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ABSTRACT

This bulletin contains information for applicants who wish to submit proposals for projects in the humanities. Educational programs, public programs, research fellowships and stipends, and projects designed and conducted by young people supported by the Endowment, are described. General information is included on eligibility and application procedures, areas which are not funded by the Endowment, and gifts-and-matching grants. Information for each of the programs includes the general scope and more specific information on project planning, program, and development, grants, and institutional grants, along with instructions for application. A brief listing of National Endowment for the Humanities staff members who may be contacted for further information concerning the various Endowment programs concludes the pamphlet. (SJM)

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NATIONAL
ENDOWMENT
FOR THE
HUMANITIES

NEH

PROGRAM
ANNOUNCEMENT
1973-1974

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INFORMATION FOR ALL APPLICANTS

... a high civilization must not limit its efforts to science and technology alone but must give full value and support to the other great branches of man's scholarly and cultural activity in order to achieve a better understanding of the past, a better analysis of the present, and a better view of the future . . .

Toward this end, Congress in 1965 created the National Endowment for the Humanities (as part of the National Foundation on the Arts and the Humanities) to help thinkers, teachers, scholars, and writers develop and sustain the humanities as intellectual endeavors important in themselves and as sources of insight into human problems and priorities. The mandate for Federal support of the humanities is Public Law 89-209; under that act, the humanities are defined as including (but not exclusively) the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, theory, and practice of the arts; those aspects of the social sciences which have humanistic content and employ humanistic method; and the study and application of the humanities to the current conditions of national life.

The Endowment supports a variety of activities in the humanities—Education Programs, Public Programs, Research, Fellowships and Stipends, and projects designed and conducted by young people; each is described more fully in the sections which follow. In each of these areas, applicants should give particular attention to the following topics:

New Applications of Humanistic Knowledge. The Endowment encourages, through its Office of Planning and Analysis, the submission of proposals for projects in the humanities which do not fall readily within the scope of existing programs described in this brochure. The Office seeks, especially, promising proposals which would assess the status of the humanities in the nation, develop new applications of humanistic knowledge, or encourage more active interest in the humanities among all sectors of the population. Proposals or inquiries about these kinds of projects should be addressed to: Director of Planning, National Endowment for the Humanities, Washington, D.C. 20506.

Science, Technology, and Human Values. In response to mounting national concern about the ethical and value implications of new advances in science and technology, the National Endowment for the Humanities and the National Science Foundation have jointly announced a special

interest in fostering knowledge on this subject. Proposals whose principal focus is the humanities (or the humanist) may continue to be submitted to the Endowment through one of its established divisional programs—Research Grants, Education, Fellowships and Stipends, or Public Programs. Proposals for projects requiring substantial involvement of both scientists and humanists may be submitted concurrently to both agencies, with a view to joint funding in appropriate instances. Inquiries in this area or questions concerning coordination with the National Science Foundation may be addressed to: Program of Science, Technology, and Human Values, Office of Planning, National Endowment for the Humanities, Washington, D.C. 20506.

The Bicentennial. In February 1973, "recognizing that the approach of the nation's Bicentennial should stimulate a wide and serious exploration of American ideals and of the American experience in its broadest sense," the National Council on the Humanities, the Endowment's Presidentially-appointed advisory group, indicated that the Bicentennial should provide one major focus for Endowment programs in the next few years. Many of the projects normally funded by the Endowment each year are in some way connected with the American Revolution, and it is anticipated that their number will and should increase as the nation approaches its Bicentennial. Since any serious recognition of the Bicentennial will require just the kind of intelligent nationwide exploration of America that the Endowment was created by Congress to foster, NEH is encouraging the submission of applications for projects which bring the humanities to bear on serious study of the American experience from colonial times to the present, with particular—but not exclusive—emphasis on the period surrounding the founding of the nation.

Any Bicentennial activities supported by the Endowment, however, will be extensions of its customary activities and conducted through the usual processes of the Endowment. All proposals to the Endowment—including those dealing with the Bicentennial—must place primary emphasis on the humanities and must be submitted under one of the programs described in this brochure. Applicants for Bicentennial-related projects may thus apply only through one of the Endowment's established programs of support, and inquiries should be directed to the appropriate division.

Social Science Support. As indicated on page 3, the Endowment supports those aspects of the social sciences which have humanistic content and employ humanistic method. In considering proposals in the social sciences, the Endowment is particularly interested in projects in which historical or philosophic approaches predominate and in projects

which either strengthen the humanistic aspects of a social science or suggest new capacities of a humanistic discipline by combination with one of the social sciences.

Support is available from the National Science Foundation, among other government agencies, for social science projects in which statistical measurement and clinical approaches predominate. Endowment applicants whose projects are eligible for support from other Federal agencies—such as the National Science Foundation or the U.S. Office of Education—may apply to such agencies at the same time as they apply to the Endowment and should indicate to the Endowment that they are doing so.

The Arts. The National Endowment for the Humanities does not offer support for creative, original works in the arts—such as musical composition, painting, the writing of poetry or fiction—or for performance or training in the arts. Historical and theoretical studies, as well as criticism, in the arts are, however, eligible for Endowment support, and applicants in these areas are particularly urged to consult with the divisions of the Endowment to insure that their projects fall within the limits of Endowment support.

Inquiries about Federal support for the creative and performing arts should be directed to the National Endowment for the Arts, Washington, D.C. 20506. The Arts Endowment has grant-making programs in architecture and environmental arts, dance, education, expansion arts, crafts, literature, music, museums, public media (film, television, and radio), theatre, and the visual arts. It also provides a limited number of fellowships to creative and performing artists of exceptional talent. Inquiries to the Arts Endowment should specify area of interest.

General Eligibility and Application Procedures

Applications may come from individuals—with or without academic affiliations—and from any non-profit institution or organization engaged in projects involving the humanities. Typically, applications would come from universities, four-year colleges, junior and community colleges, elementary and secondary schools, and from members of their faculties; from educational, cultural, or community groups; and from museums, historical societies, libraries, public agencies, educational radio or television stations, and from staff members of such organizations.

