which a diagnostic assessment that uses the same tools as screening provides different information needs to be studied.

The mean percentage of respondents reporting use of some kind of criterion to make decisions about screening results was almost 91%. Although that figure seems relatively high, it is important that almost 10% did <u>not</u> report using any criteria to make those decisions. Lower percentages of respondents reported using some decision criteria for diagnostic assessment in each area.

In terms of the criteria reported for screening, the majority of screening personnel in the state seem to be relying on one instrument, and its norms, to help make decisions about children. While these criteria apparently are objective and consistent for all children, one must keep in mind the question of the test's technical adequacy, since the decisions made on the basis of test results are only as good as the quality of the test itself.

Although the majority of respondents at least report using fairly objective criteria for both screening and diagnostic assessment, there seems to be a significant proportion who are more subjective in their decision making, and therefore, more likely to be variable. Consequently, it seems likely that the use of subjective clinical judgment to make decisions would account for some of the variation among the various programs in the state. This could help to explain the finding that the percentages of children who are referred for

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services, and even the percentages of children receiving services, in different school districts vary widely (see Thurlow, Ysseldyke, & O'Sullivan, 1985). As a result, a child referred for services in one area of the state might not be referred in another.

With over halt of the respondents reporting some gaps or duplications in the early childhood assessment process, it appears that the state's early childhood screening programs are perceived, at least by some, as requiring further refinement. The primary duplication noted by respondents from every group was overlapping services. This was mentioned regardless of the responding group. The extent to which duplication actually exists or is only perceived to exist requires further examination. Clearly, efforts to begin to coordinate the activities of agencies involved in preschool screening and early childhood special education services are critical and need to be pursued. Gaps were noted more often than duplications, but the specific gaps that were mentioned covered a much wider range. Across all responding groups, the gaps that emerged most consistently were (a) services for the 0-3 year old population, and (b) coordination and cooperation among professionals and agencies. The issue of services for children 0-3 years currently is under debate. It appears that action in this area is imminent. The need for cooperation and coordination among agencies has not received the attention that it must if appropriate and cost-efficient services are to be provided, This, of course, is not a new issue. It is a policy issue that in the end will require a policy solution.

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Appendix A

Cover Letter and Survey Form



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Dear

The enclosed survey represents the collaborative efforts of three state agencies studying handicapped children between birth and five years of age and services available to them. Once we understand better how young handicapped children are being identified and served, we plan to compare and evaluate alternative approaches to screening, so that resources can be provided to promote effective coordinated services at regional and local levels.

We need your response to obtain a representative sample of Minnesota screening programs. A representative sample will enable appropriate selection of some programs for a follow-up comparison of existing and alternative screening practices. We have included an IN (identification) number on your form so that data can be analyzed according to several variables (geographic location, oopulation size, etc.) without your having to provide us with that information. All data will be analyzed and reported in aggregate only; you or your program will not be individually identified.

We urgently request that you complete this survey as soon as possible, and return it in the inclosed envelope. If we do not hear from you in three weeks, we will phone or write to remind you about returning the survey.

Sincerely,

James E. Zpoeldyne

/James E. Ysseldvke, Ph.D.
Professor of Educational Psychology

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ID Number College of Education **UNIVERSITY OF MINNESOTA** Early Childhood Assessment Project **TWIN CITIES** Department of Educational Psychology 350 Elliott Hall 75 East Rive Road Minneapoils, *A*innesota 55455 1. What is the age range of children screened at your clinic (in years)? Youngest Oldest ______ What ages do you <u>typically</u> screen? (Check boxes that apply) Under 1 year 1 year 2 years 3 years 4 years Over 5 years 2. What kinds of service does your agency offer? For each area on the left, check the appropriate box if your agency offers screening (brief assessment and referral of at-risk children). diagnostic assessment (more in-depth assessment for identifying handicapped children and their needs), or treatment (intervention for medical or developmental problems). Check all boxes that apply. If you contract with another agency for services, please place a "c" within the appropriate box. 1 DIagnost 1, Area Area

Speech/Language Development Motor Development Social-emotional Development Cognitive Development

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3. Hhere do you refer children with positive (abnormal) screening findings? For each screening area, use the codes below to indicate the agency where referred children are sent for further evaluation. Next, indicate the professional title of the person(s) performing the evaluation (e.g., MD, RN, special educator).

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Physical Health Hearing

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Vision

1-Public School 2-Medical Clinic		3-Nursing or Public Health Agency 4-Private Practice/Contractor		5-Community Resource (e.g., DAC, Headstart) 6-Other (please specify)	
Screening Area	Agency Code	Professional Title	Screening <u>Area</u>	Agency Code	Professional Title
Physical Health			Speech/Language	<u></u> -	
Hearing		<u></u>	Motor		
Vision	- <u></u>		Social-emotional		
•			Cognitive		

4. Do you believe that gaps and duplications in service exist among agencies serving the health and educational needs of preschool children? ______ If so, to what extent do you think such gaps and duplications exist? Give specifics if possible. ______

(OVER)

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5. For screening, what tools/procedures, staff members, and critieria for referral are used at your clinic? For each developmental area, indicate all tools or procedures (e.g., DDST, DIAL, language sample) in the left column. In the middle column indicate the professional title of the staff member(s) who administer the tool or procedure (e.g., Nurse, Special Educator). In the right column indicate all criteria used to decide whether a child is referred for further evaluation. Some criteria may be objective (e.g., below the X percentile), while others may be subjective (e.g., clinical judgment). Use another sneet of paper if you need more space.



