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ABSTRACT

Readability estimates are usually based on measures of word difficulty and measures of sentence difficulty. Word difficulty is measured in two ways: by the structural size and complexity of words or by reference to phenomena of language use, such as word-list frequency or the regularity of spelling patterns. Sentence difficulty is measured only in terms of size or complexity, despite models of the reading process which suggest that readers could use a knowledge of recurring syntactic patterns to economize their scan of the text. This study identified syntactic patterns which occurred in samples of student writing and text and counted how often each pattern recurred. McCall-Crabbs test passages were classified for the commonness of their sentence patterns according to these counts. The commonness of the patterns was significantly associated with the readability of the passages, with other factors controlled. The results bear implications for measuring readability and designing instruction. (Author)

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Syntactic Difficulty for Estimating Readability"

Presented to the 1977 Annual Meeting of
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Readability estimates are usually based on measures of word difficulty and measures of sentence difficulty. Word difficulty is measured in two ways: by the structural size and complexity of words or by reference to phenomena of language use such as word-list frequency or the regularity of spelling patterns. Sentence difficulty is measured only in terms of size or complexity, despite models of the reading process which suggest that readers could use a knowledge of recurring syntactic patterns to economize their scan of the text. This study identified syntactic patterns which occurred in samples of student writing and text, and counted how often each pattern recurred. McCall-Crabbs test passages were classified for the commonness of their sentence patterns according to these counts. The commonness of the patterns was significantly associated with the readability of the passages, with other factors controlled. The results bear implications for measuring readability and designing instruction.

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Purpose

The purpose of this study was to improve the methods used to measure the syntactic difficulty of text as a factor which affects readability. Specifically, the study was conducted in order to determine whether the syntactic patterns which are used in sentences are repeated with varying frequencies in the writing of school children or in typical textbook prose, and whether the frequency of this recurrence is associated with the readability of the patterns when they appear in text. If this were true, it would suggest whether readers use a sense of expectation of common sentence patterns to guide and economize their search for textual features which give cues to the meaning of the text. Further, if this variable were found to be useful, it would provide a basis for selecting the instructional materials presented to readers according to syntactic difficulty, and it could strengthen the basis for sequencing syntactically-based comprehension skills in an instructional program.

Conceptual Rationale

Readability formulae generally include two types of variable-- measures of word difficulty and measures of sentence difficulty.

Lively and Pressey (1923) developed a readability estimation technique which was based on the placement of the words contained in a piece of text in Thorndike's frequency-stratified word-list. Later, Vogel and Washburne (1928), Gray and Leary (1935) and others developed techniques for estimating readability which included a measure of word familiarity based on a frequency-stratified word-list and a measure of sentence difficulty based on structural complexity or mean length. Widely used formulae such as those developed by Dale and Chall (1948), Spache (1953), and Dolch (1948) are based on measures of sentence length and on an index of the familiarity of the words determined by looking them up in a frequency-stratified word-list. Recent formulae developed by Fry (1968) and by Harris and Jacobson (1974) include different measures. The Fry method is based on mean sentence length and mean word length in syllables. The Harris-Jacobson method includes vocabulary familiarity, word length, sentence length, and the presence of words with irregular or uncommon sound-letter correspondences.

The variables which are generally used to measure word difficulty are of two types. The first type is based on the premise that the structural size and complexity of the word affects the difficulty with which it can be read; this type of measure is exemplified by measures of mean word length in syllables or letters, and by measures of the mean number of affixes present on words in the text. The second type of variable is based on the premise that phenomena of usage determine the familiarity of whole words, or

determine the ease with which a word can be decoded using sound-letter correspondances; this type is exemplified by word-list based measures of word familiarity, and by the Harris-Jacobson measure of sound-letter regularity.

While readability formulae include both types of word-difficulty variable, they include only measures of sentence difficulty which are based on the premise that structural size and complexity affect the readability of sentences. The frequency or regularity of sentence-structure phenomena has not been systematically identified and used as a factor affecting the readability of text. Bormuth (1969) studied a large number of sentence-structure characteristics in relation to readability, but was not able to conclude what general factors in sentence construction seemed to affect readability. Similarly, Granowski (1971) and Botel and Granowski (1972) report development of an index of sentence difficulty which includes consideration of structure familiarity, but the eclectic nature of the index precludes determination of the usefulness of this variable.