Any citizen or national of the United States or its territorial possessions is eligible for Endowment support, as are American institutions either physically located in or incorporated in the United States. Foreign nationals are eligible only when employed by American institutions. Not

eligible are American citizens who hold permanent posts at foreign institutions or who have resided abroad for more than five consecutive years immediately prior to the current year.

Prospective applicants are urged to read this brochure carefully and to submit a preliminary description of their project to an appropriate division of the Endowment (see the listing of Endowment programs on the inside front cover). This preliminary step enables the Endowment staff to inform the applicant whether his proposal meets the criteria of the program to which he intends to submit it, what other Endowment program or other Federal agency might more appropriately consider the application, and what additional information about the project is needed to insure complete and accurate evaluation by reviewers and panelists. Submission of a preliminary description also enables the division to furnish additional material to the prospective applicant in the form of specific guidelines and instructions.

Applicants should submit such preliminary descriptions at least one month prior to the application deadline for the program to which they plan to apply; deadlines are given in each of the divisional program sections of this announcement and also on the inside front cover. Applicants who plan to begin projects by a given time are strongly advised to submit their proposals well in advance of the planned starting date to insure against possible delays in processing or announcing grants.

Occasionally the Endowment may announce awards at times other than those indicated in this brochure, but in nearly all cases the awarding of grants follows the quarterly meetings of the National Council on the Humanities. The Council consists of 26 distinguished private citizens appointed by the President to give advice and guidance to the Endowment and to make final recommendations on applications to the Endowment. It is expected that the Council will be meeting in October of 1973 and in February, May, and August of 1974.

Areas Not Funded

Because of limited funds, the Endowment cannot at this time give consideration to requests for support for:

- Predoctoral fellowships, except insofar as they may be integral parts of a broader program and requested by the institution undertaking such a program;
- Construction or restoration costs, except for limited amounts incidental to carrying out other purposes of an application;
- Museum or library acquisitions, except for limited amounts incidental

- to carrying out other purposes of an application;
- Editorial costs of journals, or production costs of any publication, including books;
 - Costs of permanent equipment which is not essential to the carrying out of a broader program or project;
 - Support for research undertaken in pursuit of any academic degree;
 - Individual requests for travel to professional meetings; requests for aid in traveling abroad to international meetings should be addressed to the American Council of Learned Societies, which has a small grant from the Endowment for that purpose.

Gifts-and-Matching Grants

As a supplement to an outright grant or as the sole form of Endowment support, an applicant may sometimes be offered a "gifts-and-matching" grant. Under this arrangement, the Endowment agrees to accept a gift for the support of some particular project in whatever amount the donor is willing to give; the Endowment then matches this money with Federal funds up to a pre-stated limit (which the gift may exceed) and disburses the whole—a sum equal to the gift plus the matching money—in support of the project. This kind of grant is also available for more general purposes than support of a particular project, in which case the donor stipulates that it is an unrestricted gift.

The Endowment will not match a restricted gift from the institution conducting the project for which the gift is intended, or from others involved in the project; the Endowment will not match a restricted gift from other Federal funds or from current or pending recipients of Endowment grants.

Prospective and actual applicants are encouraged to seek sources of gifts that may be matched for their projects, and to keep the Endowment informed of gift prospects. The availability of a gift, however, does not of itself assure Endowment support for a project, nor does receipt of a gift guarantee the addition of matching money from the Endowment. (The amount of Endowment funds available for matching gifts depends on annual Congressional appropriations for that purpose and is only a small fraction of the regular program fund.) A gift for a particular project will not be accepted by the Endowment until the National Council on the Humanities has made a recommendation to the Chairman.

When an applicant receives a formal offer of support from the Endowment contingent upon the receipt of gift money for his project, the applicant should ask the donor to forward his gift directly to the

Endowment. Whenever possible the donor should enclose with his letter of notification a check for the amount to be donated. Such checks should be made payable to the National Endowment for the Humanities. The Endowment will specify the length of the period during which an offer of support with a gifts-and-matching grant will remain in effect and will determine whether extension of the period is warranted should gift money not be forthcoming within the period specified. The letter accompanying the gift should indicate what project the gift is for, whether the gift is unrestricted, and whether the gift offer is dependent on matching by the Endowment. Gift letters should be addressed to:

The Chairman
National Endowment for the Humanities
Washington, D.C. 20506

EDUCATION PROGRAMS

General Scope

Through its Division of Education Programs, the Endowment attempts to help educational institutions improve instruction and make more effective use of resources in the humanities. The Division is intended to serve educational institutions at all levels, and seeks proposals designed to explore and promote the widest range of humanistic capabilities. It is particularly concerned with supporting programs of organized study in the humanities, programs of long or short duration which will help develop or strengthen humanistic education within an institution, discover or sustain relationships among different humanistic disciplines or subjects, and otherwise encourage humanistic pursuits which will be worthy in themselves and will enhance the life of the humanities and the institutions which house them.

Project Grants

The Project Grants program is designed to encourage the development and testing of imaginative approaches to humanities education, especially of those that show promise of serving as models for other institutions. Non-profit educational institutions and organizations—especially universities, four-year and two-year colleges—may apply for project grants with which to:

- Carry out experiments in education in the humanities;
- Develop teaching materials;
- Promote educational use of libraries;
- Hold training institutes or conferences;
- Develop and strengthen interdisciplinary courses and programs.

While the Division will consider a wide variety of Project proposals, it is especially interested in: interdisciplinary programs, cooperative endeavors between schools and institutions of higher learning, cooperative programs between two-year colleges and universities, proposals that foster the study of the humanities in the professions, and curriculum development programs that offer promise of broad applicability.

In all of these areas, the Endowment gives priority to projects which are either unique—and are likely therefore to be illustrative or to provide enrichment of higher education as a whole—or which are exemplary—and are likely therefore, in their substance or their method, to provide models which other institutions may choose subsequently to adopt or adapt for themselves.