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6. For in-depth diagnostic assessment, what tools/procedures, professionals, and eligibility criteria for service delivery are used by your agency? For each area on the left indicate all tools or procedures in the left column. In the middle column indicate the title of the evaluator(s) performing the diagnostic assessments. In the right column indicate all criteria used to decide whether a child should receive treatment services. Criteria may be objective or subjective.

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Area	Too 1/Procedure Used	Professional Title	Criteria for Referral
Speech/Language Development			
Motor Development			
Social-emotional Development			
Cognitive Development			
Hear Ing			
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Physical Health			

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Appendix B

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Full Names of Abbreviated Tools



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Full Names of Abbreviated Tools

	Aud. Eval.	 Audiological Evaluation
	AZ Test of Artic	 Arizona Test of Articulation
	Battelle	 Battelle Developmental Inventory
	Bayley	 Bayley Infant Scales
	Beery	 Developmental Test of Visual-Motor Integration
	Beh Obs	 Behavioral Observation
	Brigance IED	 Brigance Inventory of Farly Development
	Bruininks-Ost	 Bruininks-Osteresky Test of Motor Proficionay
	Burks Beh Rating	 Burks Rehavior Rating Scale
	Caldwell	 Cooperative Preschool Inventory
	CIP	 Comprehensive Identification Descore
	Corneal Reflect	 Corneal Light Reflection Test
	DASE	 Denver Articulation Scheening Evan
	Dev Profile	 Developmental Profile (also Datt)
	DIAL	 Developmental Indicators for the dessent of
		learning (also Povised edition)
	DDST	 Denver Developmental Schooning Test
	DSS	 Developmental Sentence Scening
	Fluharty Preschool	 Flubarty Preschool Speech
	Freefield	 Audiometer held 12 inches behind herd
	Gesell	 Gesell Developmental Scalos
	Goldman-Fristoe	 Goldman-Friston Test of Anticulation
	HOVT/STYCAR	 Matching Symbol Test (NOVI) (Semanning Test Ger
	• • • • • •	Young Children and Potandator
	Kaufman	 Kaufman Assessment Battery for Children
	LAP	 Learning Accomplishment Profile
	McCarthy	 McCarthy Scales of Children's Abilities
	MCDI	 Minnesota Child Development Inventory
	Miller Assess	 Miller Assessment Preschool
	MPSI	 Minneapolis Preschool Screening Instrument
	Muscle Balance	 Muscle Balance Test
	PLS	 Preschool Language Scale
	Portage	 Portage Guide to Early Education
	PPVT	 Peabody Picture Vocabulary Test
	Snellen	 Snellen E Symbol Chart
	Stanford-Binet	 Stanford-Binet Intelligence Scale
	TACL	 Test of Auditory Comprehension of Language
•	Templin-Darley	 Templin-Dorley Test of Articulation
	VASC	 Verbal Auditory Screening for Children
1	Vineland	 Vineland Adaptive Behavior Scale
1	VULPE	 Vulpe Assessment Battery
1	WPPSI	 Wechsler Preschool and Primary Scale of
		Intelligence
	Zimmerman	 Zimmerman Preschool Language Scale



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ECAP PUBLICATIONS

Early Childhood Assessment Project University of Minnesota

- No. 1 <u>Preschool screening in Minnesota: 1982-83</u> by M. L. Thurlow, J. E. Ysseldyke, & P. O'Sullivan (August, 1985).
- No. 2 <u>Current screening and diagnostic practices for identifying young handicapped</u> <u>children</u> by J. E. Ysseldyke, M. L. Thurlow, P. O'Sullivan, & R. A. Bursaw (September, 1985).
- No. 3 Instructional decision-making practices of teachers of preschool handicapped children by J. E. Ysseldyke, P. A. Nania, & M. L. Thurlow (September, 1985).
- No. 4 Exit criteria in early childhood programs for handicapped children by M. L. Thurlow, C. A. Lehr, & J. E. Ysseldyke (September, 1985).
- No. 5 Predicting outcomes in a statewide preschool screening program using demographic factors by J. E. Ysseldyke & P. O'Sullivan (October, 1985).
- No. 6 <u>An ecological study of school districts with high and low preschool screening</u> referral rates by. J. E. Ysseldyke, M. L. Thurlow, J. A. Weiss, C. A. Lehr, & R. A. Bursaw (October, 1985).