Models of the reading process suggest that readers can use expectations of sentence patterns to guide their search for cues to meaning. Smith (1971) posits a model of the reading process in which the reader uses an active, anticipatory approach to the text, along with the redundancy in the textual code, to derive meaning from a minimal number of visual cues. Gibson and Levin (1975) see the reader using context, redundancy, and prior experience with the language in order to derive meaning economically and efficiently. Goodman's (1968) "guessing game" model portrays the reader as using available prior information to hypothesize the meaning of the

upcoming text, providing a basis for scanning the text for cues. These models support the notion that if sentence patterns occur in varying frequencies, the presence of more common or familiar patterns would facilitate the ease with which the text could be read. Strickland (1962) developed a basis for measuring sentence-pattern familiarity in terms of children's oral language. Bormuth (1964) found a non-significant association between this variable and passage cloze scores, but did find their relationship to be curvilinear.

The present study was conducted in order to identify which patterns recur in student writing and in widely-used text, and to determine whether patterns which recur more often, if present, are associated with lower reading difficulty.

Methods

The study was conducted in two phases. In the first phase, samples of student writing and textbook prose were scanned to identify those syntactic patterns which occurred and to count how often each recurred. To do this, the words on the Basic Elementary Reading Vocabularies (Harris and Jacobson, 1972) were classified according to their part-of-speech function using the scheme developed by Fries (1952). Next, ten samples of writing and text, one each for writing and text in grades 2, 4, 6, 9, and 12, were divided into t-units (Hunt, 1964) in order to provide standard sentence-marking throughout the samples. These t-units were scanned using computer processing procedures developed at the University of Virginia; the procedures scanned each t-unit, looked up each word in the classified vocabulary, assigned a coded

character for each word to indicate its syntactic classification, and catalogued a string of these codes for the words in the t-unit. A series of FORTRAN programs was used to count how often the strings occurred in each of the ten samples of prose. The final product of this phase was a master listing of syntactic patterns and their recurrence in the ten text and writing samples.

In the second phase, this master listing was used to provide an index of the familiarity of sentence patterns in a set of 161 passages from the McCall-Crabbs Standard Test Lessons in Reading (McCall and Crabbs, 1961). The passages were marked off into t-units, and the t-units were converted into coded syntactic strings as was done for the text samples. Each string was located in the master listing to find its recurrence in the ten text samples. These ten values were averaged for the strings in the passage to provide ten values for the passages as a whole. These ten indices were later analyzed in relation to the readability of the passage. Three counts were made of the number of t-units in each passage which were one to ten words in length, eleven to twenty words in length, and twenty-one to thirty words in length. This provided a rich measure of t-unit length which could be controlled in the analysis. Finally, the criterion measures of passage comprehensibility were recorded. The McCall-Crabbs seventy percent criterion (MC70) was used; this is the mean grade equivalent of pupils who answered seventy percent of the comprehension questions on the passage correctly in McCall-Crabbs norming studies.

Analysis

Multiple regression analyses were calculated using the McCall-Crabbs seventy percent criterion as the dependent variable.

In the first analysis, the restricted equation was based on estimates of readability provided by the Harris-Jacobson formula (based on sentence length, word length, word-list frequency, and presence of sound-letter irregularities) and the three counts of t-unit length. The full model added the ten indices of pattern commonness. The following multiple R values were obtained:

TABLE 1

Variables	Multiple R	R ²	F-ratio	df	P
Pattern length counts H-J readability estimates	.62169	.38650	1.040	---	---
Pattern commonness variables	.65055	.42321	1.040	9,147	> .05

The table shows that the pattern commonness variables increase the overall strength of the estimation somewhat, but not to a significant degree.

Since Bormuth's (1964) study had indicated a curvilinear association, the pattern-commonness variables were squared and the analysis was made again:

TABLE 2

Variables	Multiple R	R ²	F-ratio	df	P
Pattern length counts H-J readability estimates	.62169	.38650	---	---	---
Pattern commonness variables and squares	.67664	.45784	2.15	9,147	< .05

With the use of squared terms to account for a curvilinear relationship between passage difficulty and sentence-pattern familiarity, a significant increment in the estimation is obtained

Discussion

The association between the commonness of sentence patterns in a passage of text and the readability of the passage was found to be significant and curvilinear. Subsequent analysis of the data have been performed, using as the criterion the comprehensibility of subsets of the passages to students in grades 4, 6, 9, and 12. These analyses suggest that the usefulness of sentence-pattern familiarity is strongest for older readers. Apparently, use of these frequency-based expectancies depend on the level of difficulty of the passage, and on the ability of the reader.

Although very preliminary in nature, it can be concluded that frequency-based expectancies of syntax are useful to maturing readers in certain textual situations.

Conclusions

This suggests that estimates of readability used to construct a sequence of materials which are graded in difficulty should account for the commonness of syntactic patterns. It also suggests that the use of pattern familiarity, or the ability to contend with unfamiliar patterns, are reading skills which should be developed in instructional programs. This could be accomplished through the exposure of learning readers to a large, diverse amount of natural text to give them a basis for syntactic expectancies. Further, games or exercises which work with these expectancies to predict upcoming text seem to be supported.

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