In all cases, an applying institution should clearly explain its need for Endowment support, and it must show how its project can have significance within and outside the institution itself. Cost-sharing is generally required for project grants and must be more than token; that is, the applying institution must be prepared to assume at least 10% of the cost of the project.

Project grants average about \$30,000.

Institutional Grants

These grants are designed to assist institutions of higher learning (universities, four-year and two-year colleges) to enhance the vitality, influence, and attraction of the humanities as a major area of the curriculum.

Planning Grants are intended for institutions that have completed a thorough analysis and appraisal of their strengths and weaknesses in the humanities and have identified areas in which, with effective planning, substantial progress can be made. Institutions must show in concrete detail how the plan, when elaborated, will constitute a formula for improving the status of the humanities. Planning grants ordinarily do not exceed \$30,000 for a twelve-month period.

Program Grants are designed to revitalize instruction through the development of a new program or series of courses in some area of the humanities that lifts the academic disciplines from the narrow confines of existing departmental structures and places them in a wider context. Whether programs are devised to deal with historical eras, regions or areas, concepts of major values, or some new perception of the human condition, the principle of organization should be comprehensive and related to the continuing insights of the humanities. Not a mere increase in course offerings, but a program which suggests critical re-examination of the content, organization, and method of presentation of the humanities is expected. Program grants will not ordinarily exceed \$180,000, available over a three-year period.

Development Grants are intended to enrich and extend the impact of the humanities on the academic life of a total institution through reorganization of departments of instruction, basic revision of curricula, and improved instructional methods. Before applying, institutions are expected to have completed a thorough re-examination of their resources and capabilities to enable the humanities, by institutionally coordinated means, to exert a new dimension of influence in the learning community.

Development grants average between \$100,000 and \$200,000 a year for a three to five year period.

Fund limitations compel the Endowment to be especially selective in awarding institutional grants. The applying institution must show clear need for support and must demonstrate a capacity for planning carefully, using funds with judgment, and continuing with its own funds the activity that Endowment support has helped to plan, support or develop. Cost-sharing by the institution is required. For a planning grant, the Endowment will assume no more than 80% of the projected costs. For program and development grants, the Endowment's contribution will not exceed 80% of the total costs in the first year, and less than that in subsequent years. Institutional cost-sharing should increase during the period of a program or development grant, so that the institution bears the greater part of the cost during the final year of the grant.

The request for a grant may be met partly with an outright grant and partly with matching funds (see page 7). It is likely that no more than twenty-five planning, forty-five program, and eight development grants will be available in the 1973-74 fiscal year.

Humanities Institutes Program

If funding permits, the Endowment will begin in 1974 an experimental program of Humanities Institutes to foster interdisciplinary study and training for university and college teachers and a limited number of graduate students. The Institutes will provide opportunities for sustained consideration of a topic or theme spanning two or more disciplines, through a variety of programs emphasizing both teaching and research. Their purpose will be to advance the training of scholars and teachers in interdisciplinary study and to provide a basis for such scholars to establish or strengthen interdisciplinary studies in their own institutions.

The Humanities Institutes Program will operate by the initiative of the Education Division. Unsolicited applications cannot be considered during this initial experimental period.

Elementary and Secondary Education Projects

The U.S. Office of Education has primary responsibility for Federal aid to elementary and secondary schools, but the Endowment does consider proposals involving the teaching of the humanities at these levels—especially proposals for cooperative endeavors between these schools and institutions of higher learning. A major example is the National Humanities Faculty program, which arranges for professional

scholars to work directly with teachers for educational improvement. Information on this continuing program, which is funded by the Endowment, may be obtained from: The Director, National Humanities Faculty, 1266 Main Street, Concord, Massachusetts 01742. Applications for other projects related to elementary and secondary schools may be submitted within the *Project Grants* program described above.

How to Apply

At least one month before making formal application, prospective applicants are expected to send draft proposals of potential projects and programs to the Education Division. This preliminary step enables the staff to give special consideration to particular problems and guidance in drafting a formal application. Formal applications should be submitted according to the following schedule:

Project Grants: applications should be postmarked not later than November 1, 1973 for projects beginning after May 1, 1974; applications should be postmarked not later than March 15, 1974 for projects beginning after December 1, 1974.

Planning Grants: applications should be postmarked not later than November 1, 1973 for projects beginning after May 1, 1974; applications should be postmarked not later than March 15, 1974 for projects beginning after December 1, 1974.

Program Grants: applications should be postmarked not later than January 1, 1974 for projects beginning after August 1, 1974; applications should be postmarked not later than July 1, 1974 for projects beginning after January 1, 1975.

Development Grants: applications should be postmarked not later than January 1, 1974 for projects beginning by August 1, 1974; applications should be postmarked not later than July 1, 1974 for projects beginning by May 1, 1975.

Guidelines giving detailed descriptions, criteria, and instructions to applicants for each of the programs offered in this division are available on request. All inquiries and applications relating to these programs should be addressed to:

Director of Education Programs
National Endowment for the Humanities
Washington, D.C. 20506

PUBLIC PROGRAMS

General Scope

All activities funded by the Public Programs Division are intended, directly or indirectly, to affect the general adult public—the 130 million adult citizens who are not in school. These programs are predicated on the conviction that the humanities are a valuable and useful resource to members of our society, both as citizens and as individuals. The insight, information, and perspective afforded by the humanities have proved their ability, in properly designed projects, to speak to the value-choices and decisions facing the general adult public; the programs described below offer support for new and better efforts in designing such projects to make the humanities available to the public through a variety of media and institutional channels.

Other Divisions of the Endowment offer grant programs in support of the activities of teachers, researchers, and students in the humanities, and individuals and institutions concerned with those activities are referred to those programs, described elsewhere in this Program Announcement.

Among the Public Programs set forth below, Film/TV Grants, Museums and Historical Societies Grants, and Special Projects consider applications from any eligible person or institution (see “General Eligibility,” on page 5). The State-Based Program and Program Development Centers operate by invitation of the Endowment, and unsolicited applications are not considered in these areas. It should be noted, in addition, that the three program areas receptive to direct application require cost-sharing on a more than nominal basis by institutions applying. The involvement of several institutions and of several humanistic disciplines in any given proposal is generally encouraged, and, in all program areas, the place of humanists and the humanities must be central.

Film/TV Grants

This program is designed to encourage and support production of the highest quality films for nation-wide broadcast and distribution. The films must entail the use of knowledge from humanistic fields, such as history, biography, jurisprudence, linguistics and literature, and priority is given to proposals which concentrate on material which is central, rather than peripheral, to the humanities. Proposed films should be of major educational substance and, at the same time, be attractive to *adults* who may be neither scholars nor specialists. Each production should involve direct collaboration between outstanding scholars and producers,

screen-writers, directors and actors of top *professional* stature. Such films should have the kind of critical and popular appeal exemplified by such NEH-supported productions as "The Wright Brothers" or "To Be Young, Gifted and Black," in the National Education Television's "Biography" series, or the "Civilisation" series by Kenneth Clark, produced by the British Broadcasting Corporation.

Only non-profit institutions are eligible to apply for a Film/TV grant. Programs whose substance is of only local or regional interest are unlikely to prove eligible for support. In addition, the program does not allow costs for any training elements, such as those related to increasing knowledge of or skill in film and television production.

An applicant institution proposing a major production or series is encouraged to submit, as a first step, a request for a development grant with which to finance the research and writing of a treatment and script. If a development grant is awarded for this purpose, the script could be used as the basis for an application to cover production costs.

How To Apply: Applications may be submitted at any time but decisions will be made according to the following schedule:

For projects beginning after:	Applications should be postmarked no later than:
April 1 1974	October 15, 1973
June 1, 1974	January 15, 1974
December 1, 1974	June 1, 1974

At least six weeks before making formal application, prospective applicants are urged to send informal descriptions of potential projects or any inquiry they may have about the program to:

Film/TV Grants
Division of Public Programs
National Endowment for the Humanities
Washington, D.C. 20506

Museums and Historical Societies Program

The Museums and Historical Societies Program provides three general kinds of support to museums and historical societies: (1) Interpretive Exhibitions; (2) Community Education Programs; and (3) Museum Personnel Development Programs. Projects eligible for support are those which seek to interpret history and the arts; projects which draw upon the past for insight and perspective on the present through cultural and

historical analysis; and projects which seek in the broadest sense to educate the general public.

Interpretive Exhibitions. Support is available under this program for exhibitions which seek, as their primary objective, to provide a learning experience for the visiting general public through effective and imaginative use of collections. More specifically, the interpretive exhibition is one which may employ a variety of interpretive methods to explain the objects on display and show their relationship to one another or to a given theme.

Support is available for:

- the planning of new interpretive exhibitions cooperatively planned by more than one museum or historical organization and suitable for travel, either in part or in whole;
- the interpretation of the collections in a quasi-permanent introductory gallery (orientation gallery);
- the interpretation of special temporary exhibitions already planned that use newly assembled objects and interpretive devices.

Applicants should note that Endowment support for new interpretive exhibitions is predicated on the assumption that the educational experience intended for the public—the theme to be treated—will determine the nature of the objects to be assembled, and not vice versa.

Community Education. This major area of support under the Museums and Historical Societies Program is intended for the widest possible dissemination of humanistic knowledge in contemporary society and welcomes application by a museum or historical society as the sponsor and locus of learning events in the community. Through the presentation of seminars and other projects, the development of community galleries, and any sort of special series or events designed specifically for the local community, museums and historical societies of every kind can fulfill their role and acquire new identification as community educators. Participation of the community in planning as well as staging of educational events in museums and historical societies is encouraged and priority consideration will be accorded proposals which provide for such participation. The Endowment particularly encourages projects which reflect local conditions and interests, especially in urban areas.

Personnel Development Program. It is the intent of this program to support training projects aimed at encouraging and preparing individuals for public educational roles in museums and historical societies, and serving *educational* rather than purely aesthetic purposes. The main focus of such training should be on methods of interpreting collections so that these resources can be used to augment public awareness and

understanding. Specifically, support is available for:

(1) Graduate fellowship programs in universities providing sound combinations of academic and practical training leading to museum and historical society careers; applications are especially encouraged from institutions with interdisciplinary graduate programs in the humanities, which, together with museums and historical societies, can prepare individuals for substantive *educational* careers in museums and historical societies.

(2) Internship programs in museums and historical societies that provide a strong in-service training element that is structured around the education-interpretation function of the museum. The institution must apply for this internship and show that the training element is the strength of the program.

(3) Seminars, workshops, and other types of in-service training to increase the competence of persons working in museums and historical societies. Special consideration will be given to applications which exemplify new approaches to the training of individuals concerned with interpretation and dissemination of knowledge gained from the museum, archival or historical society resources.

NEH museum and historical society fellowship support to any one individual must be limited to two years.

The Endowment seeks to support museums and historical societies as institutions for the dissemination of the humanities and, therefore, cannot support the acquisition, conservation, renovation, restoration, cataloguing, or general operational costs, including indirect costs of permanent staffing.

Museums and historical societies may also participate in projects supported by a State-Based Committee on the Humanities or in presentations of the Program Development Centers, both described below. Interested persons should contact their State-Based Committee or the appropriate Program Development Center directly. Other Divisions of the Endowment also offer programs for museums and historical societies and their personnel.

How to Apply: Applications for both Interpretive Exhibition grants and Community Education grants should be submitted according to the following schedule:

**For projects
beginning after:
August 1, 1974**

**Applications should
be postmarked
no later than:
October 15, 1973**

December 1, 1974

February 15, 1974

March 1, 1975

April 15, 1974

Applications for Personnel Development programs to be conducted between June 1974 and August 1975 must be postmarked not later than October 15, 1973.

Prospective applicants are urged to address informal inquiry about the exhibition before making formal application to:

Museums and Historical Societies Program

Division of Public Programs

National Endowment for the Humanities

Washington, D.C. 20506

Special Projects

The Special Projects program is the experimental arm of the Public Programs Division and welcomes ideas for projects which do not normally fall within the scope of other program areas. As such, this program seeks promising proposals of an exceptional and exemplary nature; proposals which earn support are those which act as genuinely exemplary models to test methods of relating the disciplines of the humanities to the interests and needs of the general adult public.

Such proposals should be designed to foster public understanding and appreciation of the humanities generally or to bring humanistic knowledge to bear on specific aspects of contemporary life. Proposals which may have only an indirect effect on the adult public are also welcome, so long as they involve issues or questions which *do* relate directly to humanistic activity for the general public. A heavy emphasis is placed on the full and unmistakable use of the humanities (see page 3) in planning and implementing the project idea; duplication of standard approaches is to be avoided.

How to Apply: Special Projects is a highly competitive program; non-profit institutions and organizations—or groups thereof—are therefore urged to submit their proposals in the form of preliminary inquiries so that the staff can provide guidance for the development of a promising project idea. Equally important to the Endowment is cooperation between applicants to this program and the State-Based Committees for the Humanities (see “State-Based Program,” below). For this reason also prospective applicants should send informal descriptions of potential projects or any inquiry they may have about the program, before making formal application, to:

Special Projects
Division of Public Programs
National Endowment for the Humanities
Washington, D.C. 20506

Prospective applicants for Special Project grants are particularly urged to read the section of this Program Announcement entitled "Areas Not Funded," on page 6 .

State-Based Program

In what is now nearly all of the States, the Endowment has established an experimental, invitational program to test the ways in which existing organizations and agencies can work together to sponsor and financially support public programs in the humanities. Such programs are conducted on a State-wide basis, with content rooted in important issues and aspects of public life in the State, employing a variety of programmatic approaches. The prime objective of the State-Based Program is to provide a State-wide focus for the humanities, generating dialogue between professional humanists and the general public and giving coherence to programs and events by which the humanities are projected into public awareness and public service.

Individuals and institutions interested in the scope and focus of the committee's activity in their State are urged to contact the committee directly. The name and address of any State-Based Committee for the Humanities will be provided on request to:

State-Based Program
Division of Public Programs
National Endowment for the Humanities
Washington, D.C. 20506

Program Development Centers

The Public Programs Division supports a number of program development centers in different regions of the country. Each of the centers is engaged in designing programs or series of programs in the humanities for presentation to general adult audiences as models for continuing public programming in the humanities. These presentations are given by teams of humanists on tour in a variety of communities across the country, under the title of the National Humanities Series.

Persons or institutions desiring information about the National Humanities Series should address inquiries to:

National Humanities Series
Division of Public Programs
National Endowment for the Humanities
Washington, D.C. 20506

RESEARCH GRANTS

General Scope

The Division of Research Grants entertains applications for support of original thought, basic research, and editing projects in the humanities and in those aspects of the social sciences that have humanistic content and employ humanistic methods. Proposals by qualified persons for support of interpretive writing in the humanities for the general public are also eligible for consideration. However, individuals who are seeking time for more general or exploratory work to increase their competence as teachers, thinkers, and scholars should apply to the Division of Fellowships and Stipends, rather than to the Division of Research Grants. While fellowships are always for short-term projects, or parts of projects, carried out by one person, research projects are more frequently collaborative efforts extending over a longer period of time.

As with other Endowment programs, the Division is especially interested in projects that bear on major issues of contemporary public concern. It also encourages applications for projects that may contribute to the forthcoming Bicentennial of the American Revolution—projects that reflect major themes of the revolutionary era and contribute to a better understanding of the founding and development of the nation and its institutions.

Applications for research grants should come from persons with clear competence for carrying out proposed projects which are well defined and designed to make specific contributions to thought, scholarship, or public understanding. It is essential that the methods and means by which a proposed research objective will be realized be presented in detail sufficient to establish their likely effectiveness and feasibility.

A person may not apply simultaneously for a research grant and a fellowship. In all cases, applicants should give particular attention to the section in this brochure entitled "Areas Not Funded," on page 6.

Kinds of Support

There are no set figures or standard ranges for research grants. An applicant should make a request for the amount considered necessary, while keeping in mind the limited funds available to the Endowment for research. In the last fiscal year the average grant was about \$17,000 but the range of awards was so great that this figure should not be taken as a norm. The Division makes very few grants above \$35,000. Those interested in requesting support for large-scale or collaborative projects

should make a preliminary inquiry by writing the Division at the address given below.

Grants are available both for projects that can be completed during the term of the grant and for well-defined segments of longer-term projects. Support may be requested for up to 27 months.

Budget requests may include travel and per diem, research and clerical assistance, supplies, rental of materials and equipment, some summer support, and salary supplements to sabbatic, academic or other leave pay offered by an applicant's institution. Salary payments for released time from teaching will not be provided. A person applying through an institution must have his project authorized by an officer of that institution and must be able to show, as an integral part of his budget, that the institution is supporting the project in more than nominal fashion—usually by assuming not less than 10% of the total cost of a project. Such cost-sharing is an absolute condition of research grants to persons affiliated with institutions. Cost-sharing is not to be confused with gifts presented for matching, which may be accepted by the Endowment only from third parties. Please see the section entitled "Gifts-and-Matching Grants," on page 7.

The Endowment makes an annual grant to the American Council of Learned Societies in partial support of its research grants-in-aid program. Applicants whose projects will cost \$2,500 or less are directed to apply to this program. Those who make application to the Division will automatically be referred to ACLS.

Any citizen of the United States or its territorial possessions is eligible to apply for a research grant, whether or not that person is affiliated with an educational institution or learned society. (Persons who do have institutional affiliations must apply through their institutions.) Foreign nationals are eligible only when employed by American institutions. American citizens who hold permanent posts at foreign institutions, or who have resided abroad for more than five consecutive years immediately prior to the current year, are not eligible.

How to Apply

Applications may be submitted at any time, but decisions on proposals will be made according to the following schedule:

**For projects
beginning after:
August 1, 1974**

**Applications should
be postmarked
no later than:
November 19, 1973**

January 1, 1975

August 1, 1975

May 6, 1974

November 18, 1974

Applicants are strongly urged to make initial contact with the Division well in advance of the deadline for the intended application. Preliminary inquiries, requests for application forms and instructions for application should be addressed to:

Division of Research Grants

National Endowment for the Humanities

Washington, D.C. 20506

FELLOWSHIPS AND STIPENDS

General Scope

The purpose of the Endowment's fellowship and stipend awards is to support individual humanists in their work as scholars, teachers, and interpreters of the humanities. The work undertaken with a fellowship or stipend should aim basically at advancing, synthesizing, or enlarging the applicant's learning and understanding. It may be work within the applicant's special area of interest, or it may be study in some other area which will enable the applicant to understand his own field better, to extend his competence, and to become more broadly informed. Individuals who wish support for scholarly projects which involve more than the full-time study of a single person or which require heavy expenses for research, assistance, travel, etc., should apply for a research grant rather than a fellowship (see page 20); applications may not be submitted simultaneously for Endowment fellowships and for research grants.

Although proposals are eligible in all areas of humanistic study (see page 3), the Endowment is particularly interested in studies that will contribute to better understanding of matters of national concern. During this period of the bicentennial observance of the American Revolution, the Endowment also has a special interest in studies relating to the Revolutionary era and to the philosophical and social foundations of the Revolution and the establishment of our nation. This interest is directed not only to studies of the Revolution era itself, but also to studies of the origins and historical development of that era's ideals and institutions. Proposals to study the ways in which these ideals and institutions respond to the demands of the modern world are particularly encouraged.

Problems pertinent to an applicant's own teaching of his subject are an appropriate object to fellowship study, but proposals for the planning of curricula or the development of teaching materials are not eligible for fellowship support, nor are educational surveys or predominantly pedagogical studies. Such proposals should be submitted to the Division of Education or to the U.S. Office of Education (see page 8). Studies in psychology and the social sciences which are predominantly empirical or clinical rather than humanistic are not eligible for fellowship support. Creative work or performance in the arts—such as the writing of fiction or poetry, painting, sculpture, musical performance or composition, acting and dance—are excluded, as are studies directed toward teaching creative work and performance. Studies aimed at philosophical, critical, or historical understanding of the arts, however, are eligible for Endowment

support. Proposals for creative work or performance should be submitted to the National Endowment for the Arts.

Applicants for fellowships and stipends must be citizens of the United States or native residents of its territorial possessions, such as American Samoa. They may not hold permanent posts at foreign institutions or have resided abroad for more than five consecutive years immediately preceding application and they must intend to resume their careers in the United States after completing fellowship tenure. Although the Endowment cannot support sectarian work, teachers who hold appointments in schools or departments of divinity may apply for fellowships, provided that the work to be supported is to be undertaken with scholarly objectivity and is designed to constitute a general contribution to humanistic knowledge.

The Fellowships Division of the Endowment offers senior fellowships, fellowships for younger humanists, fellowships and summer stipends for junior college teachers, summer stipends for college and university teachers and other humanists, fellowships for study in selected fields, summer seminars for college teachers, and fellowships and stipends for the professions.

Applications should not be submitted under more than one of these programs at a time. Persons awarded fellowships under any of these programs may supplement their Endowment support with small grants from other sources during tenure but may not accept other major fellowships or grants, except sabbaticals or grants from their own institutions. Anyone accepting an Endowment fellowship may not defer tenure of the Endowment grant in order to accept another to be held in the interim. Stipends for fellows who will receive sabbaticals or other grant income will be set at a figure (not to exceed the maximum stipend in the program concerned) covering the difference between their support from these other grants and their salaries plus travel expenses allowed by the Endowment. A fellow must devote full time to his fellowship study and may not accept a teaching assignment or undertake any other major activity during the tenure of his fellowship. Applicants for fellowships and stipends must have completed their professional training before applying. Active candidates for degrees are not eligible to apply for NEH fellowships, nor are persons seeking support for work leading toward degrees, although an applicant need not necessarily have an advanced degree to qualify.

Senior Fellowships

The Senior Fellowship program is intended for persons who have

considerable experience as scholars, teachers, writers, or interpreters of the humanities, and have produced significant work. Senior Fellowships are available for six to twelve continuous months of tenure and carry a maximum annual stipend of \$18,000 prorated at \$1,500 per month for periods of less than a year. If funds permit, approximately 125 Senior Fellowships will be available for 1974-75.

Fellowships for Younger Humanists

The purpose of these fellowships is to give younger humanists, particularly teachers in the four-year colleges and the universities, free time to develop their abilities as teachers and scholars. Proposals from teachers should bear a close relationship to applicants' teaching interests. How a proposed undertaking may contribute to the growth of the applicant as a teacher and interpreter of the humanities is a more important consideration than any immediate contribution it may make to a particular field of knowledge. Preference will be given to applicants who are at a relatively early point in their professional development.

If funds permit, about 260 fellowships will be awarded for the 1974-75 academic year. Each fellowship provides a maximum stipend of \$1,250 per month for six to nine continuous months of full-time study. The tenure period and its length depend on the applicant's need, except that tenure must fall between the beginning of the summer of 1974 and the end of the summer of 1975. Applicants may apply for eight or nine months of tenure if they will have two semesters, three quarters, or an equivalent academic period released. They should apply for no more than seven months of tenure if they will have only one semester plus a summer of released time, and for no more than six months of tenure if they will have only two quarters released. Applicants for these fellowships must have completed their professional training by October 15, 1973. A former recipient of an Endowment fellowship may not apply for one of these fellowships.

Fellowships and Summer Stipends for Junior College Teachers

The purpose of this program is to enable junior college teachers to undertake humanistic studies that will increase their understanding of the subjects they teach, improve their teaching, and contribute to their development as interpreters of the humanities. Fellows are selected on the basis of their ability and promise as teachers and interpreters of the humanities.

(a) Fellowships: if funding permits, approximately 75 fellowships will be available for tenure in 1974-75. Each fellowship provides a maximum

stipend of \$1,250 per month for six to nine continuous months of full-time study. The tenure period and its length depend on the applicant's need, except that tenure must fall between the beginning of the summer of 1974 and the end of the summer of 1975. An applicant may apply for eight or nine months of tenure if he will have two semesters, three quarters, or an equivalent academic period released; he may apply for no more than seven months of tenure if he will have only one semester plus a summer of released time, and for no more than six months of tenure if he will have only two quarters released.

(b) Summer Stipends: if funding permits, approximately 50 summer stipends for junior college teachers will be available for 1974. Each summer stipend will provide \$2,000 for two continuous months of full-time study.

An applicant for a summer stipend must be nominated by the president, dean, or other designated officer of his college. Every junior or community college in the United States and its territorial possessions may nominate two members of its faculty for a summer stipend, selecting each on the basis of his ability and promise as a teacher or contributor to learning in the humanities. A former recipient of an Endowment summer stipend may not apply again for one.

Summer Stipends

If funding permits, approximately 200 summer stipends for teachers in four-year colleges and universities and other humanists will be available for 1974 in addition to those for junior college teachers described above. Each summer stipend will provide \$2,000 for two continuous months of full-time study. These stipends are now available to senior as well as younger humanists. Their purpose is to free recipients from summer employment, so that they can devote this period to concentrated study and research.

An applicant for a summer stipend must be nominated by the president, dean, or other designated officer of the college or university employing him. Every college and university in the United States and its territorial possessions may nominate three members of its faculty or staff for a summer stipend, selecting each on the basis of his ability or promise as a teacher or contributor to learning in the humanities. Two of the nominees should be selected in the early stages of their careers and one of the nominees should be selected in the more advanced stage of his career. A member of a branch campus faculty must seek nomination through the parent institution. If an institution declines nomination of a member of its

faculty because his appointment is terminating, he may apply directly to the Endowment without nomination. A former recipient of an Endowment summer stipend may not apply again for one. Persons not employed by colleges or universities may apply directly.

Fellowships for Study in Selected Fields

This program offers fellowships for advanced study in selected fields. For 1974-75, the Endowment offers fellowships for work in two fields: (1) historical, social, and cultural studies of U.S. ethnic minorities and (2) the interrelationship between human values and science and technology. The purpose of these awards is to give promising young scholars and teachers opportunities to develop abilities to contribute significantly to knowledge in these fields through their teaching, writing, and leadership in their institutions and professions.

If funding permits, approximately 45 of these fellowships will be available for 1974-75. Each fellowship provides a maximum stipend of \$1,250 per month for six to nine continuous months of full-time study. Applicants must have completed their professional training by October 15, 1973. A former recipient of one of these fellowships may not apply again for one.

Summer Seminars for College Teachers

This program is intended to provide opportunities during the summer for teachers at smaller private and state colleges and junior and community colleges to work in their areas of interest with distinguished scholars at institutions where they will have libraries suitable for advanced study. If funding permits, the Endowment plans to offer 30 seminars, in history, philosophy, and English and American literature, during the summer of 1974. The seminars will be broadly distributed at institutions throughout the country. Through reflection and discourse with the seminar director and their colleagues in a seminar atmosphere, the college teachers are expected to sharpen their understandings and improve their ability to transmit their understandings to college students.

Applicants must have been teaching for several years at smaller private or state colleges or junior or community colleges and must not recently have had the opportunity for extended use of the resources of a major library. Stipend recipients are expected to participate fully in the seminar and complete all seminar assignments. In addition, they are expected to devote full time to individual study during the two-month tenure period of the stipend. The stipend amount is \$2,000, plus a housing and travel allowance of \$250, if needed.

Fellowships and Stipends for the Professions

The purpose of this program is to give persons in professions outside of teaching an opportunity to stand back from their work for an extended period to study the historical, social, cultural, and philosophical dimensions of their professional interests. Its intention is to deepen their understanding of the humanistic context and to improve the quality of their leadership of their professions.

How To Apply

Application forms for Senior Fellowships may be obtained by writing to the Division of Fellowships, National Endowment for the Humanities, Washington, D.C. 20506. Applications must be postmarked no later than June 17, 1974. Awards will be announced early in November 1974.

Applications for Younger Humanist Fellowships should be submitted directly to the Endowment. They must be postmarked no later than October 15, 1973. Awards will be announced in mid-March 1974. Application forms and instructions will be available in late summer, 1973 from the Division of Fellowships, National Endowment for the Humanities, Washington, D.C. 20506.

Applications for Junior College Teachers Fellowships should be submitted directly to the Endowment. They must be postmarked no later than October 15, 1973. Awards will be announced in mid-March 1974. Application forms and instructions will be available in late spring, 1973, from the Division of Fellowships, National Endowment for the Humanities, Washington, D.C. 20506.

Applications for summer stipends, both for junior college teachers and for four-year college and university teachers and other humanists must be postmarked no later than October 15, 1973. Awards will be announced in mid-March, 1974. Application forms and instructions will be available from presidents or academic deans. They will be available to junior college teachers in late spring, 1973, and to four-year college and university teachers in September 1973. Persons who wish to apply for summer stipends should discuss nomination prospects with the institutional officer authorized to submit nominations, well in advance of the application deadline.

Applications for Fellowships in Selected Fields must be postmarked no later than October 15, 1973. Awards will be announced in mid-March, 1974. Application forms and instructions will be available in late summer, 1973, from the Division of Fellowships, National Endowment for the Humanities, Washington, D.C. 20506.

Applications for summer seminars are submitted to seminar directors. A

list of seminars for 1974 will be publicized widely in November, 1973, and application forms and instructions may then be obtained from the seminar directors. Applications should be postmarked no later than February 11, 1974. Awards will be announced early in March, 1974.

Fellowships and stipends for the Professions in 1974-75 will be offered in a limited number of professions. They will be announced widely to the professions concerned when they become available; this year the announcement is scheduled for mid-November, 1973. Further information about this program may be obtained from the Division of Fellowships, National Endowment for the Humanities, Washington, D.C. 20506.

YOUTHGRANTS IN THE HUMANITIES

General Scope

The Youthgrants in the Humanities program was established to support humanities projects developed and conducted by students and other young people.

To be considered for a Youthgrant award, a proposed project must meet three basic conditions:

- the project must relate in a clear way to the humanities;
- the project must have a specific purpose, a carefully designed scope, an identifiable end product, and a high promise of helping individuals develop their critical faculties;
- although adults may be involved (and are encouraged to serve) as advisors or consultants, young people must carry the major responsibility for the project's initiation, development, and execution.

Neither academic affiliation nor an academic degree is necessary to apply for a Youthgrant. College and university students, high school students, and young persons not in school may submit applications either directly or through non-profit organizations. The director of the project for which an application is made should preferably not be over 30 years of age at the time the grant is awarded, and consideration will be given first to projects by persons who have not completed professional training.

The Youthgrants program is expressly designed to encourage ventures similar to those conducted by more experienced professionals within the Endowment's other programs. Proposed projects may, therefore, concern the designing or conducting of an education program (of either a formal, institutional or informal, public nature); study or research of a specific problem; or activities aimed at disseminating humanistic knowledge and materials or applying them to the understanding of ethical and social problems or basic issues of human and national life.

As the Bicentennial of the nation's founding approaches, projects relating to it—either to the actual event or to the continuing philosophical ideas and issues associated with it—are especially encouraged as are historical research proposals seeking to trace the development of local or national institutions during the 200 years since the Nation's birth.

Prospective applicants should note that the Youthgrants program supports only specific projects. It does not provide scholarships, fellowships, loans, or other types of student aid for general study at any educational level, in the United States or abroad. Also, because of limited funds, the Endowment does not generally support one-man research or

publication projects (e.g., seminar papers, M.A. theses, Ph.D. dissertations) undertaken specifically as work towards an academic degree. In the Youthgrants program, however, academic work which both promises to have broad appeal and is already assured of wide dissemination is eligible, and acceptance of the project for credit by an academic institution does not preclude Endowment support.

Youthgrants awards for an individual project are normally under \$2,000 but grants for group projects may range up to \$10,000.

How To Apply

The general Youthgrant application and grant process is outlined in a special brochure available from the Endowment upon request. Potential applicants should review the brochure and send a preliminary proposal or any inquiry they may have about the program to the Endowment at least two months before the deadline dates noted below. This procedure will enable the staff to advise about potential problems and to assist the applicant in preparing a formal application.

The preliminary proposal should include a summary of the proposed project: what will be done, how it relates to the humanities, who will be involved, their current employment or educational status, their age, the intended target group, how long the project will run, the approximate funding necessary, and the specific items and services for which the grant funds will be used.

Proposals may be submitted at any time, but decisions will be made according to the following schedule:

For projects beginning after:	Applications should be postmarked no later than:
December 1, 1973	July 31, 1973
April 1, 1974	November 15, 1973
September 1, 1974	April 1, 1974
December 1, 1974	August 1, 1974

Note: Applications concerning projects for the summer of 1974 should be submitted by November 15, 1973.

For copies of the Youthgrants information brochure or other information about the program, write to:

Youthgrants in the Humanities
National Endowment for the Humanities
Washington, D.C. 20506

NATIONAL ENDOWMENT FOR THE HUMANITIES STAFF DIRECTORY

The following is a brief listing of those staff members who may be contacted for further information concerning the various Endowment programs:

CHAIRMAN, Ronald Berman

DEPUTY CHAIRMAN, Robert Kingston

PUBLIC INFORMATION OFFICE

Public Information Officer, Darrel deChaby (202) 382-5721
Public Information Specialist, Edythe Robertson (202) 382-5722

DIVISION OF EDUCATION PROGRAMS

Director, Roger Rosenblatt (202) 382-5891
Program Officer (Institutional Grants), Harold Cannon (202) 382-5891
Program Officer (Project Grants, Institutes), Richard Ekman (202) 382-7081

DIVISION OF PUBLIC PROGRAMS

Director, John Barcroft (202) 382-3986
Deputy Director, John Schonleber (202) 382-3986
Assistant Director (Film/TV), Jeanne Mulcahy (202) 382-5537
Assistant Director (Museums and Historical Societies), Kenneth Mathis (202) 382-5714
Assistant Director (Special Projects), Stephen Goodell (202) 382-8333
Assistant Director (Program Development Centers), William Maher . . (202) 382-8333

DIVISION OF RESEARCH GRANTS

Director, William Emerson (202) 382-5857
Associate Director, Simone Reagor (202) 382-5857

DIVISION OF FELLOWSHIPS AND STIPENDS

Director, James Blessing (202) 382-5827
Deputy Director, Guinevere Griest (202) 382-5827
Program Officer (Younger Humanists, Professions), Julian MacDonald (202) 382-3771
Program Officer (Summer Stipends, Summer Seminars),
Marjorie Berlincourt (202) 382-5827

OFFICE OF PLANNING AND ANALYSIS

Director, Armen Tashdian (202) 382-5996
Program Coordinator (Science, Technology, and Human Values),
Richard Hedrich (202) 382-5996
Program Specialist (Youthgrants), Nancy Moses (202) 382-8301

Endowment offices are located at
806 15th Street, N.W.
Washington, D.C.